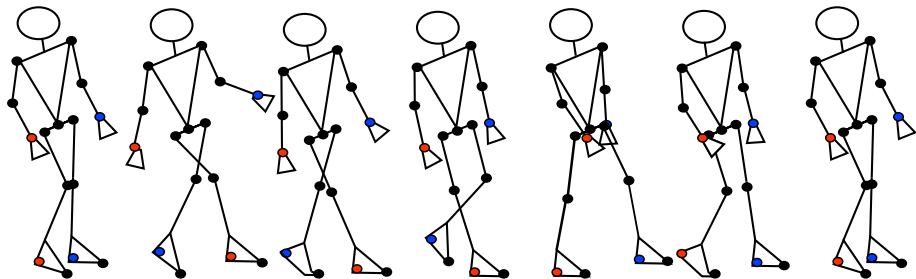


You Are How You Walk: Gait Recognition from Motion Capture Data

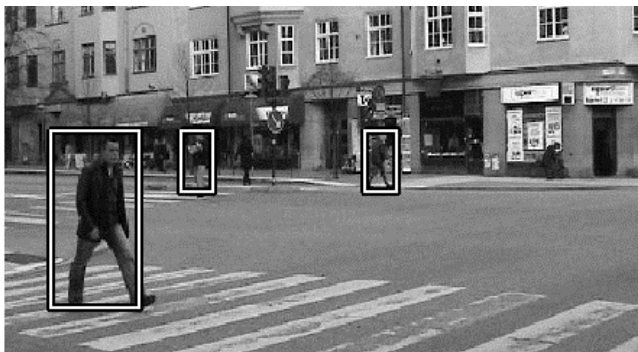
Michal Balazia

Faculty of Informatics, Masaryk University, Brno, Czech Republic

<https://gait.fi.muni.cz>

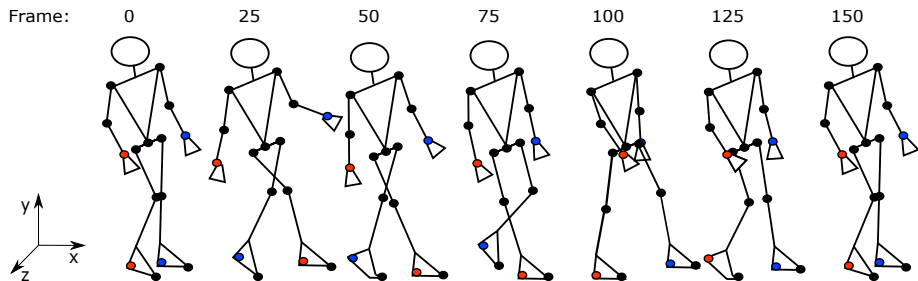


Human Identification by Gait



- Data captured by a system of multiple cameras or a depth camera
- Large tracking space
- Multiple samples of a single walker
- High variance in encounter conditions
- Database of large amount of biometric samples
- Identification in real time

Motion Capture Data (MoCap)



- Structural motion data
- Skeleton of joints and bones
- Data = 3D positions of joints in time.
- Can be collected by a system of multiple cameras (Vicon) or a depth camera (Microsoft Kinect)

Raw MoCap Gait Data

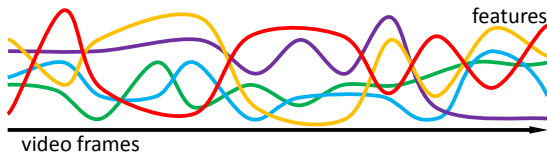
- Model of human body has J **joints**
- Measured gait cycle has **length** of T video frames
- Raw MoCap **gait sample** is a tensor

$$\mathbf{g} = \begin{bmatrix} \gamma_1(1) & \cdots & \gamma_1(T) \\ \vdots & \ddots & \vdots \\ \gamma_J(T) & \cdots & \gamma_J(T) \end{bmatrix}$$

- $\gamma_j(t) \in \mathbb{R}^3$ are **3D coordinates** of $j \in \{1, \dots, J\}$ at $t \in \{1, \dots, T\}$
- Dimensionality $3JT$
- **Sample space** $\{\mathbf{g}\}$

Geometric Features

- Examples of geometric gait features:
 - joint angles (angle in shoulder-elbow-wrist)
 - inter-joint distances (feet distance)
 - joint velocity or acceleration
 - areas of joint polygons (upper body span)
 - ...



- Examples of distance functions:
 - Dynamic Time Warping
 - Minkowski distances
 - ...

Linearly Learned Latent Features

- Labeled learning sample space $\{(\mathbf{g}_n, \ell_n)\}_{n=1}^{N_L}$
- ℓ_n is a label of one of the **identity classes** $\{\mathcal{I}_c\}_{c=1}^{C_L}$
- \mathcal{I}_c has a priori probability p_c
- Consider an **optimization criterion** \mathcal{J}

- Feature extraction is given by a **feature matrix** $\Phi \in \mathbb{R}^{D \times \hat{D}}$
- D -dimensional sample space $\{\mathbf{g}_n\}_{n=1}^N$
- \hat{D} -dimensional **feature space** $\{\hat{\mathbf{g}}_n\}_{n=1}^N$

- Transform gait samples \mathbf{g}_n into **gait templates** $\hat{\mathbf{g}}_n = \Phi^T \mathbf{g}_n$
- Examples of distance functions:
 - Mahalanobis distance
 - Minkowski distances
 - ...

- Optimize **class separability** of the feature space
- **Margin** of two classes is the Euclidean distance of their means μ_c minus both their variances Σ_c
- **Maximum Margin Criterion** used by the Support Vector Machines

$$\begin{aligned}\mathcal{J} &= \frac{1}{2} \sum_{c,c'=1}^{C_L} p_c p_{c'} \left((\mu_c - \mu_{c'})^\top (\mu_c - \mu_{c'}) - \text{tr}(\Sigma_c + \Sigma_{c'}) \right) \\ &= \dots = \text{tr}(\Sigma_B - \Sigma_W)\end{aligned}$$

- Between-class scatter matrix Σ_B , within-class scatter matrix Σ_W
- Criterion for a feature matrix Φ

$$\mathcal{J}(\Phi) = \text{tr}(\Phi^\top (\Sigma_B - \Sigma_W) \Phi)$$

- Solution: solve the **generalized eigenvalue problem**

$$(\Sigma_B - \Sigma_W) \Phi = \Lambda \Phi$$

- **Mahalanobis distance** function on templates

Learning by PCA+LDA

- 2-stage feature extraction technique
- **Principal Component Analysis** and **Linear Discriminant Analysis**
- Total scatter matrix $\Sigma_T = \Sigma_B + \Sigma_W$
- Criterion for a feature matrix Φ_{LDA}

$$\mathcal{J}(\Phi_{PCA}) = \text{tr} \left(\Phi_{PCA}^\top \Sigma_T \Phi_{PCA} \right)$$

$$\mathcal{J}(\Phi_{LDA}) = \text{tr} \left(\frac{\Phi_{LDA}^\top \Phi_{PCA}^\top \Sigma_B \Phi_{PCA} \Phi_{LDA}}{\Phi_{LDA}^\top \Phi_{PCA}^\top \Sigma_W \Phi_{PCA} \Phi_{LDA}} \right)$$

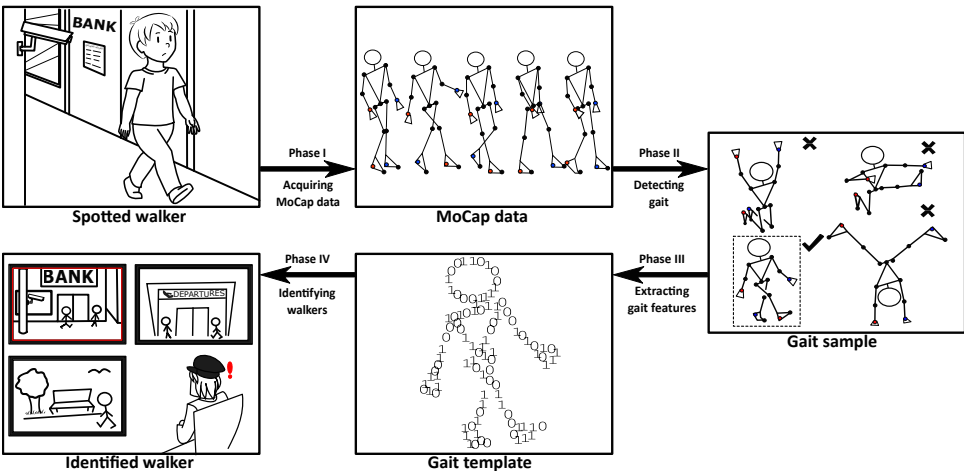
- Solution: solve the **generalized eigenvalue problems**

$$\Sigma_T \Phi_{PCA} = \Lambda \Phi_{PCA}$$

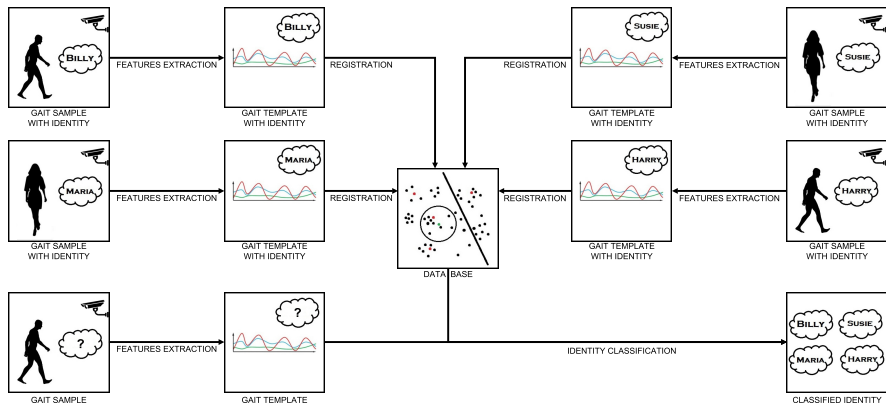
$$\left(\Phi_{PCA}^\top \Sigma_W \Phi_{PCA} \right)^{-1} \left(\Phi_{PCA}^\top \Sigma_B \Phi_{PCA} \right) \Phi_{LDA} = \Lambda \Phi_{LDA}$$

- **Mahalanobis distance** function on templates

Identity Classification Pipeline

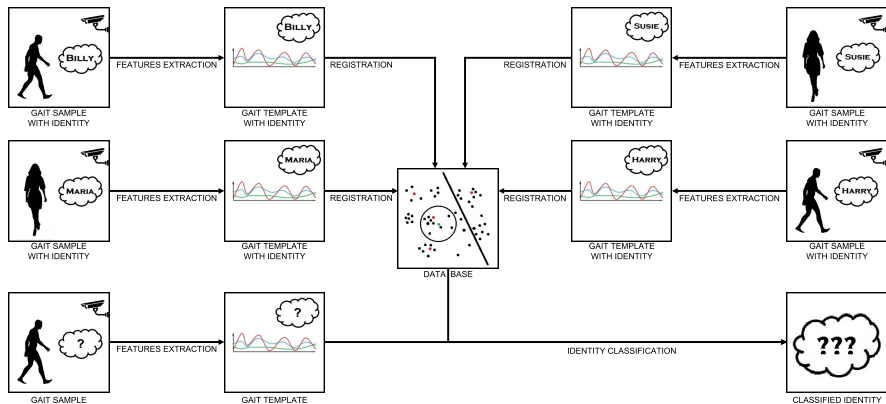


The Classification Problem



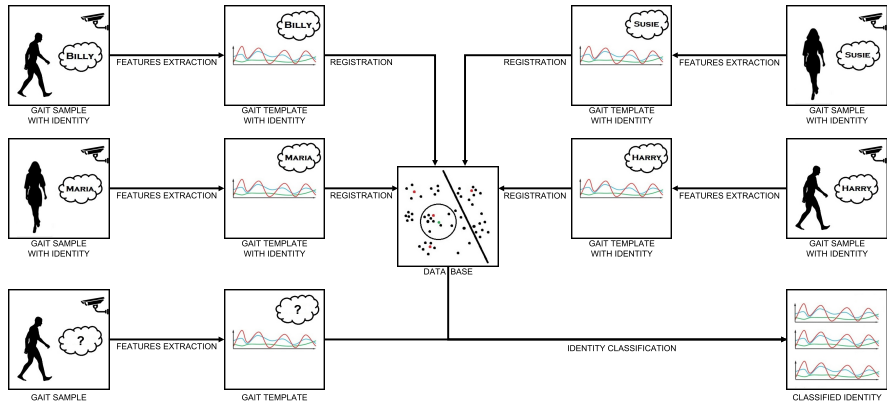
Identity: Label of a registered identity class.

But In Video Surveillance Environment ...



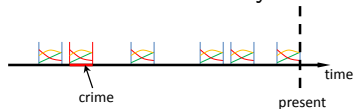
How can we represent the identity in video surveillance environment?

The Class Discovery Problem



Identity: Content of a discovered identity class = movement history.

You are how you walk.



Universal Gait Features

- Data need to be acquired without walker's consent
- New identities can appear on the fly
- Labels for all encountered people may not always be available

- **Universal gait features** – features of a high power in recognizing all people and not only those they were learned on
- We learn the universal gait features by MMC or by PCA+LDA on an **auxiliary dataset**
- The dataset is assumed to be rich on **covariate conditions** – aspects of walk people differ in
- These features create an **unsupervised environment** particularly suitable for **uncooperative person identification**

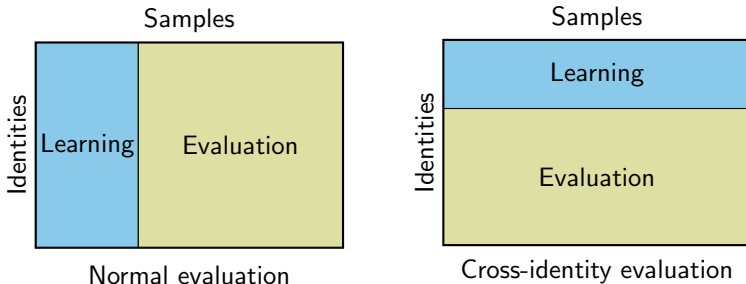
Evaluation: Database

- ASF/AMC format of MoCap data
- **CMU MoCap database** obtained from the CMU Graphics Lab
- Extracted database contains only gait cycles (motions of two steps)
- **Normalization**: position, walk direction and skeleton
- 7 extracted databases:

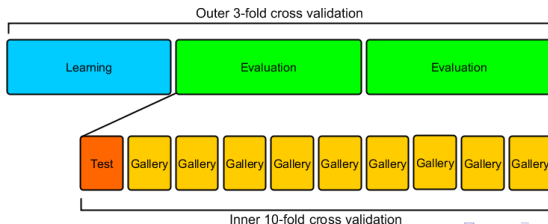
# identities	# gait cycles
2	35
4	67
8	130
16	302
32	2,047
54	3,843
64	5,923

Evaluation: Data Separation

- Data separation



- Evaluation of classification estimated by **nested cross-validation**



- **Class separability coefficients:**

- Davies-Bouldin Index (DBI)
- Dunn Index (DI)
- Silhouette Coefficient (SC)
- Fisher's Discriminant Ratio (FDR)

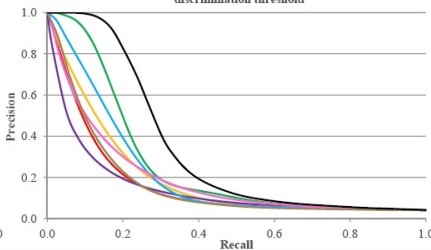
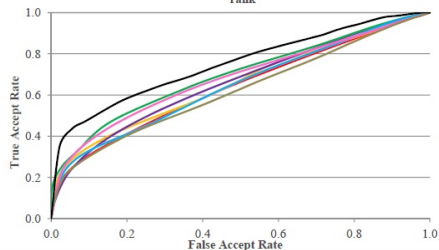
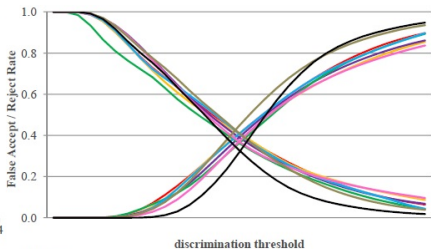
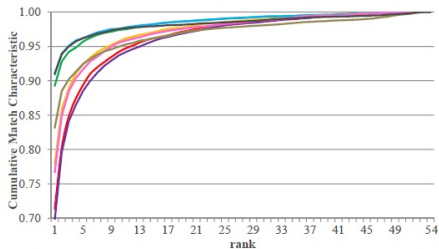
- **Classification based metrics:**

- Cumulative Match Characteristic
- False Accept / Reject Rate
- Receiver Operating Characteristic (ROC)
- Recall / Precision Rate
- Correct Classification Rate (CCR)
- Equal Error Rate (EER)
- Area Under ROC Curve (AUC)
- Mean Average Precision (MAP)

Evaluation: Results

method	class separability coefficients				classification based metrics				scalability	
	DBI	DI	SC	FDR	CCR	EER	AUC	MAP	DCT	TD
Ahmed	216.2	0.842	-0.246	0.954	0.657	0.38	0.659	0.165	0.01	24
Ali	501.5	0.26	-0.463	1.175	0.225	0.384	0.679	0.111	0.01	2
Andersson	142.3	1.297	-0.102	1.127	0.84	0.343	0.715	0.251	0.01	68
Ball	161	1.458	-0.163	1.117	0.75	0.346	0.711	0.231	0.01	18
Dikovski	144.5	1.817	-0.135	1.227	0.881	0.363	0.695	0.254	0.01	71
Gavrilova	185.8	1.708	-0.164	0.77	0.891	0.374	0.677	0.254	44.78	5,254
Jiang	206.6	1.802	-0.249	0.85	0.811	0.395	0.657	0.242	8.17	584
Krzyszowski	154.1	1.982	-0.147	0.874	0.915	0.392	0.662	0.275	35.32	3,795
Kumar	118.6	1.618	-0.086	1.09	0.801	0.459	0.631	0.217	7.87	13,950
Kwolek	150.9	1.348	-0.084	1.175	0.896	0.358	0.723	0.323	0.06	660
Preis	1,980.6	0.055	-0.512	1.067	0.143	0.401	0.626	0.067	0.01	13
Sedmidubsky	398.1	1.35	-0.425	0.811	0.543	0.388	0.657	0.149	5.79	292
Sinha	214.8	1.112	-0.215	1.101	0.674	0.356	0.697	0.191	0.01	45
_MMC _{BR}	154.2	1.638	0.062	1.173	0.925	0.297	0.748	0.353	0.01	53
_MMC _{JC}	130.3	1.891	0.051	1.106	0.918	0.378	0.721	0.315	0.01	51
_PCALDA _{BR}	182	1.596	-0.015	0.984	0.918	0.361	0.695	0.276	0.01	54
_PCALDA _{JC}	174.4	1.309	-0.091	0.827	0.863	0.44	0.643	0.201	0.01	54
_Random					0.042					0
_Raw _{BR}	163.7	2.092	0.011	0.948	0.966	0.315	0.743	0.358	70.27	8,229
_Raw _{JC}	155.1	1.954	-0.12	0.897	0.926	0.377	0.679	0.283	160.64	13,574

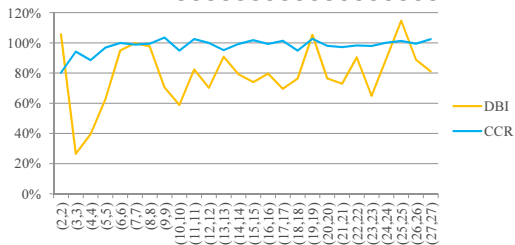
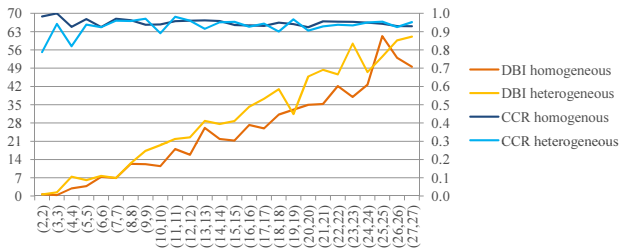
Evaluation: Results



- Ahmed
- Andersson
- Ball
- Dikovski
- Kwolek
- Sinha
- PCA+LDA
- MMC

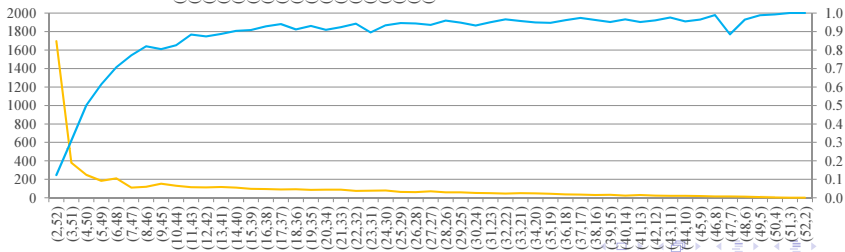
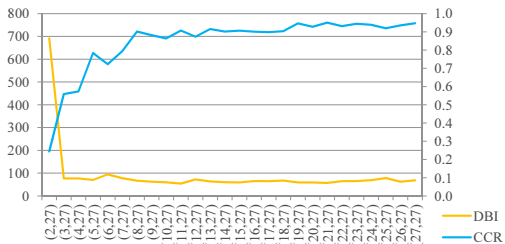
Evaluation: Results

- Homogeneous set-up with $C_L = C_E \in \{2, \dots, 27\}$
- Heterogeneous set-up with $C_L = C_E \in \{2, \dots, 27\}$

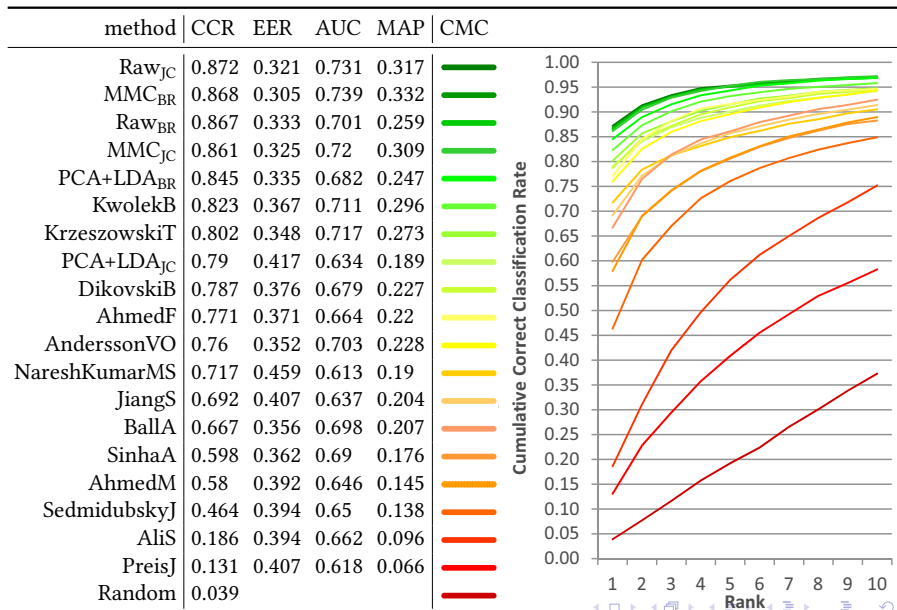


Evaluation: Results

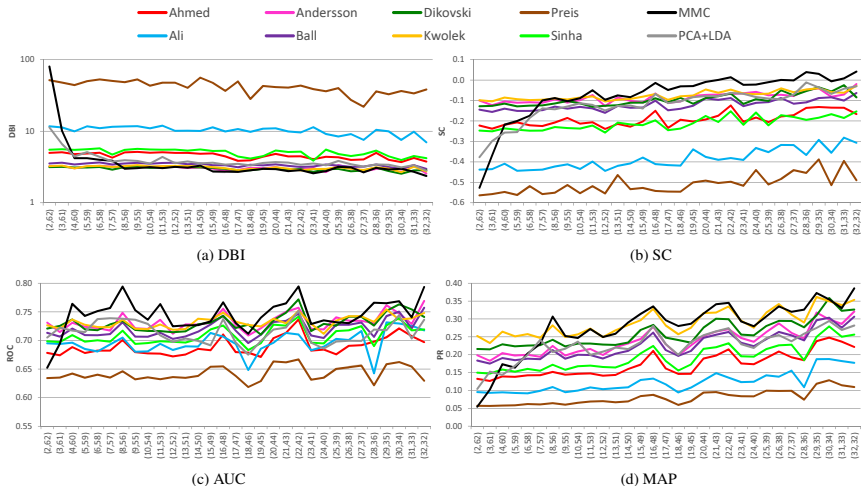
- Heterogeneous set-up with $C_L \in \{2, \dots, 27\}$ and $C_E = 27$
- Heterogeneous set-up with $C_L \in \{2, \dots, 52\}$ and $C_E = 54 - C_L$



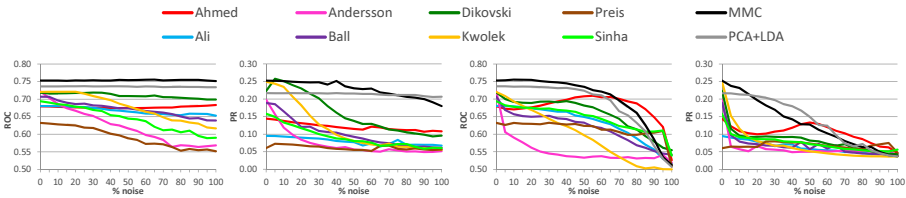
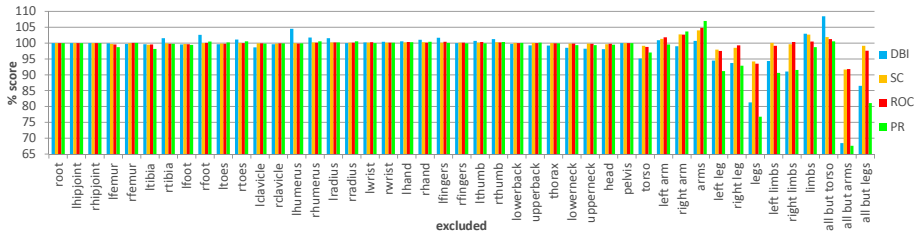
Evaluation: Results



Evaluation: Results



Evaluation: Results



Evaluation Framework and Database

- Available online at <https://gait.fi.muni.cz>
- Database extraction drive
- Implementations of all 20 methods
- Classifier learning and classification mechanism
- Evaluation mechanism and 12 performance metrics

Summary

- Universal gait features learned on an auxiliary dataset
- Our approach based on MMC and PCA+LDA
- Broad evaluation on normal and cross-identity setups
- MMC learned on 17 identities recognizes 37 identities with 95% CCR
- MMC learned yet on 7 identities best recognizes 57 identities
- Evaluation framework and database

<https://gait.fi.muni.cz>

Thank you for attention.
Questions?