# Organization / What are concepts and theories good for? Petr Ocelík

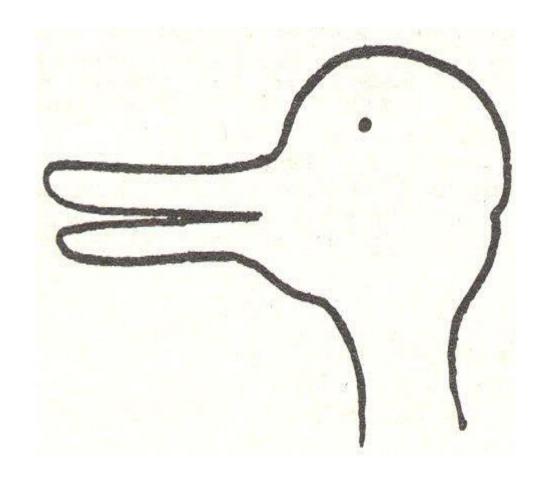
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#### Outline

- What are concepts and theories good for?
- Ontology and epistemology: back to the very basics

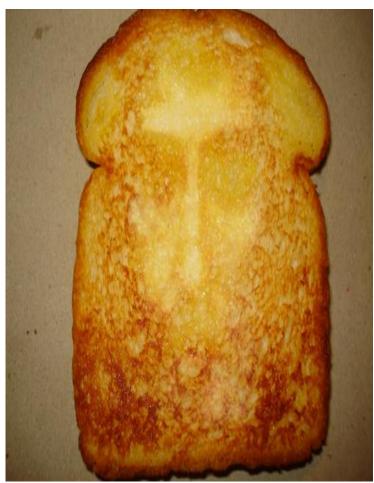
# What are concepts good for?

- heuristics
- learning
- communication
- evaluation



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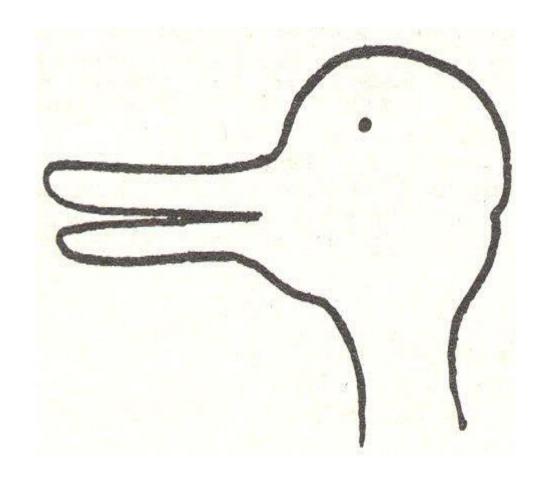






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# Concrete vs. abstract concepts





#### Security as an abstract concept

National security must be defined as integrity of the national territory and its institutions. (Morgenthau 1960: 563) ... The survival of a political unit, such as a nation, and its identity. (Morgenthau 1952: 973)

VS.

The freeing of people (as individuals and groups) from those physical and human constraints which stop them carrying out what they would freely choose to do. ... Security and emancipation are two sides of the same coin. Emancipation, not power or order, produces true security. Emancipation, theoretically, is security. (Booth 1991: 319)

### Essentially contested concepts (Gallie 1956)

 Conceptual confusion and contestation are major sources of difficulties in both theory and empirical analysis (Collier 2006)

#### • ECCs

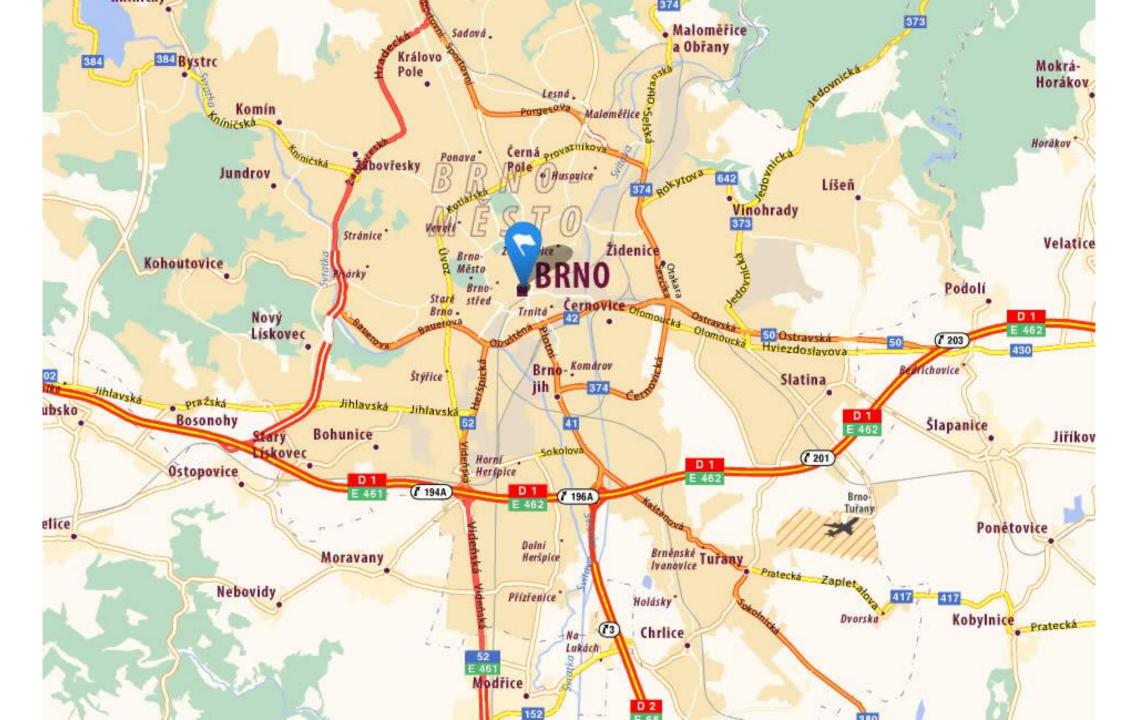
- generally recognized as meaningful
- internally complex
- are subject of competition/contestation
- have normative character

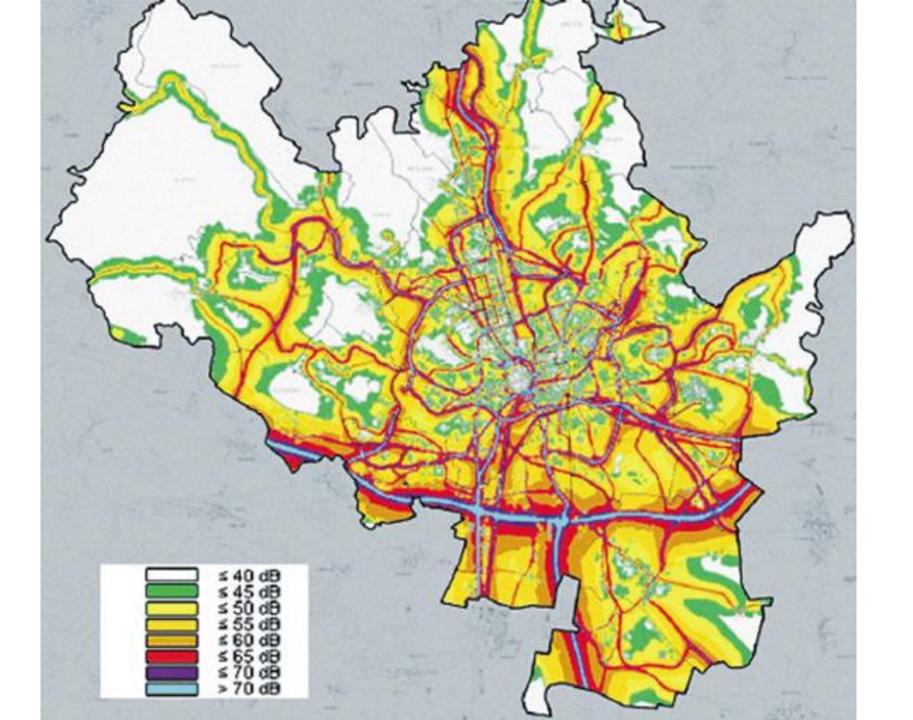
## What are theories good for?

 Theory can be seen as a set of interrelated concepts – or more illustratively as a map which orients us in a specific part of reality

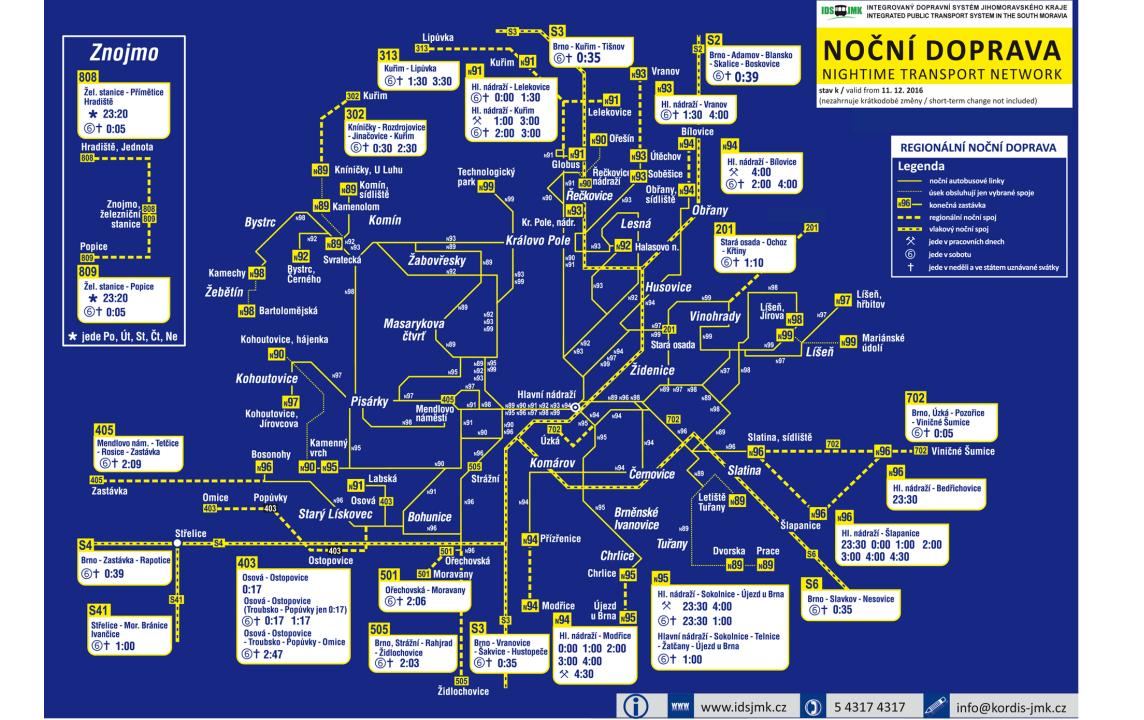
 Theories provide us with (relatively) coherent perspectives through which we understand specific parts of reality

 Any intelligible political action rests upon certain (although often implicit) theoretical assumptions









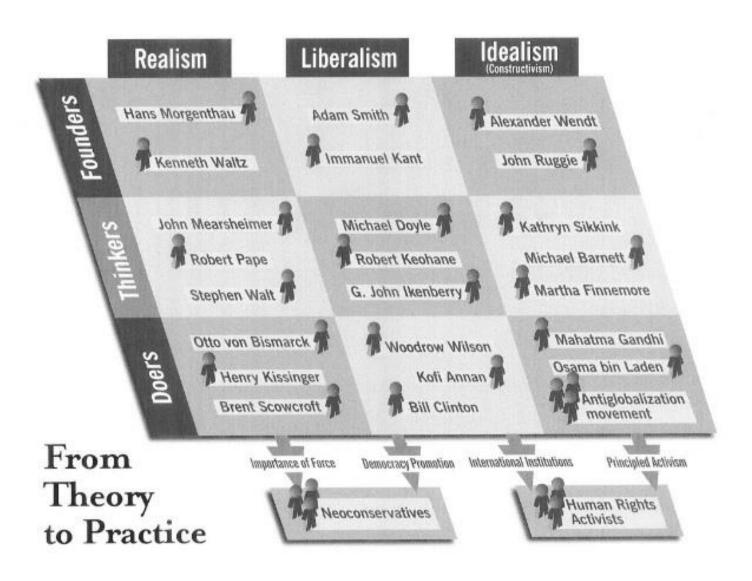
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# Theories and politics (Snyder 2006)



Are theories built out of a scratch?

#### Are theories built out of a scratch?

- No, theories are domain specific (e.g. theories of international politics) and dependent on antecedent conditions (e.g. international system consists of national states)
- Theories rest on more general (philosophical) assumptions about the nature of reality and knowledge

There is no such thing as philosophy-free science; there is only science whose philosophical baggage is taken to board without examination. (Daniel Dennett)

→ **ontology** and **epistemology** relevant (for any scientific inquiry...)

#### Ontology: main cleavages

- materialists vs. idealists
- agency vs. structure debate

#### Materialists vs. idealists

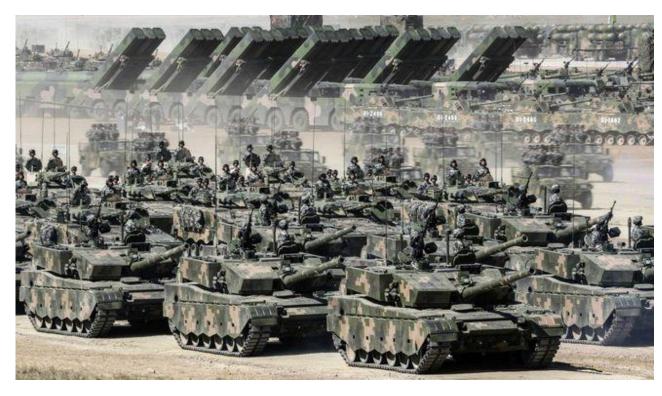
#### materialists:

- reality is ultimately made of matter
- social world is (primarily) driven by material forces

#### idealists:

- social reality is mentally/socially constructed
- social world is (primarily) driven by ideational forces

#### Materialists vs. idealists



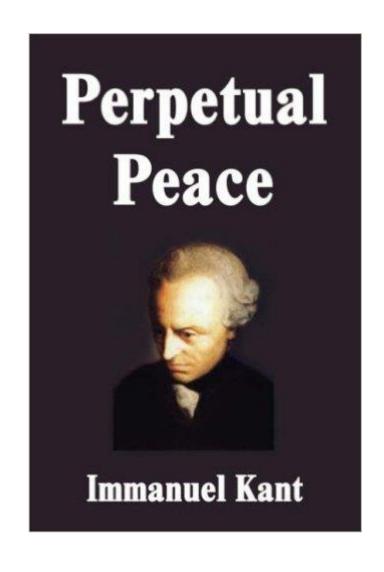


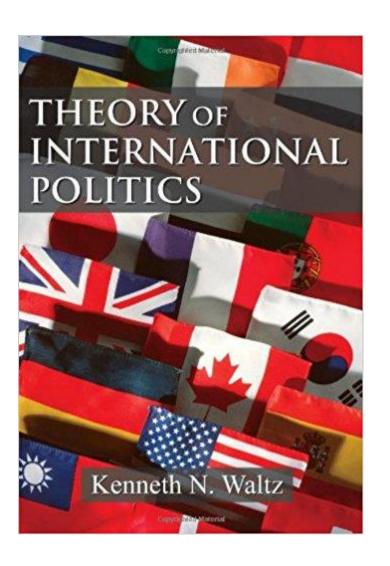
#### The agency vs. structure debate

 To what extent we are able to shape our lives against to what extent our lives are determined by external forces?

- individualism (priority of agency):
  - complex social phenomena can be explained on the basis of individual behavior
- structuralism / holism (priority of structure):
  - social phenomena cannot be reduced to actor interactions, individual behavior is determined by social structures

#### The agency vs. structure debate





Epistemology: explanation vs. understanding

## Epistemology: explanation vs. understanding

#### explanation:

- naturalist model of science (law-like regularities)
- social world as an external environment
- objective (observer-independent) knowledge is possible
- focus on causal explanations generalizable to broader class of phenomena

#### understanding:

- rejection of naturalism
- social world as an internalized constructed rules and meanings
- knowledge is always situated (observer-dependent)
- focus on (often idiosyncratic) insights to/interpretations of phenomena

#### Didactic classification

explanation understanding rational choice theory (neo)liberalism historiographical approaches individualism materialism classical realism hybrid weak|constructivism neoclassical geopolitics neorealism Marxism strong constructivism (Copenhagen school) structuralism idealism Paris school Critical |Theory