

# IA159 Formal Methods for Software Analysis

## Program Slicing and Points-to Analysis

Jan Strejček

Faculty of Informatics  
Masaryk University

## focus

- slicing via dependence graphs
- points-to analysis
- static single assignment (SSA)
- data dependencies
- control dependencies

## sources

- M. Chalupa: [Program Slicing and Symbolic Execution for Verification](#), PhD thesis, 2021.
- B. Alpern, M. N. Wegman, and F. K. Zadeck: [Detecting equality of variables in programs](#), POPL 1988.
-

**Program slicing** reduces a given program by removing statements that are irrelevant for a given **slicing criterion**.

**Program slicing** reduces a given program by removing statements that are irrelevant for a given **slicing criterion**.

A typical **slicing criterion** is a specific statement or a set of statements. Sliced program should preserve all executions of these statements, i.e., preserve the reachability of these statements and all data they process.

**Program slicing** reduces a given program by removing statements that are irrelevant for a given **slicing criterion**.

A typical **slicing criterion** is a specific statement or a set of statements. Sliced program should preserve all executions of these statements, i.e., preserve the reachability of these statements and all data they process.

- introduced in *M. D. Weiser: Program Slicing, ICSE 1981*
- the approach based on **dependence graphs** presented in *K. J. Ottenstein and L. M. Ottenstein: The Program Dependence Graph in a Software Development Environment, SDE 1984*

# Applications of program slicing

- program debugging
- code comprehension
- code optimization including automatic parallelization
- software verification
- ...

# Applications of program slicing

- program debugging
- code comprehension
- code optimization including automatic parallelization
- software verification
- ...

a typical application in software verification (implemented in **Symbiotic**)

- 1 find potentially erroneous statements by a cheap analysis
- 2 slice the program to preserve all executions of these statements
- 3 verify the sliced program

Which statements are irrelevant for the `assert`?

```
1  z = z + 3;
2  if (z > 0) {
3    x = z + 1;
4    z = 3 * x;
5  } else {
6    y = z + 5;
7    x = x * x - z;
8  }
9  if (x > y)
10   z = x - 1;
11  assert(x > 0);
```



Which statements are irrelevant for the `assert`?

```
1  z = z + 3;
2  if (z > 0) {
3    x = z + 1;
4    z = 3 * x;
5  } else {
6    y = z + 5;
7    x = x * x - z;
8  }
9  if (x > y)
10   z = x - 1;
11  assert(x > 0);
```

```
1  z = z + 3;
2  if (z > 0) {
3    x = z + 1;
4
5  } else {
6
7    x = x * x - z;
8  }
9
10
11  assert(x > 0);
```

# Basic slicing algorithm

- 1 build a **dependence graph** for the given program
  - nodes are statements
  - edges correspond to **data** and **control dependencies**
- 2 sliced program corresponds to the nodes that are backward reachable from the slicing criterion(s)

## intuitive meanings

- a statement  $r$  is **data dependent** on a statement  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$
- a statement  $n$  is **control dependent** on a statement  $b$  if  $b$  is the closest point where a program execution may go some way that misses  $n$
- in practice, we compute overapproximations

# Simple dependency graph

```
1 z = z + 3;  
2 if (z > 0) {  
3   x = z + 1;  
4   z = 3 * x;  
5 } else {  
6   y = z + 5;  
7   x = x * x - z;  
8 }  
9 if (x > y)  
10   z = x - 1;  
11 assert(x > 0);
```

# Simple dependency graph

```
1 z = z + 3;
2 if (z > 0) {
3   x = z + 1;
4   z = 3 * x;
5 } else {
6   y = z + 5;
7   x = x * x - z;
8 }
9 if (x > y)
10  z = x - 1;
11 assert(x > 0);
```

1: z = z + 3

2: if (z > 0)

3: x = z + 1

6: y = z + 5

4: z = 3 \* x

7: x = x \* x - z

9: if (x > y)

10: z = x - 1

11: assert(x > 0)

$w$    $r$

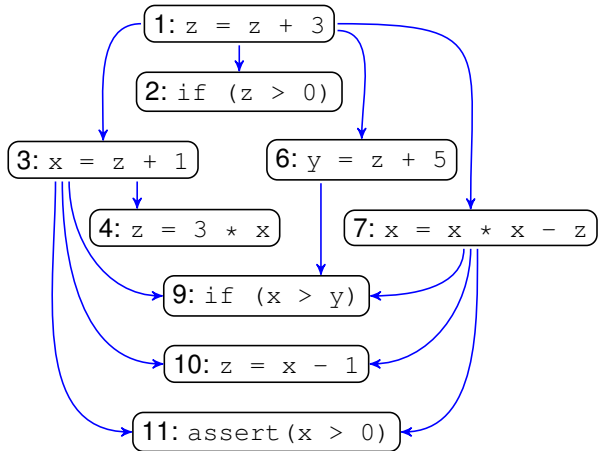
$b$    $n$

$r$  is **data dependent** on  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$

$n$  is **control dependent** on  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$

# Simple dependency graph

```
1 z = z + 3;
2 if (z > 0) {
3   x = z + 1;
4   z = 3 * x;
5 } else {
6   y = z + 5;
7   x = x * x - z;
8 }
9 if (x > y)
10  z = x - 1;
11 assert(x > 0);
```



$w$    $r$

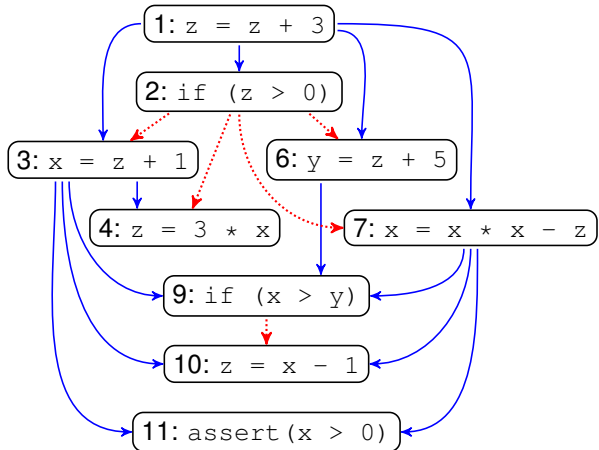
$b$    $n$

$r$  is **data dependent** on  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$

$n$  is **control dependent** on  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$

# Simple dependency graph

```
1 z = z + 3;
2 if (z > 0) {
3   x = z + 1;
4   z = 3 * x;
5 } else {
6   y = z + 5;
7   x = x * x - z;
8 }
9 if (x > y)
10  z = x - 1;
11 assert(x > 0);
```



$w \rightarrow r$

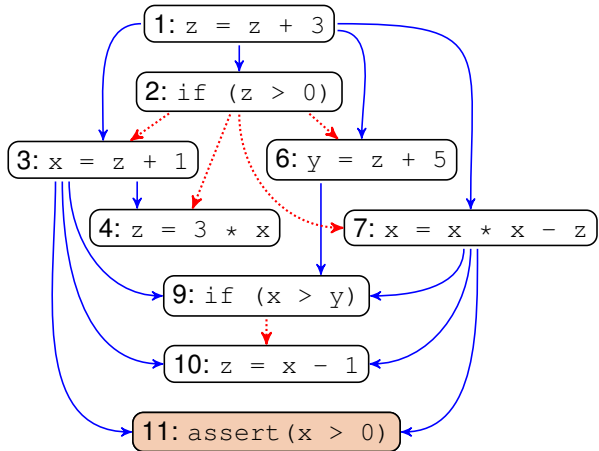
$b \dashrightarrow n$

$r$  is **data dependent** on  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$

$n$  is **control dependent** on  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$

# Simple dependency graph

```
1 z = z + 3;
2 if (z > 0) {
3   x = z + 1;
4   z = 3 * x;
5 } else {
6   y = z + 5;
7   x = x * x - z;
8 }
9 if (x > y)
10  z = x - 1;
11 assert(x > 0);
```



$w \rightarrow r$

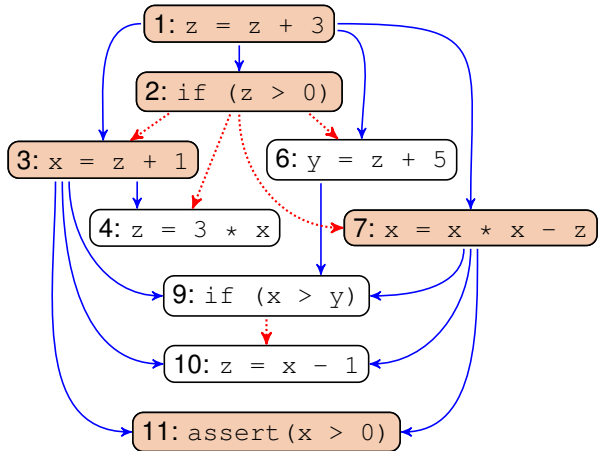
$b \dashrightarrow n$

$r$  is **data dependent** on  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$

$n$  is **control dependent** on  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$

# Simple dependency graph

```
1 z = z + 3;
2 if (z > 0) {
3   x = z + 1;
4   z = 3 * x;
5 } else {
6   y = z + 5;
7   x = x * x - z;
8 }
9 if (x > y)
10  z = x - 1;
11 assert(x > 0);
```



$w$    $r$

$b$    $n$

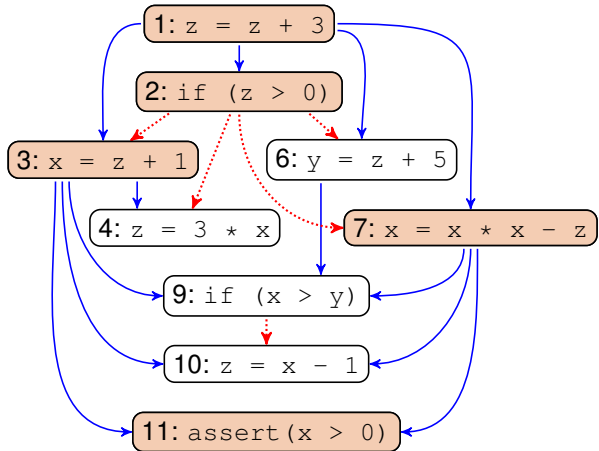
$r$  is **data dependent** on  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$

$n$  is **control dependent** on  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$



# Simple dependency graph

```
1 z = z + 3;  
2 if (z > 0) {  
3   x = z + 1;  
4  
5 } else {  
6  
7   x = x * x - z;  
8 }  
9  
10  
11 assert(x > 0);
```



$w \rightarrow r$

$b \rightarrow n$

$r$  is **data dependent** on  $w$  if there exists a program execution where  $r$  reads a value from a memory that has been written by  $w$

$n$  is **control dependent** on  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$

Points-to analysis aka pointer analysis

How data dependencies look like?

```
1 int x;  
2 int *p;  
3 int *q;  
4 x = 5;  
5 p = &x;  
6 q = p;  
7 *q = 7;  
8 assert(x > 6);
```

How data dependencies look like?

```
1 int x;  
2 int *p;  
3 int *q;  
4 x = 5;  
5 p = &x;  
6 q = p;  
7 *q = 7;  
8 assert(x > 6);
```

⋮

4: x = 5

5: p = &x

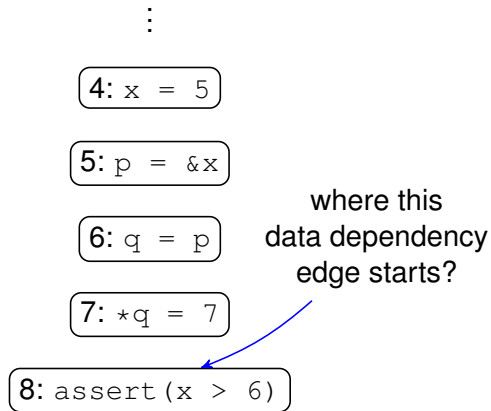
6: q = p

7: \*q = 7

8: assert(x > 6)

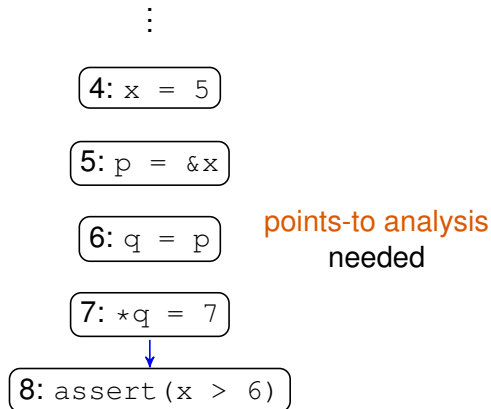
How data dependencies look like?

```
1 int x;  
2 int *p;  
3 int *q;  
4 x = 5;  
5 p = &x;  
6 q = p;  
7 *q = 7;  
8 assert(x > 6);
```



How data dependencies look like?

```
1 int x;  
2 int *p;  
3 int *q;  
4 x = 5;  
5 p = &x;  
6 q = p;  
7 *q = 7;  
8 assert(x > 6);
```



# Points-to analysis

- assigns to each pointer  $p$  the **points-to set** that contains all memory locations  $p$  may point to
- **memory locations** are abstractions of concrete objects located in memory during program execution
  - often identified with allocation statements like `1: int x` or `35: malloc(128)`
  - can represent more concrete objects, e.g., for `malloc` in cycle

# Points-to analysis

- assigns to each pointer  $p$  the **points-to set** that contains all memory locations  $p$  may point to
- **memory locations** are abstractions of concrete objects located in memory during program execution
  - often identified with allocation statements like `1:int x` or `35:malloc(128)`
  - can represent more concrete objects, e.g., for `malloc` in cycle
- we use two additional memory locations
  - `null` representing a pointer value NULL
  - `unknown` saying that the pointer can point anywhere
- additionally, it tracks which memory locations represent one concrete memory object and which are abstract
- can be computed by an abstract interpretation



# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form

```
1 int y;  
2 int *data = malloc(40);  
3 ...  
4 int *p = &y;  
5 if (y > 2) {  
6     p = NULL;  
7 } else {  
8     p = data + 2;  
9 }  
10 int *q = p;
```

# Points-to analysis

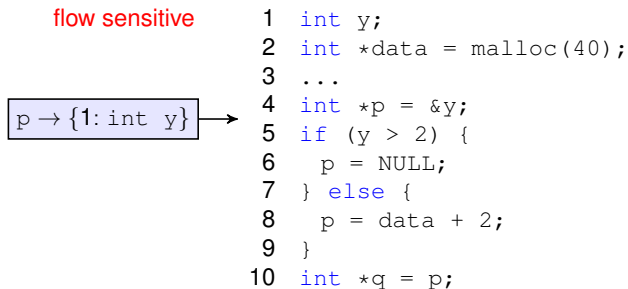
- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form

**flow sensitive**

```
1 int y;  
2 int *data = malloc(40);  
3 ...  
4 int *p = &y;  
5 if (y > 2) {  
6     p = NULL;  
7 } else {  
8     p = data + 2;  
9 }  
10 int *q = p;
```

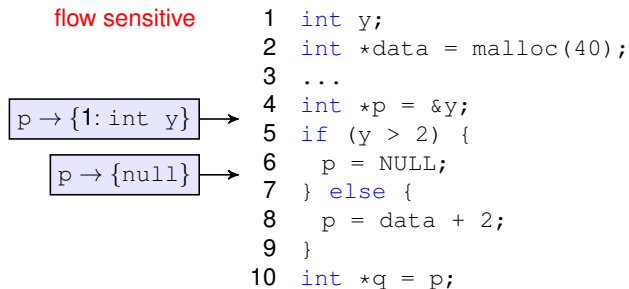
# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form



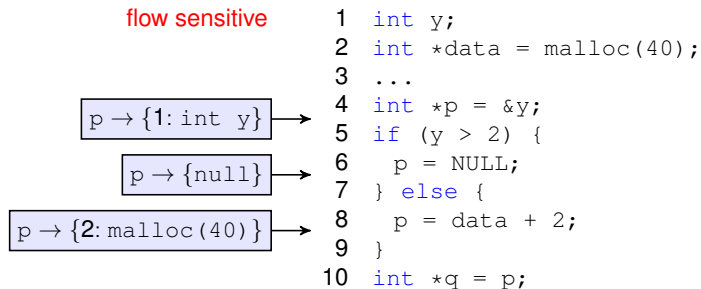
# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form



# Points-to analysis

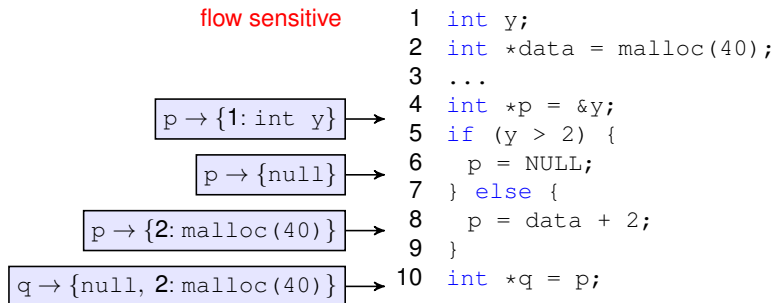
- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form



# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form

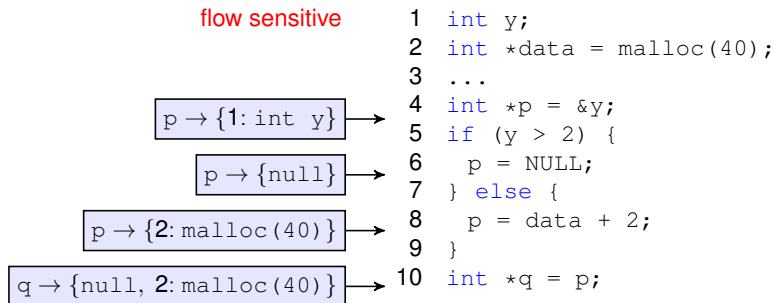
flow sensitive



# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form

flow sensitive



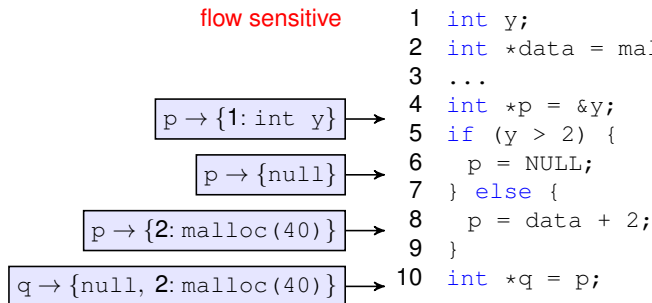
```
1 int y;  
2 int *data = malloc(40);  
3 ...  
4 int *p = &y;  
5 if (y > 2) {  
6     p = NULL;  
7 } else {  
8     p = data + 2;  
9 }  
10 int *q = p;
```

flow insensitive

# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form

flow sensitive



$p, q \rightarrow \{1: \text{int } y, \text{null}, 2: \text{malloc}(40)\}$

flow insensitive



# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form
- can be **field insensitive** or **sensitive**
  - field sensitive analysis tracks also **offsets**
  - field sensitive analysis is more precise but more expensive

flow sensitive  
field insensitive

$p \rightarrow \{1: \text{int } y\}$

$p \rightarrow \{\text{null}\}$

$p \rightarrow \{2: \text{malloc}(40)\}$

$q \rightarrow \{\text{null}, 2: \text{malloc}(40)\}$

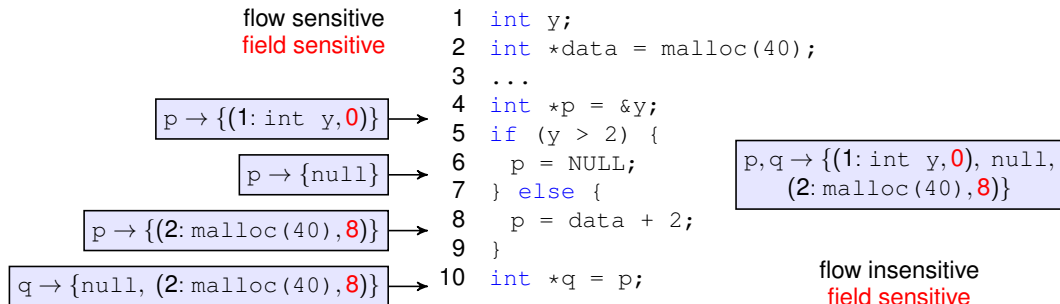
```
1 int y;
2 int *data = malloc(40);
3 ...
4 int *p = &y;
5 if (y > 2) {
6     p = NULL;
7 } else {
8     p = data + 2;
9 }
10 int *q = p;
```

$p, q \rightarrow \{1: \text{int } y, \text{null}, 2: \text{malloc}(40)\}$

flow insensitive  
field insensitive

# Points-to analysis

- can be **flow sensitive** or **insensitive**
  - flow sensitive analysis assigns a points-to set to a pointer and a program location (more precise but more expensive)
  - flow insensitive analysis used mainly for programs in **static single assignment (SSA)** form
- can be **field insensitive** or **sensitive**
  - field sensitive analysis tracks also **offsets**
  - field sensitive analysis is more precise but more expensive



- a popular algorithm for points-to analysis presented in *L. O. Andersen: Program Analysis and Specialization for the C Programming Language, PhD thesis, 1994*
- applications of points-to analysis
  - can prove that a program is **memory safe**, i.e., it contains no invalid pointer dereference and no invalid memory deallocation
  - can be used for computation of **data dependencies**
  - can help to identify functions called via a function pointer
  - ...

Static single assignment (SSA)

# Static single assignment (SSA)

- a program form with only one assignment statement for each variable
- the assignment statement can be evaluated repeatedly
- special instructions called  $\phi$ -nodes added

# Static single assignment (SSA)

- a program form with only one assignment statement for each variable
- the assignment statement can be evaluated repeatedly
- special instructions called  $\phi$ -nodes added

```
1  x = input ();
2  z = x + 3;
3  if (z > 0) {
4    x = z + 1;
5    z = 3 * x;
6  } else {
7    z = z + 5;
8  }
9
10
11 z = z + x;
```

# Static single assignment (SSA)

- a program form with only one assignment statement for each variable
- the assignment statement can be evaluated repeatedly
- special instructions called  $\phi$ -nodes added

```
1 x = input();
2 z = x + 3;
3 if (z > 0) {
4   x = z + 1;
5   z = 3 * x;
6 } else {
7   z = z + 5;
8 }
9
10
11 z = z + x;
```

```
1 x1 = input();
2 z1 = x1 + 3;
3 if (z1 > 0) {
4   x2 = z1 + 1;
5   z2 = 3 * x2;
6 } else {
7   z3 = z1 + 5;
8 }
9 x3 =  $\phi(x_2, x_1)$ ;
10 z4 =  $\phi(z_2, z_3)$ ;
11 z5 = z4 + x3;
```

- simplifies static analysis
  - without SSA,  $x$  may have different values in different locations
  - with SSA,  $x_i$  has the same value everywhere
  - flow-insensitive analyses provide better results for programs in SSA
- used in many verification tools and also in compilers
- LLVM IR also uses SSA (sort of)



Data dependence

Consider a fixed **control flow graph (CFG)** with nodes  $V$ . We assume that for each node  $n \in V$ , we have sets:

- $sdef(n)$  of memory locations that **must** be written by  $n$
- $wdef(n)$  of memory locations that **may** be written by  $n$
- $ref(n)$  of memory locations that **may** be read by  $n$

Consider a fixed **control flow graph (CFG)** with nodes  $V$ . We assume that for each node  $n \in V$ , we have sets:

- $sdef(n)$  of memory locations that **must** be written by  $n$
  - $wdef(n)$  of memory locations that **may** be written by  $n$
  - $ref(n)$  of memory locations that **may** be read by  $n$
- 
- $null, unknown \notin sdef(n)$  and  $null \notin wdef(n) \cup ref(n)$
  - $sdef(n) \subseteq wdef(n)$
  - $sdef(n)$  contains only memory locations that represent one concrete object each time  $n$  is executed
  - the sets can be computed by a field-sensitive points-to analysis

## Definition (data dependence)

Let  $V$  be the set of nodes of a CFG. A node  $n_r \in V$  is **data dependent** on a node  $n_w \in V$  if there is a path  $n_w = n_1, n_2, \dots, n_k = n_r$  in the CFG such that

- $\text{unknown} \notin wdef(n_w) \cup ref(n_r)$  and  $wdef(n_w) \cap ref(n_r) \not\subseteq \bigcup_{1 < i < k} sdef(n_i)$  or
- $\text{unknown} \in wdef(n_w)$  and  $ref(n_r) \not\subseteq \bigcup_{1 < i < k} sdef(n_i)$  or
- $\text{unknown} \in ref(n_r)$  and  $wdef(n_w) \not\subseteq \bigcup_{1 < i < k} sdef(n_i)$ .

## Definition (reaching definition)

Consider a node  $n_w$  and  $e \in wdef(n_w)$ . We say that the **definition** of  $e$  at  $n_w$  **reaches** a node  $n$  if there is a path  $n_w = n_1, n_2, \dots, n_k = n$  and  $e \notin \bigcup_{1 < i < k} sdef(n_i)$ .

## Definition (reaching definition)

Consider a node  $n_w$  and  $e \in wdef(n_w)$ . We say that the **definition** of  $e$  at  $n_w$  **reaches** a node  $n$  if there is a path  $n_w = n_1, n_2, \dots, n_k = n$  and  $e \notin \bigcup_{1 < i < k} sdef(n_i)$ .

- reaching definitions can be computed by an abstract interpretation
- `unknown`  $\in wdef(n_w)$  reaches all nodes reachable from  $n_w$

## Definition (reaching definition)

Consider a node  $n_w$  and  $e \in wdef(n_w)$ . We say that the **definition** of  $e$  at  $n_w$  **reaches** a node  $n$  if there is a path  $n_w = n_1, n_2, \dots, n_k = n$  and  $e \notin \bigcup_{1 < i < k} sdef(n_i)$ .

- reaching definitions can be computed by an abstract interpretation
- `unknown`  $\in wdef(n_w)$  reaches all nodes reachable from  $n_w$

## Theorem

*If  $n_r$  is data dependent on  $n_w$ , then*

- *the definition of some  $e \in wdef(n_w)$  at  $n_w$  reaches  $n_r$  and  $e \in ref(n_r)$ , or*
- *`unknown`  $\in wdef(n_w) \cup ref(n_r)$  and  $wdef(n_w) \neq \emptyset$  and  $ref(n_r) \neq \emptyset$ .*

- the previous theorem allows to compute an overapproximation of data dependencies with use reaching definitions
- computation is relatively slow because it computes more information than needed
- there are faster algorithms, e.g., **byte-memory SSA** algorithm presented in *M. Chalupa: Program Slicing and Symbolic Execution for Verification, PhD thesis, 2021*



Control dependence

Which statements are irrelevant for the `assert`?

```
1 unsigned int i,n;  
2 n = input();  
3 i = 0;  
4 while (i < n) {  
5     i++;  
6 }  
7 assert(false);
```

```
1 unsigned int i,n;  
2 n = input();  
3 i = 0;  
4 while (i >= n) {  
5     i++;  
6 }  
7 assert(false);
```

Which statements are irrelevant for the `assert`?

```
1  
2  
3  
4  
5  
6  
7 assert(false);
```

```
1 unsigned int i, n;  
2 n = input();  
3 i = 0;  
4 while (i >= n) {  
5     i++;  
6 }  
7 assert(false);
```

Which statements are irrelevant for the `assert`?

```
1  
2  
3  
4  
5  
6  
7 assert(false);
```

```
1 unsigned int i, n;  
2 n = input();  
3 i = 0;  
4 while (i >= n) {  
5   i++;  
6 }  
7 assert(false);
```

- removing a potentially non-terminating cycle can transform an unreachable code into a reachable
- line 7 on the right is unreachable if `input()` always returns 0

## Two notions of control dependence

Intuitively, a statement  $n$  is **control dependent** on a statement  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$ .

# Two notions of control dependence

Intuitively, a statement  $n$  is **control dependent** on a statement  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$ .

## weak control dependence

- assumes that every execution is finite
- an instance: **standard control dependence**

# Two notions of control dependence

Intuitively, a statement  $n$  is **control dependent** on a statement  $b$  if  $b$  is the closest point where the program may go some way that misses  $n$ .

## weak control dependence

- assumes that every execution is finite
- an instance: **standard control dependence**

## strong control dependence

- sensitive to program non-termination: there can be a dependence between two statements if one can infinitely delay the execution of the other
- an instance: **non-termination sensitive control dependence**

# Standard control dependence

An **exit-CFG** is a CFG with a unique **exit** node that is reachable from every other node.



# Standard control dependence

An **exit-CFG** is a CFG with a unique **exit** node that is reachable from every other node.

## Definition (post-dominance)

Given an exit-CFG, its node  $b$  **post-dominates** a node  $a$  if  $b$  is on every path from  $a$  to exit. If  $a \neq b$ , we say that  $b$  **strictly post-dominates**  $a$ .

# Standard control dependence

An **exit-CFG** is a CFG with a unique **exit** node that is reachable from every other node.

## Definition (post-dominance)

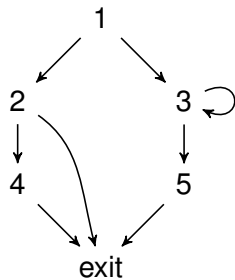
Given an exit-CFG, its node  $b$  **post-dominates** a node  $a$  if  $b$  is on every path from  $a$  to exit. If  $a \neq b$ , we say that  $b$  **strictly post-dominates**  $a$ .

## Definition (standard control dependence)

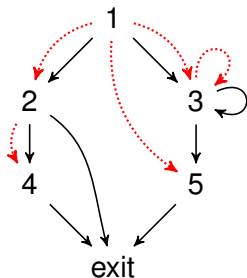
Given an exit-CFG, we say that node  $n$  is **standard control dependent (SCD)** on node  $b$  if

- 1 there exists a non-trivial path  $\pi$  from  $b$  to  $n$  with any node on  $\pi$  (excluding  $b$ ) post-dominated by  $n$  and
- 2  $b$  is not strictly post-dominated by  $n$ .

# Standard control dependence



# Standard control dependence



- the SCD relation for an exit-CFG  $(V, E)$  can be computed in time  $\mathcal{O}(|E|)$  using the algorithm of *J. Ferrante et al.: The Program Dependence Graph and Its Use in Optimization, TOPLAS 1987*
- each CFG can be transformed into an exit-CFG

# Non-termination sensitive control dependence

- **predicate nodes** in CFG are nodes corresponding to branching statements
- **maximal path** is a path that cannot be further prolonged, i.e., it is infinite or it ends in a node without any successor

# Non-termination sensitive control dependence

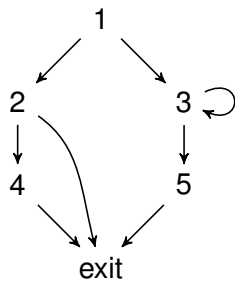
- **predicate nodes** in CFG are nodes corresponding to branching statements
- **maximal path** is a path that cannot be further prolonged, i.e., it is infinite or it ends in a node without any successor

## Definition (non-termination sensitive control dependence)

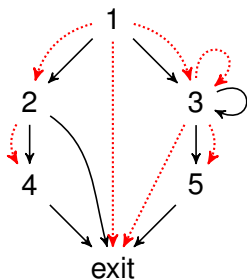
Given a CFG, a node  $n$  is **non-termination sensitive control dependent (NTSCD)** on a predicate node  $p$  if  $p$  has two successors  $s_1$  and  $s_2$  such that

- 1 all maximal paths from  $s_1$  contain  $n$  and
- 2 there exists a maximal path from  $s_2$  that does not contain  $n$ .

# Non-termination sensitive control dependence



# Non-termination sensitive control dependence



- the NTSCD relation for a CFG  $(V, E)$  can be computed in time  $\mathcal{O}(|V|^2)$  using the algorithm of *M. Chalupa et al.: Fast Computation of Strong Control Dependencies, CAV 2021*
- NTSCD treats every program cycle as potentially non-terminating



- we used **program dependence graphs** for programs without procedure calls
- there are also **system dependence graphs** for programs with procedure calls
- both NTSCD and SCD have applications in program slicing for software verification: SCD leads to smaller sliced programs and can only lead to produce false alarms, but not to false negatives
- there are other notions of control dependence, e.g., **decisive order dependence (DOD)**
- points-to analysis and slicer for LLVM implemented in **DG**  
`https://github.com/mchalupa/dg`