#### **Always positive?** The consequences of candidate selection

Peter Spáč 16.3.2015

# **Candidate selection**

- A process taking place inside political parties
- May include broader masses than just party members
- Possible consequences may affect:
  - The political party itself
  - Events following the candidate selection
  - Politics in general



# Consequences

- Participation
- Representation
- Competitiveness
- Cohesion of parties
- Quality of candidates

# A test for citizens

- If you want to vote, please select the correct answers:
- 1. Who is the head of state in our country?
  - a) Prime Minister
  - b) President
  - c) Head of Constitutional court
- 2. How many MPs there are in our parliament?
  - a) 350
  - b) 500
  - c) 180

- Active approach of those who may select
- In general the idea of democracy:
  - Maximum inclusiveness the universal suffrage
  - Question of quantity, not quality
- Decreasing membership as a challenge for parties partly solved by raising inclusiveness in candidate selection

- Inclusiveness vs. turnout
- Inclusiveness:
  - The amount of people who may take part in selection
  - All members of party elite
- Turnout:
  - The share of people allowed to select who **actually took part** and selected
  - Members of party elite who met and selected

- Raising inclusiveness of the selectorate allows more people to decide, but does not automatically guarantee higher turnout
- Application of Olsonian logic:
  - Higher incentives to participate in smaller groups
  - And vice versa
- The more included people, the lesser weight of each person's vote

- A general trend raising inclusiveness of selectorate increases absolute number of people allowed to participate, but lowers turnout
- Typically a shift from party delegates to primaries
- The main logic when all are included, also the nonactive are

- Empirical findings:
  - Turnout of members around 50 %
  - Turnout of delegates 70 90 %
- Influencing factors:
  - The relation between selection and election
  - The predictability of results of the selection
  - Technical issues

## Participation - cases

- Likud (ISR) turnout:
  - Primaries around 50 %
  - Central committee around 90 %
- British parties:
  - Conservatives delegates 70 90 %
  - Liberals primaries 34 %
- Czech Republic

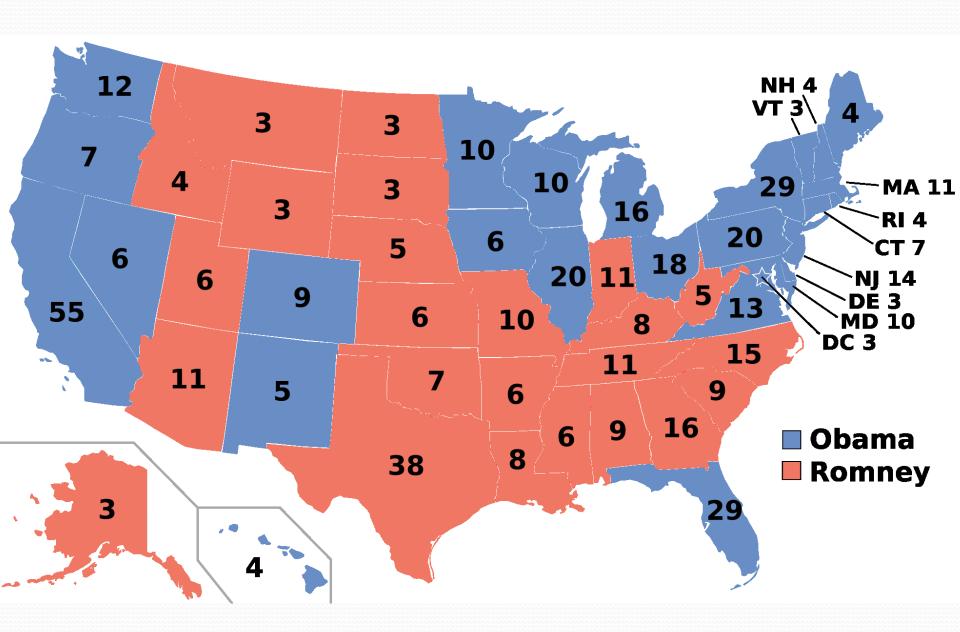
# Quantity vs. quality

- Increase of participation as a democratization tool
- Is there a point where negatives outweigh the positives?
- Risks of opening the gates to membership:
  - Mass registration of members
  - Uninformed members
  - Penalizing previous activity of members

- Typical impact of including members to participate
- Strong increase of membership before candidate selection and quick fall after the process
  - Canada 60 300 %
  - Israel similar findings
  - Iceland more participants on primaries than voters

- Important note mass registration is not connected only to primaries
- Similar effects may be present even when more exclusive selectorates have the real power
- Organized hiring of instant members
- Many cases also in Czech Republic (ODS, CSSD, etc.)

- Example:
  - Candidates are selected by regional delegate conventions
  - Each region consists of several counties
  - Main rule each county can nominate one delegate for each 500 members
- Is there an incentive to hire instant members?
- What can be done to prevent it or at least weaken incentives to do so?



- Preventing the risks:
  - Freezing the membership
  - Higher requirements for members to participate (which ones?)
  - Change of rules of candidate selection
  - Modification of creation of the selectorates

# 2. Representation

- Who / what will the candidates represent?
- Representation of ideas:
  - Candidates share the party's ideology
- Representation of presence:
  - Composition of candidates reflects the demographic structure of society (women, employers, young etc.)

# **Representation of presence**

- Easier to analyze than representation of ideas
  - Data from candidate lists vs. data for individual candidates
- A mutual influence?
  - Women (young people) may have lesser interest in being candidates
  - This may be due to lower chances they have in candidate selection

# Impact of candidacy

Higher requirements decrease representation:

- Age  $\rightarrow$  older aspirants
- Monetary deposit  $\rightarrow$  lower social groups, women
- Previous experience  $\rightarrow$  younger aspirants
- Lower requirements may improve representation, but the party has weaker control on the process
- Who may benefit from **no requirements** on candidates?

# Impact of selectorate



# Impact of selectorate

- More **exclusive selectorate** improves representation:
  - Smaller group with ability to find and agreement through discussion
  - Composition of candidates is made via coordination and cooperation
  - Balancing the final selection in ideological and social aspects

# Impact of selectorate

#### Inclusive selectorate:

- Undermines representation
- Mostly without ability to coordinate and cooperate
- Numerous masses support uniformity
- Western Europe increasing inclusiveness of selectorate in parallel with adding quotas
  - D66 primaries are preceded by screening process
  - A coincidence?







# Impact of decentralization

- Ensuring both territorial and social representation may be mutually exclusive (FPTP as prime example)
- Selection on non-national level:
  - Ensures territorial representation, but harms social representation
  - Social representation (f.e. women) is better achieved in more centralized selection
- Quotas:
  - Help the social representation
  - Small quotas may help a specific person instead of the whole group

# Impact of appointment/voting

- Strongly connected to impact of selectorate
  - More exclusive selectorate appoint
  - More inclusive selectorate vote
- Various effects of applied voting systems:
  - FPTP, Two round, STV, List PR
  - Different chances for coordination and balancing

# Measuring representation

- Many ways how to operationalize representation
- Index of representation (IR):

$$IR = \frac{\sum Wrp}{\sum Rp} \times 100$$

 Percentage share of candidates of the respective group (women) among all candidates

# Measuring representation

• Weighted index of representation (WIR):

WIR = 
$$\frac{\sum \left[ \left( \frac{Wp}{Vpi} \right) \times Rp \right]}{\sum Rp} \times 100$$

 Share of candidates of the respective group (women) on realistic positions taking account to value of these positions

- Example:
  - 7 realistic positions
  - Women got positions 3, 6 and 7
  - Values position seven (1 point), position six (2 points),
     ... position one (7 points)

- Sum of all values:
  7 + 6 + 5 + 4 + 3 + 2 + 1 = 28
  Sum of women's positions:
  5 + 2 + 1 = 8
- WIR:
  - 8 / 28 \* 100 = 28,6 %

Position	Value	
1	7	
2	6	
3	5	
4	4	
5	3	
6	2	
7	1	

- Sum of all values:
  7+6+5+4+3+2+1=28
- Sum of women's positions:
  7 + 6 + 5 = 18
- WIR:
  - 18 / 28 \* 100 = 64,3 %

Position	Value	
1	7	
2	6	
3	5	
4	4	
5	3	
6	2	
7	1	

#### • What if there are more selection events?

- 14 in Czech Republic (each district individually)
- Each selection is calculated separately
- Each selection has a weight equal to its realistic positions

#### • Example:

- Selection one 7 seats, WIR = 28,6
- Selection two 3 seats, WIR = 60
- WIR for both =  $(28,6^{*}7 + 60^{*}3) / 10 = 38$
- Pure average of 28,6 and 60 would be 44,3

Region	Weight (ODS)	WIR (ODS)	
Prague	8	0,19	1,52
Central Bohemia	8 o		0
South Bohemia	6	0	0
Plzen	6	0,1	0,6
Karlovy Vary	4	0	0
Usti nad Labem	6	0,24	1,44
Liberec	4	0	0
Hradec Kralove	6	0,05	0,3
Pardubice	4	0	0
Vysocina	4	0,05	2
South Moravia	8	0,11	0,88
Olomouc	6	0	0
Zlin	6	0	0
Moravia-Silesia	8	0,28	2,24
	84	0,11	8,98

# 3. Competition



- Is the process a real competition?
- Is it a challenge for the incumbents?

#### • No competition:

- Number of aspirants equals number of candidates (on realistic positions)
- Typically FPTP with just one aspirant
- In multi member districts even equality of aspirants and realistic positions may include **some** competition

## Impacts on competition

- Candidacy:
  - Higher / lower requirements put on incumbents may affect their chances
  - Automatic or easier reselection
  - Age restrictions, maximum amount of served terms

# Impacts on competition

- Selectorate:
- Party elite lowest competition
  - High support for incumbents
  - Preventing intraparty conflicts by making only little changes
- **Primaries** middle competition
  - Support of incumbents better known to members
  - Obstacles for newcomers needed support of numerous groups → campaign
- **Delegates** highest competition

# Impacts on competition

- Decentralization:
- High territorial decentralization lowers competition:
  - USA only 2 % of incumbents lost in primaries
  - Similar findings in Ireland or Israel
  - Germany lower turnovers in SMD than in regions
- Quotas:
  - Effect strongly depends on the "previous" situation
  - Typically adopted after women already gained seats

# Measuring competition

• Aspirant index 1:

$$AI1 = \frac{\sum Crp}{\sum RP}$$

- Crp candidates competing for realistic positions
- RP number of realistic position
- 26 candidates competing for 4 seats = 26 / 4 = 6,5
- 13 candidates competing for 11 seats = 13 / 11 = 1,2

# Measuring competition

• Aspirant index 2:

$$AI2 = \frac{\sum Cni}{\sum Ci}$$

- Cni non-incumbents competing for realistic positions
- Ci incumbents competing for realistic positions
- 26 candidates are competing out of which 17 are non-incumbents = 17 / 9 = 1,89

# Measuring competition

• Non-incumbents winning index (NIWI):

$$\text{NIWI} = \frac{\sum Wni}{\sum Ci}$$

- Wni non-incumbents who won a position that is equal or higher than number of incumbents
- Ci number of competing incumbents
- 7 incumbents are competing and won positions 1, 2, 4, 6 and 7  $\rightarrow$  2 / 7 = 0,29

- 6 incumbents on the list
- Positions of incumbents :
  - 1, 2, 4, 6, 7, 9
- Positions of newcomers:
  - 3, 5, 8, 10
  - 2 newcomers in positions 1-6
- NIWI = 2 / 6 = 0,33

Position	Incumbent
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

# Other consequences

- Cohesion of parties:
  - Candidates respond to those who can select them
  - Applying more inclusive selectorates reduces cohesion:
    - Higher importance of candidates and their profiles
    - Lesser importance of party's profile as a whole
  - Allowing non-members to participate even supports these trends

# Democracy at its best?

Selectorate	Inclusiveness	Representation	Competition	Cohesion
Elite / Committee	Low	High	Low	High
Party delegates	Medium	Medium	High	Medium
Party members	High	Low	Medium	Lower