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THE CITY

Suggestions for the investigation of human behavior in the urban environment [1925]

THE CITY . . . IS SOMETHING MORE than a congeries of individual men and of social conveniences – streets, buildings, electric lights, tramways, and telephones, etc.; something more, also, than a mere constellation of institutions and administrative devices – courts, hospitals, schools, police, and civil functionaries of various sorts. The city is, rather, a state of mind, a body of customs and traditions, and of the organized attitudes and sentiments that inhere in these customs and are transmitted with this tradition. The city is not, in other words, merely a physical mechanism and an artificial construction. It is involved in the vital processes of the people who compose it; it is a product of nature, and particularly of human nature. [. . .]

Anthropology, the science of man, has been mainly concerned up to the present with the study of primitive peoples. But civilized man is quite as interesting an object of investigation, and at the same time his life is more open to observation and study. Urban life and culture are more varied, subtle, and complicated, but the fundamental motives are in both instances the same. The same patient methods of observation which anthropologists like Boas and Lowie have expended on the study of the life and manners of the North American Indian might be even more fruitfully employed in the investigation of the customs, beliefs, social practices, and general conceptions of life prevalent in Little Italy on the lower North Side in Chicago, or in recording the more sophisticated folkways of the inhabitants of Greenwich Village and the neighborhood of Washington Square, New York.

We are mainly indebted to writers of fiction for our more intimate knowledge of contemporary urban life. But the life of our cities demands a more searching and disinterested study than even Émile Zola has given us in his 'experimental' novels and the annals of the Rougon-Macquart family.

We need such studies, if for no other reason than to enable us to read the newspapers intelligently. The reason that the daily chronicle of the newspaper is so

shocking, and at the same time so fascinating, to the average reader is because the average reader knows so little about the life of which the newspaper is the record.

The observations which follow are intended to define a point of view and to indicate a program for the study of urban life: its physical organization, its occupations, and its culture.

I. The city plan and local organization

The city, particularly the modern American city, strikes one at first blush as so little a product of the artless processes of nature and growth, that it is difficult to recognize it as a living entity. The ground plan of most American cities, for example, is a checkerboard. The unit of distance is the block. This geometrical form suggests that the city is a purely artificial construction which might conceivably be taken apart and put together again, like a house of blocks.

The fact is, however, that the city is rooted in the habits and customs of the people who inhabit it. The consequence is that the city possesses a moral as well as a physical organization, and these two mutually interact in characteristic ways to mold and modify one another. It is the structure of the city which first impresses us by its visible vastness and complexity. But this structure has its basis, nevertheless, in human nature, of which it is an expression. On the other hand, this vast organization which has arisen in response to the needs of its inhabitants, once formed, imposes itself upon them as a crude external fact, and forms them, in turn, in accordance with the design and interests which it incorporates. Structure and tradition are but different aspects of a single cultural complex which determines what is characteristic and peculiar to city, as distinguished from village, life and the life of the open fields. [. . .]

. . . As the city increases in population, the subtler influences of sympathy, rivalry, and economic necessity tend to control the distribution of population. Business and industry seek advantageous locations and draw around them certain portions of the population. There spring up fashionable residence quarters from which the poorer classes are excluded because of the increased value of the land. Then there grow up slums which are inhabited by great numbers of the poorer classes who are unable to defend themselves from association with the derelict and vicious.

In the course of time every section and quarter of the city takes on something of the character and qualities of its inhabitants. Each separate part of the city is inevitably stained with the peculiar sentiments of its population. The effect of this is to convert what was at first a mere geographical expression into a neighborhood, that is to say, a locality with sentiments, traditions, and a history of its own. Within this neighborhood the continuity of the historical processes is somehow maintained. The past imposes itself upon the present, and the life of every locality moves on with a certain momentum of its own, more or less independent of the larger circle of life and interests about it. [. . .]

. . . Proximity and neighborly contact are the basis for the simplest and most elementary form of association with which we have to do in the organization of city life. Local interests and associations breed local sentiment, and, under a system which makes residence the basis for participation in the government, the

neighborhood becomes the basis of political control. In the social and political organization of the city it is the smallest local unit. [. . .]

The neighborhood exists without formal organization. The local improvement society is a structure erected on the basis of the spontaneous neighborhood organization and exists for the purpose of giving expression to the local sentiment in regard to matters of local interest.

Under the complex influences of the city life, what may be called the normal neighborhood sentiment has undergone many curious and interesting changes, and produced many unusual types of local communities. More than that, there are nascent neighborhoods and neighborhoods in process of dissolution. . . . [. . .]

. . . In the city environment the neighborhood tends to lose much of the significance which it possessed in simpler and more primitive forms of society. The easy means of communication and of transportation, which enable individuals to distribute their attention and to live at the same time in several different worlds, tend to destroy the permanency and intimacy of the neighborhood. On the other hand, the isolation of the immigrant and racial colonies of the so-called ghettos and areas of population segregation tend to preserve and, where there is racial prejudice, to intensify the intimacies and solidarity of the local and neighborhood groups. Where individuals of the same race or of the same vocation live together in segregated groups, neighborhood sentiment tends to fuse together with racial antagonisms and class interests.

Physical and sentimental distances reinforce each other, and the influences of local distribution of the population participate with the influences of class and race in the evolution of the social organization. Every great city has its racial colonies, like the Chinatowns of San Francisco and New York, the Little Sicily of Chicago, and various other less pronounced types. In addition to these, most cities have their segregated vice districts, like that which until recently existed in Chicago, their rendezvous for criminals of various sorts. Every large city has its occupational suburbs, like the Stockyards in Chicago, and its residential enclaves, like Brookline in Boston, the so-called 'Gold Coast' in Chicago, Greenwich Village in New York, each of which has the size and the character of a complete separate town, village, or city, except that its population is a selected one. Undoubtedly the most remarkable of these cities within cities, of which the most interesting characteristic is that they are composed of persons of the same race, or of persons of different races but of the same social class, is East London, with a population of 2,000,000 laborers. [. . .]

It is a city full of churches and places of worship, yet there are no cathedrals, either Anglican or Roman; it has a sufficient supply of elementary schools, but it has no public or high school, and it has no colleges for the higher education and no university; the people all read newspapers, yet there is no East London paper except of the smaller and local kind. . . . In the streets there are never seen any private carriages; there is no fashionable quarter . . . one meets no ladies in the principal thoroughfares. People, shops, houses, conveyances — all together are stamped with the unmistakable seal of the working class.

Perhaps the strangest thing of all is this: in a city of two millions of people there are no hotels! That means, of course, that there are no visitors.

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In the older cities of Europe, where the processes of segregation have gone farther, neighborhood distinctions are likely to be more marked than they are in America. East London is a city of a single class, but within the limits of that city the population is segregated again and again by racial, cultural, and vocational interests. Neighborhood sentiment, deeply rooted in local tradition and in local custom, exercises a decisive selective influence upon the populations of the older European cities and shows itself ultimately in a marked way in the characteristics of the inhabitants. [. . .]

II. Industrial organization and the moral order

The ancient city was primarily a fortress, a place of refuge in time of war. The modern city, on the contrary, is primarily a convenience of commerce, and owes its existence to the market place around which it sprang up. Industrial competition and the division of labor, which have probably done most to develop the latent powers of mankind, are possible only upon condition of the existence of markets, of money, and other devices for the facilitation of trade and commerce. [. . .]

Success, under conditions of personal competition, depends upon concentration upon some single task, and this concentration stimulates the demand for rational methods, technical devices, and exceptional skill. Exceptional skill, while based on natural talent, requires special preparation, and it has called into existence the trade and professional schools, and finally bureaus for vocational guidance. All of these, either directly or indirectly, serve at once to select and emphasize individual differences.

Every device which facilitates trade and industry prepares the way for a further division of labor and so tends further to specialize the tasks in which men find their vocations.

The outcome of this process is to break down or modify the older social and economic organization of society, which was based on family ties, local associations, on culture, caste, and status, and to substitute for it an organization based on occupation and vocational interests.

In the city every vocation, even that of a beggar, tends to assume the character of a profession and the discipline which success in any vocation imposes, together with the associations that it enforces, emphasizes this tendency – the tendency, namely, not merely to specialize, but to rationalize one's occupation and to develop a specific and conscious technique for carrying it on.

The effect of the vocations and the division of labor is to produce, in the first instance, not social groups, but vocational types: the actor, the plumber, and the lumber-jack. The organizations, like the trade and labor unions which men of the

same trade or profession form, are based on common interests. In this respect they differ from forms of association like the neighborhood, which are based on contiguity, personal association, and the common ties of humanity. The different trades and professions seem disposed to group themselves in classes, that is to say, the artisan, business, and professional classes. But in the modern democratic state the classes have as yet attained no effective organization. Socialism, founded on an effort to create an organization based on 'class consciousness,' has never succeeded, except, perhaps, in Russia, in creating more than a political party.

The effects of the division of labor as a discipline, i.e., as means of molding character, may therefore be best studied in the vocational types it has produced. Among the types which it would be interesting to study are: the shopgirl, the policeman, the peddler, the cabman, the nightwatchman, the clairvoyant, the vaudeville performer, the quack doctor, the bartender, the ward boss, the strike-breaker, the labor agitator, the school teacher, the reporter, the stockbroker, the pawnbroker; all of these are characteristic products of the conditions of city life; each, with its special experience, insight, and point of view determines for each vocational group and for the city as a whole its individuality. [. . .]

. . . The division of labor, in making individual success dependent upon concentration upon a special task, has had the effect of increasing the interdependence of the different vocations. A social organization is thus created in which the individual becomes increasingly dependent upon the community of which he is an integral part. The effect, under conditions of personal competition, of this increasing interdependence of the parts is to create in the industrial organization as a whole a certain sort of social solidarity, but a solidarity based, not on sentiment and habit, but on community of interests. [. . .]

III. Secondary relations and social control

Modern methods of urban transportation and communication – the electric railway, the automobile, the telephone, and the radio – have silently and rapidly changed in recent years the social and industrial organization of the modern city. They have been the means of concentrating traffic in the business districts, have changed the whole character of retail trade, multiplying the residence suburbs and making the department store possible. These changes in the industrial organization and in the distribution of population have been accompanied by corresponding changes in the habits, sentiments, and character of the urban population. [. . .]

. . . It is characteristic of city life that all sorts of people meet and mingle together who never fully comprehend one another. The anarchist and the club man, the priest and the Levite, the actor and the missionary who touch elbows on the street still live in totally different worlds. So complete is the segregation of vocational classes that it is possible within the limits of the city to live in an isolation almost as complete as that of some remote rural community. [. . .]

In the immigrant colonies which are now well established in every large city, foreign populations live in an isolation which is different from that of the population of East London, but in some respects more complete.

The difference is that each one of these little colonies has a more or less independent political and social organization of its own, and is the center of a more or less vigorous nationalist propaganda. For example, each one of these groups has one or more papers printed in its own language. In New York City there were, a few years ago, 270 publications, most of them supported by the local population, printed in 23 different languages. In Chicago there were 19 daily papers published in 7 foreign languages with a combined daily circulation of 368,000 papers.

Under these conditions the social ritual and the moral order which these immigrants brought with them from their native countries have succeeded in maintaining themselves for a considerable time under the influences of the American environment. Social control, based on the home mores, breaks down, however, in the second generation. [. . .]

Under the conditions imposed by city life in which individuals and groups of individuals, widely removed in sympathy and understanding, live together under conditions of interdependence, if not of intimacy, the conditions of social control are greatly altered and the difficulties increased.

The problem thus created is usually characterized as one of 'assimilation.' It is assumed that the reason for rapid increase of crime in our large cities is due to the fact that the foreign element in our population has not succeeded in assimilating American culture and does not conform to the American mores. This would be interesting, if true, but the facts seem to suggest that perhaps the truth must be sought in the opposite direction. [. . .]

As a source of social control public opinion becomes important in societies founded on secondary relationships, of which great cities are a type. In the city every social group tends to create its own milieu and, as these conditions become fixed, the mores tend to accommodate themselves to the conditions thus created. In secondary groups and in the city fashion tends to take the place of custom, and public opinion, rather than the mores, becomes the dominant force in social control.

In any attempt to understand the nature of public opinion and its relation to social control it is important to investigate first of all the agencies and devices which have come into practical use in the effort to control, enlighten, and exploit it.

The first and the most important of these is the press, that is, the daily newspaper and other forms of current literature, including books classed as current.

After the newspaper, the bureaus of research which are now springing up in all the large cities are the most interesting and the most promising devices for using publicity as a means of control.

The fruits of these investigations do not reach the public directly, but are disseminated through the medium of the press, the pulpit, and other sources of popular enlightenment.

In addition to these there are the educational campaigns in the interest of better health conditions, the child-welfare exhibits, and the numerous 'social advertising' devices which are now employed, sometimes upon the initiative of private societies, sometimes upon that of popular magazines or newspapers, in order to educate the public and enlist the masses of the people in the movement for the improvement of conditions of community life.

The newspaper is the great medium of communication within the city, and it is on the basis of the information which it supplies that public opinion rests. The first function which a newspaper supplies is that which formerly was performed by the village gossip.

In spite, however, of the industry with which newspapers pursue facts of personal intelligence and human interest, they cannot compete with the village gossips as a means of social control. For one thing, the newspaper maintains some reservations not recognized by gossip, in the matters of personal intelligence. For example, until they run for office or commit some other overt act that brings them before the public conspicuously, the private life of individual men or women is a subject that is, for the newspaper, taboo. It is not so with gossip, partly because in a small community no individual is so obscure that his private affairs escape observation and discussion; partly because the field is smaller. In small communities there is a perfectly amazing amount of personal information afloat among the individuals who compose them.

The absence of this in the city is what, in large part, makes the city what it is.
[. . .]

IV. Temperament and the urban environment

Great cities have always been the melting-pots of races and of cultures. Out of the vivid and subtle interactions of which they have been the centers, there have come the newer breeds and the newer social types. The great cities of the United States, for example, have drawn from the isolation of their native villages great masses of the rural populations of Europe and America. Under the shock of the new contacts the latent energies of these primitive peoples have been released, and the subtler processes of interaction have brought into existence not merely vocational, but temperamental, types.

. . . Transportation and communication have effected, among many other silent but far-reaching changes, what I have called the 'mobilization of the individual man.' They have multiplied the opportunities of the individual man for contact and for association with his fellows, but they have made these contacts and associations more transitory and less stable. A very large part of the populations of great cities, including those who make their homes in tenements and apartment houses, live much as people do in some great hotel, meeting but not knowing one another. The effect of this is to substitute fortuitous and casual relationship for the more intimate and permanent associations of the smaller community.

Under these circumstances the individual's status is determined to a considerable degree by conventional signs – by fashion and 'front' – and the art of life is largely reduced to skating on thin surfaces and a scrupulous study of style and manners.

Not only transportation and communication, but the segregation of the urban population tends to facilitate the mobility of the individual man. The processes of segregation establish moral distances which make the city a mosaic of little worlds

which touch but do not interpenetrate. This makes it possible for individuals to pass quickly and easily from one moral milieu to another, and encourages the fascinating but dangerous experiment of living at the same time in several different contiguous, but otherwise widely separated, worlds. All this tends to give to city life a superficial and adventitious character; it tends to complicate social relationships and to produce new and divergent individual types. It introduces, at the same time, an element of chance and adventure which adds to the stimulus of city life and gives it, for young and fresh nerves, a peculiar attractiveness. The lure of great cities is perhaps a consequence of stimulations which act directly upon the reflexes. As a type of human behavior it may be explained, like the attraction of the flame for the moth, as a sort of tropism.

The attraction of the metropolis is due in part, however, to the fact that in the long run every individual finds somewhere among the varied manifestations of city life the sort of environment in which he expands and feels at ease; finds, in short, the moral climate in which his peculiar nature obtains the stimulations that bring his innate dispositions to full and free expression. It is, I suspect, motives of this kind which have their basis, not in interest nor even in sentiment, but in something more fundamental and primitive which draw many, if not most, of the young men and young women from the security of their homes in the country into the big, booming confusion and excitement of city life. In a small community it is the normal man, the man without eccentricity or genius, who seems most likely to succeed. The small community often tolerates eccentricity. The city, on the contrary, rewards it. Neither the criminal, the defective, nor the genius has the same opportunity to develop his innate disposition in a small town that he invariably finds in a great city.

Fifty years ago every village had one or two eccentric characters who were treated ordinarily with a benevolent toleration, but who were regarded meanwhile as impracticable and queer. These exceptional individuals lived an isolated existence, cut off by their very eccentricities, whether of genius or of defect, from genuinely intimate intercourse with their fellows. If they had the making of criminals, the restraints and inhibitions of the small community rendered them harmless. If they had the stuff of genius in them, they remained sterile for lack of appreciation or opportunity. [. . .]

In the city many of these divergent types now find a milieu in which, for good or for ill, their dispositions and talents parturite and bear fruit. [. . .]

. . . It is inevitable that individuals who seek the same forms of excitement, whether that excitement be furnished by a horse race or by grand opera, should find themselves from time to time in the same places. The result of this is that in the organization which city life spontaneously assumes the population tends to segregate itself, not merely in accordance with its interests, but in accordance with its tastes or its temperaments. The resulting distribution of the population is likely to be quite different from that brought about by occupational interests or economic conditions.

Every neighborhood, under the influences which tend to distribute and segregate city populations, may assume the character of a 'moral region.' Such, for example, are the vice districts, which are found in most cities. A moral region is not necessarily a place of abode. It may be a mere rendezvous, a place of resort.

In order to understand the forces which in every large city tend to develop these detached milieus in which vagrant and suppressed impulses, passions, and ideals emancipate themselves from the dominant moral order, it is necessary to refer to the fact or theory of latent impulses of men.

The fact seems to be that men are brought into the world with all the passions, instincts, and appetites, uncontrolled and undisciplined. Civilization, in the interests of the common welfare, demands the suppression sometimes, and the control always, of these wild, natural dispositions. In the process of imposing its discipline upon the individual, in making over the individual in accordance with the accepted community model, much is suppressed altogether, and much more finds a vicarious expression in forms that are socially valuable, or at least innocuous. It is at this point that sport, play, and art function. They permit the individual to purge himself by means of symbolic expression of these wild and suppressed impulses. This is the catharsis of which Aristotle wrote in his *Poetic*, and which has been given new and more positive significance by the investigations of Sigmund Freud and the psychoanalysts.

No doubt many other social phenomena such as strikes, wars, popular elections, and religious revivals perform a similar function in releasing the subconscious tensions. But within smaller communities, where social relations are more intimate and inhibitions more imperative, there are many exceptional individuals who find within the limits of the communal activity no normal and healthful expression of their individual aptitudes and temperaments.

The causes which give rise to what are here described as 'moral regions' are due in part to the restrictions which urban life imposes; in part to the license which these same conditions offer. We have, until very recently, given much consideration to the temptations of city life, but we have not given the same consideration to the effects of inhibitions and suppressions of natural impulses and instincts under the changed conditions of metropolitan life. [. . .]

. . . What lends special importance to the segregation of the poor, the vicious, the criminal, and exceptional persons generally, which is so characteristic a feature of city life, is the fact that social contagion tends to stimulate in divergent types the common temperamental differences, and to suppress characters which unite them with the normal types about them. Association with others of their own ilk provides also not merely a stimulus, but a moral support for the traits they have in common which they would not find in a less select society. In the great city the poor, the vicious, and the delinquent, crushed together in an unhealthful and contagious intimacy, breed in and in, soul and body, so that it has often occurred to me that those long genealogies of the Jukes and the tribes of Ishmael would not show such a persistent and distressing uniformity of vice, crime, and poverty unless they were peculiarly fit for the environment in which they are condemned to exist.

We must then accept these 'moral regions' and the more or less eccentric and exceptional people who inhabit them, in a sense, at least, as part of the natural, if not the normal, life of a city.

It is not necessary to understand by the expression 'moral region' a place or a society that is either necessarily criminal or abnormal. It is intended rather to apply to regions in which a divergent moral code prevails, because it is a region in which the people who inhabit it are dominated, as people are ordinarily not dominated,

by a taste or by a passion or by some interest which has its roots directly in the original nature of the individual. It may be an art, like music, or a sport, like horse-racing. Such a region would differ from other social groups by the fact that its interests are more immediate and more fundamental. For this reason its differences are likely to be due to moral, rather than intellectual, isolation.

Because of the opportunity it offers, particularly to the exceptional and abnormal types of man, a great city tends to spread out and lay bare to the public view in a massive manner all the human characters and traits which are ordinarily obscured and suppressed in smaller communities. The city, in short, shows the good and evil in human nature in excess. It is this fact, perhaps, more than any other, which justifies the view that would make of the city a laboratory or clinic in which human nature and social processes may be conveniently and profitably studied.