

Definition 1 (Hypergraph).

Hypergraph is a pair $G = (V, E)$ where V is set of elements called *vertices* or *nodes* and $E \subseteq \{e \subset V \mid |e| \geq 2\}$ is set of elements called *hyperedges* or *edges*.

Definition 2 (Hitting Set).

Let (S, C) be a hypergraph. A set $H \subseteq S$ is a *hitting set* of (S, C) if and only if H has nonempty intersection with every element of C .

In case the sizes of the elements of C are bounded from above by some fixed d , the problem is called *d-hitting set*.

Definition 3 (Hitting Set Problem).

Given hypergraph (S, C) and parameter k , decide if there is a hitting set H of (S, C) such that $|H| \leq k$.

Definition 4 (Crown decomposition).

Let $G = (V, E)$ be a graph, then a (H, I, M) is crown decomposition of G if and only if the following holds:

- ▶ I is a non-empty independent set of G .
- ▶ H is $N_G(I)$
- ▶ M is H -saturating matching of the (bipartite) graph $G_M = (V_M, E_M)$ where $V_M = I \cup H$ and $E = \{\{u, v\} \mid u \in I \wedge v \in H\}$.

Lemma 5.

Graph $G = (V, E)$ has crown decomposition if and only if there is a non-empty independent set I of G such that $|I| \geq |N_G(I)|$.

Proof.

\Rightarrow : Immediate.

\Leftarrow : Using Hall's Marriage Theorem. ■

There is an algorithm that for given graph G and its independent set I such that $|I| \geq |N_G(I)|$ finds, in polynomial time, crown decomposition (H', I', M) of G such that $H' \subseteq N_G(I)$, $I' \subseteq I$. We will refer to this algorithm as `Construct-Crown`.

Definition 6 (Crown decomposition for hypergraphs).

Let $G = (V, E)$ be a hypergraph, then (H, I, M) is crown decomposition of G if and only if the following holds:

- ▶ I is an independent set of G .
- ▶ $H = \{J \subset V \mid \exists x \in I. J \cup \{x\} \in C\}$.
- ▶ M is matching of the (bipartite) graph $G_M = (V_M, E_M)$ where
 - ▶ $V_M = I \cup H$
 - ▶ $E_M = \{(x, J) \mid x \in I \wedge J \in H \wedge (\{x\} \cup J) \in C\}$.
- ▶ Every element of H is matched under M .

Lemma 7.

Let (S, C, k) be an instance of 3HS and let (H, I, M) be a crown of (S, C) . Then (S, C, k) is equivalent to the instance $(S - I, (C - E(I)) \cup H, k)$.

Proof.

Let A be a solution for 3HS instance (S, C, k) . Let $B \subseteq H$ be a set that contains exactly the edges of H with nonempty intersection with A . Then for every pair $\{x, y\}$ from $(H - B)$ there is an edge $\{\{x, y\}, z\} \in M$ where $z \in A \cap I$ is used to cover the edge $\{x, y, z\} \in C$. Replacing z by any element of $\{x, y\}$ produces another hitting set A' such that $|A'| \leq |A|$. ■

Lemma 8 (High-Degree rule 3HS).

If $x \in S$ has more than k edges whose pairwise intersection is $\{x\}$, then:

- ▶ Add x to any potential solution for (S, C, k) .
- ▶ Remove elements of $E(x)$ from the instance and decrease k by 1.

Proof.

Soundness of the rule is obvious. ■

To verify that the condition holds for some vertex x is to find a matching M of the simple graph obtained by deleting x from $E(x)$ such that $|M| > k$. This can be done in polynomial time.

If the high degree rule does not apply to any vertex of 3HS instance (S, C, k) , we shall say that (S, C, k) is **reduced**.

Definition 9 (Weakly related edges).

Let (S, C, k) be a 3HS instance. Two distinct elements e_1, e_2 of C are *weakly related edges* if and only if $|e_1 \cap e_2| \leq 1$. Set $W \subseteq C$ is maximal collection of weakly related edges if every edge of C is either in W or there is some $e' \in W$ such that $|e \cap e'| \geq 2$.

Lemma 10.

Let (S, C, k) be a reduced yes instance of 3HS and let W be a collection of weakly related edges of (S, C) , then $|W| \leq k^2$.

Proof.

There is some set $A \subseteq S$ such that it covers all the edges in W and $|A| \leq k$. Since the instance is reduced, by the high-degree rule, every vertex of A belongs to at most k edges of W . ■

We can construct *maximal* set W of weakly related edges by a simple greedy approach, starting with (any) singleton set and filing it with elements of C as long as there is one that satisfies the condition. We will construct W while reducing the instance (using the high-degree rule) at the same time.

Lemma 11 (Crown properties).

Let (S, C, k) be reduced yes instance of 3HS and let W be a maximal set of weakly related edges of (S, C) , $I = \{x \in S \mid x \notin V(W)\}$ and $H = \{\{x, y\} \mid \exists e \in W . \{x, y\} \subset e\}$. WLOG assume that W contains all edges of C of size less than 3.

1. I is an independent set.
2. $|S - I| \leq 2k^2 + k$.
3. Every edge e of size three that contains element $x \in I$ consists of x and a pair from H .
4. $|H| \leq 3k^2$.

Crown properties.

1. Assume that there is an edge e containing two elements of I , such an edge could be added to W (by definition of I), which contradicts the maximality of W .
2. The vertices from $S - I$ are exactly the vertices that are contained in some edge from W . We know that there is some solution A (of size at most k) that covers all elements of W . Since the instance is reduced, every element x of A belongs to at most k elements of W . This gives us at most $2k$ vertices of $V(W)$ per one vertex of A . Thus $S - I$ contains at most $2k^2 + k$ vertices.



Crown properties.

3. Assume that $e = \{x, y, z\}$ such that $\{x, y\} \notin H$, thus intersection of e with any element of W would contain at most one element, which would contradict the maximality of W .
4. Each edge of size (at most) three gives rise to (at most) three (unique) elements of H .



Consider the simple bipartite graph $G_{I,H} = (H \cup I, E_I)$ where edges of E_I are simple edges connecting an element $x \in I$ to an element $\{y, z\} \in H$ if $\{x, y, z\} \in C$.

By Lemma 5, we can apply `Construct-Crown` to find crown (H', I', M) for $G_{I,H}$. It is easy to see that this crown is also crown for the original hypergraph.

Algorithm 1 Kernelization of 3HS

while C contains singleton set $\{x\}$ **do**

 Add x to A

 Delete all edges that contain x from C

 Decrement k

end while

Reduce the instance and construct W of the reduced instance

if $|W| > k^2$ **then**

 Return **false**

end if

if $|I| \geq |H|$ **then**

$(H', I', M) \leftarrow \text{Construct-Crown}(G_{I,H})$

$S \leftarrow S - I'$

$C \leftarrow (C - E(I')) \cup H'$

end if

Return the (possibly) new instance.

Theorem 12.

There is a polynomial time algorithm that, for an arbitrary input instance (S, C, k) of 3HS, either determines that the instance does not have a solution or computes a kernel whose order is bounded from above by $5k^2 + k$.

Proof.

In case $|I| < |H|$ we have $|S| = |S - I| + |I| \leq 2k^2 + k + 3k^2 = 5k^2 + k$.

In case $|I| \geq |H|$:

1. $|I - I'| \leq |H - H'|$.
2. No edges of W were removed from the instance and W is still maximal.



Definition 13 (Subedge).

Let $G = (S, C)$ be a hypergraph. Set $e \subseteq S$ is subedge of G if and only if $(\exists e' \in C . e \subseteq e')$. We say that subedge e is j -subedge if and only if $|e| = j$.

Lemma 14 (High-degree rule for dHS).

If $e \subset S$ is a $(d - 2)$ subedge and e is the pairwise intersection of more than k edges, then delete all elements of C that contain e as a subset and add e to C .

Proof.

Soundness of the rule is obvious. ■

Definition 15 (Reduced instance).

If the high-degree rule can not be applied to a d HS instance (S, C, k) , we shall say that (S, C, k) is **reduced**.

Verifying that $(d - 2)$ -subedge satisfies the condition of the high-degree rule is equivalent to solving the maximum matching problem in the (simple) graph given by edges $E_e = \{e' \mid e \cup e' \in C\}$. Thus it can be verified in polynomial time.

Definition 16 (Weakly related edges).

Two edges are weakly related if their intersection is not larger than $(d - 2)$ and if neither one of them is a subset of the other.

Lemma 17.

Let A be a solution of reduced instance (S, C, k) of dHS, and let W be maximal set of weakly related edges. Denote by W_e the set of edges of W that properly contain e . If e is $(d - 2)$ -subedge then, by the high-degree rule, $|W_e| \leq k$.

Algorithm 2 High-occurrence rule

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for  $i = d - 2 \rightarrow 1$  do
  for each  $i$ -subedge  $e$  of  $W$  do
    if  $|W_e| > k^{d-1-i}$  then
      Delete (from  $C$  and  $W$ ) all edges containing  $e$ 
      Add  $e$  to  $W$  (and thus  $C$ )
    end if
  end for
end for

```

Soundness of the high-occurrence rule.

By induction on i :

1. Base follows directly from high-degree rule (since the instance is reduced).
2. Assuming that i -th iteration is correct, we will show that every $(i - 1)$ -subedge e such that $|W_e| > k^{d-i}$ has non-empty intersection with any solution A .



Lemma 18.

Let (S, C, k) be a reduced yes instance of dHS and let W be a maximal set of weakly related edges given by the high-occurrence rule. Then $|W| \leq k^{d-1}$.

Proof.

Every 1-subedge occurs in at most k^{d-2} edges. The elements of some solution of size (at most) k form (at most) k singleton subedges. Every element of W has to be covered by one of these subedges, thus the number of edges in W can not be greater than k^{d-1} . ■

Lemma 19 (Crown properties).

Let (S, C, k) be our yes instance of dHS after applying the high-degree and high-occurrence rule and let W be the resulting set of weakly related edges of the instance. Let $I = \{x \in S \mid x \notin V(W)\}$ and H is a set of $(d - 1)$ -subedges of W . Then:

1. I is an independent set.
2. $|S - I| \leq (d - 1)k^{d-1} + k$.
3. Every edge e of size d that contains element $x \in I$ consists of x and $(d - 1)$ -subedge from H .
4. $|H| \leq dk^{d-1}$.

Proof.

Analogous to the $3HS$ case. ■

As was the case for $3HS$, we can find the crown of $G_{H,I}$ using the `Construct-Crown` algorithm. The resulting algorithm for dHS kernelization follows the same pattern as the one for $3HS$.