IA159 Formal Verification Methods
Abstraction

Jan Strejček

Department of Computer Science
Faculty of Informatics
Masaryk University

Focus and sources

Focus

- principle of abstraction
- exact abstractions and non-exact abstractions
- predicate abstraction
- CEGAR: counterexample-guided abstraction refinement

Sources

- Chapter 13 of *E. M. Clarke, O. Grumberg, and D. A. Peled: Model Checking, MIT, 1999.*
- R. Pelánek: *Reduction and Abstraction Techniques for Model Checking*, PhD thesis, FI MU, 2002.
- E. M. Clarke, O. Grumberg, S. Jha, Y. Lu, H. Veith: *Counterexample-guided Abstraction Refinement*, CAV 2000, LNCS 1855, Springer, 2000.
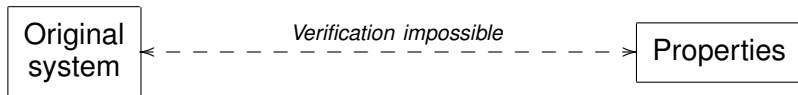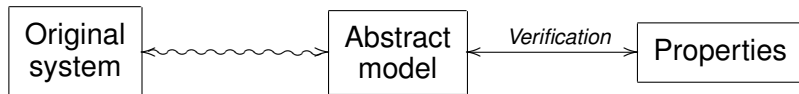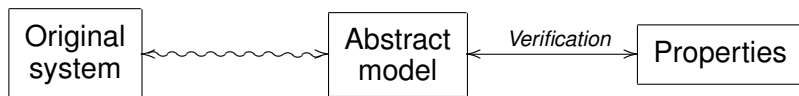
*Abstraction is probably the most important technique
for reducing the state explosion problem.*

[CGP99]

Abstraction is probably the most important technique for reducing the state explosion problem.

[CGP99]

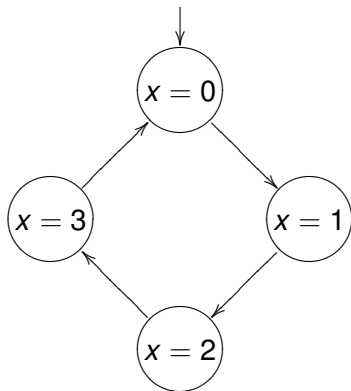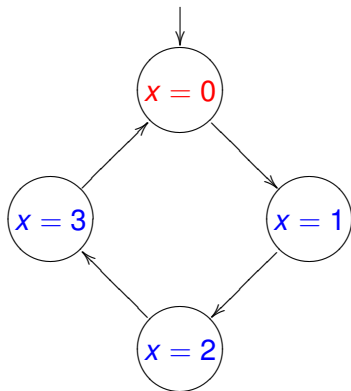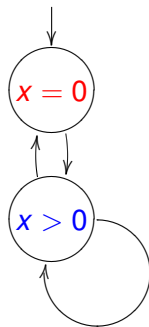| Original system | ~~~ | Abstract model | ←— *Verification* —→ | Properties |

Motivation

Abstraction is probably the most important technique for reducing the state explosion problem.

[CGP99]

```
┌──────────┐          ┌──────────┐  Verification  ┌──────────────┐
│ Original │ ∿∿∿∿∿∿∿∿ │ Abstract │ <───────────>  │  Properties  │
│  system  │          │  model   │                │              │
└──────────┘          └──────────┘                └──────────────┘
```
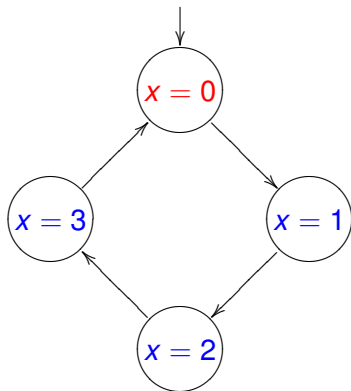
- large finite systems ⟶ smaller finite systems
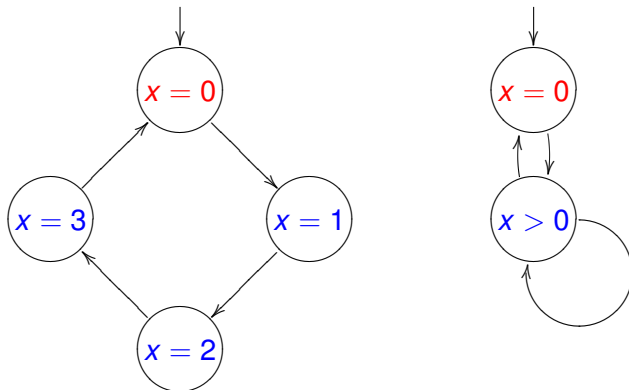- infinite-state systems ⟶ finite systems

Intuition

- equivalent with respect to $F(x > 0)$
- nonequivalent with respect to $GF(x = 0)$

Simulation

Given two Kripke structures $M = (S, \rightarrow, S_0, L)$ and
$M' = (S', \rightarrow', S'_0, L')$, we say that M' simulates M, written
$M \leq M'$, if there exists a relation $R \subseteq S \times S'$ such that:

- $\forall s_0 \in S_0 . \exists s'_0 \in S'_0 : (s_0, s'_0) \in R$
- $(s, s') \in R \implies L(s) = L'(s')$
- $(s, s') \in R \land s \rightarrow p \implies \exists p' \in S' : s' \rightarrow' p' \land (p, p') \in R$

Simulation

Given two Kripke structures $M = (S, \rightarrow, S_0, L)$ and $M' = (S', \rightarrow', S'_0, L')$, we say that M' simulates M, written $M \leq M'$, if there exists a relation $R \subseteq S \times S'$ such that:
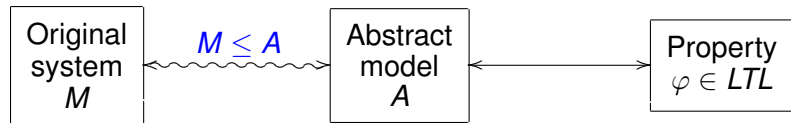
- $\forall s_0 \in S_0 . \exists s'_0 \in S'_0 : (s_0, s'_0) \in R$
- $(s, s') \in R \implies L(s) = L'(s')$
- $(s, s') \in R \land s \rightarrow p \implies \exists p' \in S' : s' \rightarrow' p' \land (p, p') \in R$

Lemma

If $M \leq M'$, then for every path $\sigma = s_1 s_2 \ldots$ of M starting in an initial state there is a run $\sigma' = s'_1 s'_2 \ldots$ of M' starting in an initial state and satisfying

$$L(s_1)L(s_2)\ldots = L'(s'_1)L'(s'_2)\ldots.$$

$M \leq A \implies$ all behaviours of M are also in A
(but not vice versa)
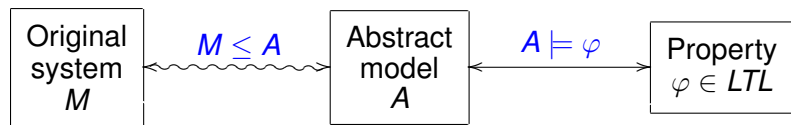
Relations between original and abstract systems

$$M \le A \implies \text{all behaviours of } M \text{ are also in } A$$
$$\text{(but not vice versa)}$$

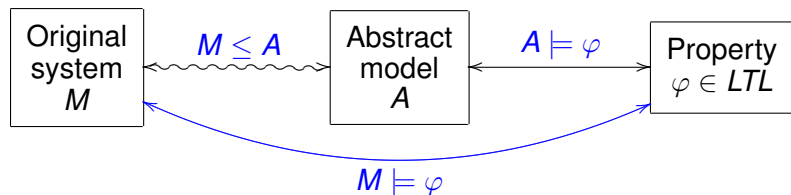# Relations between original and abstract systems

$M \leq A \implies$ all behaviours of M are also in A
(but not vice versa)

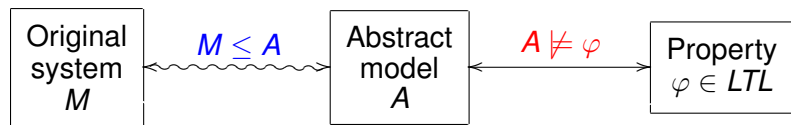# Relations between original and abstract systems

$M \leq A \implies$ all behaviours of M are also in A
(but not vice versa)

If *A* has a behaviour violating φ (i.e. $A \not\models \varphi$), then either

1. *M* has this behaviour as well (i.e. $M \not\models \varphi$), or
2. *M* does not have this behaviour, which is then called
   false positive or spurious counterexample
   ($M \models \varphi$ or $M \not\models \varphi$ due to another behaviour violating φ).
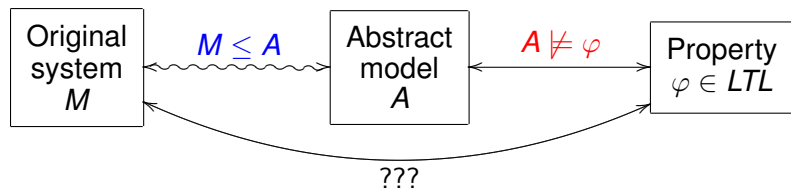
$M \geq A \implies$ all behaviours of A are also in M
(but not vice versa)

Relations between original and abstract systems

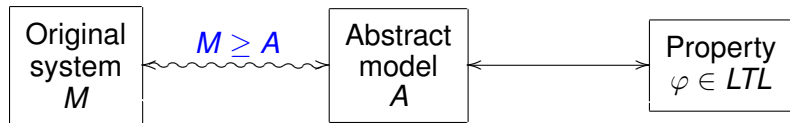$$M \geq A \implies \text{all behaviours of } A \text{ are also in } M$$
(but not vice versa)

Relations between original and abstract systems

$$M \geq A \implies \text{all behaviours of } A \text{ are also in } M$$
$$\text{(but not vice versa)}$$

Relations between original and abstract systems

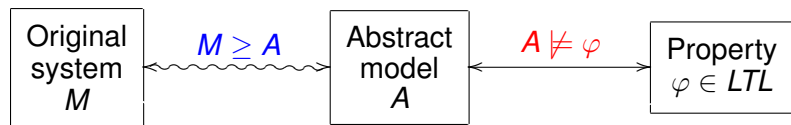$$M \geq A \implies \text{all behaviours of } A \text{ are also in } M$$
$$\text{(but not vice versa)}$$

Relations between original and abstract systems

$M \geq A \implies$ all behaviours of A are also in M
(but not vice versa)

$M \leq A \leq M \implies$ A and M have tha same behaviours
A is an exact abstraction of M

Note: A and M are bisimilar $\implies$ $M \leq A \leq M$
$\centernot\impliedby$

$M \leq A \leq M \implies A$ and M have tha same behaviours

A is an exact abstraction of M

Note: A and M are bisimilar $\implies M \leq A \leq M$

$\not\Longleftarrow$
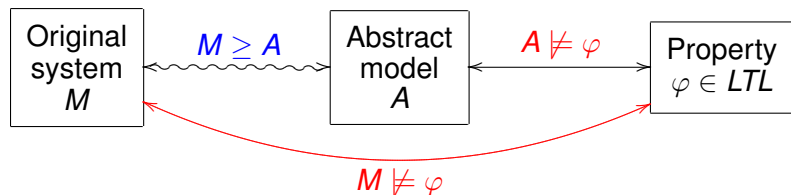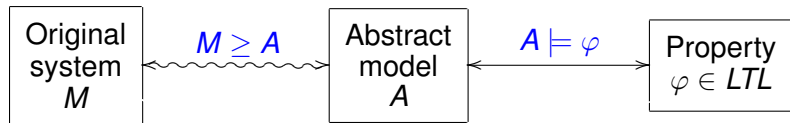
Relations between original and abstract systems

$M \leq A \leq M \implies$ *A* and *M* have tha same behaviours
A is an exact abstraction of *M*

Note: *A* and *M* are bisimilar $\implies$ $M \leq A \leq M$
$$\iff$$

```
Original        M ≤ A        Abstract         A ⊭ φ        Property
system    ⟿⟿⟿⟿⟿    model     ⟷⟷⟷    φ ∈ LTL
  M           M ≥ A            A
```

$M \leq A \leq M \implies$ A and M have tha same behaviours
A is an exact abstraction of M

Note: A and M are bisimilar $\implies$ $M \leq A \leq M$
$\nLeftarrow$

$M \leq A \leq M \implies A$ and M have tha same behaviours
A is an exact abstraction of M

Note: A and M are bisimilar $\implies M \leq A \leq M$
$\quad\quad\quad\quad\quad\quad\quad\quad \nLeftarrow$
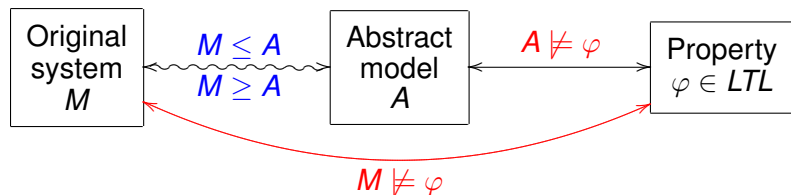
All these relations hold even for $\varphi \in CTL^*$.

Exact abstractions

Cone of influence (aka dead variables)

Idea

We eliminate the variables that do not influence the variables in the specification.

Cone of influence (aka dead variables)

- let V be the set of variables appearing in specification
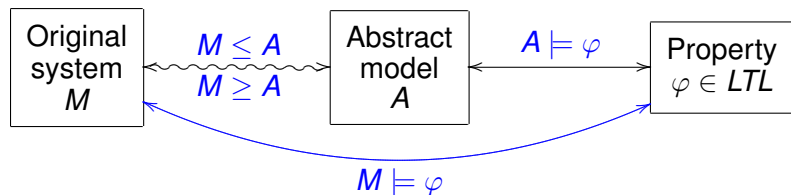- cone of influence C of V is the minimal set of variables such that
  - $V \subseteq C$
  - if v occurs in a test affecting the control flow, then $v \in C$
  - if there is an assignment $v := e$ for some $v \in C$, then all variables occurring in the expression e are also in C
- C can be computed by the source code analysis
- variables that are not in C can be eliminated from the code together with all commands they participate in

S: $v := getinput();$
$\quad x := getinput();$
$\quad y := 1;$
$\quad z := 1;$
$\quad$ while $v > 0$ do
$\quad\quad\quad z := z * x;$
$\quad\quad\quad x := x - 1;$
$\quad\quad\quad y := y * v;$
$\quad\quad\quad v := v - 1;$
$\quad z := z * y;$
E:

Specification: $F(pc = E)$

S: $v := \textit{getinput}();$
$x := \textit{getinput}();$
$y := 1;$
$z := 1;$
while $v > 0$ do
$\quad z := z * x;$
$\quad x := x - 1;$
$\quad y := y * v;$
$\quad v := v - 1;$
$z := z * y;$
E:

Specification: $F(pc = E)$
$V = \emptyset, \ C = \{v\}$

S: $v :=$ *getinput*();
    $x :=$ *getinput*();
    $y := 1$;
    $z := 1$;
    while $v > 0$ do
        $z := z * x$;
        $x := x - 1$;
        $y := y * v$;
        $v := v - 1$;
    $z := z * y$;
E:

S: $v :=$ *getinput*();
    skip;
    skip;
    skip;
    while $v > 0$ do
        skip;
        skip;
        skip;
        $v := v - 1$;
    skip;
E:

Specification: $F(pc = E)$
$V = \emptyset$, $C = \{v\}$

Other exact abstractions

Symmetry reduction

- in systems with more identical parallel components, their order is not important

Equivalent values

- if the set of behaviours starting in a state s is the same for values a, b of a variable v, then the two values can be replaced by one
- applicable to larger sets of values as well
- used in timed automata for timer values

Non-exact abstractions

We face two problems

1. to find a suitable abstract domain (i.e. a set of abstract states) and a mapping between the original states and the abstract ones

2. to compute a transition relation on abstract states

Finding abstract states

Abstract states are usually defined in one of the following ways:

1. for each variable x, we replace the original variable domain D_x by an abstract domain A_x and we define a total function $h_x : D_x \to A_x$

   a state $s = (v_1, \ldots, v_m) \in D_{x_1} \times \ldots \times D_{x_m}$ given by values of all variables corresponds to an abstract state

   $$h(s) = (h_{x_1}(v_1), \ldots, h_{x_m}(v_m)) \in A_{x_1} \times \ldots \times A_{x_m}$$

2. predicate abstraction - we choose a finite set $\Phi = \{\phi_1, \ldots, \phi_n\}$ of predicates over the set of variables; we have several choices of abstract domains

The first approach can be seen as a special case the latter one.

Popular abstract domains for integers

Sign abstraction

- $A_x = \{a_+, a_-, a_0\}$
- $h_x(v) = \begin{cases} a_- & \text{if } v < 0 \\ a_0 & \text{if } v = 0 \\ a_+ & \text{if } v > 0 \end{cases}$

Parity abstraction

- $A_x = \{a_e, a_o\}$
- $h_x(v) = \begin{cases} a_e & \text{if } v \text{ is even} \\ a_o & \text{if } v \text{ is odd} \end{cases}$
- good for verification of properties related to the last bit of binary representation

Popular abstract domains for integers

Congruence modulo an integer

- $h_x(v) = v \pmod{m}$ for some m
- nice properties:

$$
\begin{array}{rcll}
((x \bmod m) + (y \bmod m)) \bmod m & = & x + y & \pmod{m} \\
((x \bmod m) - (y \bmod m)) \bmod m & = & x - y & \pmod{m} \\
((x \bmod m) \cdot (y \bmod m)) \bmod m & = & x \cdot y & \pmod{m}
\end{array}
$$

Representation by logarithm

- $h_x(v) = \lceil \log_2(v + 1) \rceil$
- the number of bits needed for representation of v
- good for verification of properties related to overflow problems

Single bit abstraction

- $A_x = \{0, 1\}$
- $h_x(v) =$ the i-th bit of v for a fixed i

Single value abstraction

- $A_x = \{0, 1\}$
- $h_x(v) = \begin{cases} 1 & \text{if } v = c \\ 0 & \text{otherwise} \end{cases}$

...and others

Predicate abstraction

Let $\Phi = \{\phi_1, \ldots, \phi_n\}$ be a set of predicates over the set of variables.

Abstract domain $\{0, 1\}^n$

- a state $s = (v_1, \ldots, v_m)$ corresponds to an abstract state given by a vector of truth values of $\{\phi_1, \ldots, \phi_n\}$, i.e.

$$h(s) = (\phi_1(v_1, \ldots, v_m), \ldots, \phi_n(v_1, \ldots, v_m)) \in \{0, 1\}^n$$

- example: $\phi_1 = (x_1 > 3)$ $\phi_2 = (x_1 < x_2)$ $\phi_3 = (x_2 > 10)$
  $s = (5, 7)$
  $h(s) = (1, 1, 0)$

- not used in practice (too many transitions) $\implies$ it is better to assign a single abstract state to a set of original states

Predicate abstraction: abstracting sets of states

- let $\vec{b} = \langle b_1, \ldots, b_n \rangle$ be a vector of $b_i \in \{0, 1, *\}$
- we set $[\vec{b}, \Phi] = b_1 \cdot \phi_1 \wedge \ldots \wedge b_n \cdot \phi_n$,
  where $0 \cdot \phi_i = \neg\phi_i$, $1 \cdot \phi_i = \phi_i$, and $* \cdot \phi_i = \top$
- let X denotes the set of original states

Abstract domain $2^{\{0,1\}^n}$

- $h(X) = \{\vec{b} \in \{0, 1\}^n \mid \exists s \in X : s \models [\vec{b}, \Phi]\}$
- example: $\phi_1 = (x_1 > 3)$ $\phi_2 = (x_1 < x_2)$ $\phi_3 = (x_2 > 10)$
  $X = \{(5, 7), (4, 5), (2, 9)\}$
  $h(X) = \{(1, 1, 0), (0, 1, 0)\}$
- nice theoretical properties
- not used in practice (this abstract domain grows too fast)

Predicate abstraction: abstracting sets of states

Abstract domain $\{0, 1, *\}^n$ (predicate-cartesian abstraction)

- $h(X) = \min\{\vec{b} \mid \forall s \in X : s \models [\vec{b}, \Phi]\}$,
  where min means "the most specific"

- example: $\phi_1 = (x_1 > 3)$ $\phi_2 = (x_1 < x_2)$ $\phi_3 = (x_2 > 10)$
  $$X = \{(5, 7), (4, 5), (2, 9)\}$$
  $$h(X) = (*, 1, 0)$$

- this one is used in practice

Abstract structures

Assume that

- we have a Kripke structure $M = (S, \rightarrow, S_0, L)$
- we have an abstract domain A and a mapping $h : S \rightarrow A$
- it holds that $A = \{L(s) \mid s \in S\}$ and $L = h$

To achieve the last condition, we set AP to contain only

1. **abstraction based on variable domains**
   an atomic proposition $(x = a)$ for each $a \in A_x$
2. **predicate abstraction**
   an atomic proposition $(\phi_i \text{ holds})$ for every ϕ_i

This abstraction is useful if and only if each abstract state determines validity of $AP(\varphi)$.

Abstract structures

Assume that

- we have a Kripke structure $M = (S, \rightarrow, S_0, L)$
- we have an abstract domain A and a mapping $h : S \rightarrow A$
- it holds that $A = \{L(s) \mid s \in S\}$ and $L = h$

We define two abstract models:

$M_{may} = (A, \rightarrow_{may}, A_0, L_A)$, where

- $A_0 = \{L(s_0) \mid s_0 \in S_0\}$
- $L_A : A \rightarrow A$ such that $L_A(a) = a$
- $a_1 \rightarrow_{may} a_2$ iff there exist $s_1, s_2 \in S$ such that
  $L(s_1) = a_1$, $L(s_2) = a_2$, and $s_1 \rightarrow s_2$

M_{may} with abstract domain $\{0, 1\}^2$ generated by predicate abstraction with predicates $\phi_1 = (x > 0)$ and $\phi_2 = (x > 2)$.

M_{may} with abstract domain $\{0,1\}^2$ generated by predicate abstraction with predicates $\phi_1 = (x > 0)$ and $\phi_2 = (x > 2)$.
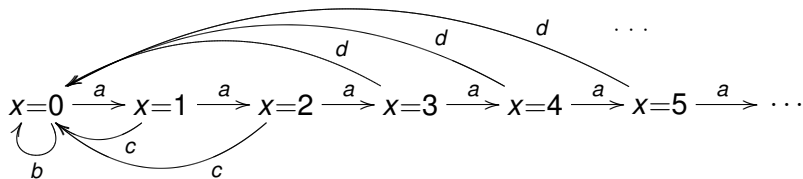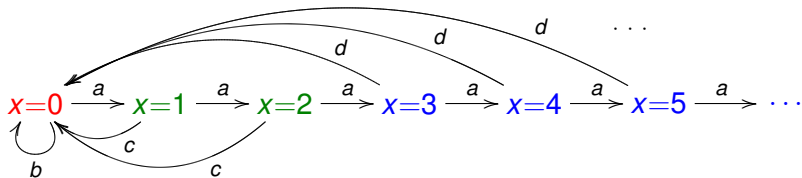
Abstract structures

Assume that

- we have a Kripke structure $M = (S, \rightarrow, S_0, L)$
- we have an abstract domain A and a mapping $h : S \rightarrow A$
- it holds that $A = \{L(s) \mid s \in S\}$ and $L = h$

We define two abstract models:

$M_{must} = (A, \rightarrow_{must}, A_0, L_A)$, where

- $A_0 = \{L(s_0) \mid s_0 \in S_0\}$
- $L_A : A \rightarrow A$ such that $L_A(a) = a$
- $a_1 \rightarrow_{must} a_2$ iff for each $s_1 \in S$ satisfying $L(s_1) = a_1$
  there exists $s_2 \in S$ such that $L(s_2) = a_2$
  and $s_1 \rightarrow s_2$
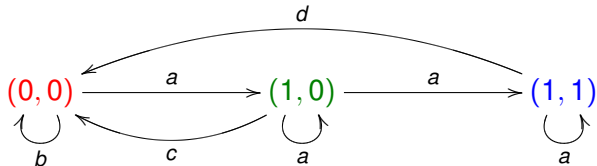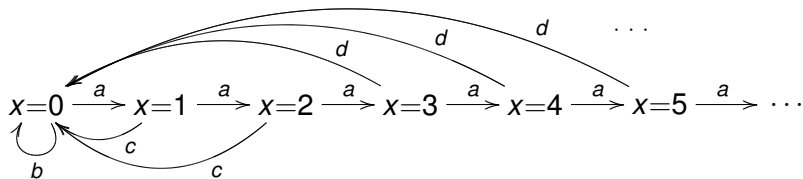
M_{must} with abstract domain $\{0, 1\}^2$ generated by predicate
abstraction with predicates $\phi_1 = (x > 0)$ and $\phi_2 = (x > 2)$.

M_{must} with abstract domain $\{0, 1\}^2$ generated by predicate abstraction with predicates $\phi_1 = (x > 0)$ and $\phi_2 = (x > 2)$.
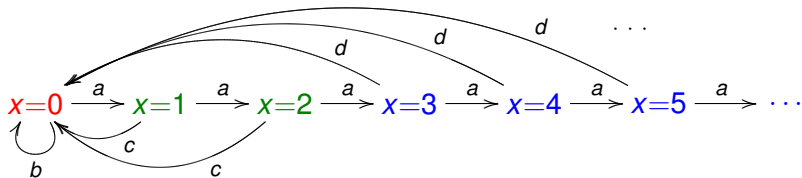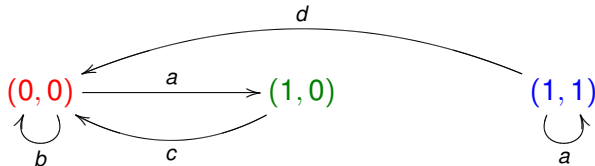
Relations between M, M_{must}, and M_{may}

Lemma

For every Kripke structure M, abstract domain A with a mapping function h it holds:

$$M_{must} \leq M \leq M_{may}$$

Relations between M, M_{must}, and M_{may}

Lemma

For every Kripke structure M, abstract domain A with a mapping function h it holds:

$$M_{must} \leq M \leq M_{may}$$

- computing M_{must} and M_{may} requires constructing M first (recall that M can be very large or even infinite)
- we compute an under-approximation M'_{must} of M_{must} and
- an over-approximation M'_{may} of M_{may} directly from an implicit representation of M
- it holds that $M'_{must} \leq M_{must} \leq M \leq M_{may} \leq M'_{may}$

Abstraction in practice

Guarded command language

Syntax

- let *V* be a finite set of integer variable
- expressions over *V* use standard boolean $(=, <, >)$ and binary $(+, -, \cdot, \ldots)$ operations
- *Act* is a set of action names
- model is a pair $M = (V, E)$, where $E = \{t_1, \ldots, t_m\}$ is a finite set of transitions of the form $t_i = (a_i, g_i, u_i)$, where
    - $a_i \in Act$
    - g_i is a boolean expression over *V*
    - u_i is a sequence of assignments over *V*

Guarded command language

Syntax

- let V be a finite set of integer variable
- expressions over V use standard boolean $(=, <, >)$ and binary $(+, -, \cdot, \ldots)$ operations
- *Act* is a set of action names
- model is a pair $M = (V, E)$, where $E = \{t_1, \ldots, t_m\}$ is a finite set of transitions of the form $t_i = (a_i, g_i, u_i)$, where
    - $a_i \in Act$
    - g_i is a boolean expression over V
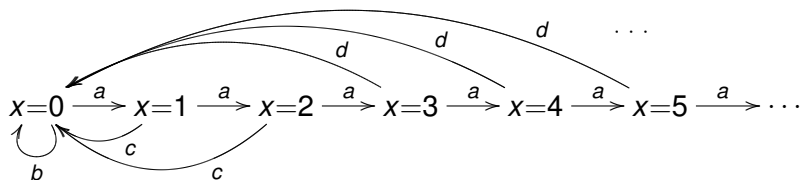   - u_i is a sequence of assignments over V

Semantics

- M defines a labelled transition system where
    - states are valuations of variables $S = 2^{V \rightarrow \mathbb{Z}}$
    - initial state is the zero valuation $s_0(v) = 0$ for all $v \in V$
    - $s \xrightarrow{a_i} s'$ whenever $s \models g_i$ and $s' = u_i(s)$

Example

implicit description in guarded command language:

$$
\begin{aligned}
&V = \{x\} \\
&(a,\ \top, && x := x + 1) \\
&(b,\ \neg(x > 0), && x := 0) \\
&(c,\ (x > 0) \wedge (x \le 2), && x := 0) \\
&(d,\ (x > 2), && x := 0)
\end{aligned}
$$

- we use predicate abstraction with domain $\{0, 1, *\}^n$
- given a formula φ with free variables from V, we set

$$pre(a_i, \varphi) = (g_i \implies \varphi[\vec{x}/u_i(\vec{x})])$$

- we use a sound decision procedure *is_valid*, i.e.

$$is\_valid(\varphi) = \top \implies \varphi \text{ is a tautology}$$

(the procedure *is_valid* does not have to be complete)

for every abstract state $\vec{b} \in \{0, 1, *\}^n$ and for every transition $t_i = (a_i, g_i, u_i)$, we compute an over-approximation of a *may*-successor of $\vec{b}$ under t_i as

- if *is_valid*($[\vec{b}, \Phi] \implies \neg g_i$) then there is no successor
- otherwise, the successor $\vec{b'}$ is given by

$$b'_j = \begin{cases} 1 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \phi_j)) \\ 0 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \neg\phi_j)) \\ * & \text{otherwise} \end{cases}$$

Example

$$b'_j = \begin{cases} 1 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \phi_j)) \\ 0 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \neg\phi_j)) \\ * & \text{otherwise} \end{cases}$$

$$(a, \top, x := x + 1)$$

using the predicates $\phi_1 = (x > 0)$, $\phi_2 = (x > 2)$, we compute the transition

$$(1, 0) \xrightarrow{a}_{may'} (\quad , \quad)$$

Example

$$b'_j = \begin{cases} 1 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \phi_j)) \\ 0 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \neg\phi_j)) \\ * & \text{otherwise} \end{cases}$$

$$(a, \top, x := x + 1)$$

using the predicates $\phi_1 = (x > 0)$, $\phi_2 = (x > 2)$, we compute the transition

$$(1, 0) \xrightarrow{a}_{may'} (1, \ )$$

- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 > 0))$ is true

Example

$$b_j' = \begin{cases} 1 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \phi_j)) \\ 0 & \text{if } \textit{is\_valid}([\vec{b}, \Phi] \implies \textit{pre}(a_i, \neg\phi_j)) \\ * & \text{otherwise} \end{cases}$$

$$(a, \top, x := x + 1)$$

using the predicates $\phi_1 = (x > 0)$, $\phi_2 = (x > 2)$, we compute the transition

$$(1, 0) \xrightarrow{a}_{may'} (1, *)$$

- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 > 0))$ is true
- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 > 2))$ is not true
- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 \leq 2))$ is not true

Abstraction in practice

- for every transition, we compute successors of all abstract states
- based on the successors, we transform the original implicit representation of a system into a boolean program
- boolean program is an implicit representation of an over-approximation of M_{may}
- it uses only boolean variables $\vec{b}$ representing the validity of abstraction predicates Φ
- boolean program can be used as an input for a suitable model checker (of finite-state systems)

Example

$$V = \{x\}$$
$$(a,\ \top, \qquad\qquad x := x + 1)$$
$$(b,\ \neg(x > 0), \qquad x := 0)$$
$$(c,\ (x > 0) \wedge (x \leq 2),\ x := 0)$$
$$(d,\ (x > 2), \qquad\quad x := 0)$$

using the predicates $\phi_1 = (x > 0)$, $\phi_2 = (x > 2)$, we get the boolean program (defining an over-approximation) of M_{may}

$V = \{b_1, b_2\}$, where b_1, b_2 represents validity of ϕ_1, ϕ_2
$(a,\ \top, \qquad b_1 :=$ *if* b_1 *then* 1 *else* $*$
$\qquad\qquad\qquad b_2 :=$ *if* b_2 *then* 1 *else if* b_1 *then* $*$ *else* 0)
$(b,\ \neg b_1, \qquad b_1 := 0,\ b_2 := 0)$
$(c,\ b_1 \wedge \neg b_2,\ b_1 := 0,\ b_2 := 0)$
$(d,\ b_2, \qquad\quad b_1 := 0,\ b_2 := 0)$

Example of a real NQC code and its absraction

```
task light_sensor_control() {
  int x = 0;
  while (true) {
    if (LIGHT > LIGHT_THRESHOLD) {
      PlaySound(SOUND_CLICK);
      Wait(30);
      x = x + 1;
    } else {
      if (x > 2) {
        PlaySound(SOUND_UP);
        ClearTimer(0);
        brick = LONG;
      } else if (x > 0) {
        PlaySound(SOUND_DOUBLE_BEEP);
        ClearTimer(0);
        brick = SHORT;
      }
      x = 0;
    }
  }
}
```

```
task A_light_sensor_control() {
  bool b = false;
  while (true) {
    if (*) {


      b = b ? true : * ;
    } else {
      if (b) {


        brick = LONG;
      } else if (b ? true : *) {


        brick = SHORT;
      }
      b = false;
    }
  }
}
```

Abstraction

CEGAR: counterexample-guided abstraction refinement

Motivation

- it is hard to find a small and valuable abstraction
- abstraction predicates are usually provided by a user
- CEGAR tries to find a suitable abstraction automatically
- implemented in SLAM, BLAST, and Static Driver Verifier (SDV)
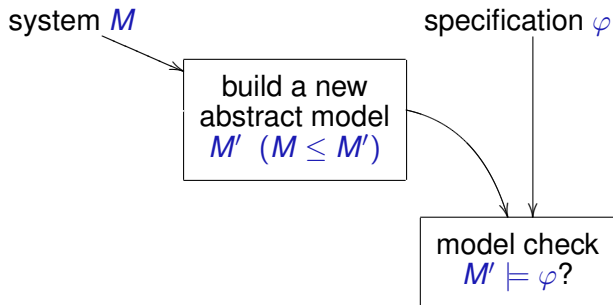- incomplete method, but very successfull in practice

Principle

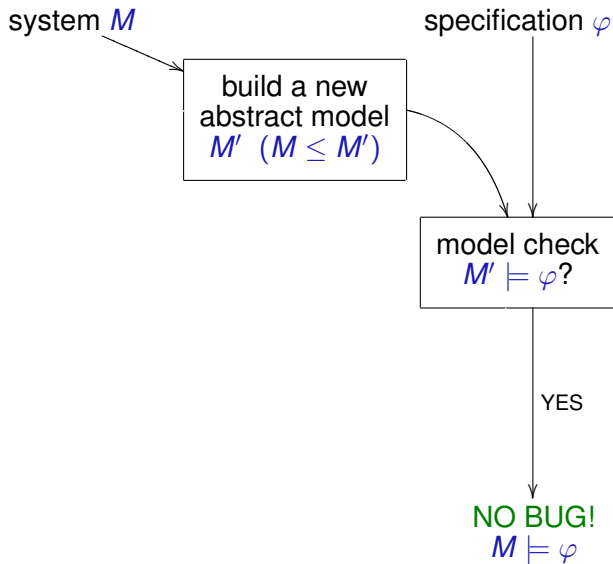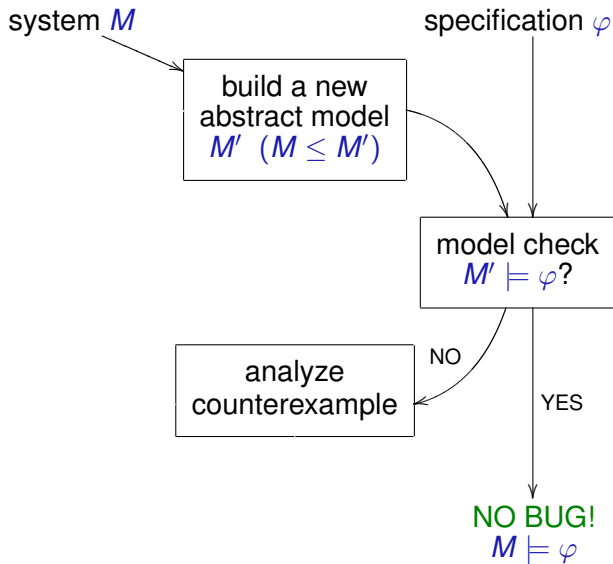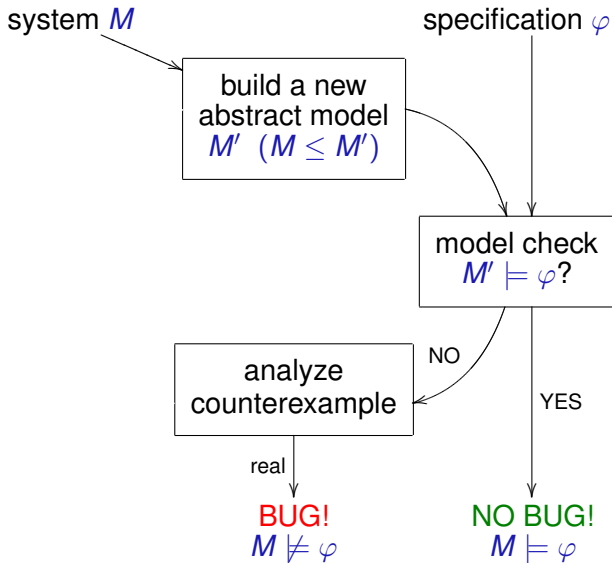system M specification φ

Principle

Principle

system M → build a new abstract model M' $(M \leq M')$

specification φ

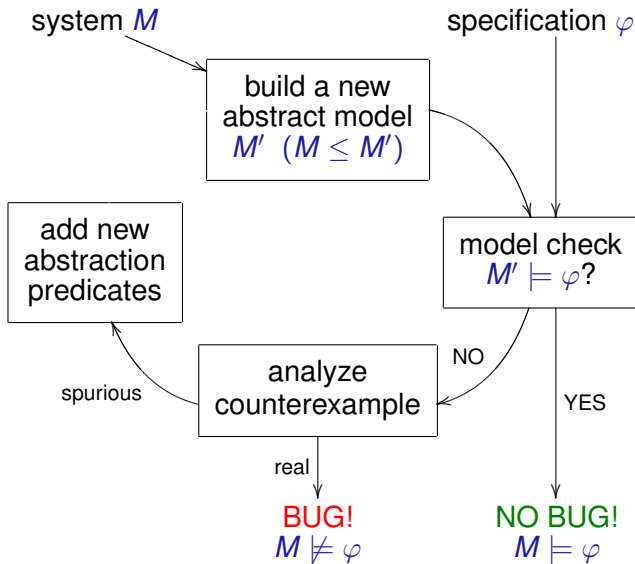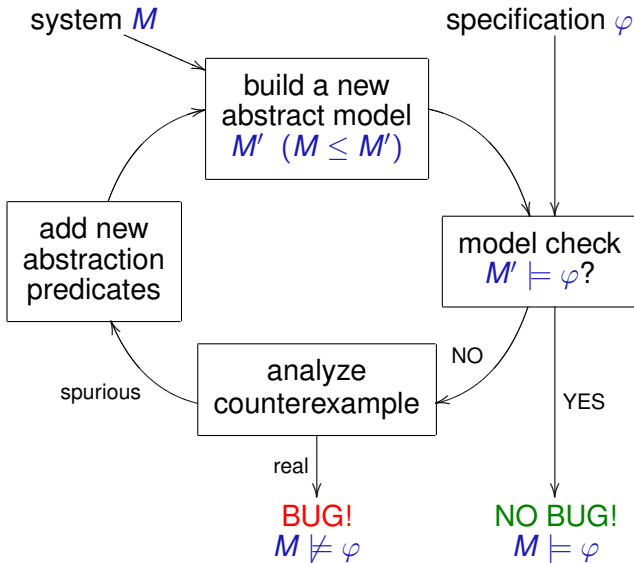model check $M' \models \varphi$?
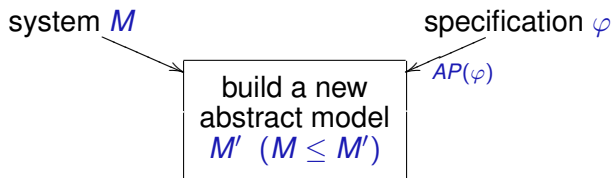
Principle

Principle

Principle

Principle

- added abstraction predicates ensure that the new abstract model M' does not have the behaviour corresponding to the spurious counterexample of the previous M'
- the analysis of an abstract counterexample and finding new abstract predicates are nontrivial tasks
- the method is sound but incomplete
  (the algorithm can run in the cycle forever)

The End

Thank you for your attention!

- Oral exam (subscribe via IS!)
- 30 min preparation + 30 min exam
- Questions = topics
    - model based testing
    - LTL→BA
    - model checking PDA
    - . . .