

## Algebraic techniques (fingerprinting) 1

- Frievald's technique for matrix multiplication
- Polynomial comparison: Schwartz-Zippel thm
- St thm.  $\Rightarrow$  Frievald's technique

## Matrix multiplication

Given  $n \times n$  matrices  $A, B$  and  $C$  over a finite field  $\mathbb{F}_p$ .

Verify whether  $A \cdot B = C$

Naive solution:

Multiply  $A \cdot B$  and compare element by element to  $C$

$O(n^3)$  and  $O(n^2)$

$O(n^{2.373})$

Can we compute  $A \cdot B$  with  $C$  for smaller price?

1.) Choose  $\vec{v} \in \{0, 1\}^n$  at random and calculate

$A \cdot (B \cdot \vec{v})$  and  $C \cdot \vec{v}$  and compare the results

$O(n)$  and  $O(n)$

$O(n^2)$

2.) If results are equal Alg- outputs 'YES'

— " — Different A's. outputs 'No'

3.) output NO  $\Rightarrow A \cdot B \neq C$  w.p. 1

output YES  $\Rightarrow A \cdot B = C$  w.p.  $\leq 1/2$

### ANALYSIS:

$\rightarrow$  We can reduce the problem to finding whether  $D = A \cdot B - C$  is identically 0  $D = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

$\rightarrow D \cdot \vec{r} = \vec{0}$  for all strings  $\vec{r}$

$\rightarrow D \neq 0 \Rightarrow D$  has a non-zero element

$P_r$  (Algorithm outputs 'YES' ( $D \neq 0$ ))

WLOG we assume that  $d_{00}$  is non-zero.

Let's calculate the first element of  $\vec{e} = D \cdot \vec{r}$

$$\vec{e} = (e_0, \dots, e_{n-1})$$

$$e_0 = \underline{d_{00} \cdot r_0 + d_{01} \cdot r_1 + \dots + d_{0,n-1} \cdot r_{n-1}} \stackrel{?}{=} 0$$

$$r_0 = \frac{d_{01} \cdot r_1 + \dots + d_{0,n-1} \cdot r_{n-1}}{-d_{00}}$$

for all  $(r_1, \dots, r_{n-1})$  R.H.S. is a fixed value in  $\{0, \dots, p-1\}$

$r_0$  is chosen from  $\{0, 1\}$

$$\Pr(e_0 = 0 \mid D \neq 0) \leq \frac{1}{2}$$

Is the choice of  $\vec{v} \in \{0, 1\}^n$  special?

How about  $\vec{v} \in (S)^n$ ;  $S \subseteq \mathbb{F}_p$   $|S| = 2$

$$|S| = k$$

$$\Pr(\text{error}) \leq \frac{1}{k}$$

## Polynomials

$p(x) \in \mathbb{F}_p[x]$  (set of all polynomials over  $\mathbb{F}_p$ )

$$p(x) = \sum_{i=0}^{\infty} a_i x^i \pmod{p} \quad \forall_i a_i \in \mathbb{F}_p$$

• Is polynomial  $p(x)$  identically 0?

• Are  $p_1(x)$  and  $p_2(x)$  equal?

$$p_1(x) - p_2(x) \equiv 0 ?$$

•  $p_1(x) \cdot p_2(x) \stackrel{?}{=} p_3(x)$

$$p_1(x) \cdot p_2(x) - p_3(x) \equiv 0$$

→ if  $p(x) \equiv 0$ , then  $\forall a \in \mathbb{F}_p \quad P(a) = 0 \pmod{p}$

$$\boxed{\begin{aligned} &3x^2 + 7x + 78x^2 + 3 \\ &+ 9 + 8 \pmod{p} \end{aligned}}$$

$\rightarrow$  if  $p(x) \equiv 0$ , then  $\forall a \in \mathbb{F}_p \quad P(a) = 0 \pmod p$   
 if  $p(x) \not\equiv 0$ , then how many  $a \in \mathbb{F}_p$  give  $P(a) = 0$ ?

$\downarrow$   
 number of roots  $\leq$

degree of  $p(x)$   
 "  
 highest exponent

### Algorithm

Choose  $r \in S \subseteq \mathbb{F}_p$  at random and evaluate  $P(r)$

if  $P(r) = 0$  then say  $P(x) \equiv 0$  otherwise  $P(x) \not\equiv 0$ .

$$P_r(\text{error}) \leq \frac{\# \text{ roots}}{|S|} = \frac{\text{degree}(P(x))}{|S|}$$

Similar claim exists for multivariate polynomials = Schwartz-Zippel's Lemma

$$P[x_1, \dots, x_n] \in \mathbb{F}_p[x_1, \dots, x_n]$$

$$\begin{aligned}
 P[x_1, \dots, x_n] = & a_{\underbrace{000\dots 0}_r} + a_{1000\dots} x_1 + a_{0100\dots} x_2 + \dots \\
 & \dots + a_{11000} (x_1 \cdot x_2) + \dots \quad a_{e_1 e_2 \dots e_n} \cdot x_1^{e_1} x_2^{e_2} \dots x_n^{e_n}
 \end{aligned}$$

$$a_{e_1 \dots e_n} \in \mathbb{F}_p$$

$$x_1^2 x_2^3 x_3 x_4 \dots \rightarrow \text{polynomial terms}$$

$x_1^2 x_2^3 x_3 x_4 \rightarrow$  polynomial terms

$$\deg(x_1^2 x_2^3 x_3 x_4) = 7$$

Total degree  $P(x_1, \dots, x_n) =$  the largest degree over all its terms.

### Schwartz-Zippel thm.

Let  $Q(x_1, \dots, x_n) \in \mathbb{F}_p[x_1, \dots, x_n]$  of total degree  $d$ .

Fix any  $S \subseteq \mathbb{F}_p$  and let  $r_1, \dots, r_n$  be chosen at random from  $S$ .

then:

$$P_r(Q(r_1, \dots, r_n) = 0 \mid Q(x_1, \dots, x_n) \neq 0) \leq \frac{d}{|S|}$$

Proof by induction in the number of variables

I.B. done above

I.H. this holds for  $n-1$  variables

I.S. show that this also holds for  $n$  variables

$$Q(x_1, \dots, x_n) = \sum_{i=0}^k Q_i(x_1, \dots, x_{n-1}) \cdot x_n^i \quad \left| \quad \begin{aligned} Q(x_1, x_2) &= x_1 x_2 + 3x_1 x_2^7 + 5x_1 x_2^3 \\ &+ x_1^2 x_2 + 7x_1^2 x_2^4 + 3x_1^2 x_2^3 \end{aligned} \right.$$

$$Q(x_1, \dots, x_n) = \sum_{i=0}^n Q_i(x_1, \dots, x_{n-1}) \cdot x_n^i$$

Principle of deferred decision  
 allows us to choose  $(v_1, \dots, v_{n-1})$   
 before choosing  $v_n$ .

$$\begin{aligned}
 & + x_1^2 x_2 + 7 x_1^2 x_2^2 + 3 x_1^2 x_2^3 \\
 & + x_2 + x_2^3 \\
 & = x_1^0 (x_2 + 3 x_2^2 + x_2^3) \\
 & \quad \quad \quad Q_1 \\
 & + x_1^2 (x_2 + 7 x_2^2 + 3 x_2^3) \\
 & \quad \quad \quad Q_2 \\
 & \quad \quad \quad \frac{x_2 + x_2^3}{Q_0}
 \end{aligned}$$

$$\begin{aligned}
 q(x_n) &= Q(v_1, \dots, v_{n-1}, x_n) \\
 &= \sum_{i=0}^k x_n^i Q_i(v_1, \dots, v_{n-1})
 \end{aligned}$$

if  $Q \neq 0$  there is at least  
 one value  $i$ , such that  
 $Q_i \neq 0$   
 Let  $k$  be largest such  $i$

$$\Pr(q(n) = 0 \mid Q_2(v_1, \dots, v_n) \neq 0, Q \neq 0) < \frac{k}{|S|}$$

From l.H.

$$\Pr[Q_2(v_1, \dots, v_n) = 0 \mid Q \neq 0] \leq \frac{d-k}{|S|}$$

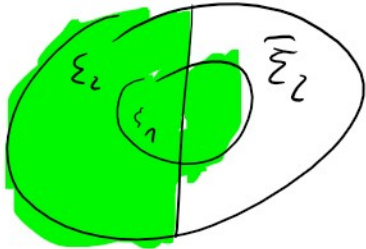
This implies the Sz. lem

For two events  $E_1 = [q(n) = 0 \mid Q_2(v_1, \dots, v_n) \neq 0, Q \neq 0]$

$$E_2 = [Q_2(v_1, \dots, v_n) = 0 \mid Q \neq 0]$$

$$\frac{k}{|S|} + \frac{a-k}{|S|} = \frac{a}{|S|}$$

$$\Pr\{\varepsilon_1\} \leq \Pr\{\varepsilon_1 | \bar{\varepsilon}_2\} + \Pr\{\varepsilon_2\}$$



if in  $Q\{x_1, \dots, x_n\}$   $\deg(x_i) = d_i$

and  $v_i \in S_i \subseteq F$

$$\Pr\{Q\{x_1, \dots, x_n\} = 0 \mid Q \neq 0\} \leq \frac{d_1}{|S_1|} + \frac{d_2}{|S_2|} + \dots + \frac{d_n}{|S_n|}$$

if all  $|S_i|$  are identical

$$\frac{\sum_i d_i}{|S|} \geq \frac{d}{|S|}$$

$SZ \Rightarrow$  Friedvald's technique

F.t.  $Q \begin{pmatrix} a_{00} & \dots & a_{0n-1} \\ a_{n-1,0} & \dots & a_{nn} \end{pmatrix}$  is identically 0

$$Q[x_0, \dots, x_{n-1}] = Q \begin{pmatrix} x_0 \\ \vdots \\ x_{n-1} \end{pmatrix}$$

$$= a_{00}x_0 + a_{01}x_1 + \dots + a_{0n-1}x_{n-1}$$

$$+ a_{10}x_0 + a_{11}x_1 + \dots +$$

+

— —

for  $Q \equiv 0 \Rightarrow Q[x_0, \dots, x_{n-1}] \equiv 0$

from 5-2

$$\Pr \{ Q[v_0, \dots, v_{n-1}] \mid Q[x_0, \dots, x_{n-1}] \neq 0 \} = \frac{\deg Q}{|S|} = \frac{1}{2}$$