

# ALGEBRAIC TECHNIQUES

→ Freivalds' technique for matrix multiplication

→ Polynomial comparison: Schwartz-Zippel thm

→ SZ thm.  $\Rightarrow$  Freivalds' technique

## Matrix comparison

Given  $n \times n$  matrices  $A, B$  and  $C$  over a finite field  $\mathbb{F}_p$ .

Finite fields are finite set of numbers with well defined multiplication and addition. They exist for all prime-power sizes.  $\mathbb{F}_p$  for prime  $p$ .  $\mathbb{F}_p = \{0, \dots, p-1\}$ , and  $x, + \bmod p$

Verify whether  $\underbrace{A \cdot B}_{\mathbb{F}} = C$

Naive solution:

Multiply  $\underbrace{A \cdot B}_{O(n^3)}$  and  $\underbrace{\text{compare}}_{O(n^2)}$  to  $C$ .  
 $\left[ O(n^{2.373}) \right]_p$

Suppose you want to check whether your matrix multiplication

Suppose you want to check whether your matrix multiplication alg. works correctly. With randomized technique  $A \cdot B = ? C$  can be verified in  $O(n^2)$

### Alg.

1.) Choose  $\vec{r} \in \{0,1\}^n$  at random and calculate  $O(n)$

$$A \cdot (B \circ \vec{r}) \quad \text{and} \quad C \cdot \vec{r} \quad \text{and compare the results}$$

$\underbrace{O(n^2)}$   
 $\underbrace{O(n^2)}$   
 $\underbrace{O(n^2)}$

$$(A \cdot B - C) \cdot \vec{r} = \vec{0}$$

2.) If the results are equal, alg. outputs "YES"  
if not then output "NO"

3.) Output NO  $\Rightarrow A \cdot B \neq C$  w.p. 1

Output YES  $\Rightarrow A \cdot B \neq C$  w.p. smaller or equal to  $\frac{1}{2}$ .

### ANALYSIS:

$\rightarrow$  We can reduce the problem to finding whether  $D = A \cdot B - C$  is identically 0.  $D = \begin{pmatrix} 0 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 0 \end{pmatrix}$

$\rightarrow D \cdot \vec{r} = \vec{0}$  for all strings  $\vec{r}$ .

$\rightarrow D \neq 0 \Rightarrow D$  has a non-zero element

$0, p_1, 2p_1, \dots, kp$

$P_r(\text{Algorithm outputs 'YES' } | D \neq 0)$

**WLOG** assume that non-zero element of  $D$  is in top left corner

$$D = \begin{pmatrix} d_{00} & d_{01} & \dots & d_{0n-1} \\ d_{10} & \ddots & & \\ \vdots & & d_{ij} \neq 0 & d_{n-1, n-1} \end{pmatrix}$$

The argument can be formulated for all non-zero elements  $d_{ij}$

Let's calculate the first element of  $e = (e_1, \dots, e_n)$

$$e = D \cdot \underbrace{\begin{pmatrix} r_0 \\ r_1 \\ \vdots \\ r_{n-1} \end{pmatrix}}_{\text{if } e \text{ is all zero, alg. says 'YES'}}$$

$$e_1 = (d_{00} \cdot r_0) + \underbrace{d_{01} r_1 + \dots + d_{0n-1} r_{n-1}}_{=0}$$

$$r_j = \frac{d_{00} v_0 + \dots + d_{j-1} v_{j-1}}{d_j}$$

$$r_0 = \frac{d_{01} v_1 + \dots + d_{0n-1} v_{n-1}}{-d_{00}} \pmod{p}$$

$-d_{00}$  is non-zero

for all  $(v_1, v_2, \dots, v_{n-1})$  R.H.S is fixed value  $\{0, 1, \dots, p-1\}$

(principle of deferred decision)

$r_0$  is chosen from  $\{0, 1\}$ .

$$r_0 \in \{s_0, s_1, s_2\}$$

$D \vdash r_0 \wedge r_1 \wedge \dots \wedge r_{n-1}$

$$\Pr_{\mathbf{v}}(e_1=0 \mid D \neq 0) \leq \frac{1}{2}.$$

Is the choice of  $\vec{r} \in \{0,1\}^n$  special?

{7.33}

How about  $\mathbf{v} \in S \subseteq \mathbb{F}_p$   $|S|=2$



How about  $\mathbf{v} \in S \subseteq \mathbb{F}_p$   $|S|=k$



$$\Pr(\text{error}) \leq \frac{1}{k}$$

Note that this technique can be used for any matrix identity

$X \stackrel{?}{=} Y$  if  $X$  and  $Y$  are given explicitly



## POLYNOMIALS

$P(x) \in \mathbb{F}_p[x]$  (set of all polynomials over  $\mathbb{F}_p$ )

$$f(x) = \sum_{i=0}^{\infty} a_i x^i \pmod{p} \quad \forall i \ a_i \in \mathbb{F}_p$$

Is polynomial  $p(x)$  identically 0?

$$3x^2 + 7x + x + 28x^2 + 3 + 5 + 8 + \dots \mod 3$$

Are  $P_1(x)$  and  $P_2(x)$  equal?

$$P_1(x) - P_2(x) \equiv 0 ?$$

|||

⊕ ?

Verify  $P_1(x) \cdot P_2(x) \stackrel{?}{=} P_3(x)$

$$P_1(x) \cdot P_2(x) - P_3(x) \stackrel{?}{\equiv} 0$$

→ if  $p(x) \equiv 0$ , then  $\forall a \quad P(a) = 0$

→ if  $p(x) \neq 0$ , how many  $a$  give  $P(a) = 0$ ?



roots of polynomial

$P(x)$  has at most  $\deg(P(x))$  distinct roots.



the highest exponent

### Algorithm

b/

Choose  $r \in S \subseteq F$  at random and evaluate

$P(r)$ . if  $P(r) = 0$  say  $P(x) \equiv 0$ , otherwise  $P(x) \neq 0$ .

$$\Pr(\text{error}) \leq \frac{\#\text{roots}}{|S|} = \frac{\deg(P(x))}{|S|} \leq \frac{n}{|S|} \quad \text{if } \deg(P(x)) = n$$

$$\Pr(\text{error}) \leq \frac{|S|}{|S|} = \frac{\text{size of } S}{|S|} \leq \frac{1}{|S|}$$

Similar argument for multivariate polynomials

$$P[x_1, \dots, x_n] \in \mathbb{F}_p[x_1, \dots, x_n]$$

$$P[x_1, \dots, x_n] = \underbrace{c_{0000}}_n + c_{1000} x_1 + c_{0100} x_2 + \dots + c_{000\dots 1} x_n + \\ + c_{11\dots 1}(x_1 \cdot x_2) + \dots + c_{a_1 a_2 \dots a_n} x_1^{a_1} \cdots x_n^{a_n}$$

$$c_{i\dots n} \in \mathbb{F}_p$$

$x_1^2 x_2^3 x_3 x_7$  is polynomial term

$$\deg(x_1^2 x_2^3 x_3 x_7) = 7 \quad (\text{sum of all exponents})$$

Total degree of  $P(x_1, \dots, x_n)$  = the largest degree over all terms

Schwartz-Zippel theorem

Let  $Q[x_1, \dots, x_n] \in \mathbb{F}[x_1, \dots, x_n]$  of total degree d.

Fix any  $S \subseteq \mathbb{F}$  and let  $v_1, \dots, v_n$  to be chosen at random from  $S$ .

then:

$$\Pr \left( Q(v_1, \dots, v_n) = 0 \mid Q(x_1, \dots, x_n) \neq 0 \right) \leq \frac{d}{|\Sigma|}$$

Proof by induction in the number of variables

I.B., done above

I.H. this holds for  $n-1$  variables

I.S. - show it holds for  $n$  variables

$$Q[\underbrace{x_1, \dots, x_n}_r] = \sum_{i=0}^k x_n^i \cdot Q_i(x_1, \dots, x_{n-1})$$

$Q(x_1, x_2)$   
 $= x_1 x_2 + 3x_1 x_2^2 + 6x_1 x_2^3$   
 $+ x_1^2 x_2 + 7x_1^2 x_2^4 + 3x_1^2 x_2^3$   
 $+ x_2 + x_2^3$   
 $\underline{Q_1(x_2)}$   
 $x_1 \cdot (x_2 + 3x_2^2 + 6x_2^3)$   
 $+ x_1^2 (x_2 + 7x_2^4 + 3x_2^3)$   
 $+ [x_2 + x_2^3] \frac{\underline{Q_2(x_2)}}{Q_0(2x_2)}$

$$Q(x_n) = Q[\underbrace{v_1, \dots, v_{n-1}, x_n}_r]$$

$$\deg(q) = k =$$

$$\Pr \left[ Q(v_n) = 0 \mid Q_\zeta(v_1, \dots, v_{n-1}) \neq 0 \right] \leq \frac{k}{|\Sigma|}$$

$\Pr \quad \Pr \downarrow$

$$Q(x_1, \dots, x_n) \neq 0$$

from I.H.

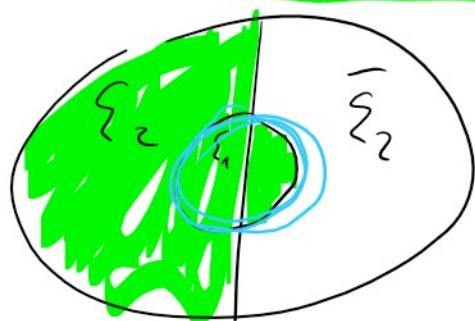
$$\Pr \left[ Q_\zeta(v_1, \dots, v_{n-1}) = 0 \right] \leq \frac{d-\zeta}{|\Sigma|}$$

This implies the result

For two events  $\Sigma_1 = \{q(v_n) = 0\}$

$$\Sigma_2 = Q_e \{r_1, \dots, r_m\} = 0$$

$$\underline{\Pr\{\Sigma_1\}} \leq \Pr\{\Sigma_1 | \bar{\Sigma}_2\} + \Pr\{\bar{\Sigma}_2\}$$



$\Pr\{$

if in  $Q\{r_1, \dots, r_n\}$   $\deg(x_i) = d_i$

and  $r_i \in S_i \subseteq \bar{F}$

$$\Pr\{Q\{r_1, \dots, r_n\} = 0 | Q \neq 0\} \leq \frac{d_1}{|S_1|} + \frac{d_2}{|S_2|} + \dots + \frac{d_n}{|S_n|}$$

$$\text{if all } |S_i| \text{ are identical} = \frac{\sum d_i}{|S|} \geq \frac{d}{|S|}$$

$S \Rightarrow$  Freivalds matrix equality

SZ  $\Rightarrow$  Treivala's matrix equality

F.t.  $Q = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix}$  is identically 0?

$$Q\{x_1, \dots, x_n\} = Q \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

$$= a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n$$

$$a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n$$

⋮

$$a_{n1}x_1 + \dots + a_{nn}x_n$$

for  $Q \equiv 0 \Leftrightarrow Q\{x_1, \dots, x_n\} \equiv 0$

choose  $r \in \{0, 1\}^n$   $Q \cdot r = 0 \Leftrightarrow Q\{r_1, \dots, r_n\} = 0$

From SZ theorem

$$\Pr \left\{ Q\{r_1, \dots, r_n\} = 0 \mid Q\{x_1, \dots, x_n\} \neq 0 \right\} \leq \frac{\deg Q}{|S|} = \frac{1}{2}$$