## IA159 Formal Methods for Software Analysis Abstract Interpretation

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## focus

- **n** lattices and fixpoints
- abstract interpretation with examples
- widening and narrowing

### source

P. Cousot and R. Cousot: *Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints*, POPL 1977.

Special thanks to Marek Trtík for providing me with his slides.

## Floyd's conjecture

To prove static properties of program it is often sufficient to consider sets of states associated with each program point.

### examples

- $\blacksquare$  to check safety properties (reachability of an error state), one only needs to know reachable states
- $\blacksquare$  for many optimizations during compilation, static information is sufficient (e.g. detection of live variables, available expressions, etc.)

operational semantics

- defines how a state changes along program execution
- $\blacksquare$  it is concerned about computational sequences
- computes a function relating input and output states

operational semantics

- defines how a state changes along program execution
- $\blacksquare$  it is concerned about computational sequences
- **E** computes a function relating input and output states

static semantic

- $\blacksquare$  observes which states pass which program location
- $\blacksquare$  it is concerned with observed sets of states at locations
- **E** computes a function assigning a set of states to each program location
- $\blacksquare$  it is usually impossible to compute the sets of reachable states precisely
- we can compute them on some level of abstraction
- $\blacksquare$  for example, instead with precise numbers we work only with abstract values  $\{+,0,-\}$
- abstraction brings some level of imprecission, for example,  $15 17$  is seen as  $(+) - (+)$ , which can be  $+, 0, -$

Preliminaries: lattices and fixpoints

Let  $(L, <)$  be a partially ordered set and  $M \subset L$ .

- *x* ∈ *L* is an upper bound of *M* iff *y* ≤ *x* holds for all *y* ∈ *M*
- **■**  $x \in L$  is a lower bound of *M* iff  $x \leq y$  holds for all  $y \in M$
- supremum of *M* is the least upper bound of *M*
- infimum of *M* is the greatest lower bound of *M*
- *sup*(*M*) and *inf*(*M*) denote supremum and infimum of *M*, respectively

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### Definition (Complete lattice)

An ordered set (*L*, ≤) is called complete lattice, if for each *M* ⊆ *L* there exist both *sup*(*M*) and *inf*(*M*).

# Introduction to lattices



Which of the partially ordered sets are complete lattices?

## Introduction to lattices



Which of the partially ordered sets are complete lattices? (All of the top row and the left of the bottom row.)

IA159 Formal Methods for Software Analysis: Abstract Interpretation 11/72

# Introduction to lattices

For every set *S*, the powerset  $P(S)$  with the partial order  $\subseteq$  is a complete lattice.

For example,  $(\mathcal{P}(\{0, 1, 2, 3\}), \subseteq)$  looks like:



Let  $(L, \leq)$  be a complete lattice.

- **the greatest element**  $\top = \text{sup}(L)$  is called one of L
- **■** the least element  $\bot$  = *inf*(*L*) of *L* is called zero of *L*
- **■** the lattice is of finite height if there exists  $h \in \mathbb{N}$  such that the length of each strictly increasing chain of elements of *L* is less than or equal to *h*
- minimal such *h* is called lattice height

# Fixpoint and Knaster-Tarski fixpoint theorem

Let  $(L, \leq)$  be a complete lattice.

**a** function  $f: L \to L$  is monotone if for all  $x, y \in L$  it holds

$$
x \leq y \quad \Longrightarrow \quad f(x) \leq f(y)
$$

 $x \in L$  is called a fixpoint of *f* if  $f(x) = x$ 

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### Theorem (Knaster-Tarski)

*Let* (*L*,  $\leq$ ) *be a complete lattice and f* : *L*  $\rightarrow$  *L be a monotone function. Then the set of fixpoints of f with partial order* ≤ *is also a complete lattice.*

## Theorem (Kleene)

*Let*  $(L, \leq)$  *be a complete lattice of finite height and f* :  $L \rightarrow L$  *a monotone function. Then there exists n*  $\in$  N *such that for all*  $k \in \mathbb{N}$  *it is f*<sup>n</sup>( $\perp$ ) =  $f^{n+k}(\perp)$  *and*  $f^n(\perp)$  *is the least fixpoint of f.*

### Theorem (Kleene)

*Let*  $(L, \leq)$  *be a complete lattice of finite height and f* :  $L \rightarrow L$  *a monotone function. Then there exists n*  $\in$  N *such that for all*  $k \in \mathbb{N}$  *it is f*<sup>n</sup>( $\perp$ ) =  $f^{n+k}(\perp)$  *and*  $f^n(\perp)$  *is the least fixpoint of f.*

Proof: Since ⊥ is the least element of *L*, we have ⊥ ≤ *f*(⊥). Since *f* is monotone, them  $f(\bot) \leq f(f(\bot))$  and by induction  $f^i(\bot) \leq f^{i+1}(\bot).$  Thus, we have a nondecreasing chain ⊥ ≤ *f*(⊥) ≤ *f* 2 (⊥) ≤ . . .. Since *L* is assumed to be of a finite height, there must exist  $n \in \mathbb{N}$  such that  $f^n(\bot) = f^{n+1}(\bot)$ . To show that  $f^n(\bot)$  is a least fixpoint of *f*, let us assume *x* is another fixpoint of *f*. Since ⊥ ≤ *x* and  $f(\perp) \leq f(x) = x$  from monotonicity of *f*, we get by induction  $f^n(\perp) \leq x$ . algorithm for the least fixpoint computation

**1**  $X \leftarrow \perp$ **2 do 3** *t* ← *x* **4**  $\vert$   $x \leftarrow f(x)$ **5 while**  $x \neq t$ 

If we replace the first line with  $x \leftarrow \top$ , we get the greatest fixpoint.

### Lemma (Product lattice)

*Let*  $(L_1, \leq_1), \ldots, (L_n, \leq_n)$  *be complete lattices and order*  $\leq$  *on*  $L_1 \times \ldots \times L_n$  *is defined as*  $(x_1, \ldots, x_n) \leq (y_1, \ldots, y_n)$  *iff* 

*x*<sub>1</sub> ≤<sub>1</sub> *y*<sub>1</sub> ∧ ... ∧ *x<sub>n</sub>* ≤<sub>n</sub> *y*<sub>n</sub>.

*Then*  $(L_1 \times \ldots \times L_n, \leq)$  *is a complete lattice.* 

Let  $(L, \leq)$  be a complete lattice and  $(L^n, \sqsubseteq)$  be the corresponding product lattice. Further, let  $F_1, \ldots, F_n : L^n \to L$  be monotone functions, i.e.  $(x_1, \ldots, x_n)$  ⊑  $(y_1, \ldots, y_n)$  implies  $F_i(x_1, \ldots, x_n)$  ≤  $F_i(y_1, \ldots, y_n)$  for each  $1 \leq i \leq n$ . Then the function  $F: L^n \to L^n$  defined as

$$
F(x_1,...,x_n)=(F_1(x_1,...,x_n),...,F_n(x_1,...,x_n))
$$

is a monotone function in  $(L^n, \sqsubseteq)$ . Further, the least fixpoint of F is the least solution of the system:

$$
x_1 = F_1(x_1, \ldots, x_n)
$$
  

$$
\vdots
$$
  

$$
x_n = F_n(x_1, \ldots, x_n)
$$

# Fixpoint computation of product lattices

naive algorithm for fixpoint computation

**1**  $\vec{X} \leftarrow \vec{\perp}$ **2 do 3**  $\vec{t} \leftarrow \vec{x}$  $\mathbf{A}$   $\vec{x} \leftarrow F(\vec{x})$ **5 while**  $\vec{x} \neq \vec{t}$ 

# Fixpoint computation of product lattices

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better algorithm for fixpoint computation (faster convergence)

1 
$$
\vec{x} \leftarrow \vec{\perp}
$$
  
\n2 **do**  
\n3  $\vec{t} \leftarrow \vec{x}$   
\n4  $x_1 \leftarrow F_1(x_1, ..., x_n)$   
\n5  $\vdots$   
\n6  $x_n \leftarrow F_n(x_1, ..., x_n)$   
\n7 **while**  $\vec{x} \neq \vec{t}$ 

IA159 Formal Methods for Software Analysis: Abstract Interpretation 22/72

Abstract interpretation

- **a** an abstract interpretation of a program is kind of a static semantic, where original data domains are replaced with abstract ones
- abstract data domain must constitute a complete lattice
- **s** semantic of program instructions have to be changed as well: we define unique monotone function for each program instruction

### Definition (Abstract interpretation)

An abstract interpretation *I* of a program *P* with *n* program locations is a tuple

$$
I=\langle L,\circ,\leq,\top,\bot,F\rangle
$$

where  $(L, <)$  is complete lattice,  $\top$  and  $\bot$  are one and zero of  $(L, <)$ ,  $\circ$  is equal either to join or meet operation, and *F* is a monotone function on product lattice  $(L^n, \leq)$  defining the interpretation of basic instructions.

- **■** meet operator is defined as  $a \circ b = \inf(\{a, b\})$
- $\blacksquare$  join operator is defined as  $a \circ b = \sup(\{a, b\}).$

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- **■** meet operator is defined as  $a \circ b = \inf(\{a, b\})$
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Typically,  $\mathcal{F}(\vec{x}) = (\mathcal{F}_1(\vec{x}), \ldots, \mathcal{F}_n(\vec{x}))$ , where each  $\mathcal{F}_i: L^n \to L$  defines effect of i-th program instruction.

A nontrivial expression in a program is available at a program location if its current value has already been computed earlier in the execution.

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```
var x,y,z,a,b;
z = a + b:
v = a * b:
while (y > a+b) {
  a = a+1;x = a+b;}
```
A nontrivial expression in a program is available at a program location if its current value has already been computed earlier in the execution.

```
Available expressions: AExpress = \{a+b, a*b, y>a+b, a+1\}
```

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Available expressions:  $AExpress = \{a+b, a*b, y>a+b, a+1\}$ A.I.:  $I = \langle \mathcal{P}(AExpress), \cap, \subseteq, AExpress, \emptyset, \lambda \vec{x}. (F_1(\vec{x}), \ldots, F_6(\vec{x})) \rangle$ 

```
var x,y,z,a,b;
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y = a * b:
while (y > a+b) {
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  x = a+b;
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var x, y, z, a, b;  
\nx<sub>1</sub> = F<sub>1</sub>(
$$
\vec{x}
$$
) =  $\emptyset$   
\nz = a+b;  
\ny = a\*b;  
\n $x_3 = F_3(\vec{x}) = (x_1 \cup \{a+b\}) \setminus \emptyset$   
\nwhile (y > a+b) {  $x_3 = F_3(\vec{x}) = (x_2 \cup \{a*b\}) \setminus \{y>a+b\}$   
\na = a+1;  
\nx = a+b;  
\n $x_5 = F_5(\vec{x}) = (x_4 \cup \{a+1\}) \setminus \text{AExpress}$   
\n $x_6 = F_6(\vec{x}) = (x_5 \cup \{a+b\}) \setminus \emptyset$ 

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var x, y, z, a, b;  
\nx = 
$$
F_1(\vec{x}) = \emptyset
$$
  
\nz = a+b;  
\n $y = a * b;$   
\nwhile (y > a+b) { $x_3 = F_3(\vec{x}) = (x_2 \cup \{a * b\}) \setminus \{y > a + b\}$   
\na = a+1;  
\nx = a+b;  
\n $x_5 = F_5(\vec{x}) = (x_4 \cup \{a + 1\}) \setminus \text{AExpress}$   
\n $x_6 = F_6(\vec{x}) = (x_5 \cup \{a + b\}) \setminus \emptyset$ 

### Direction: Forward

A nontrivial expression in a program is available at a program location if its current value has already been computed earlier in the execution.

Available expressions:  $AExpress = \{a+b, a*b, y>a+b, a+1\}$ A.I.:  $I = \langle \mathcal{P}(AExpress), \cap, \subseteq, AExpress, \emptyset, \lambda \vec{x}. (F_1(\vec{x}), \ldots, F_6(\vec{x})) \rangle$ Product lattice: (P 6 (*AExprs*), ≤).

var x, y, z, a, b;  
\nx = 
$$
F_1(\vec{x}) = \emptyset
$$
  
\nz = a+b;  
\ny = a\*b;  
\nwhile (y > a+b) { $x_2 = F_2(\vec{x}) = (x_1 \cup \{a+b\}) \setminus \emptyset$   
\n $x_3 = F_3(\vec{x}) = (x_2 \cup \{a*b\}) \setminus \{y>a+b\}$   
\na = a+1;  
\nx = a+b;  
\n $x_5 = F_5(\vec{x}) = (x_4 \cup \{a+1\}) \setminus \text{AExpress}$   
\n $x_6 = F_6(\vec{x}) = (x_5 \cup \{a+b\}) \setminus \emptyset$ 

## Analysis: Must

A nontrivial expression in a program is available at a program location if its current value has already been computed earlier in the execution.

Available expressions:  $AExpress = \{a+b, a*b, y>a+b, a+1\}$ A.I.:  $I = \langle \mathcal{P}(AExpress), \cap, \subseteq, AExpress, \emptyset, \lambda \vec{x}. (F_1(\vec{x}), \ldots, F_6(\vec{x})) \rangle$ Product lattice: (P 6 (*AExprs*), ≤).



## Are all functions *F<sup>i</sup>* monotone?

IA159 Formal Methods for Software Analysis: Abstract Interpretation 35/72

A nontrivial expression in a program is available at a program location if its current value has already been computed earlier in the execution.

Available expressions:  $AExpress = \{a+b, a*b, y>a+b, a+1\}$ A.I.:  $I = \langle \mathcal{P}(AExpress), \cap, \subseteq, AExpress, \emptyset, \lambda \vec{x}. (F_1(\vec{x}), \ldots, F_6(\vec{x})) \rangle$ Product lattice: (P 6 (*AExprs*), ≤).

var x, y, z, a, b;  
\nx = F<sub>1</sub>(
$$
\vec{x}
$$
) =  $\emptyset$   
\nz = a+b;  
\n $x_2 = F_2(\vec{x}) = (x_1 \cup \{a+b\}) \setminus \emptyset$   
\ny = a\*b;  
\n $x_3 = F_3(\vec{x}) = (x_2 \cup \{a+b\}) \setminus \{y>a+b\}$   
\n $x_4 = F_4(\vec{x}) = (x_3 \cap x_6) \cup \{a+b, y>a+b\}$   
\na = a+1;  
\nx = a+b;  
\n $x_5 = F_5(\vec{x}) = (x_4 \cup \{a+1\}) \setminus \text{AExpress}$   
\n $x_6 = F_6(\vec{x}) = (x_5 \cup \{a+b\}) \setminus \emptyset$ 

Proof  $F_4$ : Let  $\vec{x}, \vec{y} \in \mathcal{P}^6$  *(AExprs*) such that  $\vec{x} \leq \vec{y}$ ....
### Example: Available expressions

A nontrivial expression in a program is available at a program location if its current value has already been computed earlier in the execution.

Available expressions:  $AExpress = \{a+b, a*b, y>a+b, a+1\}$ A.I.:  $I = \langle \mathcal{P}(AExpress), \cap, \subseteq, AExpress, \emptyset, \lambda \vec{x}. (F_1(\vec{x}), \ldots, F_6(\vec{x})) \rangle$ Product lattice: (P 6 (*AExprs*), ≤).

var x, y, z, a, b;  
\nx = 
$$
F_1(\vec{x}) = \emptyset
$$
  
\ny = a \* b;  
\nwhile (y > a + b) {  
\na = a + 1;  
\nx = a + b;  
\n $F_2(\vec{x}) = (x_1 \cup \{a+b\}) \setminus \emptyset$   
\n $x_3 = F_3(\vec{x}) = (x_2 \cup \{a*b\}) \setminus \{y>a+b\}$   
\n $x_4 = F_4(\vec{x}) = (x_3 \cap x_6) \cup \{a+b, y>a+b\}$   
\n $x_5 = F_5(\vec{x}) = (x_4 \cup \{a+1\}) \setminus \text{AExpress}$   
\n $x_6 = F_6(\vec{x}) = (x_5 \cup \{a+b\}) \setminus \emptyset$ 

Then  $x_3 \nsubseteq v_3$  and  $x_6 \nsubseteq v_6$ , which implies  $(x_3 \cap x_6) \nsubseteq (y_3 \cap y_6)$ ...

#### After fixpoint computation ...

var x, y, z, a, b;  
\nx = a+b;  
\n
$$
x_1 = \emptyset
$$
  
\nz = a+b;  
\n $x_2 = \{a+b\}$   
\nwhile (y > a+b) {  $x_3 = \{a+b, a*b\}$   
\na = a+1;  
\nx = a+b;  
\n $x_4 = \{a+b, y>a+b\}$   
\n $x_5 = \emptyset$   
\n $x_6 = \{a+b\}$ 

Solution: Minimal

#### After fixpoint computation ...

var x, y, z, a, b;  
\nx = a+b;  
\n
$$
y = a*b;
$$
  
\nwhile (y > a+b) {  $x_2 = \{a+b\}$   
\na = a+1;  
\n $x = a+b;$   
\n $x_5 = \emptyset$   
\n  
\n $x_6 = \{a+b\}$ 

#### The expression  $a+b$  in the loop head is available (does not have to be computed).

A variable is live at a program point if its current value may be read during the remaining execution of the program.

var x,y,z; x = input(); while (x > 1) { y = x/2; if (y > 3) x = x-y; z = x-4; if (z > 0) x = x/2; z = z-1; } output(x);

A variable is live at a program point if its current value may be read during the remaining execution of the program.

 $Vars = \{x, y, z\}$  and  $I = \langle \mathcal{P}(Vars), \cup, \subseteq, Vars, \emptyset, \lambda \vec{x}.(F_1(\vec{x}), \ldots, F_{11}(\vec{x})) \rangle$ 

var x,y,z; x = input(); while (x > 1) { y = x/2; if (y > 3) x = x-y; z = x-4; if (z > 0) x = x/2; z = z-1; } output(x);

A variable is live at a program point if its current value may be read during the remaining execution of the program.

Product lattice is  $(P<sup>11</sup>(\text{Vars}), \leq)$ .

$x_1 = x_2 \setminus \{x, y, z\}$	var x, y, z;
$x_2 = x_3 \setminus \{x\}$	x = input();
$x_3 = (x_4 \cup x_{11}) \cup \{x\}$	while (x > 1) {
$x_4 = (x_5 \setminus \{y\}) \cup \{x\}$	if (y > 3)
$x_6 = (x_7 \setminus \{x\}) \cup \{x, y\}$	x = x-y;
$x_7 = (x_8 \setminus \{z\}) \cup \{x\}$	if (z > 0)
$x_9 = (x_{10} \setminus \{x\}) \cup \{x\}$	x = x-4;
$x_8 = (x_9 \cup x_{10}) \cup \{z\}$	if (z > 0)
$x_{9} = (x_{10} \setminus \{x\}) \cup \{x\}$	x = x/2;
$x_{10} = (x_3 \setminus \{z\}) \cup \{z\}$	x = z-1;
$x_{11} = \{x\}$	output (x);

A variable is live at a program point if its current value may be read during the remaining execution of the program.

#### Direction: Backward

$x_1 = x_2 \setminus \{x, y, z\}$	var x, y, z;
$x_2 = x_3 \setminus \{x\}$	x = input();
$x_3 = (x_4 \cup x_{11}) \cup \{x\}$	while (x > 1)
$x_4 = (x_5 \setminus \{y\}) \cup \{x\}$	if (y > 3)
$x_6 = (x_7 \setminus \{x\}) \cup \{x, y\}$	x = x-y;
$x_7 = (x_8 \setminus \{z\}) \cup \{x\}$	if (z > 0)
$x_9 = (x_{10} \setminus \{x\}) \cup \{x\}$	x = x/2;
$x_{10} = (x_3 \setminus \{z\}) \cup \{x\}$	x = x/2;
$x_{11} = \{x\}$	output (x);

 $(x > 1)$  {

 $= x/2;$ *z*−1; }

A variable is live at a program point if its current value may be read during the remaining execution of the program.

#### Analysis: May

$x_1 = x_2 \setminus \{x, y, z\}$	var x, y, z;
$x_2 = x_3 \setminus \{x\}$	$x = input()$ ;
$x_3 = (x_4 \cup x_{11}) \cup \{x\}$	while $(x > 1)$ {
$x_4 = (x_5 \setminus \{y\}) \cup \{x\}$	$y = x/2;$
$x_5 = (x_6 \cup x_7) \cup \{y\}$	if $(y > 3)$
$x_6 = (x_7 \setminus \{x\}) \cup \{x, y\}$	$x = x - y;$
$x_7 = (x_8 \setminus \{z\}) \cup \{x\}$	$z = x - 4;$
$x_8 = (x_9 \cup x_{10}) \cup \{z\}$	if $(z > 0)$
$x_{9} = (x_{10} \setminus \{x\}) \cup \{x\}$	$x = x/2;$
$x_{10} = (x_3 \setminus \{z\}) \cup \{z\}$	$z = z - 1;$
$x_{11} = \{x\}$	output $(x);$

A variable is live at a program point if its current value may be read during the remaining execution of the program.

#### Solution: Minimal

$x_1 = \emptyset$	$x_1 = x_2 \setminus \{x, y, z\}$	var x, y, z;			
$x_2 = \emptyset$	$x_2 = x_3 \setminus \{x\}$	x = input();			
$x_3 = \{x\}$	$x_4 = (x_5 \setminus \{y\}) \cup \{x\}$	while (x > 1) { $x_6 = \{x, y\}$	$x_7 = \{x\}$	$x_8 = (x_6 \cup x_7) \cup \{y\}$	if (y > 3)
$x_9 = \{x, y\}$	$x_6 = (x_7 \setminus \{x\}) \cup \{x, y\}$	x = x-y;			
$x_7 = \{x\}$	$x_7 = (x_8 \setminus \{z\}) \cup \{x\}$	x = x-y;			
$x_8 = \{x, z\}$	$x_8 = (x_9 \cup x_{10}) \cup \{z\}$	if (z > 0)			
$x_9 = \{x, z\}$	$x_9 = (x_{10} \setminus \{x\}) \cup \{x\}$	x = x/2;			
$x_{10} = \{x, z\}$	$x_{10} = (x_3 \setminus \{z\}) \cup \{x\}$	x = x/2;			
$x_{11} = \{x\}$	$x_{11} = \{x\}$	output (x);			

A variable is live at a program point if its current value may be read during the remaining execution of the program.

Variables  $y$ , z are never live together.

$x_1 = \emptyset$	$x_1 = x_2 \setminus \{x, y, z\}$	$\text{var } x, y, z;$
$x_2 = \emptyset$	$x_2 = x_3 \setminus \{x\}$	$x = \text{input }()$ ;
$x_3 = \{x\}$	$x_4 = (x_5 \setminus \{y\}) \cup \{x\}$	$\text{while } (x > 1) \setminus \{x\}$
$x_4 = \{x\}$	$x_5 = (x_6 \cup x_7) \cup \{y\}$	$\text{if } (y > 3)$
$x_6 = \{x, y\}$	$x_6 = (x_7 \setminus \{x\}) \cup \{x, y\}$	$x = x - y;$
$x_7 = \{x\}$	$x_7 = (x_8 \setminus \{z\}) \cup \{x\}$	$z = x - 4;$
$x_8 = \{x, z\}$	$x_8 = (x_9 \cup x_{10}) \cup \{z\}$	$\text{if } (z > 0)$
$x_{9} = \{x, z\}$	$x_{9} = (x_{10} \setminus \{x\}) \cup \{x\}$	$x = x/2;$
$x_{10} = \{x, z\}$	$x_{10} = (x_3 \setminus \{z\}) \cup \{x\}$	$x = x/2;$
$x_{11} = \{x\}$	$x_{11} = \{x\}$	$\text{output } (x);$

```
var x,y,z;
x = input();
while (x > 1) {
  y = x/2;if (y > 3)x = x-y;z = x-4:
  if (z > 0)x = x/2;
  z = z-1;output(x);
```

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var x,y,z;
x = input();
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```
Assigaments:  
\n
$$
Assons = \{x = \text{input}(), y = x/2, x = x-y, z = x-4, x = x/2, z = z-1\}
$$

var x,y,z;  $x = input()$ ; while  $(x > 1)$  {  $y = x/2;$ if  $(y > 3)$  $x = x-y;$  $z = x-4;$ if  $(z > 0)$  $x = x/2$ ;  $z = z-1;$ output(x);

Assigaments:  
\n
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Assons = \{x = \text{input}(1), y = x/2, x = x-y, z = x-4, x = x/2, z = z-1\}
$$

$$
I = \langle \mathcal{P}(\mathit{Agns}), \cup, \subseteq, \mathit{Agns}, \emptyset, \\ \lambda \vec{x}.(\mathit{F}_1(\vec{x}), \ldots, \mathit{F}_{11}(\vec{x})) \rangle
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Product lattice: (P <sup>11</sup>(*Asgns*), ⊆)

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var x,y,z;
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  if (z > 0)x = x/2;
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```
**Assigments:**  
\n**Assons** = {x = input (), y = x/2, x = x-y,  
\n
$$
z = x-4, x = x/2, z = z-1
$$
}

$$
I = \langle \mathcal{P}(\mathit{Agns}), \cup, \subseteq, \mathit{Agns}, \emptyset, \\ \lambda \vec{x}.(\mathit{F}_1(\vec{x}), \ldots, \mathit{F}_{11}(\vec{x})) \rangle
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Product lattice: (P <sup>11</sup>(*Asgns*), ⊆)

Direction: Forward Analysis: May Solution: Minimal

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> Direction: Backward Analysis: Must Solution: Minimal

We may consider different abstraction levels of variable values:

- **sets of integer values:**  $\mathcal{P}(\mathbb{Z})$
- $\blacksquare$  intervals:  $\{[l, u] \mid l, u \in \mathbb{Z} \cup \{-\infty, \infty\}, l \leq u\} \cup \{\perp\}$
- only signs with zero:  $P({-}, 0, +)$
- **initialized or not:**  $\{\bot, \top\}$

We may consider different abstraction levels of variable values:

- **sets of integer values:**  $\mathcal{P}(\mathbb{Z})$
- $\blacksquare$  intervals:  $\{[l, u] \mid l, u \in \mathbb{Z} \cup \{-\infty, \infty\}, l < u\} \cup \{\perp\}$
- only signs with zero:  $P({-}, 0, +)$
- **initialized or not:**  $\{\perp, \top\}$

Which abstraction is more precise than other?

Fixpoint approximation techniques: widening and narrowing

When the extreme fixpoints of the system of equations cannot be computed in finitely many steps, they can be approximated.

Generally, we have these two approaches:

- <sup>1</sup> we can find more abstract interpretation
- 2 we can make approximations in the current interpretation to accelerate convergence of Kleene's sequence

Here we are concerned with the second approach – the technique called widening.

Widening makes Kleene's sequence to converge

- $\blacksquare$  to a fixpoint possibly greater than the least one or
- to an element *s*, such that  $s > F(s)$ .

In the second case, since *s* is greater then the least fixpoint, we can use narrowing to make the solution more precise – i.e. to find some fixpoint smaller than *s* but possibly greater than the least fixpoint.

- If the Kleene's sequence does not converge, then there exists a location  $x_i$  on a program loop where the sequence does not converge.
- We need a widening function  $\nabla : L \times L \rightarrow L$ , which is applied every time the location  $x_i$  is updated:  $x_i = x_i \nabla F_i(\vec{x})$ .
- We must define  $\nabla$  such that
	- **for each** *x*,  $y \in L$ ,  $x \circ y \leq x \nabla y$ , i.e.  $\nabla$  overapproximates operation  $\circ$ ,
	- it ensures that every infinite sequence of elements occurring in *x<sup>i</sup>* is not strictly increasing.

Example: Interval bounds of integer variable x

```
{locations are after}
1 \times = 1;2 while (x <= 100) {
3 x = x + 1;4 }
```
Example: Interval bounds of integer variable x

```
{locations are after}
1 \times = 1;2 while (x <= 100) {
x2 = (x1 ∪ x3) ∩ [−∞, 100]
3 x = x + 1;4 }
```

```
{functions}
           x_1 = [1, 1]x_3 = x_2 + [1, 1]x_4 = (x_1 ∪ x_3) ∩ [101, ∞]
```
Example: Interval bounds of integer variable x

$$
\begin{array}{ll}\n\{ \text{locations are after} \} & \{ \text{functions} \} \\
1 & x = 1; \\
2 & \text{while } (x \le 100) \{ \begin{aligned} x_1 &= [1, 1] \\
x_2 &= (x_1 \cup x_3) \cap [-\infty, 100] \\
x_3 &= x + 1; \\
x_4 &= (x_1 \cup x_3) \cap [101, \infty] \n\end{aligned} \end{array}
$$

Widening operator  $\nabla$ :  $[i, j] \nabla [k, l] = [ite(k < i, -\infty, i), ite(l > j, \infty, j)]$ 

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{no widening}  $x_1 = [1, 1]$  $x_2 = [1, 100]$  $x_3 = [2, 101]$  $x_4 = [101, 101]$ 100 iterations

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$$
\{n_0 \text{ widening}\} \{X_3 = X_3 \nabla (X_2 + [1, 1])\} X_1 = [1, 1] \qquad X_2 = [1, 100] \qquad X_3 = [2, 101] \qquad X_4 = [101, 101] \qquad X_5 = [2, \infty] 100 iterations \qquad 2 iterations
$$

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X_1 = [1, 1] \{X_2 = [1, 100] \} \{X_3 = [2, 101] \} \{X_2 = [1, 100] \} \{X_3 = [2, \infty] \} \{X_4 = [101, 101] \} \{X_4 = [101, \infty] \} \{100 \text{ iterations} \}
$$

- When widening ends with  $s > F(s)$ , we improve solution *s* as follows:  $s \geq F(s) \geq \ldots \geq F^n(s) \geq \ldots \geq s_0$ , where  $s_0$  is the least fixpoint.
- When the sequence is finite, its limit is better approximation of  $s<sub>0</sub>$ .
- If the sequence is infinite, we apply narrowing function  $\triangle: L \times L \rightarrow L$  at not stabilizing location  $x_i$  such that  $x_i = x_i \triangle F_i(\vec{x})$ .
- $\blacksquare$  Operator  $\triangle$  must satisfy:
	- **■** for each  $x, y \in L$ ,  $x > y \rightarrow (x \ge x \triangle y > y)$ , i.e.  $\triangle$  tries to slow down the decreasing of the sequence,
	- it ensures, that every infinite sequence of elements starting from any *s* is not strictly decreasing.

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Example: Interval bounds of integer variable x

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{locations are after}
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1 \times = 1;2 while (x <= 100) {
x2 = (x1 ∪ x3) ∩ [−∞, 100]
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 $x_1 = [1, 1]$  $X_4 = (X_1 \cup X_3) \cap [101, \infty]$ 

#### Example: Interval bounds of integer variable  $x$

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\begin{array}{ll}\n\text{1 locations are after} & \{\text{functions}\} \\
1 & x = 1; \\
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\text{2} & \text{while } (x \leq 100) \\
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1 & \text{if } x_3 = x_2 + [1, 1] \\
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### Narrowing operator △:  $[i, j] \triangle [k, l] = [ite(i = -\infty, k, min(i, k)), ite(j = \infty, l, max(j, l))]$

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{no widening}  $x_1 = [1, 1]$  $x_2 = [1, 100]$  $x_3 = [2, 101]$  $x_4 = [101, 101]$ 100 iterations {widening}  $x_1 = [1, 1]$  $x_2 = [1, 100]$  $x_3 = [2, \infty]$   $x_3 = [2, 101]$  $x_4 = [101, \infty]$   $x_4 = [101, 101]$ 2 iteration  $\{x_3 = x_3 \wedge (x_2 + [1, 1])\}$  $x_1 = [1, 1]$  $x_2 = [1, 100]$ +1 iteration