

Lectures 1-3 Coding theory
4-13 Cryptography

CODING THEORY BASICS

- Noiseless coding theory (Huffman coding)
- Noisy coding theory (error correcting codes)

Noiseless coding theory

$$X = \{x_0, \dots, x_{n-1}\}$$

$$p_0, \dots, p_{n-1} \quad \sum_i p_i = 1, p_i \geq 0$$

The GOAL: Write down outcomes of X as efficiently as possible.

(with a given alphabet Σ (here mostly $\{0,1\}$))

$$x_0, \dots, x_{n-1}$$

$\log_2(n)$ bit strings

$0 \rightarrow 00$
 $1 \rightarrow 01$
 $2 \rightarrow 10$
 $3 \rightarrow 11$

is this optimal?

According to what merit?

$$AVG(C) = \sum_i |c_i| \cdot p_i$$

↳ length of codeword corresponding to x_i



Problem formulation:

1. Given a probability distribution (random variable) design a code C with smallest average length. What is the smallest achievable average length?

2.)

Shannon's theorem

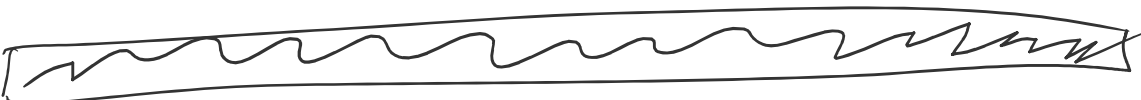
For a random variable $X \{p_0, \dots, p_{n-1}\}$

$$S(X) = - \sum_i p_i \log_2 p_i \quad (\text{Shannon entropy})$$

I. Average of code C for r.v. $X \geq S(X)$

II. Encoding multiple outputs together helps

III. As the number of symbols encoded together approaches infinity, the achievable average approaches $S(X)$





1a) Huffman coding

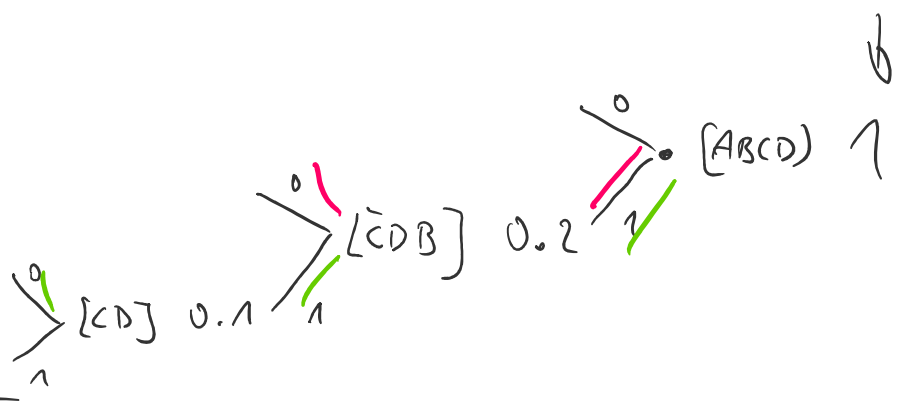
Algorithm:

INPUT: Probability distribution

OUTPUT: Code (optimal)

EX. 1.2

A	0.8
B	0.1
C	0.05
D	0.05



- A → 0
- B → 10
- C → 110
- D → 111

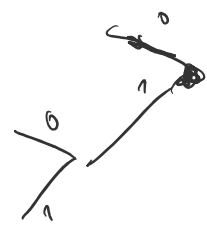
Code

$$S(X) = -0.8 \cdot \log_2 0.8 - 0.1 \cdot \log_2 0.1 - 0.05 \cdot \log_2 0.05 - 0.05 \cdot \log_2 0.05 < 1.3$$

$$AVG(c) = [0.8] \cdot 1 + (0.1) \cdot 2 + (0.05) \cdot 3 + (0.05) \cdot 3 = 1.3$$

AA	$(0.8)^2$
AB	$(0.8) \cdot (0.1)$
AC	$(0.8) \cdot (0.05)$
⋮	

- A: 1/3
- B: 1/3
- C: 1/3



- A → 0
- B → 10
- C → 11

$$S(X) = \left[-\frac{1}{3} \cdot \log \frac{1}{3} \right] \cdot 3 = -\log \frac{1}{3} \approx 1.588...$$

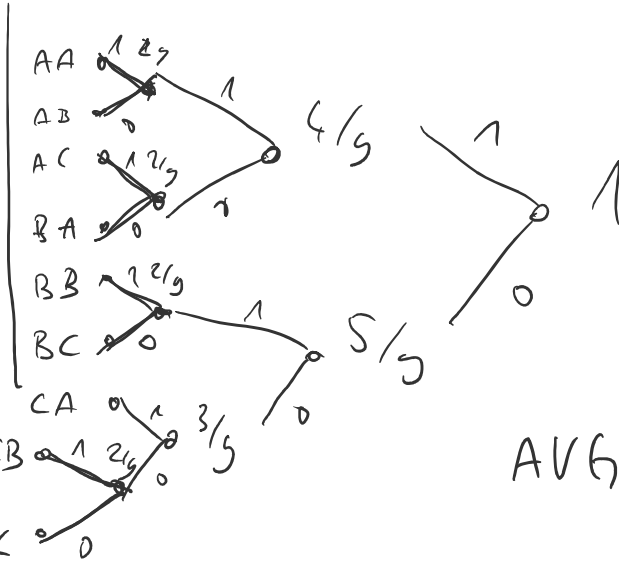
$$AVG(c) = \frac{1}{3} \cdot 1 + 2 \cdot \left(\frac{1}{3} \cdot 2 \right)$$

$$= \frac{1}{3} = \underline{\underline{1.666\dots}}$$

AA: $1/9$

CodeWord
of length 3

codeword
of length 4



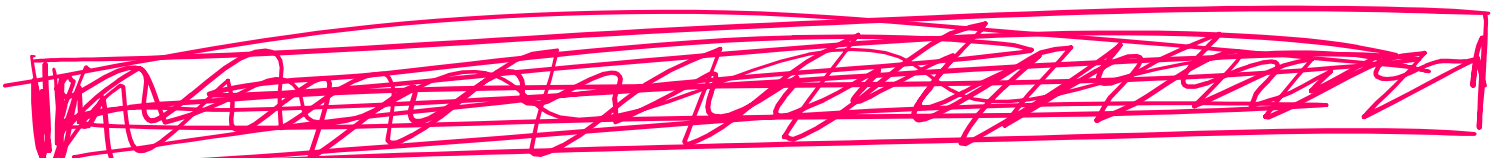
$$\text{AVG}(c) = 2 \cdot \left(\frac{1}{9} \cdot 4\right) + 7 \cdot \left(\frac{1}{9} \cdot 3\right)$$

$$= \frac{21 + 8}{9} = \frac{29}{9} \approx \underline{\underline{3.2222}}$$

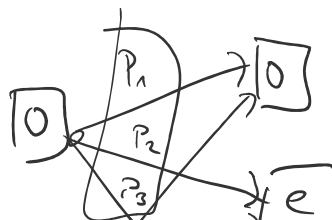
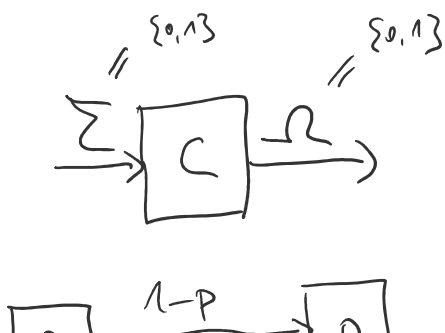
To compare we need Average per Symbol

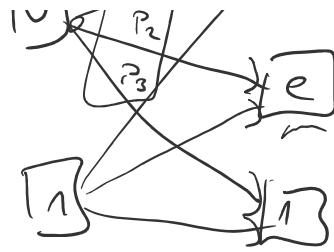
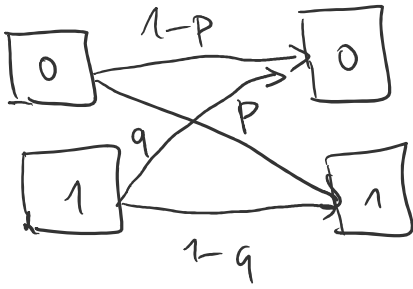
$$\frac{3.222}{2} = 1.6111\dots$$

As the number of repetitions n encoded together approaches ∞ , then $\frac{\text{AVG}(c)}{n}$ reaches $S(x)$.



NOISY SHANNON THEORY (ERROR CORRECTING CODES)

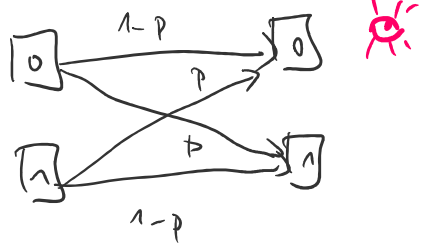




\bar{z}	Ω
0	0
⋮	⋮
l	k

$P(k|l)$ → probability of output k if l was on the input.

Binary Symmetric channel



We have $p < 1/2$.



PRINCIPLE OF MAXIMUM LIKELY HOOD

If you observe output "0" how to interpret it?

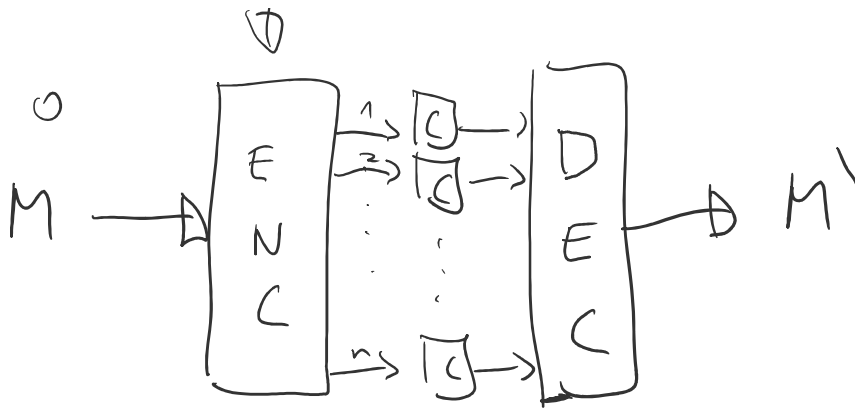
$0 \rightarrow 0$ w.p. $1-p$
 $1 \rightarrow 0$ w.p. p

$$p < 1/2 \Rightarrow 1-p > p$$

Maximum likelihood says that if you observe 0, then you should interpret it (decode it) as 0 being sent, because it is more probable of the two possibilities.

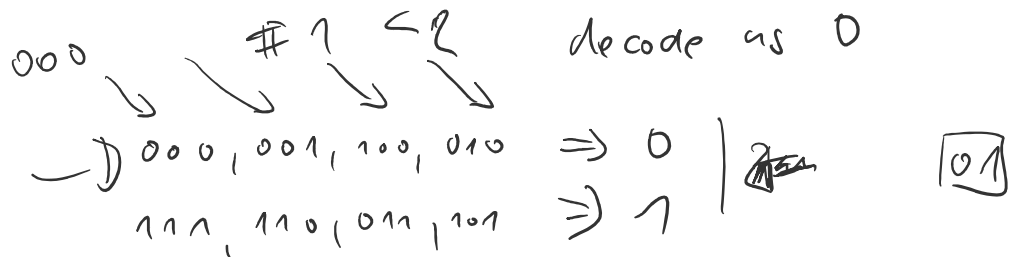
GDES





$0 \rightarrow 000$ How to decode? Use the maximum likelihood!

$1 \rightarrow 111$ #1 ≥ 2 decode as 1

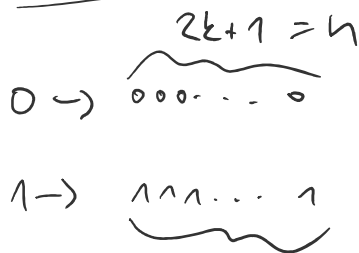


$$P_r(000 | 001) = (1-p)(1-p)p$$

input output \checkmark $\Leftarrow p < 1/2$

$$P_r(111 | 001) = p \cdot p \cdot (1-p)$$

$$P_r(\text{correct decoding to } 000) = (1-p)^3 + 3(1-p)^2 \cdot p > (1-p)$$



Decoding
 if #1 $> k$ then decode 1
 if #1 $\leq k$ then decode 0

Probability of correct decoding?

Probability of correct decoding?

$$\sum_{i=0}^k \binom{2k+1}{i} p^i (1-p)^{2k+1-i}$$

$$\lim_{k \rightarrow \infty} = 1$$

$$\frac{\#M}{\text{length of code words}} = \frac{2}{2k+1} \xrightarrow{k \rightarrow \infty} 0$$

Code rate

Hamming distance

$c_i \sim$ code words $C \subseteq \{0,1\}^n$

$C = \{c_i\}$ codes

$\text{Ham}(c_i, c_j)$ is the number of positions in which c_i and c_j differ.

EX 1.6

$\{10001, 00110, 11010, 01101\}$

$$\text{Ham}(10001, 00110) = 4 \quad \text{Ham}(00110, 11010) = 3$$

$$\text{Ham}(10001, 11010) = 3 \quad \text{Ham}(00110, 01101) = 3$$

$$\text{Ham}(10001, 01101) = 3 \quad \text{Ham}(11010, 01101) = 4$$

5 4 3

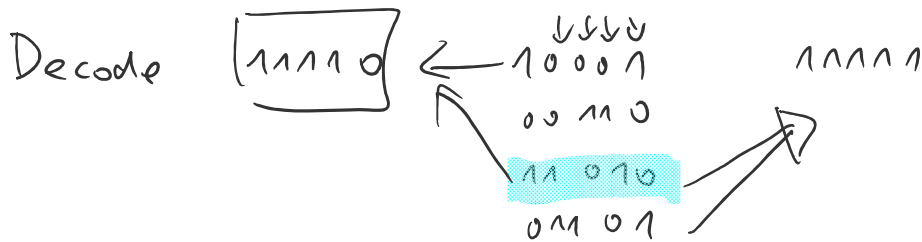
(n, M, d)

n - length of code words \rightarrow Code rate

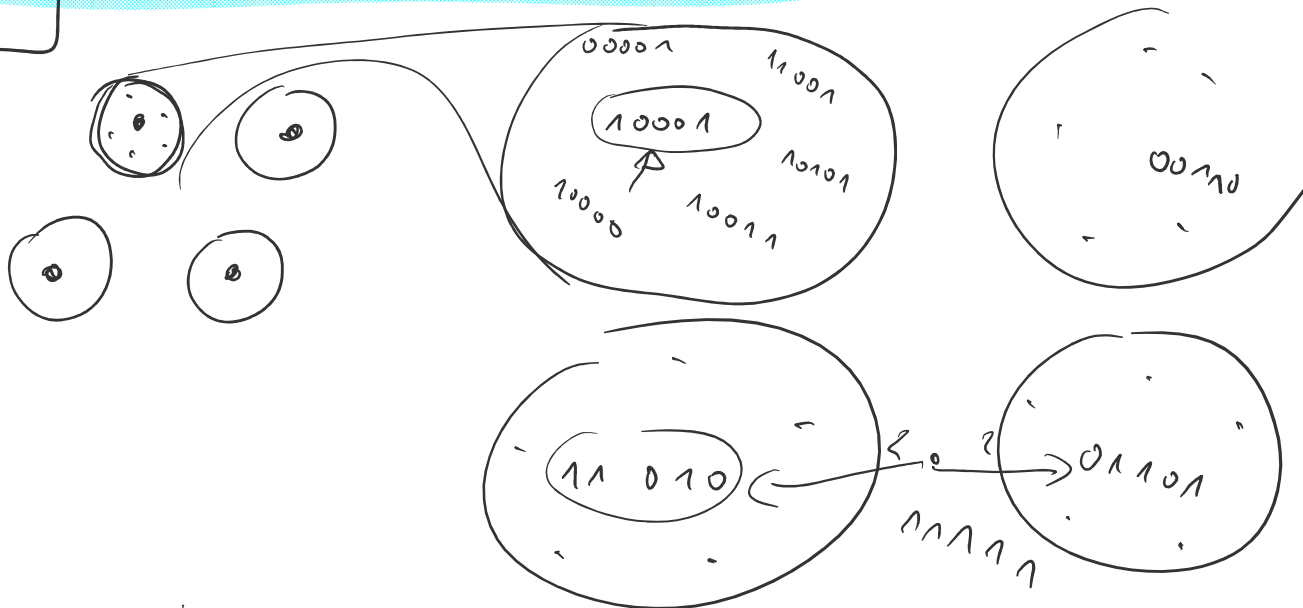
M - number of codewords

d - minimum distance

\rightarrow determine the max. likely hood + the number of errors code can detect or correct



$d = 2t + 1$ up to t errors can be corrected



$n \downarrow$
 $M \uparrow$
 $d \uparrow$

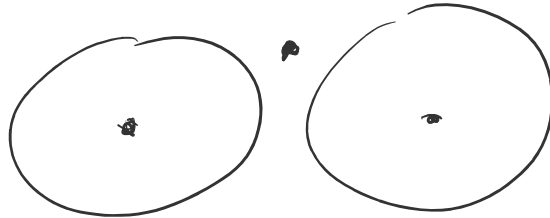
$A_q(n, M)$

largest minimum distance over alphabet $\{0, \dots, q-1\}$
 a code of M codewords with length n
 can have

Perfect codes and Sphere packing bound

$$M \left[\binom{h}{0} + \binom{h}{1} (q-1) + \binom{h}{2} (q-1)^2 + \dots + \binom{h}{k} (q-1)^k \right] \leq \frac{q^h}{\varphi}$$

$d = 2t + 1$
 $M = \dots$
 h



$=$
 \star
 Perfect codes