

# COMPLEX NATURE OF ETHNICITY

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# Population groups



	Croats		Montenegrins		Greeks		Romanians
	Bosniaks <sup>1</sup>		Macedonians		Turks		Hungarians
	Serbs		Albanians		Bulgarians		Germans

Valachs Political tensions

1. Muslims according to the former Yugoslavia census.

PHILIPPE REKACEWICZ, LE MONDE DIPLOMATIQUE  
AND UNEP/GRID-ARENDAI MAY 2007

# Outline



- Syllabus, Questions
- Theoretical aspects of Ethnicity
- Citizenship as a Part of Identity
- History and its impact on Kosovo's character and development
- Group projects
- Next lecture

## 2 Theoretical aspects of Ethnicity

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- Identity
- Ethnicity
- Approaches to ethnicity
- What does it bring? Why does it matter?

# Identity

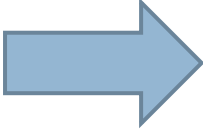
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- The fact of being who or what a person or thing is
- The characteristics determining who or what a person or thing is  
(Oxford dictionaries)

# What is IDENTITY

- “people’s **concepts of who they are, of what sort of people they are, and how they relate to others**” (Hogg and Abrams 1988, 2).
- „the way individuals and groups **define themselves and are defined by others** on the basis of race, ethnicity, religion, language, and culture” (Deng 1995, 1).
- „the ways in which **individuals and collectivities are distinguished** in their social relations **with other individuals and collectivities**” (Jenkins 1996, 4).
- “**relatively stable, role-specific understandings and expectations about self**” (Wendt 1992, 397).

# IDENTITY

- unique self
  - sense of sameness
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- social identity**
- multifaceted, deeply contextual character
  - by referring to those who lie outside the identity group (females vs. males, Serbs vs. Albanians)

# What is Ethnicity?

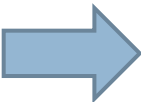
- a component of the identity profile
- ascriptive identity based primarily upon assumed kinship
- expressed by a combination of appearance, culture, language, religion, origin, and so on

(Horowitz 1985)

- central to understanding ethnic conflict and interethnic relations



# Primordialism

- natural, objective feature of human identity
- genetic bonds
- equal footing with race and gender
- Conflict  outcome of essential differences
- obsolete in the academic world
- holds power with many people

# Constructivism

- socially imagined
  - Constructed and reconstructed
  - Created through social interaction
  - Contextual
  - Dynamic, changeable
- 
- dominant paradigm in the study of ethnicity and nationalism
  - Re-constructed when changes occur in the subjective identification of individuals or in the entry rules for belonging to a community

# Max Weber

- *„mode of drawing boundaries between individuals and hence group-making.“*
- Common origin
- *Role of political community in fostering sense of common ethnies and solidarity*
- Perceived non-members avoided, despised
- Subjective aspects of reciprocal reaction (sexual relationship)

# Percentage of heterogamous marriages in Yugoslavia by republics and autonomous regions

	196288	196264	197072	198082	198688
YUGOSLAVIA	12.4	11.4	12.7	12.7	
BosniaHercegovina		11.2	9.3	12.1	11.9
Montenegro	17.8	14.4	12.9	12.4	
Croatia	15.3	14.9	16.5	16.9	
Macedonia	1	3.4	9.7	8.2	7.5
Slovenia	7.6	7.8	10.8	11.7	
Serbia	11.9	11.4	12.5	12.6	
Proper	8.2	7.4	9.3	9.8	
Vojvodina	22.3	24.2	26.4	28.0	
Kosovo	9.4	7.6	5.9	5.0	

Source: Savezni Zavod Statistiki. 19611988. Demografska Statistika. Belgrad: SZS

# Thomas H. Eriksen

- *Identity and its relation with a culture is a key component of ethnicity*
- *„aspect of social relationship between agents, which consider themselves as culturally distinctive from members of other groups with whom they have a minimum of regular interaction*
- infinite number of versions of each culture - none of which is more true than the others

# Gerd Baumann

- concept of ethnicity is often reflexively **'reified'** in a way that places ethnicities beyond change
- *'Thingification'* or *turning concepts into things*
- Putative identity is turned into something hard, unchangeable and absolute
- ***„Intuitive sceptics“*** over entry rules

# Slavenka Drakulic

.. As the battlefront is nearing Zagreb and stories about atrocities are creeping in, a certain transformation begins to shape. *Whereas before, she felt Yugoslavian and her putative Croatianess meant nothing to her, she now feels this identity is closing in on her.*

She compares national identity with wearing an ill-fitting shirt. ... its tight, short you don't like the colour but there is nothing else to wear... Its hard to shrug off this Croatianess when at the same time people are killed and massacred only because they are Croats.

In new state of Croatia, no one is allowed not to be a Croat. Even if this is not what one would called freedom, perhaps it would be morally unjust to tear off the shirt of the suffering nation ...

# Anthony D. Smith

- Ethnie
- *"human population with shared ancestry myths, cultures, histories, specific territory association sense of solidarity"  
widespread in history, emerged and reemerged in different period, continents, culture areas right up to the modern area*
- ethnie as a precursor of nations, power of symbols, rituals, traditions in construction of shared identity among members of particular group



### 3 Ethno-symbolic components underlie all nations and explain PERSISTANCE & VARIETY:

1. Ethno-Histories: the Golden Age of ethnic groups
2. Ethnic Election: the ethnic community as a Chosen People
3. The Ancestral Homeland

Nationalism                      *ethnic / civic*

# Benedict Anderson

- Cultural roots of the nation
- Convergence of **capitalism, print technology** and **rise of certain languages** created a **new form of imagined community** which set the stage for the **modern nation**
- imagined political community inherently limited and sovereign

(Anderson 1991: 6-7).

# Ernest Gellner

- “Nations as a natural , God-given way of classifying men, as an inherent...political destiny, are a *myth*: nationalism, which sometimes takes pre-existing cultures and turns them into nations, sometimes invents them, and often obliterates pre-existing cultures: *that is reality.*” (1983: 48).
- Focus on socio-economic conditions.
- *Ethnicity matters because modern nation-state is build on ethno-national principals of political legitimacy*

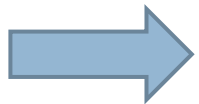
# Eric Hobsbawm

- liberal nationalism, economic expansion and unification
- I. economic or liberal nationalism based on **economic viability**.
- the nation was based on the THRESHOLD principle  
*a state had to be of sufficient size to form a viable unit of development. If it fell below this threshold, it had no historic justification*
- Issues such as *language, ethnicity, religion* were not relevant
- Nationalism as a political programme.

# Rogers Brubaker

- group as core concept for many fields
- a discrete, sharply differentiated, internally homogenous and externally bounded, basic constituent of social life, fundamental unit of social analysis, interest and agency can be attributed, *unitary collective actor with common purpose*
- ethnic, racial, national conflict still often framed as a conflict of ethnicities, nations and races

- How categories are transformed into groups?  
(political, psychological, social process )
- High level of groupness is **result** not the **cause** of conflict
- *Organisations = Protagonist/ icons* NOT ethnic group (PLO, LTTE)



UNITARY TRAP  
EVERYDAY PRIMORDIALISM

(Brubaker 2004, Fearon and Laitin 2000)



# 3 Citizenship as a Part of Identity

- Outline
- Definition
- Citizenship / Nationality
- State/ Democratic
- State heterogeneity?
- Citizenship regime in Western Balkans
- Kosovo citizenship



# CITIZENSHIP



- Identity & status in society
- Objective / subjective
- rights & duties
- universality & equality, solidarity
- Active / passive
- inclusion/ exclusion
- Political / ethno-cultural
- CITIZENSHIP V. NATIONALITY

## □ STATE CITIZENSHIP

- FORMAL *general membership status*
- WELFARE

## □ DEMOCRATIC CITIZENSHIP

- ***Common membership of a shared and imminent community*** (*citizenship as a political activity addressing collective and general concerns*)

- Political state-centric identity
- *Marginalization* of groups (race, class, gender, ethnicity, language, nationality, sexuality..)
- **Community membership** (inclusive and occlusive) X **heterogeneity**
- Universal identity X particular interests (Purvis, Hunt 1999: 461)

# Citizenship regime in Western Balkans

- Dissolution?
- Redefinition of citizenship status
- Political tool
  - ▣ Nation-building
  - ▣ Ethnic engineering
- Legal continuity of only republican citizenship
  - ▣ Self-designed criteria  
(national state Croatia, diasporas right, near abroad)

# Kosovo

- Nationhood political/ ethno-cultural (Brubaker)
- Joppke's Citizenship
  - ▣ Status openness/ inclusiveness (all pre-war citizens, dual citizenship Serbs/diaspora? , public service )
  - ▣ Rights (*individual supplemented by community*)
  - ▣ Identity (*international design, post-national state, Kosovo nation?*)

# 4 History and its impact on Kosovo's character and development

- Map
- Pre-war
- Post-war
- Post-Independence

# Ethnic Distribution in the Balkans





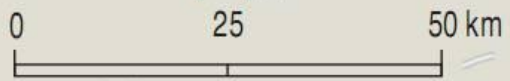





# Ethnic diversity in Kosovo



Source: UNMIK; Ninth assessment of the situation of ethnic minorities in Kosovo (2002), OSCE-UNHCR; Kosovo Humanitarian Community Information Center, Kosovo road Atlas.





'Kosovo is a plain  
where the Serbs bend over to work the soil,  
Albanians sweat in the mining shafts  
underground,  
Turks (largely spent and reminiscing about past  
fame) grow poppies and peppers,  
while the Gypsies fill the air with the sounds of  
life.'

A. N. Dragnich and S. Todorovich, *The Saga of Kosovo*, East European Monographs, New York, 1984, p. 5

# Early history

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Illyrians

12th century Serbian kingdom

June 28 1389

1974

80s

1990

1993-97

March 1998

March 1999

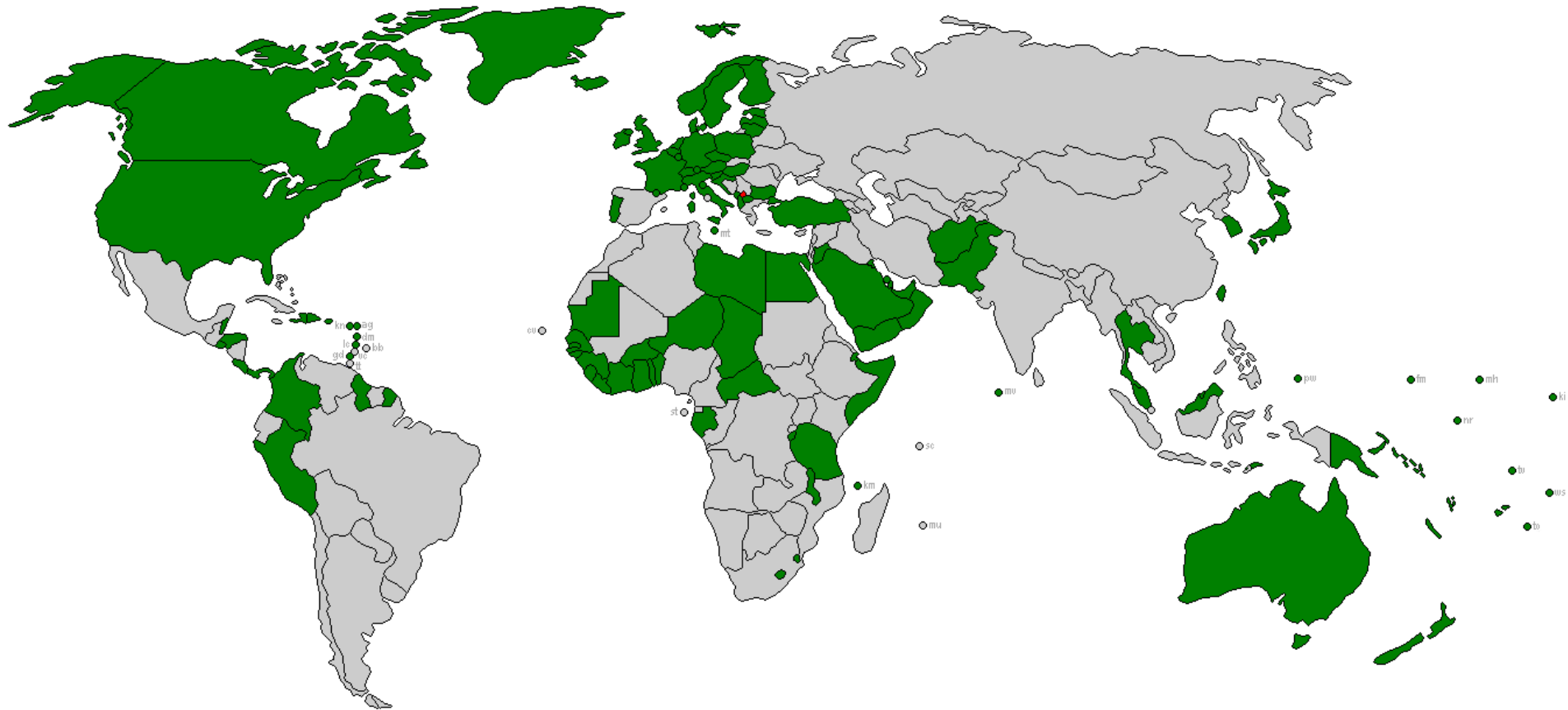
June 1999

# Post-war



2003	First direct talks between Serbian and Kosovo Albanian
2003 March	Mitrovica clashes
2006 July	First direct talks between Serbian and Kosovo Albanian about the future status
2007 February	Ahtisaari plan
2008 February	Kosovo declares independence (111/193; 23/28)

# Entities that recognise Kosovo as an independent state



# Post-Independence

2008	December Eulex takes over police, justice and custom services
2011 March	Serbia and Kosovo begin direct talks
2011 December	Agreement on regulating border crossing
2012 September	the end of international supervision (Eulex monitors, NATO peacekeepers)
2013 April	The Brussels Agreement
2013 September	opening talks with Kosovo on an AA
2013 November	first local elections supported by Serbia
2014 June	parliament election
Now	War Crimes Court, an Association of Serbian Municipalities