# **Transformation-Based Learning**

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#### Introduction

- An 'error-driven' approach for learning an ordered set of rules
- Adds annotations/classifications to each token of the input
- Developed by Brill [1995] for POS tagging
- Also used for other NLP areas, e.g.
  - > text chunking [Ramshaw and Marcus 1995; Florian et al. 2000]
  - prepositional phrase attachment [Brill and Resnik 1994]
  - > parsing [Brill 1996]
  - ➤ dialogue act tagging [Samuel 1998]
  - > named entity recognition [Day et al. 1997]

## **Required Input**

#### For application:

• The input to annotate:

**POS:** Recently, there has been a rebirth of empiricism in the field of natural language processing.

#### Additionally for training:

• The correctly annotated input ('truth'): **POS:** Recently/RB ,/, there/EX has/VBZ been/VBN a/DT rebirth/NN of/IN empiricism/NN in/IN the/DT field/NN of/IN natural/JJ language/NN processing/NN ./.

#### **Preliminaries**

- Templates of admissible transformation rules (triggering environments)
- An initial-state annotatorPOS:

Known words: Tag each word with its the most frequent tag. Unknown words: Tag each capitalized word as proper noun (NNP); each other word as common noun (NP).

• An objective function for learning **POS:** *Minimize the number of tagging errors.* 

#### **Transformation Rules**

Rewrite rules: what to replace

**POS:**  $t_i \rightarrow t_j$ ; \*  $\rightarrow t_j$  (replace tag  $t_i$  / any tag by tag  $t_j$ )

#### Triggering environment: when to replace

**POS:** 

Non-lexicalized templates:

- 1. The preceding (following) word is tagged  $t_a$ .
- 2. The word two before (after) is tagged  $t_a$ .
- 3. One of the two preceding (following) words is tagged  $t_a$ .
- 4. One of the three preceding (following) words is tagged  $t_a$ .
- 5. The preceding word is tagged  $t_a$  and the following word is tagged  $t_b$ .
- 6. The preceding (following) word is tagged  $t_a$  and the word two before (after) is tagged  $t_b$ .

Lexicalized templates:

- 1. The preceding (following) word is  $w_a$ .
- 2. The word two before (after) is  $w_a$ .
- 3. One of the two preceding (following) words is  $w_a$ .
- 4. The current word is  $w_a$  and the preceding (following) word is  $w_b$ .
- 5. The current word is  $w_a$  and the preceding (following) word is tagged  $t_a$ .
- 6. The current word is  $w_a$ .
- 7. The preceding (following) word is  $w_a$  and the preceding (following) tag is  $t_a$ .
- 8. The current word is  $w_a$ , the preceding (following) word is  $w_b$  and the preceding (following) tag is  $t_a$ .

## **Learning Algorithm**

- 1. Generate all rules that correct at least one error.
- 2. For each rule:
  - (a) Apply to a copy of the most recent state of the training set.
  - (b) Score the result using the objective function.
- 3. Select the rule with the best score.
- 4. Update the training set by applying the selected rule.
- 5. Stop if the score is smaller than some pre-set threshold T; otherwise repeat from step 1.

### **Rules Learnt**

The first rules learnt by Brill's POS tagger (with examples):

#	From	To	If			
1	NN	VB	previous tag is TO			
	$to/TO\ conflict/NN  ightarrow NB$					
2	VBP	VB	one of the previous 3 tags is MD			
	might/MD vanish/VBP $ ightarrow$ VB					
3	NN	VB	one of the previous two tags is MD			
	might/MD not reply/NN $ ightarrow$ VB					
4	VB	NN	one of the previous two tags is DT			
	the/DT amazing play/VB $ ightarrow$ NN					

## Tagging Unknown Words

Additional rule templates use character-based cues: Change the tag of an unknown word from X to Y if:

- 1. Deleting the prefix (suffix) x,  $|x| \le 4$ , results in a word.
- 2. The first (last) 1–4 characters of the word are x.
- 3. Adding the character string x,  $|x| \le 4$ , as a prefix (suffix) results in a word.
- 4. Word w appears immediately to the left (right) of the word.
- 5. Character z appears in the word.

### **Unknown Words: Rules Learnt**

#	From	To	If
1	NN	NNS	has suffix -s
	rules/N	$N \rightarrow N$	NS
4	NN	VBN	has suffix -ed
	tagged	$/NN \rightarrow$	VBN
5	NN	VBG	has suffix -ing
	applyir	ig/NN-	$\rightarrow VBG$
18	NNS	NN	has suffix <b>-ss</b>
	actress	/NNS—	$\rightarrow NN$

# Training Speedup: Hepple

Disallows interaction between learnt rules, by enforcing two assumptions:

Sample independence: a state change in a sample does not change the context of surrounding samples

Rule commitment: there will be at most one state change per sample

→ Impressive reduction in training time, but the quality of the results is reduced (assumptions do not always hold)

## 'Lossless' Speedup: Fast TBL

- 1. Store for each rule r that corrects at least one error:
  - good(r): the number of errors corrected by r
  - bad(r): the number of errors introduced by r
- 2. Select the rule *b* with the best score. Stop if the score is smaller than a threshold *T*.
- 3. Apply *b* to each sample *s*.
- 4. Considering only samples in the set  $\bigcup_{\{s|b \text{ changes }s\}} V(s)$ , where V(s) is the set of samples whose tag might depend on s (the 'vicinity' of s;  $s \in V(s)$ ):
  - Update good(r) and bad(r) for all stored rules, discarding rules whose good(r) reaches 0.
  - Add rules with a positive good(r) not yet stored.

Repeat from step 2. [Ngai and Florian 2001]

# **Text Chunking**

A robust preparation for / alternative to full parsing.

- Input: A.P. Green currently has 2,664,098 shares outstanding.
- Expected output: [NP A.P. Green] [ADVP currently] [VB has] [NP 2,664,098 shares] [ADJP outstanding].
- Alternative representation: A.P./B-NP Green/I-NP currently/B-ADVP has/B-VP 2,664,098/B-NP shares/I-NP outstanding/B-ADJP ./O
- Rules: Similar to those used for POS tagging, considering
  - > Words

> POS tags

> Chunk tags

### **Prepositional Phrase Attachment**

- Samples: 1. I[VB washed] [NP the shirt] [PP with soap and water].
  - 2. I [VB washed] [NP the shirt] [PP with pockets].
  - Task: Is the prepositional phrase attached to the verb (sample 1) or to the noun phrase (sample 2)?
- Approach: Apply TBL to 4-tuple of base head words (tag tuple as either *VB* or *NP*):
  - 1. wash shirt with soap
  - 2. wash shirt with pocket

Rules: Templates consider the words in the tuple and their semantic classes (WordNet hierarchy)

#### **Evaluation**

#### **POS** tagging:

	Regular TBL	Fast TBL	Hepple
Accuracy	96.61%	96.61%	96.23%
Time	38:06h	17:21min	6:13min

#### **Prepositional Phrase Attachment:**

	Regular TBL	Fast TBL	Hepple
Accuracy	81.0%	81.0%	77.8%
Time	3:10h	14:38min	4:01min

#### Scaling on input data:

Fast TBL: linear

Regular TBL: almost quadratic

## **Advantages**

- Can capture more context than Markov models
- Always learns on the whole data set no 'divide and conquer' → no data sparseness:
  - Target evaluation criterion can be directly used for training, no need for indirect measures (e.g. entropy)
  - > No overtraining
- Can consider its own (intermediate) results on the whole context → More powerful than other methods like decision trees [Brill 1995, sec. 3]

### **More Advantages**

- Can do any processing, not only classification:
  - Can change the structure of the input (e.g. parse tree)
  - Can be used as an postprocessor to any annotation system
- Resulting model is easy to review and understand
- Very fast to apply rule set can be converted into a finite-state transducer [Roche and Schabes 1995] (for tagging and classification) or finite-state tree automaton [Satta and Brill 1996] (for parsing and other tree transformations)

## ... and Disadvantages

- Greedy learning so the found rule sequence might not be optimal
- Not a probabilistic method:
  - Cannot directly return more than one result (*k*-best tagging can be added but is not built-in [Brill 1995, sec. 4.4])
  - Cannot measure confidence of results (through [Florian et al. 2000] estimate probabilities by converting transformation rule lists to decision trees and computing distributions over equivalence classes)

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