## **ID3** Algorithm - Complete Illustration

Consider the dataset  $\mathcal{D}$  specified by the following table:

index	X	Y	Z	class
1	1	0	1	Yes
2	1	1	0	Yes
3	1	0	1	Yes
4	1	0	1	Yes
5	0	1	1	No
6	1	0	0	No
7	0	1	0	No
8	0	1	0	No
9	1	1	1	No
10	0	0	1	Yes

There are three attributes  $\mathcal{A} = \{X, Y, Z\}$  and two classes  $C = \{\text{Yes}, \text{No}\}$ . Each attribute has possible values 0 and 1. Let us use indices 1 - 10 to denote elements of the dataset  $\mathcal{D}$ . That is, write  $\mathcal{D} = \{1, \dots, 10\}$ .

Let me demonstrate the execution of the algorithm ID3 with impurity decrease (Gini) to select the best-classifying attributes in every call of ID3.

The algorithm proceeds as follows:

## $\mathbf{ID3}(\mathcal{D}, \mathcal{A})$

- At line 2 create the node  $\tau_1$  (see Image 1). <sup>1</sup>
- No "if" condition is satisfied, so we continue on line 10. On line 10, identify the best classifying attribute:
  - To compute the impurity decrease, we need to compute  $Gini(\mathcal{D})$  for  $\mathcal{D} = \{1, \dots, 10\}$  as follows:

    - \*  $p_{\text{Yes}} = p_{\text{No}} = 1/2$ \*  $Gini(\mathcal{D}) = 1 p_{\text{Yes}}^2 p_{\text{No}}^2 = 1 (1/2)^2 (1/2)^2 = 0.5$
  - Consider  $X \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, X)$  as follows:
    - \* Consider value 1 of X. Then  $\mathcal{D}_1 = \{1, 2, 3, 4, 6, 9\}.$

index	X	Y	Z	class
1	1	0	1	Yes
2	1	1	0	Yes
3	1	0	1	Yes
4	1	0	1	Yes
6	1	0	0	No
9	1	1	1	No

 $<sup>^{1}</sup>$ Note that the nodes of the tree are numbered sequentially so that each node gets a unique index. The indices do not correspond to the indices assigned by the pseudocode.

- . Thus  $p_{\mathrm{Yes}} = 4/6$  and  $p_{\mathrm{No}} = 2/6$
- ·  $Gini(\mathcal{D}_1) = 1 p_{Yes}^2 p_{No}^2 = 1 (4/6)^2 (2/6)^2 = 0.444$
- \* Consider value 0 of X. Then  $\mathcal{D}_0 = \{5, 7, 8, 10\}.$

index	X	Y	Z	class
5	0	1	1	No
7	0	1	0	No
8	0	1	0	No
10	0	0	1	Yes

- · Thus  $p_{\mathrm{Yes}} = 1/4$  and  $p_{\mathrm{No}} = 3/4$
- ·  $Gini(\mathcal{D}_0) = 1 p_{Yes}^2 p_{No}^2 = 1 (1/4)^2 (3/4)^2 = 0.375$

$$ImpDec(\mathcal{D}, X) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.5 - (6/10) \cdot 0.444 - (4/10) \cdot 0.375 = 0.083

- Consider  $Y \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, Y)$  as follows:
  - \* Consider value 1 of Y. Then  $\mathcal{D}_1 = \{2, 5, 7, 8, 9\}.$

index	X	Y	$\mathbf{Z}$	class
2	1	1	0	Yes
5	0	1	1	No
7	0	1	0	No
8	0	1	0	No
9	1	1	1	No

- . Thus  $p_{\mathrm{Yes}} = 1/5$  and  $p_{\mathrm{No}} = 4/5$
- ·  $Gini(\mathcal{D}_1) = 1 p_{Yes}^2 p_{No}^2 = 1 (1/5)^2 (4/5)^2 = 0.320$
- \* Consider value 0 of Y. Then  $\mathcal{D}_0 = \{1, 3, 4, 6, 10\}$ .

index	X	Y	Z	class
1	1	0	1	Yes
3	1	0	1	Yes
4	1	0	1	Yes
6	1	0	0	No
10	0	0	1	Yes

- . Thus  $p_{\mathrm{Yes}} = 4/5$  and  $p_{\mathrm{No}} = 1/5$
- ·  $Gini(\mathcal{D}_0) = 1 p_{Yes}^2 p_{No}^2 = 1 (4/5)^2 (1/5)^2 = 0.320$

$$ImpDec(\mathcal{D}, Y) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.500 - (5/10) \cdot 0.320 - (5/10) \cdot 0.320 = 0.180

- Consider  $Z \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, Z)$  as follows:
  - \* Consider value 1 of Z. Then  $\mathcal{D}_1 = \{1, 3, 4, 5, 9, 10\}.$

index	X	Y	Z	class
1	1	0	1	Yes
3	1	0	1	Yes
4	1	0	1	Yes
5	0	1	1	No
9	1	1	1	No
10	0	0	1	Yes

. Thus 
$$p_{\mathrm{Yes}} = 4/6$$
 and  $p_{\mathrm{No}} = 2/6$ 

• 
$$Gini(\mathcal{D}_1) = 1 - p_{Yes}^2 - p_{No}^2 = 1 - (4/6)^2 - (2/6)^2 = 0.444$$

\* Consider value 0 of Y. Then  $\mathcal{D}_0 = \{2, 6, 7, 8\}.$ 

index	X	Y	$\mathbf{Z}$	class
2	1	1	0	Yes
6	1	0	0	No
7	0	1	0	No
8	0	1	0	No

· Thus 
$$p_{\text{Yes}} = 1/4$$
 and  $p_{\text{No}} = 3/4$ 

· Thus 
$$p_{\text{Yes}} = 1/4$$
 and  $p_{\text{No}} = 3/4$   
·  $Gini(\mathcal{D}_0) = 1 - p_{\text{Yes}}^2 - p_{\text{No}}^2 = 1 - (1/4)^2 - (3/4)^2 = 0.375$ 

$$ImpDec(\mathcal{D}, Z) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.500 - (6/10) \cdot 0.444 - (4/10) \cdot 0.375 = 0.083

So, the attribute Y maximizes the decrease in impurity.

- Set the decision attribute of  $\tau_1$  to Y and continue recursively by calling
  - **ID3**( $\{2, 5, 7, 8, 9\}, \{X, Z\}$ ) giving a node  $\tau_2$
  - **ID3**( $\{1, 3, 4, 6, 10\}, \{X, Z\}$ ) giving a node  $\tau_3$ .
- Connect  $\tau_1$  with  $\tau_2$  by an edge assigned 1, and  $\tau_1$  with  $\tau_3$  using an edge assigned 0.

Now, let us demonstrate the recursive calls.

$$ID3({2,5,7,8,9},{X,Z})$$

Now 
$$\mathcal{D} = \{2, 5, 7, 8, 9\}$$
 and  $\mathcal{A} = \{X, Z\}$ .

index	X	$\mathbf{Z}$	class
2	1	0	Yes
5	0	1	No
7	0	0	No
8	0	0	No
9	1	1	No

• At line 2, create the node  $\tau_2$ .

- No "if" condition is satisfied, so we continue on line 10. On line 10, identify the best classifying attribute:
  - We have already computed

$$Gini(\mathcal{D}) = 0.320$$

- Consider  $X \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, X)$  as follows:
  - \* Consider value 1 of X. Then  $\mathcal{D}_1 = \{2, 9\}.$

index	X	Z	class
2	1	0	Yes
9	1	1	No

- · Thus  $p_{\mathrm{Yes}} = 1/2$  and  $p_{\mathrm{No}} = 1/2$
- ·  $Gini(\mathcal{D}_1) = 1 p_{Yes}^2 p_{No}^2 = 1 (1/2)^2 (1/2)^2 = 0.500$
- \* Consider value 0 of X. Then  $\mathcal{D}_0 = \{5, 7, 8\}.$

index	X	$\mathbf{Z}$	class
5	0	1	No
7	0	0	No
8	0	0	No

- · Thus  $p_{Yes} = 0$  and  $p_{No} = 1$
- $Gini(\mathcal{D}_0) = 1 p_{Yes}^2 p_{No}^2 = 1 0^2 1^2 = 0$

$$ImpDec(\mathcal{D}, X) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.320 - (2/5) \cdot 0.500 - (3/5) \cdot 0.000 = 0.120

- Consider  $Z \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, Z)$  as follows:
  - \* Consider value 1 of Z. Then  $\mathcal{D}_1 = \{5, 9\}.$

index	X	Z	class
5	0	1	No
9	1	1	No

- · Thus  $p_{Yes} = 0$  and  $p_{No} = 1$
- ·  $Gini(\mathcal{D}_1) = 1 p_{Yes}^2 p_{No}^2 = 1 0^2 1^2 = 0$
- \* Consider value 0 of Z. Then  $\mathcal{D}_0 = \{2, 7, 8\}.$

index	X	Z	class
2	1	0	Yes
7	0	0	No
8	0	0	No

- · Thus  $p_{\text{Yes}} = 1/3$  and  $p_{\text{No}} = 2/3$ ·  $Gini(\mathcal{D}_0) = 1 p_{\text{Yes}}^2 p_{\text{No}}^2 = 1 (1/3)^2 (2/3)^2 = 0.444$

$$ImpDec(\mathcal{D}, X) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.320 - (2/5) \cdot 0.000 - (3/5) \cdot 0.444 = 0.053

So, the attribute X maximizes the decrease in impurity.

- Set the decision attribute of  $\tau_2$  to X and continue recursively by calling
  - \* **ID3**( $\{2,9\},\{Z\}$ ) giving a node  $\tau_4$
  - \* **ID3**( $\{5,7,8\},\{Z\}$ ) giving a node  $\tau_5$ .
- Connect  $\tau_2$  with  $\tau_4$  by an edge assigned 1, and  $\tau_1$  with  $\tau_5$  using an edge assigned 0.

$$\mathbf{ID3}(\{2,9\},\{Z\})$$

Now  $\mathcal{D} = \{2, 9\}$  and  $\mathcal{A} = \{Z\}$ .

index	Z	class
2	0	Yes
9	1	No

- At line 2, create the node  $\tau_4$
- No "if" condition is satisfied, so we continue on line 10. On line 10, identify the best classifying attribute:

There is only Z, which is automatically selected.

- $\bullet$  Set the decision attribute of  $\tau_2$  to Z and continue recursively by calling
  - $\mathbf{ID3}(\{9\},\{\})$  giving a node  $\tau_6$
  - **ID3**( $\{2\}, \{\}$ ) giving a node  $\tau_7$ .
- Connect  $\tau_4$  with  $\tau_6$  by an edge assigned 1, and  $\tau_4$  with  $\tau_7$  using an edge assigned 0.

## $ID3({9},{})$

Now  $\mathcal{D} = \{9\}$  and  $\mathcal{A} = \{\}$ .

- At line 2, create the node  $\tau_6$ 
  - The "if" at line 5 is satisfied since all elements of  $\mathcal{D}$  are of class No. Assign No to  $\tau_6$  and return  $\tau_6$ .

## $ID3({2},{})$

Now  $\mathcal{D} = \{2\}$  and  $\mathcal{A} = \{\}$ .

- At line 2, create the node  $\tau_7$
- The "if" at line 5 is satisfied since all elements of  $\mathcal{D}$  are of class Yes. Assign Yes to  $\tau_7$  and return  $\tau_7$ .

 $\mathbf{ID3}(\{5,7,8\},\{Z\})$ 

Now  $\mathcal{D} = \{5, 7, 8\}$  and  $\mathcal{A} = \{X, Z\}$ .

- At line 2, create the node  $\tau_5$
- The "if" at line 5 is satisfied since all elements of  $\mathcal{D}$  are of class No. Assign No to  $\tau_5$  and return  $\tau_5$ .

 $\mathbf{ID3}(\{1,3,4,6,10\},\{X,Z\})$ 

Now  $\mathcal{D} = \{1, 3, 4, 6, 10\}$  and  $\mathcal{A} = \{X, Z\}$ .

index	X	$\mathbf{Z}$	class
1	1	1	Yes
3	1	1	Yes
4	1	1	Yes
6	1	0	No
10	0	1	Yes

- At line 2, create the node  $\tau_3$ .
- No "if" condition is satisfied, so we continue on line 10. On line 10, identify the best classifying attribute:
  - We have already computed

$$Gini(\mathcal{D}) = 0.320$$

- Consider  $X \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, X)$  as follows:
  - \* Consider value 1 of X. Then  $\mathcal{D}_1 = \{1, 3, 4, 6\}.$

index	X	Z	class
1	1	1	Yes
3	1	1	Yes
4	1	1	Yes
6	1	0	No

- · Thus  $p_{\mathrm{Yes}} = 3/4$  and  $p_{\mathrm{No}} = 1/4$
- ·  $Gini(\mathcal{D}_1) = 1 p_{Yes}^2 p_{No}^2 = 1 (3/4)^2 (1/4)^2 = 0.375$
- \* Consider value 0 of X. Then  $\mathcal{D}_0 = \{10\}.$

- Thus  $p_{Yes} = 1$  and  $p_{No} = 0$
- ·  $Gini(\mathcal{D}_0) = 1 p_{Yes}^2 p_{No}^2 = 1 1^2 0^2 = 0$

$$ImpDec(\mathcal{D}, X) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.320 - (4/5) \cdot 0.375 - (1/5) \cdot 0.000 = 0.020

- Consider  $Z \in \mathcal{A}$  and compute  $ImpDec(\mathcal{D}, Z)$  as follows:
  - \* Consider value 1 of Z. Then  $\mathcal{D}_1 = \{1, 3, 4, 10\}.$

index	X	Z	class
1	1	1	Yes
3	1	1	Yes
4	1	1	Yes
10	0	1	Yes

- · Thus  $p_{\text{Yes}} = 1$  and  $p_{\text{No}} = 0$
- $Gini(\mathcal{D}_1) = 1 p_{Yes}^2 p_{No}^2 = 1 1^2 0^2 = 0$
- \* Consider value 0 of Z. Then  $\mathcal{D}_0 = \{6\}.$

index	X	Z	class
6	1	0	No

- · Thus  $p_{\mathrm{Yes}} = 0$  and  $p_{\mathrm{No}} = 1$
- $Gini(\mathcal{D}_0) = 1 p_{Yes}^2 p_{No}^2 = 1 0^2 1^2 = 0$

$$ImpDec(\mathcal{D}, X) = Gini(\mathcal{D}) - (|\mathcal{D}_1|/|\mathcal{D}|)Gini(\mathcal{D}_1) - (|\mathcal{D}_0|/|\mathcal{D}|)Gini(\mathcal{D}_0)$$
  
= 0.320 - (4/5) \cdot 0.000 - (1/5) \cdot 0.000 = 0.320

So, the attribute Z maximizes the decrease in impurity.

- Set the decision attribute of  $\tau_3$  to Z and continue recursively by calling
  - \* **ID3**( $\{1, 3, 4, 10\}, \{X\}$ ) giving a node  $\tau_8$
  - \* **ID3**( $\{6\}, \{X\}$ ) giving a node  $\tau_9$ .
- Connect  $\tau_3$  with  $\tau_8$  by an edge assigned 1, and  $\tau_3$  with  $\tau_9$  using an edge assigned 0.

 $ID3(\{1, 3, 4, 10\}, \{X\})$ 

Now  $\mathcal{D} = \{1, 3, 4, 10\}$  and  $\mathcal{A} = \{X\}$ .

- At line 2, create the node  $\tau_8$
- The "if" at line 5 is satisfied since all elements of  $\mathcal{D}$  are of class Yes. Assign Yes to  $\tau_8$  and return  $\tau_8$ .

 $ID3(\{6\}, \{X\})$ 

Now  $\mathcal{D} = \{6\}$  and  $\mathcal{A} = \{X\}$ .

- At line 2, create the node  $\tau_9$
- The "if" at line 5 is satisfied since all elements of  $\mathcal{D}$  are of class No. Assign No to  $\tau_9$  and return  $\tau_9$ .

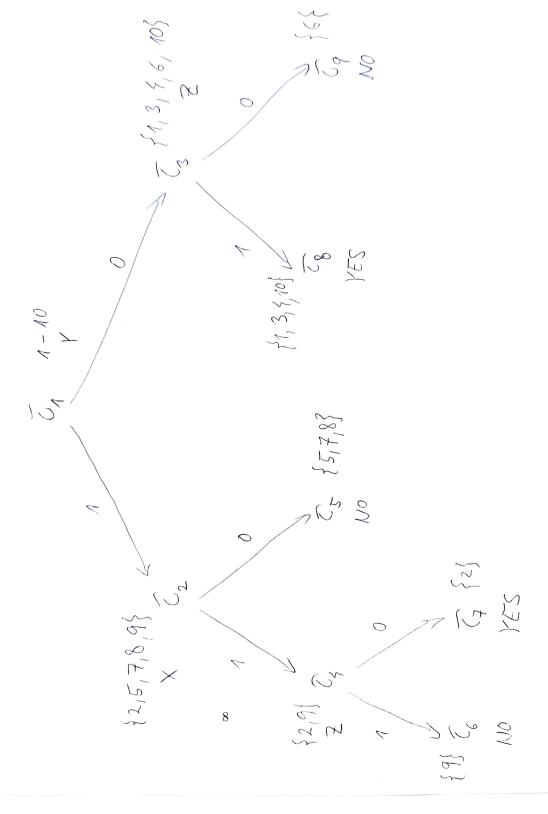


Figure 1: The tree