

# IAoo8: Computational Logic

## 4. Deduction

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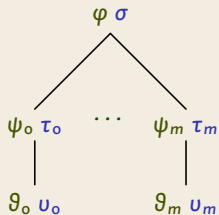
# Tableaux

# Tableau Proofs

For simplicity: first-order logic **without equality**

**Statements**  $\varphi$  true or  $\varphi$  false

**Rule**



**Interpretation**

If  $\varphi \sigma$  is **possible** then so is  $\psi_i \tau_i, \dots, \vartheta_i u_i$ , for some  $i$ .

# Tableaux

## Construction

A **tableau** for a formula  $\varphi$  is constructed as follows:

- ▶ start with  $\varphi$  **false**
- ▶ choose a branch of the tree
- ▶ choose a statement  $\psi$  **value** on the branch
- ▶ choose a rule with head  $\psi$  **value**
- ▶ add it at the bottom of the branch
- ▶ repeat until every branch contains both statements  $\psi$  **true** and  $\psi$  **false** for some formula  $\psi$

$\neg\varphi$  true



$\varphi$  false

$\neg\varphi$  false



$\varphi$  true

$\varphi \wedge \psi$  true



$\varphi$  true



$\psi$  true

$\varphi \wedge \psi$  false



$\varphi$  false

$\psi$  false

$\varphi \vee \psi$  true



$\varphi$  true

$\psi$  true

$\varphi \vee \psi$  false



$\varphi$  false



$\psi$  false

$\varphi \rightarrow \psi$  true



$\varphi$  false

$\psi$  true

$\varphi \rightarrow \psi$  false



$\varphi$  true



$\psi$  false

$\varphi \leftrightarrow \psi$  true



$\varphi$  true

$\varphi$  false



$\psi$  true



$\psi$  false

$\varphi \leftrightarrow \psi$  false



$\varphi$  true

$\varphi$  false



$\psi$  false



$\psi$  true

$\forall x\varphi$  true



$\varphi[x \mapsto t]$  true

$\forall x\varphi$  false



$\varphi[x \mapsto c]$  false

$\exists x\varphi$  true



$\varphi[x \mapsto c]$  true

$\exists x\varphi$  false



$\varphi[x \mapsto t]$  false

$c$  a new constant symbol,  $t$  an arbitrary term

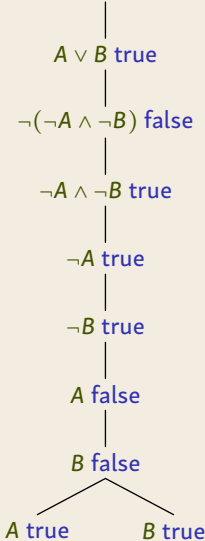
# Example

$(A \vee B) \rightarrow \neg(\neg A \wedge \neg B)$  false

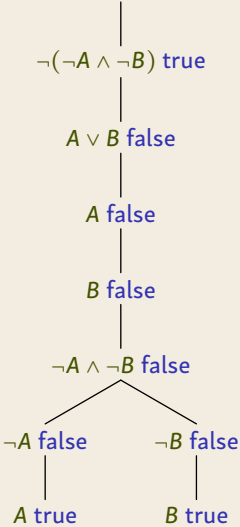
$\neg(\neg A \wedge \neg B) \rightarrow (A \vee B)$  false

# Example

$(A \vee B) \rightarrow \neg(\neg A \wedge \neg B)$  false



$\neg(\neg A \wedge \neg B) \rightarrow (A \vee B)$  false



# Example

$\exists x \forall y R(x, y) \rightarrow \forall y \exists x R(x, y)$  false

$\forall x R(x, x) \rightarrow \forall x \exists y R(f(x), y)$  false



# Example

$\exists x \forall y R(x, y) \rightarrow \forall y \exists x R(x, y)$  false

$\exists x \forall y R(x, y)$  true

$\forall y \exists x R(x, y)$  false

$\forall y R(c, y)$  true

$\exists x R(x, d)$  false

$R(c, d)$  true

$R(c, d)$  false

$\forall x R(x, x) \rightarrow \forall x \exists y R(f(x), y)$  false

$\forall x R(x, x)$  true

$\forall x \exists y R(f(x), y)$  false

$\exists y R(f(c), y)$  false

$R(f(c), f(c))$  false

$R(f(c), f(c))$  true

# Soundness and Completeness

## Theorem

A first-order formula  $\varphi$  (without equality) is valid (over non-empty structures) if, and only if, there exists a tableau  $T$  for  $\varphi$  false where every branch is contradictory.

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## Theorem

A first-order formula  $\varphi$  (without equality) is valid (over non-empty structures) if, and only if, there exists a tableau  $T$  for  $\varphi$  false where every branch is contradictory.

## Terminology

A tableau **for** a statement  $\varphi$  **value** is a tableau  $T$  where the root is labelled with  $\varphi$  **value**.

A branch  $\beta$  is **contradictory** if it contains both statements  $\psi$  **true** and  $\psi$  **false**, for some formula  $\psi$ .

A branch  $\beta$  is **consistent with** a structure  $\mathfrak{A}$  if

- ▶  $\mathfrak{A} \models \psi$ , for all statements  $\psi$  **true** on  $\beta$  and
- ▶  $\mathfrak{A} \not\models \psi$ , for all statements  $\psi$  **false** on  $\beta$ .

A branch  $\beta$  is **complete** if, for every atomic formula  $\psi$ , it contains one of the statements  $\psi$  **true** or  $\psi$  **false**.

# Proof Sketch: Soundness

## Lemma

If  $\beta$  is consistent with  $\mathcal{A}$  and we extend the tableau by applying a rule, the new tableau has a branch  $\beta'$  extending  $\beta$  that is consistent with  $\mathcal{A}$ .

## Corollary

If  $\mathcal{A} \neq \varphi$ , then every tableau for  $\varphi$  false has a branch that is not contradictory.

## Corollary

If  $\varphi$  is not valid, there is no tableau for  $\varphi$  false where all branches are contradictory.

# Proof Sketch: Completeness

## Lemma

If every tableau for  $\varphi$  false has a non-contradictory branch, there exists a tableau for  $\varphi$  false with a branch  $\beta$  that is complete and non-contradictory.

## Lemma

If a branch  $\beta$  is complete and non-contradictory, there exists a structure  $\mathfrak{A}$  such that  $\beta$  is consistent with  $\mathfrak{A}$ .

## Corollary

If every tableau for  $\varphi$  false has a non-contradictory branch, there exists a structure  $\mathfrak{A}$  with  $\mathfrak{A} \models \varphi$ .

# Natural Deduction

# Proof Calculi

## Notation

$\psi_1, \dots, \psi_n \vdash \varphi$     $\varphi$  is **provable** with **assumptions**  $\psi_1, \dots, \psi_n$

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$\varphi$  is **provable** if  $\vdash \varphi$ .



# Proof Calculi

## Notation

$\psi_1, \dots, \psi_n \vdash \varphi$   $\varphi$  is **provable** with **assumptions**  $\psi_1, \dots, \psi_n$

$\varphi$  is **provable** if  $\vdash \varphi$ .

## Rules

$$\frac{\Gamma_1 \vdash \varphi_1 \dots \Gamma_n \vdash \varphi_n}{\Delta \vdash \psi} \quad \begin{array}{l} \text{premises} \\ \text{conclusion} \end{array} \quad \varphi_1 \wedge \dots \wedge \varphi_n \Rightarrow \psi$$

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$\psi_1, \dots, \psi_n \vdash \varphi$   $\varphi$  is **provable** with **assumptions**  $\psi_1, \dots, \psi_n$

$\varphi$  is **provable** if  $\vdash \varphi$ .

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## Axiom

$$\frac{}{\Delta \vdash \psi} \quad \text{rule without premises}$$

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$\psi_1, \dots, \psi_n \vdash \varphi$   $\varphi$  is **provable** with **assumptions**  $\psi_1, \dots, \psi_n$

$\varphi$  is **provable** if  $\vdash \varphi$ .

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$$\frac{\Gamma_1 \vdash \varphi_1 \dots \Gamma_n \vdash \varphi_n}{\Delta \vdash \psi} \quad \begin{array}{l} \text{premises} \\ \text{conclusion} \end{array} \quad \varphi_1 \wedge \dots \wedge \varphi_n \Rightarrow \psi$$

## Axiom

$$\frac{}{\Delta \vdash \psi} \quad \text{rule without premises}$$

## Remark

Tableaux speak about **possibilities** while Natural Deduction proofs speak about **necessities**.

# Proof Calculi

## Derivation

$$\frac{\frac{\overline{\Gamma \vdash \varphi} \quad \overline{\Delta_0 \vdash \psi_0}}{\Delta_1 \vdash \psi_1} \quad \overline{\Gamma' \vdash \varphi'}}{\Sigma \vdash \vartheta}$$

tree of rules

# Natural Deduction (propositional part)

$$(I_{\top}) \frac{}{\Gamma \vdash \top}$$

$$(I_{\wedge}) \frac{\Gamma \vdash \varphi \quad \Delta \vdash \psi}{\Gamma, \Delta \vdash \varphi \wedge \psi}$$

$$(I_{\vee}) \frac{\Gamma, \neg\psi \vdash \varphi}{\Gamma \vdash \varphi \vee \psi} \quad \frac{\Gamma, \neg\varphi \vdash \psi}{\Gamma \vdash \varphi \vee \psi}$$

$$(I_{\perp}) \frac{\Gamma, \varphi \vdash \perp}{\Gamma \vdash \neg\varphi}$$

$$(I_{\perp}) \frac{\Gamma \vdash \varphi \quad \Gamma \vdash \neg\varphi}{\Gamma \vdash \perp}$$

$$(I_{\rightarrow}) \frac{\Gamma, \varphi \vdash \psi}{\Gamma \vdash \varphi \rightarrow \psi}$$

$$(I_{\leftrightarrow}) \frac{\Gamma, \varphi \vdash \psi \quad \Delta, \psi \vdash \varphi}{\Gamma, \Delta \vdash \varphi \leftrightarrow \psi}$$

$$(Ax) \frac{}{\Gamma, \varphi \vdash \varphi}$$

$$(E_{\wedge}) \frac{\Gamma \vdash \varphi \wedge \psi}{\Gamma \vdash \varphi} \quad \frac{\Gamma \vdash \varphi \wedge \psi}{\Gamma \vdash \psi}$$

$$(E_{\vee}) \frac{\Gamma \vdash \varphi \vee \psi \quad \Delta, \varphi \vdash \vartheta \quad \Delta', \psi \vdash \vartheta}{\Gamma, \Delta, \Delta' \vdash \vartheta}$$

$$(E_{\neg}) \frac{\Gamma, \neg\varphi \vdash \perp}{\Gamma \vdash \varphi}$$

$$(E_{\perp}) \frac{\Gamma \vdash \perp}{\Gamma \vdash \varphi}$$

$$(E_{\rightarrow}) \frac{\Gamma \vdash \varphi \quad \Delta \vdash \varphi \rightarrow \psi}{\Gamma, \Delta \vdash \psi}$$

$$(E_{\leftrightarrow}) \frac{\Gamma \vdash \varphi \quad \Delta \vdash \varphi \leftrightarrow \psi}{\Gamma, \Delta \vdash \psi} \quad (+ \text{sym.})$$

# Examples

$$\frac{}{\vdash (\varphi \vee \psi) \rightarrow \neg(\neg\varphi \wedge \neg\psi)}$$



# Natural Deduction (quantifiers and equality)

$$(I_{\exists}) \frac{\Gamma \vdash \varphi[x \mapsto t]}{\Gamma \vdash \exists x \varphi}$$

$$(E_{\exists}) \frac{\Gamma \vdash \exists x \varphi \quad \Delta, \varphi[x \mapsto c] \vdash \psi}{\Gamma, \Delta \vdash \psi}$$

$$(I_{\forall}) \frac{\Gamma \vdash \varphi[x \mapsto c]}{\Gamma \vdash \forall x \varphi}$$

$$(E_{\forall}) \frac{\Gamma \vdash \forall x \varphi}{\Gamma \vdash \varphi[x \mapsto t]}$$

$$(I_{=}) \frac{}{\Gamma \vdash t = t}$$

$$(E_{=}) \frac{\Gamma \vdash s = t \quad \Delta \vdash \varphi[x \mapsto s]}{\Gamma, \Delta \vdash \varphi[x \mapsto t]}$$

$c$  a **new** constant symbol,  $s, t$  arbitrary terms



# Examples

$$s = t \vdash t = s$$

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$$s = t \vdash t = s \quad \frac{\frac{}{s = t \vdash s = t} \quad \frac{}{\vdash s = s}}{s = t \vdash t = s} \quad (\text{E}_=)$$

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$$s = t \vdash t = s \quad \frac{\frac{}{s = t \vdash s = t} \quad \frac{}{\vdash s = s}}{s = t \vdash t = s} \quad (E_=)$$

$$s = t, t = u \vdash s = u$$

# Examples

$$s = t \vdash t = s \quad \frac{\overline{s = t \vdash s = t} \quad \overline{\vdash s = s}}{s = t \vdash t = s} \quad (E_=)$$

$$s = t, t = u \vdash s = u \quad \frac{\overline{t = u \vdash t = u} \quad \overline{s = t \vdash s = t}}{s = t, t = u \vdash s = u} \quad (E_=)$$

# Examples

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$$s = t, t = u \vdash s = u \quad \frac{\overline{t = u \vdash t = u} \quad \overline{s = t \vdash s = t}}{s = t, t = u \vdash s = u} \quad (E_=)$$

$$\exists x \forall y R(x, y) \vdash \forall y \exists x R(x, y)$$

# Examples

$$s = t \vdash t = s \quad \frac{\frac{}{s = t \vdash s = t} \quad \frac{}{\vdash s = s}}{s = t \vdash t = s} \quad (E_=)$$

$$s = t, t = u \vdash s = u \quad \frac{\frac{}{t = u \vdash t = u} \quad \frac{}{s = t \vdash s = t}}{s = t, t = u \vdash s = u} \quad (E_=)$$

$$\frac{\frac{\frac{\frac{\frac{}{\exists x \forall y R(x, y) \vdash \forall y \exists x R(x, y)}}{\forall y R(c, y) \vdash \forall y R(c, y)}}{\forall y R(c, y) \vdash R(c, d)}}{\forall y R(c, y) \vdash \exists x R(x, d)}}{\exists x \forall y R(x, y) \vdash \exists x \forall y R(x, y)} \quad \frac{\frac{\frac{}{\forall y R(c, y) \vdash \forall y \exists x R(x, y)}}{\forall y R(c, y) \vdash \forall y \exists x R(x, y)}}{\exists x \forall y R(x, y) \vdash \forall y \exists x R(x, y)} \quad (E_{\exists})$$

# Soundness and Completeness

## Theorem

A formula  $\varphi$  is provable using Natural Deduction if, and only if, it is valid (over non-empty structures).

## Corollary

Validity of first-order formulae is **recursively enumerable**, but **not decidable**.

**Isabelle/HOL**



# Isabelle/HOL

Proof assistant designed for software verification.

## General structure

```
theory T
imports T1 ... Tn
begin
  declarations, definitions, and proofs
end
```

# Syntax

Two levels:

- ▶ the **meta-language** (Isabelle) used to define theories,
- ▶ the **logical language** (HOL) used to write formulae.

To distinguish the levels, one encloses formulae of the logical language in quotes.

```
datatype 'a list = Nil                ("[]")  
                | Cons 'a "'a list"  (infixr "#" 65)
```

```
primrec app :: "'a list => 'a list => 'a list"  
          (infixr "@" 65)
```

**where**

```
"[] @ ys      = ys" |  
"(x # xs) @ ys = x # (xs @ ys)"
```

# Logical Language

## Types

- ▶ **base types:** bool, nat, int,...
- ▶ **type constructors:**  $\alpha$  list,  $\alpha$  set,...
- ▶ **function types:**  $\alpha \Rightarrow \beta$
- ▶ **type variables:** 'a, 'b,...

## Terms

- ▶ **application:**  $f\ x\ y$ ,  $x + y$ ,...
- ▶ **abstraction:**  $\lambda x.t$
- ▶ **type annotation:**  $t :: \alpha$
- ▶ if  $b$  then  $t$  else  $u$
- ▶ let  $x = t$  in  $u$
- ▶ case  $x$  of  $p_0 \Rightarrow t_0 \mid \dots \mid p_n \Rightarrow t_n$

## Formulae

- ▶ terms of type bool
- ▶ boolean operations  
 $\neg$ ,  $\wedge$ ,  $\vee$ ,  $\rightarrow$
- ▶ quantifiers  $\forall x$ ,  $\exists x$
- ▶ predicates  $=$ ,  $<$ ,...

# Basic Types

```
datatype bool = True | False
```

```
fun conj :: "bool => bool => bool" where  
"conj True True = True" |  
"conj _ _ = False"
```

```
datatype nat = 0 | Suc nat
```

```
fun add :: "nat => nat => nat" where  
"add 0 n = n" |  
"add (Suc m) n = Suc (add m n)"
```

```
lemma add_02: "add m 0 = m"  
apply (induction m)  
apply (auto)  
done
```

# Proofs

**lemma** `add_02`: "add m 0 = m"

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```
lemma add_02: "add m 0 = m"
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```
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# Proofs

**lemma** `add_02`: "add m 0 = m"

`apply (induction m)`

1. `add 0 0 = 0`

2.  $\bigwedge m. \text{add } m \ 0 = m \implies \text{add } (\text{Suc } m) \ 0 = \text{Suc } m$

# Proofs

```
lemma add_02: "add m 0 = m"
```

```
apply (induction m)
```

```
1. add 0 0 = 0
```

```
2.  $\wedge m. \text{add } m \ 0 = m \implies \text{add } (\text{Suc } m) \ 0 = \text{Suc } m$ 
```

```
apply (auto)
```



```
datatype 'a list = Nil                ("[]")  
                | Cons 'a "'a list"  (infixr "#" 65)
```

```
fun app :: "'a list => 'a list => 'a list"  
        (infixr "@" 65)
```

**where**

```
"[] @ ys      = ys" |  
"(x # xs) @ ys = x # (xs @ ys)"
```

```
fun rev :: "'a list => 'a list" where
```

```
"rev []      = []" |  
"rev (x # xs) = (rev xs) @ (x # [])"
```

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
```

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
```

```
apply(induction xs)
```

**theorem** `rev_rev [simp]: "rev (rev xs) = xs"`

`apply(induction xs)`

1. `rev (rev Nil) = Nil`

2.  $\wedge x1\ xs. \text{rev (rev xs)} = \text{xs} \implies$

$\text{rev (rev (Cons } x1\ xs))} = \text{Cons } x1\ xs$

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
```

```
apply(induction xs)
```

```
1. rev (rev Nil) = Nil
```

```
2.  $\wedge x1\ xs. \text{rev (rev xs) = xs} \implies$ 
```

```
   rev (rev (Cons x1 xs)) = Cons x1 xs
```

```
apply(auto)
```

**theorem** `rev_rev [simp]: "rev (rev xs) = xs"`

`apply(induction xs)`

1. `rev (rev Nil) = Nil`

2.  $\bigwedge x1\ xs. \text{rev (rev xs)} = \text{xs} \implies$

$\text{rev (rev (Cons x1 xs))} = \text{Cons x1 xs}$

`apply(auto)`

1.  $\bigwedge x1\ xs.$

$\text{rev (rev xs)} = \text{xs} \implies$

$\text{rev (rev xs @ Cons x1 Nil)} = \text{Cons x1 xs}$

```
lemma app_Nil2 [simp]: "xs @ Nil = xs"  
  apply(induction xs)  
  apply(auto)  
done
```

```
lemma app_Nil2 [simp]: "xs @ Nil = xs"  
  apply(induction xs)  
  apply(auto)  
done
```

```
lemma rev_app [simp]: "rev (xs @ ys) = rev ys @ rev xs"  
  apply(induction xs)  
  apply(auto)
```

1.  $\wedge x1$  xs.

$$\begin{aligned} \text{rev (xs @ ys)} &= \text{rev ys @ rev xs} \implies \\ (\text{rev ys @ rev xs}) @ \text{Cons } x1 \text{ Nil} &= \\ \text{rev ys @ (rev xs @ Cons } x1 \text{ Nil)} \end{aligned}$$



```
lemma app_Nil2 [simp]: "xs @ Nil = xs"
apply(induction xs)
apply(auto)
done
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```
lemma rev_app [simp]: "rev (xs @ ys) = rev ys @ rev xs"
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$$\begin{aligned} \text{rev (xs @ ys) = rev ys @ rev xs} \implies \\ (\text{rev ys @ rev xs}) @ \text{Cons } x1 \text{ Nil} = \\ \text{rev ys @ (rev xs @ Cons } x1 \text{ Nil)} \end{aligned}$$

```
lemma app_assoc [simp]: "(xs @ ys) @ zs = xs @ (ys @ zs)"
apply (induction xs)
apply (auto)
done
```

```
lemma app_Nil2 [simp]: "xs @ [] = xs"  
apply(induction xs)  
apply(auto)  
done
```

```
lemma app_assoc [simp]: "(xs @ ys) @ zs = xs @ (ys @ zs)"  
apply(induction xs)  
apply(auto)  
done
```

```
lemma rev_app [simp]: "rev(xs @ ys) = (rev ys) @ (rev xs)"  
apply(induction xs)  
apply(auto)  
done
```

```
theorem rev_rev [simp]: "rev(rev xs) = xs"  
apply(induction xs)  
apply(auto)  
done
```

```
end
```

# Nonmonotonic Logic

# Negation as Failure

## Goal

Develop a proof calculus supporting Negation as Failure as used in Prolog.

## Monotonicity

Ordinary deduction is **monotone**: if we add new assumption, all consequences we have already derived remain. More information does not invalidate already made deductions.

## Non-Monotonicity

Negation as Failure is **non-monotone**:

$P$  implies  $\neg Q$  but  $P, Q$  does not imply  $\neg Q$ .

# Default Logic

## Rule

$$\frac{\alpha_0 \dots \alpha_m : \beta_0 \dots \beta_n}{\gamma}$$

$\alpha_i$  assumptions  
 $\beta_i$  restraints  
 $\gamma$  consequence

Derive  $\gamma$  provided that we can derive  $\alpha_0, \dots, \alpha_m$ , but none of  $\beta_0, \dots, \beta_n$ .

## Example

$$\frac{\text{bird}(x) : \text{penguin}(x) \text{ ostrich}(x)}{\text{can\_fly}(x)}$$

# Semantics

## Definition

A set  $\Phi$  of formulae is **consistent** with respect to a set of rules  $R$  if, for every rule

$$\frac{\alpha_0 \dots \alpha_m : \beta_0 \dots \beta_n}{\gamma} \in R$$

such that  $\alpha_0, \dots, \alpha_m \in \Phi$  and  $\beta_0, \dots, \beta_n \notin \Phi$ , we have  $\gamma \in \Phi$ .

## Note

If there are no restraints  $\beta_i$ , consistent sets are **closed under intersection**.

$\Rightarrow$  There is a unique smallest such set, that of all **provable** formulae.

If there are restraints, this may not be the case. Formulae that belong to all consistent sets are called **secured consequences**.

# Examples

The system

$$\frac{}{\alpha} \quad \frac{\alpha : \beta}{\beta}$$

has a unique consistent set  $\{\alpha, \beta\}$ .

The system

$$\frac{}{\alpha} \quad \frac{\alpha : \beta}{\gamma} \quad \frac{\alpha : \gamma}{\beta}$$

has consistent sets

$$\{\alpha, \beta\}, \quad \{\alpha, \gamma\}, \quad \{\alpha, \beta, \gamma\}.$$