Dialogue systems Luděk Bártek

Speech Recogniti

Command Recognition

Continuous speech recognition Speech recognition grammars

# Dialogue systems Speech Recognition

### Luděk Bártek

### Laboratory of Searching and Dialogue, Fakulty of Informatics, Masaryk University, Brno

spring 2023

▲□▶▲□▶▲≡▶▲≡▶ ≡ めぬる

# Speech Recognition

### Dialogue systems

#### Speech Recognition

- Command Recognition
- Continuous speech recognition Speech recognitio
- grammars

- Continuous speech recognition transforms continuous speech to a textual form.
  - Command recognition.
  - Recognition principle:
    - using a short term signal analysis acquire the feature vector,
    - 2 try to classify the signal using the vector from previous step.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

# **Command Recognition**

Dialogue systems

Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition grammars

- Used to recognize either commands or words (commands) distinctly separated by silence on both ends.
- There is no problem to identify the start and the end of the word in continuous utterance.
- Usually user depended systems.
  - There is a need to train the recognizer,
  - limited size of used vocabulary.
- Command recognition problems:
  - Identifying the start and the end of the command:
    - how to distinguish a noise and sibilants,
    - distinguishing a random sound excitation (click, tapping,

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- $\ldots$ ) and plosives including a short pause,
- possible infra sounds,

...

# **Command Recognition**

**Classifiers** Types

Dialogue systems

Luděk Bártek

Speech Recognitic

Command Recognition

Continuous speech recognition Speech recognition

## DTW based classifiers.

- Tries to find maximum correspondence between recognized word na words in database.
- Statistical methods based classifiers speech modelling using Hidden Markov Models:
  - simulates the speech generation process.
- Two phase classifiers:
  - speech segmentation to segments and phonetic decoding of segments
  - 2 word recognition based on decoded segments.
- Artificial Neural Networks based solutions see:
  - Hinton, O., Teh A Fast Learning Algorithm for Deep Belief Nets, in Neural Computation, 2006
  - Bengio, L., Popovici, L. Greedy Layer-Wise Training of Deep Networks, in NIPS' 20016
  - Speech recognition Lecture 14: Neural Networks

# Dynamic Time Warping (DTW)

### Dialogue systems

#### Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition grammars

- Method is used to compare two series of numbers two parts of speech (two words).
- Input:
  - acoustic vectors sequence acquired using some of the short term signal analysis methods

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- database of acoustic vectors for recognized words.
- Output recognized word or command.

## DTW Basic principle

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

- Command Recognition
- Continuous speech recognition Speech recognition grammars

- Let's create database of recognized words (reference sequences of acoustic vectors)
  - Usually several sequences for each word, corresponding to several manners of word pronunciations.
- Recognized word is transformed into the corresponding acoustic vectors sequence.
- Using DTW we find the reference sequence with maximum conformity.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

### DTW Formalization

### Dialogue systems Luděk Bártek

Speech

Recognition

Command Recognition

Continuous speech recognition Speech recognition grammars DTW algorithm search for parametrizations f and g:

$$f,g: i = f(k), j = g(k), k \in <1, K >$$

that minimizes expression:

$$D(A,B) = \sum_{i=1}^{K} d(a_{f(i)}, b_{g(i)})$$

- d acoustic vectors distance (i.e.. Euklid's metric)
- $a_{f(i)}$ ,  $b_{g(i)}$  reference and recognized word/command.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

## DTW Constraints

### Dialogue systems

### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- f,g non-descending function
- Local coherence and steepness:
  - $0 \le f(k) f(k-1) \le l^*$
  - $0 \le g(k) g(k-1) \le J^*$
  - mostly *I*\*, *J*\* = 1, 2, 3
  - Too steep function increase may lead to inappropriate correspondence between too short segment of a and too long segment of b

### Boundary points restriction:

- f(1) = 1, f(K) = I, where I is the count of the samples of the word a.
- g(1) = 1, g(K) = J, where J is the count of the samples of the word b.

DTW Constraints – cont.

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

### DTW function growth global limits:

limits to maximum and minimum of the line first derivation defining the allowed area of the DTW function, where the boundary points constraints must be filled:

 $1 + \alpha[i(k) - 1] \leq 1 + \beta[i(k) - 1]$ 

•  $\alpha$  – minimal line first derivation defining the allowed area

•  $\beta$  – maximal line first derivation defining the allowed area.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

# DTW – Word Classifier Realization

### Block schema



Obrázek: Block schema of the word classifier

▲ロト ▲周ト ▲ヨト ▲ヨト ヨー のくで

# DTW – Word Classifier Realization

Training

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

# General Algorithm:

- **I** Either speaker or group of speakers pronounces each word of required vocabulary. It is done either once or repeatedly.
- 2 Words on input are digitized and transferred by selected method of short-term signal analysis into the corresponding feature vectors.
- 3 Word boundaries detection:
  - May be difficult due to the background noise for example.
  - Incorrect word boundaries deteriorates the recognition success rate.
  - Methods used to reduce the background sound influence increases the computational complexity.

4 Creating reference words database..

# DTW – realization

Methods used to create a reference word database

Dialogue systems

Luděk Bártek

Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition grammars

- Direct use of the words training set as the reference database – DTW does not require the reference word samples to be same length, but it is useful to perform the time normalization to be able to apply additional criteria.
- Creating average sample for each word *w* class
  - the linear and dynamic averaging methods are used.
- Creating sample words by clustering.
  - Words recordings are divided into clusters that each cluster contains "similar" word recording. Different clusters contains "different" word records.
  - Clustering can be done interactively (semi-automatic chain map method, ISODATA algorithm), automatically (algorithms based on McQueen algorithm). See Mgr. J. Kučera final thesis.

# DTW Computational and Memory Complexity Reduction

#### Dialogue systems

#### Luděk Bártek

Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition

- DTW Disadvantages high memory and computing complexity can make real-time classification difficult even with relatively small dictionary.
- Solution:
  - Brute force usage of either parallel processors or custom circuits – may be expensive.
  - Effective reference and testing words parameters encoding. Can be used:
    - vector quantization the number of different word samples is finite – they are stored in the codebook and we can use their indices instead.
    - codebook all samples included in the signal values alphabet (the encoding is more effective than the PCM).

# DTW Computational and Memory Complexity Reduction

### Dialogue systems

#### Ludek Bartek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- Usage of spectral stationarity area method of spectral trace segmentation.
  - Spectral trace feature vectors boundaries connector.
  - Can be approximated by linear segments for example.

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ ● ●

- Nearest neighbour search optimization:
  - metric spaces search methods
  - distance used in DTW must be a metric.

# DTW Computational and Memory Complexity Reduction

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- Reduction of the computational requirements using heuristics by comparison.
  - Multi-level decision-making procedure:
    - **1** comparison of utterance using reduced feature vectors set against entire vocabulary
    - **2** searching the result of previous step using standard DTW.
  - Rejection threshold:
    - **1** We calculate distance of a word and the reference word in each step.

2 When the distance is bigger then the experimentally established threshold, reference word is rejected.

# Hidden Markov Models – HMM

### Dialogue systems

#### Speech Recognition

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- Speech modelling using HMM is based on the following idea of speech production:
  - Speech tract on short-term interval is in one of a finite amount of articulation configurations – generates a voice signal.
  - The configuration changes then.
- This activity is based on statistics.
- We can achieve a finite amount of all model parameters by all parameters quantization.

### HMM Speech recognition usage principles

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- Two together tied time sequences of random variables are generated:
  - support Markov chain finite number of states sequence
  - a string of finite number of spectral patterns.
- Random function assigning probability to state-pattern relation.
- The left-to-right Markov models are most often used for speech recognition:
  - suitable for increasing time related process modelling.

### HMM Markov process

### Dialogue systems

Luděk Bártek

#### Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition

# Markov process G with HMM is quintuplet

- $G = (Q, V, N, M, \pi)$ 
  - $Q = q_1, \ldots, q_k$  set of states
  - $V = v_1, \ldots, v_k$  set of input symbols
  - N = (n<sub>i,j</sub>) transition matrix. Evaluates the probability of transition from state q<sub>i</sub> on time t<sub>1</sub> to state q<sub>i</sub> on time t<sub>2</sub>.
  - *M* = (*m<sub>i,j</sub>*) matrix assigning the probability that the acoustic vector *v<sub>i</sub>* in state *q<sub>i</sub>* no matter what time is it.
  - π = (π<sub>i</sub>) initial state probability vector (probability of that the state *i* is the initial one).
- Triplet  $\lambda = (N, M, \pi)$  forms speech segment model.
  - the Vintsjuk's word model 40 50 states (based on average count of micro segments in a word; segment length is 10 ms).

## **HMM** Determining the Probability of Utterance

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitic

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- The probability is marked  $P(O|\lambda)$
- The utterance O is usually processed as a sequences O = (o<sub>1</sub>,..., o<sub>T</sub>)
  - T number of utterance micro segments
  - *o<sub>i</sub>* corresponds to output symbols.
- Calculation of P(O|λ) the methods using the recursive enumeration either from the front or from the behind generated sequence (forward-backward algorithm).

### **HMM** Utterance probability determination – calculation

Dialogue systems

Luděk Bártek

Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition

### Forward-backward calculation:

•  $\alpha_i$  - probability of transition into the state  $q_i$  while generating the output sequence  $\int \alpha_i = -\rho_i \left( \alpha_i - \rho_i \left( q_i(t) \right) \right)$ 

$$\{o_1, \ldots, o_t\}(\alpha_i = P(o_1 \ldots o_t, q_i(t)|\lambda)$$

- Recursive calculation:
  - 1 Initialization:  $\alpha_1(i) = \pi_i m_i(o_1), i \in <1, N>$
  - **2** Recursive step for t=1,...T-1:

$$\alpha_{i+1}(j) = [\sum_{i=1}^{N} \alpha_t(i) n_{i,j}] m_j(o_{i+1})$$

for  $j \in \langle 1, N \rangle$ ,  $m(o_t)$  is equal to notation  $m_i(l)$ , when  $o_t = v_l$ .

**3** Resulting probability:

$$\mathcal{P}(O|\lambda) = \sum_{i=1}^{N} \alpha_{T}(i)$$

# **HMM** Alternative way of $P(O|\lambda)$ calculation

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speech recognition Speech recognition grammars

- Previous method disadvantages:
  - the result includes probabilities of all possible states sequences of length T.

### Solution:

calculation of maximum probable sequence of states Q.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- Calculation realized using the Viterbi algorithm:
  - the problem is solved recursively using dynamic programming techniques.

# HMM

### Training the model $\lambda = (N, M, \pi)$ parameters

Dialogue systems

- Luděk Bártek
- Speech Recognitio

Command Recognition

Continuous speech recognition Speech recognition grammars

- The procedure of training the model parameters must be determined.
- Training objectives:
  - maximization of the  $P(O|\lambda)$  probability.
- Problem:
  - There is no analytical method to find the global maximum of a function of n variables.
- Solution:
  - Iterative algorithms for finding the local maximality can be utilized.
- The most used algorithm Baum-Welch algorithm.
- Another problem while training the model:
  - finite training set problem:
    - The smaller training set is and the bigger the matrix M is, the higher probability that some elements in M will left 0 (the missing data problem).

### HMM Isolated word recognition decision rule

### Dialogue systems Luděk Bártel

Speech Recognitior

Command Recognition

Continuous speech recognition Speech recognition grammars

- The maximum credibility principle is used.
  - **1** For given word O and all $\lambda$ :
    - **1** We calculate  $P(O|\lambda)$ .
  - **2** The result is the class with maximum value of  $P(O|\lambda)$ .

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

### HMM Implementation

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

#### Command Recognition

Continuous speec recognition Speech recognitio

grammars

### Commands modelling:

- Commonly the models with 4 7 states are used.
- The tools for creating of HMM can be utilised during the modelling.
  - HTK Hidden Markov Model Toolkit.
- Phoneme modelling:
  - 4 7 states usually
  - The word model concatenation of phoneme models.
  - The real-time processing problems.
    - Can be solved using the special maximum P(O|λ) searching algorithms.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

# Phoneme structure examples



◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶ ○ □ ○ ○ ○ ○

# Phoneme structure examples



◆□ ▶ ◆□ ▶ ◆ 臣 ▶ ◆ 臣 ▶ ○ 臣 ○ のへで

Dialogue systems

Speech

Command Recognition

Continuous speech recognition

Speech recognition grammars

- The principal differences to isolated word recognition:
  - the pattern database can not be created
  - the prosodic factors must be taken into the account
  - need to find word boundaries
  - the filler words/noises and speech errors must be processed.
- Solution statistical approach:
  - language model
  - speaker model.
- Example: HMM returns the same probability of Czech words ,,máma" (mother) and ,,nána" (stupid girl) – the mother will be used – it's used more frequent.

Language models

### Dialogue systems

#### Luděk Bártek

#### Speech Recognitio

Command Recognition

Continuous speech recognition

Speech recogniti grammars

### There are:

- a word sequence (utterance)  $W = (w_1, \ldots, w_n)$
- a sequence of acoustic vectors  $O = (o_1, \ldots, o_t)$ .
- Our objective is to find W\* (set of all utterances), maximizing P(W|O).
- According the Bayes' theorem:

$$P(W^*|O) = \max P(W|O) = \max \frac{P(W) * P(O|W)}{P(O)}$$

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ ● ●

Language models - cont.

### Dialogue systems

Luděk Bártek

#### Speech Recognitic

Command Recognition

Continuous speech recognition

Speech recognitio grammars

- We need to know following to find the P(W\*|O) maximun:
  - a speaker model P(O|W)
  - a language model P(W).
- The speaker model can be replaced by probability of generating of W using the corresponding Markov model.
- The Trigram model:

.

Experimentally proven to be true:

$$P(w_n|w_1\ldots w_{n-1})\cong P(w_n|w_{n-2}w_{n-1})$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

Topic recognition

### Dialogue systems

Luděk Bártek

#### Speech Recognitie

Command Recognition

#### Continuous speech recognition

Speech recognition grammars

- The speech recognition success rate is from aprox. 50 %
   99 % depending on the language, ...
- The success rate can be improved by restricting the recognition domain:
  - topic recognition,
  - using the speech recognition grammar,
- When the topic is known:
  - the space state of trigrams and trigrams probability can be changed:
    - For example stock market news Was recognized the word "honey" or "money"?
  - more accurate language model can be created.

# Speech recognition grammars

### Dialogue systems

#### Speech Recognitio

Command Recognition

Continuous speech recognition

Speech recognition grammars

- The success rate of a general continuous speech recognition may drop to 50 %.
- It can be improved by limiting the recognition domain by specification of allowed inputs for example.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

- To limit allowed inputs the spech recognition grammars can be used:
  - context free grammars
- The possible ways of grammars notations:
  - using the logic programming methods
  - proprietary solutions
  - open standards JSGF, W3C SRGS, ...

# Speech recognition grammars

Java Speech Grammar Specification (JSGF)

### Dialogue systems

#### Speech Recognitio

Command Recognition

Continuous speech recognition

Speech recognition grammars

- Textual grammar notation independent on platform and vendor.
- Design to be used in speech recognition.
- Part of the Java Speech API.
- It uses the Java style and conventions.
- Present veion 1.0 (říjen 1998).
- Used for example by the recognizer Sphinx-4, the VoiceXML interpreter VoiceGlue, ...
- More details in the 2nd half of semester on dialogue interfaces.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

# Speech recognition grammaers JSGF Demo

### Dialogue systems

#### Speech Recognitic

- Command
- Continuous speed
- Speech recognition grammars

#JSGF

- <koren> = I want to go by <what> .|
- I want to go by <what> from <where> to <where> .|
- I want to gou by <what> from <where> to <where> at <when> .;

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

- <what> = train| bus;
- <where> = <city>;
- <when> = <time>;

# Speech recognition grammar

W3C Speech Recognition Grammar Specification (SRGS)

### Dialogue systems

### Speech

- Recognitio
- Continuous speed
- Speech recognition grammars

- W3C Standard.
- Current version 1.0 (March 2004).
- Defines the way of rules notation and referencing.
- Two possible notations:
  - XML
  - ABNF (Augmented BNF).
- In more detail on the 2nd half of the polovině semester (dialogue interfaces).

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

# W3C SRGS Demo

### Dialogue systems

### Luděk Bártek

Speech Recognition Command Recognition Continuous speech

recognition Speech recognition grammars

### #ABNF 1.0 UTF-8 root \$greating; language en-GB; mode voice; \$greating = hello

```
<?xml version="1.0" encoding=" utf-8"? >
<grammar root=" greating" xml:lang=" en-US" version="1.0" >
<rule id=" greating" >
hello
< /rule>
< /grammar>
```

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @