# IA159 Formal Methods for Software Analysis Program Slicing and Points-to Analysis

Jan Strejček

Faculty of Informatics Masaryk University

### focus

- slicing via dependence graphs
- points-to analysis
- static single assignment (SSA)
- data dependencies
- control dependencies

#### source

M. Chalupa: Program Slicing and Symbolic Execution for Verification, PhD thesis, 2021.

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■ introduced in M. D. Weiser: Program Slicing, ICSE 1981

the approach based on dependence graphs presented in K. J. Ottenstein and L. M. Ottenstein: The Program Dependence Graph in a Software Development Environment, SDE 1984

- program debugging
- code comprehension
- code optimization including automatic parallelization
- software verification

**.**..

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**.**..

a typical application in software verification (implemented in Symbiotic)

- 1 find potentially erroneous statements by a cheap analysis
- 2 slice the program to preserve all executions of these statements
- 3 verify the sliced program

Which statements are irrelevant for the assert?

### Which statements are irrelevant for the assert?

1	z = z + 3;	1	z = z + 3;
2	if (z > 0) {	2	if (z > 0) {
3	x = z + 1;	3	x = z + 1;
4	z = 3 * x;	4	
5	} else {	5	} else {
6	y = z + 5;	6	
7	$x = x \star x - z;$	7	x = x * x - z;
8	}	8	}
9	if $(x > y)$	9	
10	z = x - 1;	10	
11	<pre>assert(x &gt; 0);</pre>	11	assert(x > 0);

#### build a dependence graph for the given program

- nodes are statements
- edges correspond to data and control dependencies
- 2 sliced program corresponds to the nodes that are backward reachable from the slicing criterion(s)

#### intuitive meanings

- a statement r is data dependent on a statement w if there exists a program execution where r reads a value from a memory that has been written by w
- a statement n is control dependent on a statement b if b is the closest point where a program execution may go some way that misses n
- in practice, we compute overapproximations

```
1 z = z + 3;
2 if (z > 0) {
3 x = z + 1;
4 z = 3 * x;
5 } else {
6 y = z + 5;
7 x = x * x - z;
8 }
9 if (x > y)
10 z = x - 1;
11 assert (x > 0);
```

1 z = z + 3;2  $if (z > 0) \{$ 3 x = z + 1;4 z = 3 \* x; 5 } else {  $6 \quad y = z + 5;$ 7  $X = X \star X - Z;$ 8 } 9 if (x > y)10 z = x - 1;11 assert(x > 0);

$$(1: z = z + 3)$$

$$(2: if (z > 0))$$

$$(3: x = z + 1)$$

$$(6: y = z + 5)$$

$$(4: z = 3 * x)$$

$$(7: x = x * x - z)$$

$$(9: if (x > y))$$

$$(10: z = x - 1)$$

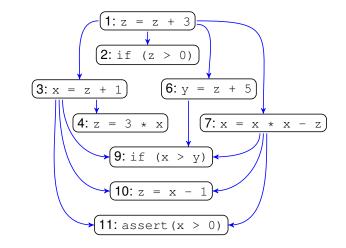
$$(11: assert (x > 0))$$



*r* is data dependent on *w* if there exists a program execution where *r* reads a value from a memory that has been written by *w n* is control dependent on *b* if *b* is the closest point

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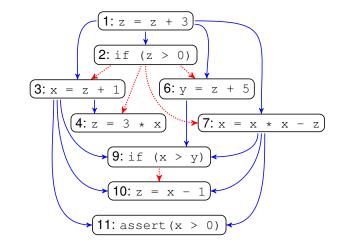




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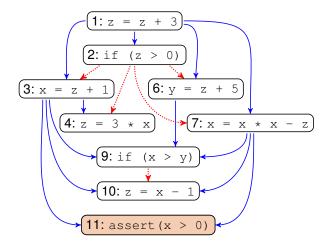




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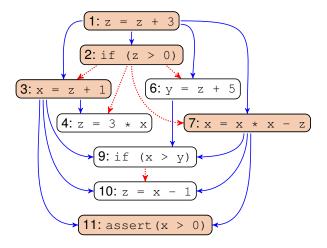




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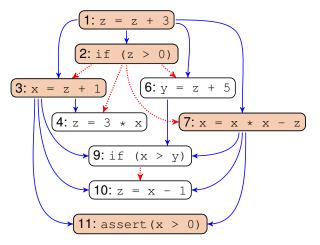




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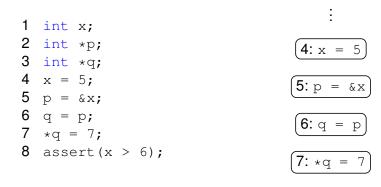


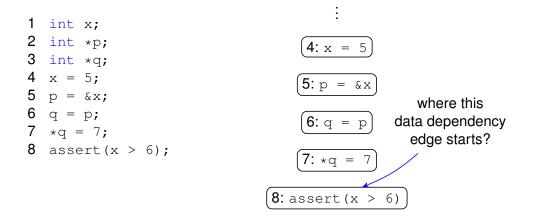
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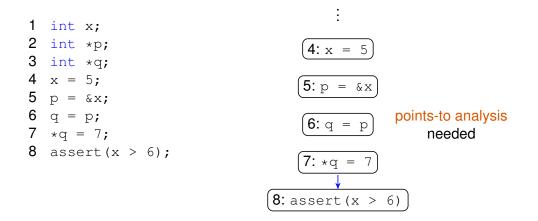
where the program may go some way that misses *n* 

Points-to analysis aka pointer analysis

1 int x; 2 int \*p; 3 int \*q; 4 x = 5; 5 p = &x; 6 q = p; 7 \*q = 7; 8 assert(x > 6);







- assigns to each pointer p the points-to set that contains all memory locations p may point to
- memory locations are abstractions of concrete objects located in memory during program execution
  - often identified with allocation statements like 1: int x or 35: malloc (128)
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  - can represent more concrete objects, e.g., for malloc in cycle
- we use two additional memory locations
  - null representing a pointer value NULL
  - unknown saying that the pointer can point anywhere
- additionally, it tracks which memory locations represent one concrete memory object and which are abstract
- can be computed by an abstract interpretation

- can be flow sensitive or insensitive
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in static single assignment (SSA) form

```
1 int y;
2
  int *data = malloc(40);
3
  . . .
4
  int *p = &y;
5
  if (y > 2) {
6 p = NULL;
7 } else {
8
  p = data + 2;
9
10
  int *q = p;
```

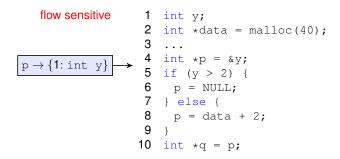
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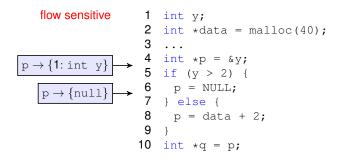
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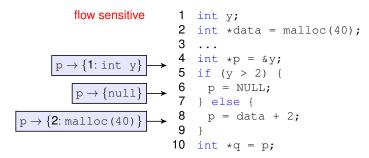
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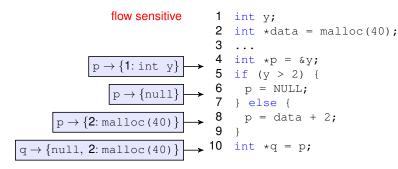


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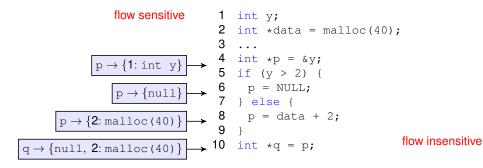
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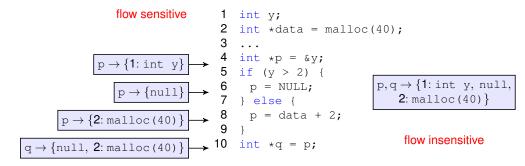
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- flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
- flow insensitive analysis used mainly for programs in static single assignment (SSA) form
- can be field insensitive or sensitive
  - field sensitive analysis tracks also offsets
  - field sensitive analysis is more precise but more expensive

flow sensitive  
field insensitive  
field insensitive  

$$p \rightarrow \{1: \text{ int } y\}$$
  
 $p \rightarrow \{1: \text{ int } y\}$   
 $p \rightarrow \{null\}$   
 $p \rightarrow \{null\}$   
 $p \rightarrow \{2: \text{ malloc}(40)\}$   
 $p \rightarrow \{null, 2: \text{ malloc}(40)\}$   
 $10 \text{ int } *q = p;$   
 $p \rightarrow \{1: \text{ int } y, \text{ null}, 2: \text{ malloc}(40)\}$   
 $p \rightarrow \{null, 2: \text{ malloc}(40)\}$ 

q-

### can be flow sensitive or insensitive

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flow sensitive  
field sensitive  
field sensitive  

$$p \rightarrow \{(1: int y, 0)\} \rightarrow \{ (1: int y, 0) \} \rightarrow \{ (1: int y,$$

- a popular algorithm for points-to analysis presented in L. O. Andersen: Program Analysis and Specialization for the C Programming Language, PhD thesis, 1994
- applications of points-to analysis
  - can prove that a program is memory safe, i.e., it contains no invalid pointer dereference and no invalid memory deallocation
  - can be used for computation of data dependencies
  - can help to identify functions called via a function pointer
  - • •

Static single assignment (SSA)

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a program form with only one assignment statement for each variable
 the assignment statement can be evaluated repeatedly
 special instructions called *o*-nodes added

1 x = input();1  $x_1 = input();$ 2 z = x + 3;2  $z_1 = x_1 + 3;$ **3** if (z > 0) { **3** if  $(z_1 > 0)$  { 4  $x_2 = z_1 + 1;$ 4 x = z + 1;5 z = 3 \* x;5  $z_2 = 3 * x_2;$ 6 } else { 6 } else { 7 z = z + 5;7  $z_3 = z_1 + 5;$ 8 } 8 } 9 9  $x_3 = \phi(x_2, x_1);$ 10 10  $z_4 = \phi(z_2, z_3);$ 11 z = z + x;11  $z_5 = z_4 + x_3;$ 

### simplifies static analysis

- without SSA, x may have different values in different locations
- with SSA, *x<sub>i</sub>* has the same value everywhere
- flow-insensitive analyses provide better results for programs in SSA
- used in many verification tools and also in compilers
- LLVM IR also uses SSA (sort of)

Data dependence

Consider a fixed control flow graph (CFG) with nodes *V*. We assume that for each node  $n \in V$ , we have sets:

- **sdef**(n) of memory locations that must be written by n
- *wdef*(*n*) of memory locations that may be written by *n*
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- null,unknown  $\notin$  *sdef*(*n*) and null  $\notin$  *wdef*(*n*)  $\cup$  *ref*(*n*)
- $sdef(n) \subseteq wdef(n)$
- sdef(n) contains only memory locations that represent one concrete object each time n is executed
- the sets can be computed by a field-sensitive points-to analysis

#### Definition (data dependence)

Let *V* be the set of nodes of a CFG. A node  $n_r \in V$  is data dependent on a node  $n_w \in V$  if there is a path  $n_w = n_1, n_2, ..., n_k = n_r$  in the CFG such that

- unknown  $\notin$  wdef $(n_w) \cup$  ref $(n_r)$  and wdef $(n_w) \cap$  ref $(n_r) \not\subseteq \bigcup_{1 < i < k}$  sdef $(n_i)$  or
- unknown  $\in$  wdef $(n_w)$  and ref $(n_r) \not\subseteq \bigcup_{1 < i < k}$  sdef $(n_i)$  or

• unknown  $\in ref(n_r)$  and  $wdef(n_w) \not\subseteq \bigcup_{1 < i < k} sdef(n_i)$ .

## Definition (reaching definition)

Consider a node  $n_w$  and  $e \in wdef(n_w)$ . We say that the definition of e at  $n_w$  reaches a node n if there is a path  $n_w = n_1, n_2, \ldots, n_k = n$  and  $e \notin \bigcup_{1 < i < k} sdef(n_i)$ .

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■ reaching definitions can be computed by an abstract interpretation
 ■ unknown ∈ wdef(n<sub>w</sub>) reaches all nodes reachable from n<sub>w</sub>

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#### Theorem

If  $n_r$  is data dependent on  $n_w$ , then

- the definition of some  $e \in wdef(n_w)$  at  $n_w$  reaches  $n_r$  and  $e \in ref(n_r)$ , or
- unknown ∈ wdef( $n_w$ ) ∪ ref( $n_r$ ) and wdef( $n_w$ ) ≠ Ø and ref( $n_r$ ) ≠ Ø.

- the previous theorem allows to compute an overapproximation of data dependencies with use reaching definitions
- computation is relatively slow because it computes more information than needed
- there are faster algorithms, e.g., byte-memory SSA algorithm presented in M. Chalupa: Program Slicing and Symbolic Execution for Verification, PhD thesis, 2021

Control dependence

Which statements are irrelevant for the assert?

```
1 unsigned int i,n;
2 n = input();
3 i = 0;
4 while (i < n) {
5 i++;
6 }
7 assert(false);
```

1 unsigned int i,n; 2 n = input(); 3 i = 0; 4 while (i >= n) { 5 i++; 6 } 7 assert(false); Which statements are irrelevant for the assert?

1		1 unsign	ed int i,n;
2		<b>2</b> n = in	put();
3		<b>3</b> i = 0;	
4		4 while	(i >= n) {
5		5 i++;	
6		<b>6</b> }	
7	assert(false);	7 assert	(false);

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Which statements are irrelevant for the assert?

```
1
                                  1
                                    unsigned int i,n;
2
                                  2 n = input();
3
                                  3 i = 0;
4
                                  4 while (i \ge n) {
5
                                  5 i++;
6
                                  6
                                    }
7
                                  7 assert(false);
  assert(false);
```

removing a potentially non-terminating cycle can transform an unrechable code into a reachable

line 7 on the right is unreachable if input () always returns 0

Intuitively, a statement *n* is control dependent on a statement *b* if *b* is the closest point where the program may go some way that misses *n*.

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#### weak control dependence

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#### strong control dependence

- sensitive to program non-termination: there can be a dependence between two statements if one can infinitely delay the execution of the other
- an instance: non-termination sensitive control dependence

An exit-CFG is a CFG with a unique exit node that is reachable from every other node.

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## Definition (post-dominance)

Given an exit-CFG, its node *b* post-dominates a node *a* if *b* is on every path from *a* to exit. If  $a \neq b$ , we say that *b* strictly post-dominates *a*.

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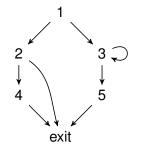
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#### Definition (standard control dependence)

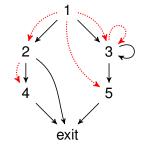
Given an exit-CFG, we say that node *n* is standard control dependent (SCD) on node *b* if

- 1 there exists a non-trivial path  $\pi$  from *b* to *n* with any node on  $\pi$  (excluding *b*) post-dominated by *n* and
- 2 *b* is not strictly post-dominated by *n*.

## Standard control dependence



## Standard control dependence



the SCD relation for an exit-CFG (V, E) can be computed in time O(|E|) using the algorithm of J. Ferrante et al.: The Program Dependence Graph and Its Use in Optimization, TOPLAS 1987

each CFG can be transformed into an exit-CFG

- predicate nodes in CFG are nodes corresponding to branching statements
- maximal path is a path that cannot be further prolonged, i.e., it is infinite or it ends in a node without any successor

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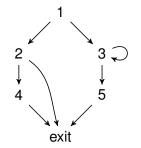
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#### Definition (non-termination sensitive control dependence)

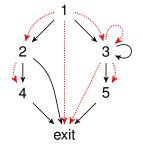
Given a CFG, a node *n* is non-termination sensitive control dependent (NTSCD) on a predicate node *p* if *p* has two successors  $s_1$  and  $s_2$  such that

- **1** all maximal paths from  $s_1$  contain *n* and
- 2 there exists a maximal path from  $s_2$  that does not contain n.

# Non-termination sensitive control dependence



# Non-termination sensitive control dependence



■ the NTSCD relation for a CFG (*V*, *E*) can be computed in time  $O(|V|^2)$  using the algorithm of *M. Chalupa et al.: Fast Computation of Strong Control Dependencies, CAV 2021* 

NTSCD treats every program cycle as potentialy non-terminating

- we used program dependence graphs for programs without procedure calls
- there are also system dependence graphs for programs with procedure calls
- both NTSCD and SCD have applications in program slicing for software verification: SCD leads to smaller sliced programs and can only lead to produce false alarms, but not to false negatives
- there are other notions of control dependence, e.g., decisive order dependence (DOD)
- points-to analysis and slicer for LLVM implemented in DG https://github.com/mchalupa/dg