

Back to the Future: Instability in Europe after the Cold War

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# Back to the Future

John J. Mearsheimer

## Instability in Europe After the Cold War

The profound changes now underway in Europe have been widely viewed as harbingers of a new age of peace. With the Cold War over, it is said, the threat of war that has hung over Europe for more than four decades is lifting. Swords can now be beaten into ploughshares; harmony can reign among the states and peoples of Europe. Central Europe, which long groaned under the massive forces of the two military blocs, can convert its military bases into industrial parks, playgrounds, and condominiums. Scholars of security affairs can stop their dreary quarrels over military doctrine and balance assessments, and turn their attention to finding ways to prevent global warming and preserve the ozone layer. European leaders can contemplate how to spend peace dividends. So goes the common view.

This article assesses this optimistic view by exploring in detail the consequences for Europe of an end to the Cold War. Specifically, I examine the effects of a scenario under which the Cold War comes to a complete end. The Soviet Union withdraws all of its forces from Eastern Europe, leaving the states in that region fully independent. Voices are thereupon raised in the United States, Britain, and Germany, arguing that American and British military forces in Germany have lost their principal *raison d'être*, and these forces are withdrawn from the Continent. NATO and the Warsaw Pact then dissolve; they may persist on paper, but each ceases to function as an alliance.<sup>1</sup> As a result, the bipolar structure that has characterized Europe since

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1. There is considerable support within NATO's higher circles, including the Bush administration, for maintaining NATO beyond the Cold War. NATO leaders have not clearly articulated the concrete goals that NATO would serve in a post-Cold War Europe, but they appear to conceive the future NATO as a means for ensuring German security, thereby removing possible German motives for aggressive policies; and as a means to protect other NATO states against

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the end of World War II is replaced by a multipolar structure. In essence, the Cold War we have known for almost half a century is over, and the postwar order in Europe is ended.<sup>2</sup>

How would such a fundamental change affect the prospects for peace in Europe?<sup>3</sup> Would it raise or lower the risk of war?

I argue that the prospects for major crises and war in Europe are likely to increase markedly if the Cold War ends and this scenario unfolds. The next decades in a Europe without the superpowers would probably not be as violent as the first 45 years of this century, but would probably be substantially more prone to violence than the past 45 years.

This pessimistic conclusion rests on the argument that the distribution and character of military power are the root causes of war and peace. Specifically, the absence of war in Europe since 1945 has been a consequence of three factors: the bipolar distribution of military power on the Continent; the rough military equality between the two states comprising the two poles in Europe,

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German aggression. However, the Germans, who now provide the largest portion of the Alliance's standing forces, are likely to resist such a role for NATO. A security structure of this sort assumes that Germany cannot be trusted and that NATO must be maintained to keep it in line. A united Germany is not likely to accept for very long a structure that rests on this premise. Germans accepted NATO throughout the Cold War because it secured Germany against the Soviet threat that developed in the wake of World War II. Without that specific threat, which now appears to be diminishing rapidly, Germany is likely to reject the continued maintenance of NATO as we know it.

2. I am not arguing that a complete end to the Cold War is inevitable; also quite likely is an intermediate outcome, under which the status quo is substantially modified, but the main outlines of the current order remain in place. Specifically, the Soviet Union may withdraw much of its force from Eastern Europe, but leave significant forces behind. If so, NATO force levels would probably shrink markedly, but NATO may continue to maintain significant forces in Germany. Britain and the United States would withdraw some but not all of their troops from the Continent. If this outcome develops, the basic bipolar military competition that has defined the map of Europe throughout the Cold War will continue. I leave this scenario unexamined, and instead explore what follows from a complete end to the Cold War in Europe because this latter scenario is the less examined of the two, and because the consequences, and therefore the desirability, of completely ending the Cold War would still remain an issue if the intermediate outcome occurred.

3. The impact of such a change on human rights in Eastern Europe will not be considered directly in this article. Eastern Europeans have suffered great hardship as a result of the Soviet occupation. The Soviets have imposed oppressive political regimes on the region, denying Eastern Europeans basic freedoms. Soviet withdrawal from Eastern Europe will probably change that situation for the better, although the change is likely to be more of a mixed blessing than most realize. First, it is not clear that communism will be promptly replaced in all Eastern European countries with political systems that place a high premium on protecting minority rights and civil liberties. Second, the longstanding blood feuds among the nationalities in Eastern Europe are likely to re-emerge in a multipolar Europe, regardless of the existing political order. If wars break out in Eastern Europe, human rights are sure to suffer.

the United States and the Soviet Union; and the fact that each superpower was armed with a large nuclear arsenal.<sup>4</sup> Domestic factors also affect the likelihood of war, and have helped cause the postwar peace. Most importantly, hyper-nationalism helped cause the two world wars, and the decline of nationalism in Europe since 1945 has contributed to the peacefulness of the postwar world. However, factors of military power have been most important in shaping past events, and will remain central in the future.

The departure of the superpowers from Central Europe would transform Europe from a bipolar to a multipolar system.<sup>5</sup> Germany, France, Britain, and perhaps Italy would assume major power status; the Soviet Union would decline from superpower status but would remain a major European power, giving rise to a system of five major powers and a number of lesser powers. The resulting system would suffer the problems common to multipolar systems, and would therefore be more prone to instability.<sup>6</sup> Power inequities could also appear; if so, stability would be undermined further.

The departure of the superpowers would also remove the large nuclear arsenals they now maintain in Central Europe. This would remove the pacifying effect that these weapons have had on European politics. Four principal scenarios are possible. Under the first scenario, Europe would become nuclear-free, thus eliminating a central pillar of order in the Cold War era. Under the second scenario, the European states do not expand their arsenals to compensate for the departure of the superpowers' weapons. In a third scenario, nuclear proliferation takes place, but is mismanaged; no steps are

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4. It is commonplace to characterize the polarity—bipolar or multipolar—of the international system at large, not a specific region. The focus in this article, however, is not on the global distribution of power, but on the distribution of power in Europe. Polarity arguments can be used to assess the prospects for stability in a particular region, provided the global and regional balances are distinguished from one another and the analysis is focused on the structure of power in the relevant region.

5. To qualify as a pole in a global or regional system, a state must have a reasonable prospect of defending itself against the leading state in the system by its own efforts. The United States and the Soviet Union have enjoyed clear military superiority over other European states, and all non-European states, throughout the Cold War; hence they have formed the two poles of both the global and European systems. What is happening to change this is that both the Soviet Union and the United States are moving forces out of Central Europe, which makes it more difficult for them to project power on the Continent and thus weakens their influence there; and reducing the size of those forces, leaving them less military power to project. Because of its proximity to Europe, the Soviet Union will remain a pole in the European system as long as it retains substantial military forces on its own territory. The United States can remain a pole in Europe only if it retains the capacity to project significant military power into Central Europe.

6. Stability is simply defined as the absence of wars and major crises.

taken to dampen the many dangers inherent in the proliferation process. All three of these scenarios would raise serious risks of war.

In the fourth and least dangerous scenario, nuclear weapons proliferate in Europe, but the process is well-managed by the current nuclear powers. They take steps to deter preventive strikes on emerging nuclear powers, to set boundaries on the proliferation process by extending security umbrellas over the neighbors of emerging nuclear powers, to help emerging nuclear powers build secure deterrent forces, and to discourage them from deploying counterforce systems that threaten their neighbors' deterrents. This outcome probably provides the best hope for maintaining peace in Europe. However, it would still be more dangerous than the world of 1945–90. Moreover, it is not likely that proliferation would be well-managed.

Three counter-arguments might be advanced against this pessimistic set of predictions of Europe's future. The first argument holds that the peace will be preserved by the effects of the liberal international economic order that has evolved since World War II. The second rests on the observation that liberal democracies very seldom fight wars against each other, and holds that the past spread of democracy in Europe has bolstered peace, and that the ongoing democratization of Eastern Europe makes war still less likely. The third argument maintains that Europeans have learned from their disastrous experiences in this century that war, whether conventional or nuclear, is so costly that it is no longer a sensible option for states.

But the theories behind these arguments are flawed, as I explain; hence their prediction of peace in a multipolar Europe is flawed as well.

Three principal policy prescriptions follow from this analysis. First, the United States should encourage a process of limited nuclear proliferation in Europe. Specifically, Europe will be more stable if Germany acquires a secure nuclear deterrent, but proliferation does not go beyond that point. Second, the United States should not withdraw fully from Europe, even if the Soviet Union pulls its forces out of Eastern Europe. Third, the United States should take steps to forestall the re-emergence of hyper-nationalism in Europe.

#### METHODOLOGY: HOW SHOULD WE THINK ABOUT EUROPE'S FUTURE?

Predictions on the future risk of war and prescriptions about how best to maintain peace should rest on general theories about the causes of war and peace. This point is true for both academics and policymakers. The latter are seldom self-conscious in their uses of theory. Nevertheless, policymakers'

views on the future of Europe are shaped by their implicit preference for one theory of international relations over another. Our task, then, is to decide which theories best explain the past, and will most directly apply to the future; and then to employ these theories to explore the consequences of probable scenarios.

Specifically, we should first survey the inventory of international relations theories that bear on the problem. What theories best explain the period of violence before the Cold War? What theories best explain the peace of the past 45 years? Are there other theories that explain little about pre-Cold War Europe, or Cold War Europe, but are well-suited for explaining what is likely to occur in a Europe without a Soviet and American military presence?

Next, we should ask what these theories predict about the nature of international politics in a post-Cold War multipolar Europe. Will the causes of the postwar peace persist, will the causes of the two world wars return, or will other causes arise?

We can then assess whether we should expect the next decades to be more peaceful, or at least as peaceful, as the past 45 years, or whether the future is more likely to resemble the first 45 years of the century. We can also ask what policy prescriptions these theories suggest.

The study of international relations, like the other social sciences, does not yet resemble the hard sciences. Our stock of theories is spotty and often poorly tested. The conditions required for the operation of established theories are often poorly understood. Moreover, political phenomena are highly complex; hence precise political predictions are impossible without very powerful theoretical tools, superior to those we now possess. As a result, all political forecasting is bound to include some error. Those who venture to predict, as I do here, should therefore proceed with humility, take care not to claim unwarranted confidence, and admit that later hindsight will undoubtedly reveal surprises and mistakes.

Nevertheless, social science *should* offer predictions on the occurrence of momentous and fluid events like those now unfolding in Europe. Predictions can inform policy discourse. They help even those who disagree to frame their ideas, by clarifying points of disagreement. Moreover, predictions of events soon to unfold provide the best tests of social science theories, by making clear what it was that given theories have predicted about those events. In short, the world can be used as a laboratory to decide which theories best explain international politics. In this article I employ the body

of theories that I find most persuasive to peer into the future. Time will reveal whether these theories in fact have much power to explain international politics.

The next section offers an explanation for the peacefulness of the post-World War II order. The section that follows argues that the end of the Cold War is likely to lead to a less stable Europe. Next comes an examination of the theories underlying claims that a multipolar Europe is likely to be as peaceful, if not more peaceful, than Cold War Europe. The concluding section suggests policy implications that follow from my analysis.

### *Explaining the "Long Peace"*

The past 45 years represent the longest period of peace in European history.<sup>7</sup> During these years Europe saw no major war, and only two minor conflicts (the 1956 Soviet intervention in Hungary and the 1974 Greco-Turkish war in Cyprus). Neither conflict threatened to widen to other countries. The early years of the Cold War (1945–63) were marked by a handful of major crises, although none brought Europe to the brink of war. Since 1963, however, there have been no East-West crises in Europe. It has been difficult—if not impossible—for the last two decades to find serious national security analysts who have seen a real chance that the Soviet Union would attack Western Europe.

The Cold War peace contrasts sharply with European politics during the first 45 years of this century, which saw two world wars, a handful of minor wars, and a number of crises that almost resulted in war. Some 50 million Europeans were killed in the two world wars; in contrast, probably no more than 15,000 died in the two post-1945 European conflicts.<sup>8</sup> Cold War Europe is far more peaceful than early twentieth-century Europe.

Both Europeans and Americans increasingly assume that peace and calm are the natural order of things in Europe and that the first 45 years of this century, not the most recent, were the aberration. This is understandable,

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7. The term "long peace" was coined by John Lewis Gaddis, "The Long Peace: Elements of Stability in the Postwar International System," *International Security*, Vol. 10, No. 4 (Spring 1986), pp. 99–142.

8. There were approximately 10,000 battle deaths in the Russo-Hungarian War of October–November 1956, and some 1500–5000 battle deaths in the July–August 1974 war in Cyprus. See Ruth Leger Sivard, *World Military and Social Expenditures 1989* (Washington, D.C.: World Priorities, 1989), p. 22; and Melvin Small and J. David Singer, *Resort to Arms: International and Civil Wars, 1816–1980* (Beverly Hills, Calif.: Sage, 1982), pp. 93–94.

since Europe has been free of war for so long that an ever-growing proportion of the Western public, born after World War II, has no direct experience with great-power war. However, this optimistic view is incorrect.

The European state system has been plagued with war since its inception. During much of the seventeenth and eighteenth centuries war was underway somewhere on the European Continent.<sup>9</sup> The nineteenth century held longer periods of peace, but also several major wars and crises. The first half of that century witnessed the protracted and bloody Napoleonic Wars; later came the Crimean War, and the Italian and German wars of unification.<sup>10</sup> The wars of 1914–45 continued this long historical pattern. They represented a break from the events of previous centuries only in the enormous increase in their scale of destruction.

This era of warfare came to an abrupt end with the conclusion of World War II. A wholly new and remarkably peaceful order then developed on the Continent.

#### THE CAUSES OF THE LONG PEACE: MILITARY POWER AND STABILITY

What caused the era of violence before 1945? Why has the postwar era been so much more peaceful? The wars before 1945 each had their particular and unique causes, but the distribution of power in Europe—its multipolarity and the imbalances of power that often occurred among the major states in that multipolar system—was the crucial permissive condition that allowed these particular causes to operate. The peacefulness of the postwar era arose for three principal reasons: the bipolarity of the distribution of power on the Continent, the rough equality in military power between those two polar states, and the appearance of nuclear weapons, which vastly expanded the violence of war, making deterrence far more robust.<sup>11</sup>

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9. For inventories of past wars, see Jack S. Levy, *War In the Modern Great Power System, 1495–1975* (Lexington: University Press of Kentucky, 1983); and Small and Singer, *Resort to Arms*.

10. Europe saw no major war from 1815–1853 and from 1871–1914, two periods almost as long as the 45 years of the Cold War. There is a crucial distinction, however, between the Cold War and these earlier periods. Relations among the great powers deteriorated markedly in the closing years of the two earlier periods, leading in each case to a major war. On the other hand, the Cold War order has become increasingly stable with the passage of time and there is now no serious threat of war between NATO and the Warsaw Pact. Europe would surely remain at peace for the foreseeable future if the Cold War were to continue, a point that highlights the exceptional stability of the present European order.

11. The relative importance of these three factors cannot be stated precisely, but all three had substantial importance.



These factors are aspects of the European state system—of the character of military power and its distribution among states—and not of the states themselves. Thus the keys to war and peace lie more in the structure of the international system than in the nature of the individual states. Domestic factors—most notably hyper-nationalism—also helped cause the wars of the pre-1945 era, and the domestic structures of post-1945 European states have been more conducive to peace, but these domestic factors were less important than the character and distribution of military power between states. Moreover, hyper-nationalism was caused in large part by security competition among the European states, which compelled European elites to mobilize publics to support national defense efforts; hence even this important domestic factor was a more remote consequence of the international system.

Conflict is common among states because the international system creates powerful incentives for aggression.<sup>12</sup> The root cause of the problem is the anarchic nature of the international system. In anarchy there is no higher body or sovereign that protects states from one another. Hence each state living under anarchy faces the ever-present possibility that another state will use force to harm or conquer it. Offensive military action is always a threat to all states in the system.

Anarchy has two principal consequences. First, there is little room for trust among states because a state may be unable to recover if its trust is betrayed. Second, each state must guarantee its own survival since no other actor will provide its security. All other states are potential threats, and no international institution is capable of enforcing order or punishing powerful aggressors.

States seek to survive under anarchy by maximizing their power relative to other states, in order to maintain the means for self-defense. Relative power, not absolute levels of power, matters most to states. Thus, states seek opportunities to weaken potential adversaries and improve their relative power position. They sometimes see aggression as the best way to accumulate more power at the expense of rivals.

This competitive world is peaceful when it is obvious that the costs and risks of going to war are high, and the benefits of going to war are low. Two aspects of military power are at the heart of this incentive structure: the distribution of power between states, and the nature of the military power

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12. The two classic works on this subject are Hans J. Morgenthau, *Politics Among Nations: The Struggle for Power and Peace*, 5th ed. (New York: Knopf, 1973); and Kenneth N. Waltz, *Theory of International Politics* (Reading, Mass.: Addison-Wesley, 1979).

available to them. The distribution of power between states tells us how well-positioned states are to commit aggression, and whether other states are able to check their aggression. This distribution is a function of the number of poles in the system, and their relative power. The nature of military power directly affects the costs, risks, and benefits of going to war. If the military weaponry available guarantees that warfare will be very destructive, states are more likely to be deterred by the cost of war.<sup>13</sup> If available weaponry favors the defense over the offense, aggressors are more likely to be deterred by the futility of aggression, and all states feel less need to commit aggression, since they enjoy greater security to begin with, and therefore feel less need to enhance their security by expansion.<sup>14</sup> If available weaponry tends to equalize the relative power of states, aggressors are discouraged from going to war. If military weaponry makes it easier to estimate the relative power of states, unwarranted optimism is discouraged and wars of miscalculation are less likely.

One can establish that peace in Europe during the Cold War has resulted from bipolarity, the approximate military balance between the superpowers, and the presence of large numbers of nuclear weapons on both sides in three ways: first, by showing that the general theories on which it rests are valid; second, by demonstrating that these theories can explain the conflicts of the pre-1945 era and the peace of the post-1945 era; and third, by showing that competing theories cannot account for the postwar peace.

THE VIRTUES OF BIPOLARITY OVER MULTIPOLARITY. The two principal arrangements of power possible among states are bipolarity and multipolarity.<sup>15</sup>

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13. The prospects for deterrence can also be affected by crisis stability calculations. See John J. Mearsheimer, "A Strategic Misstep: The Maritime Strategy and Deterrence in Europe," *International Security*, Vol. 11, No. 2 (Fall 1986), pp. 6–8.

14. See Robert Jervis, "Cooperation Under the Security Dilemma," *World Politics*, Vol. 30, No. 2 (January 1978), pp. 167–214; and Stephen Van Evera, "Causes of War" (unpub. PhD dissertation, University of California at Berkeley, 1984), chap. 3. As noted below, I believe that the distinction between offensive and defensive weapons and, more generally, the concept of an offense-defense balance, is relevant at the nuclear level. However, I do not believe those ideas are relevant at the conventional level. See John J. Mearsheimer, *Conventional Deterrence* (Ithaca: Cornell University Press, 1983), pp. 25–27.

15. Hegemony represents a third possible distribution. Under a hegemony there is only one major power in the system. The rest are minor powers that cannot challenge the major power, but must act in accordance with the dictates of the major power. Every state would like to gain hegemony, because hegemony confers abundant security: no challenger poses a serious threat. Hegemony is rarely achieved, however, because power tends to be somewhat evenly distributed among states, because threatened states have strong incentives to join together to thwart an aspiring hegemon, and because the costs of expansion usually outrun the benefits before domination is achieved, causing extension to become overextension. Hegemony has never

A bipolar system is more peaceful for three main reasons. First, the number of conflict dyads is fewer, leaving fewer possibilities for war. Second, deterrence is easier, because imbalances of power are fewer and more easily averted. Third, the prospects for deterrence are greater because miscalculations of relative power and of opponents' resolve are fewer and less likely.<sup>16</sup>

In a bipolar system two major powers dominate. The minor powers find it difficult to remain unattached to one of the major powers, because the major powers generally demand allegiance from lesser states. (This is especially true in core geographical areas, less so in peripheral areas.) Furthermore, lesser states have little opportunity to play the major powers off against each other, because when great powers are fewer in number, the system is more rigid. As a result, lesser states are hard-pressed to preserve their autonomy.

In a multipolar system, by contrast, three or more major powers dominate. Minor powers in such a system have considerable flexibility regarding alliance partners and can opt to be free floaters. The exact form of a multipolar system can vary markedly, depending on the number of major and minor powers in the system, and their geographical arrangement.

A bipolar system has only one dyad across which war might break out: only two major powers contend with one another, and the minor powers are not likely to be in a position to attack each other. A multipolar system has many potential conflict situations. Major power dyads are more numerous, each posing the potential for conflict. Conflict could also erupt across dyads involving major and minor powers. Dyads between minor powers could also lead to war. Therefore, *ceteris paribus*, war is more likely in a multipolar system than a bipolar one.

Wars in a multipolar world involving just minor powers or only one major power are not likely to be as devastating as a conflict between two major

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characterized the European state system at any point since it arose in the seventeenth century, and there is no prospect for hegemony in the foreseeable future; hence hegemony is not relevant to assessing the prospects for peace in Europe.

16. The key works on bipolarity and multipolarity include Thomas J. Christensen and Jack Snyder, "Chain Gangs and Passed Bucks: Predicting Alliance Patterns in Multipolarity," *International Organization*, Vol. 44, No. 2 (Spring 1990), pp. 137–168; Karl W. Deutsch and J. David Singer, "Multipolar Power Systems and International Stability," *World Politics*, Vol. 16, No. 3 (April 1964), pp. 390–406; Richard N. Rosecrance, "Bipolarity, Multipolarity, and the Future," *Journal of Conflict Resolution*, Vol. 10, No. 3 (September 1966), pp. 314–327; Kenneth N. Waltz, "The Stability of a Bipolar World," *Daedalus*, Vol. 93, No. 3 (Summer 1964), pp. 881–909; and Waltz, *Theory of International Politics*, chap. 8. My conclusions about bipolarity are similar to Waltz's, although there are important differences in our explanations, as will be seen below.

powers. However, local wars tend to widen and escalate. Hence there is always a chance that a small war will trigger a general conflict.

Deterrence is more difficult in a multipolar world because power imbalances are commonplace, and when power is unbalanced, the strong become hard to deter.<sup>17</sup> Power imbalances can lead to conflict in two ways. First, two states can gang up to attack a third state. Second, a major power might simply bully a weaker power in a one-on-one encounter, using its superior strength to coerce or defeat the minor state.<sup>18</sup>

Balance of power dynamics can counter such power imbalances, but only if they operate efficiently.<sup>19</sup> No state can dominate another, either by ganging up or by bullying, if the others coalesce firmly against it, but problems of geography or coordination often hinder the formation of such coalitions.<sup>20</sup> These hindrances may disappear in wartime, but are prevalent in peacetime, and can cause deterrence failure, even where an efficient coalition will eventually form to defeat the aggressor on the battlefield.

First, geography sometimes prevents balancing states from putting meaningful pressure on a potential aggressor. For example, a major power may not be able to put effective military pressure on a state threatening to cause trouble, because buffer states lie in between.

In addition, balancing in a multipolar world must also surmount difficult coordination problems. Four phenomena make coordination difficult. First, alliances provide collective goods, hence allies face the formidable dilemmas of collective action. Specifically, each state may try to shift alliance burdens onto the shoulders of its putative allies. Such “buck-passing” is a common feature of alliance politics.<sup>21</sup> It is most common when the number of states

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17. Although a balance of power is more likely to produce deterrence than an imbalance of power, a balance of power between states does not guarantee that deterrence will obtain. States sometimes find innovative military strategies that allow them to win on the battlefield, even without marked advantage in the balance of raw military capabilities. Furthermore, the broader political forces that move a state towards war sometimes force leaders to pursue very risky military strategies, impelling states to challenge opponents of equal or even superior strength. See Mearsheimer, *Conventional Deterrence*, especially chap. 2.

18. This discussion of polarity assumes that the military strength of the major powers is roughly equal. The consequences of power asymmetries among great powers is discussed below.

19. See Stephen M. Walt, *The Origins of Alliances* (Ithaca: Cornell University Press, 1987); and Waltz, *Theory of International Politics*, pp. 123–128.

20. One exception bears mention: ganging up is still possible under multipolarity in the restricted case where there are only three powers in the system, and thus no allies available for the victim state.

21. See Mancur Olson and Richard Zeckhauser, “An Economic Theory of Alliances,” *Review of Economics and Statistics*, Vol. 48, No. 3 (August 1966), pp. 266–279; and Barry R. Posen, *The*

required to form an effective blocking coalition is large. Second, a state faced with two potential adversaries might conclude that a protracted war between those adversaries would weaken both, even if one side triumphed; hence it may stay on the sidelines, hoping thereby to improve its power position relative to each of the combatants. (This strategy can fail, however, if one of the warring states quickly conquers the other and ends up more powerful, not less powerful, than before the war.) Third, some states may opt out of the balancing process because they believe that they will not be targeted by the aggressor, failing to recognize that they face danger until after the aggressor has won some initial victories. Fourth, diplomacy is an uncertain process, and thus it can take time to build a defensive coalition. A potential aggressor may conclude that it can succeed at aggression before the coalition is completed, and further may be prompted to exploit the window of opportunity that this situation presents before it closes.<sup>22</sup>

If these problems of geography and coordination are severe, states can lose faith in the balancing process. If so, they become more likely to bandwagon with the aggressor, since solitary resistance is futile.<sup>23</sup> Thus factors that weaken the balancing process can generate snowball effects that weaken the process still further.

The third major problem with multipolarity lies in its tendency to foster miscalculation of the resolve of opposing individual states, and of the strength of opposing coalitions.

War is more likely when a state underestimates the willingness of an opposing state to stand firm on issues of difference. It then may push the other state too far, expecting the other to concede, when in fact the opponent will choose to fight. Such miscalculation is more likely under multipolarity because the shape of the international order tends to remain fluid, due to the tendency of coalitions to shift. As a result, the international “rules of the road”—norms of state behavior, and agreed divisions of territorial rights and other privileges—tend to change constantly. No sooner are the rules of a given adversarial relationship worked out, than that relationship may become a friendship, a new adversarial relationship may emerge with a previous

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*Sources of Military Doctrine: France, Britain, and Germany between the World Wars* (Ithaca: Cornell University Press, 1984).

22. Domestic political considerations can also sometimes impede balancing behavior. For example, Britain and France were reluctant to ally with the Soviet Union in the 1930s because of their deep-seated antipathy to communism.

23. See Walt, *Origins of Alliances*, pp. 28–32, 173–178.

friend or neutral, and new rules must be established. Under these circumstances, one state may unwittingly push another too far, because ambiguities as to national rights and obligations leave a wider range of issues on which a state may miscalculate another's resolve. Norms of state behavior can come to be broadly understood and accepted by all states, even in multipolarity, just as basic norms of diplomatic conduct became generally accepted by the European powers during the eighteenth century. Nevertheless, a well-defined division of rights is generally more difficult when the number of states is large, and relations among them are in flux, as is the case with multipolarity.

War is also more likely when states underestimate the relative power of an opposing coalition, either because they underestimate the number of states who will oppose them, or because they exaggerate the number of allies who will fight on their own side.<sup>24</sup> Such errors are more likely in a system of many states, since states then must accurately predict the behavior of many states, not just one, in order to calculate the balance of power between coalitions.

A bipolar system is superior to a multipolar system on all of these dimensions. Bullying and ganging up are unknown, since only two actors compete. Hence the power asymmetries produced by bullying and ganging up are also unknown. When balancing is required, it is achieved efficiently. States can balance by either internal means—military buildup—or external means—diplomacy and alliances. Under multipolarity states tend to balance by external means; under bipolarity they are compelled to use internal means. Internal means are more fully under state control, hence are more efficient, and are more certain to produce real balance.<sup>25</sup> The problems that attend efforts to balance by diplomatic methods—geographic complications and coordination difficulties—are bypassed. Finally, miscalculation is less likely than in a multipolar world. States are less likely to miscalculate others' resolve, because the rules of the road with the main opponent become settled over time, leading both parties to recognize the limits beyond which they cannot push the other. States also cannot miscalculate the membership of the opposing coalition, since each side faces only one main enemy. Simplicity breeds certainty; certainty bolsters peace.

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24. This point is the central theme of Waltz, "The Stability of a Bipolar World." Also see Geoffrey Blainey, *The Causes of War* (New York: Free Press, 1973), chap. 3.

25. Noting the greater efficiency of internal over external balancing is Waltz, *Theory of International Politics*, pp. 163, 168.

There are no empirical studies that provide conclusive evidence of the effects of bipolarity and multipolarity on the likelihood of war. This undoubtedly reflects the difficulty of the task: from its beginning until 1945, the European state system was multipolar, leaving this history barren of comparisons that would reveal the differing effects of multipolarity and bipolarity. Earlier history does afford some apparent examples of bipolar systems, including some that were warlike—Athens and Sparta, Rome and Carthage—but this history is inconclusive, because it is sketchy and incomplete and therefore does not offer enough detail to validate the comparisons. Lacking a comprehensive survey of history, we cannot progress beyond offering examples pro and con, without knowing which set of examples best represents the universe of cases. As a result the case made here stops short of empirical demonstration, and rests chiefly on deduction. However, I believe that this deductive case provides a sound basis for accepting the argument that bipolarity is more peaceful than multipolarity; the deductive logic seems compelling, and there is no obvious historical evidence that cuts against it. I show below that the ideas developed here apply to events in twentieth century Europe, both before and after 1945.

**THE VIRTUES OF EQUALITY OF POWER OVER INEQUALITY.** Power can be more or less equally distributed among the major powers of both bipolar and multipolar systems. Both systems are more peaceful when equality is greatest among the poles. Power inequalities invite war by increasing the potential for successful aggression; hence war is minimized when inequalities are least.<sup>26</sup>

How should the degree of equality in the distribution of power in a system be assessed? Under bipolarity, the overall equality of the system is simply a function of the balance of power between the two poles—an equal balance creates an equal system, a skewed balance produces an unequal system. Under multipolarity the focus is on the power balance between the two leading states in the system, but the power ratios across other potential conflict dyads also matter. The net system equality is an aggregate of the degree of equality among all of the poles. However, most general wars under multipolarity have arisen from wars of hegemony that have pitted the leading state—an aspiring hegemon—against the other major powers in the system. Such wars are most probable when a leading state emerges, and can hope

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26. This discussion does not encompass the situation where power asymmetries are so great that one state emerges as a hegemon. See note 15.

to defeat each of the others if it can isolate them. This pattern characterized the wars that grew from the attempts at hegemony by Charles V, Philip II, Louis XIV, Revolutionary and Napoleonic France, Wilhelmine Germany, and Nazi Germany.<sup>27</sup> Hence the ratio between the leader and its nearest competitor—in bipolarity or multipolarity—has more effect on the stability of the system than do other ratios, and is therefore the key ratio that describes the equality of the system. Close equality in this ratio lowers the risk of war.

The polarity of an international system and the degree of power equality of the system are related: bipolar systems tend more toward equality, because, as noted above, states are then compelled to balance by internal methods, and internal balancing is more efficient than external balancing. Specifically, the number-two state in a bipolar system can only hope to balance against the leader by mobilizing its own resources to reduce the gap between the two, since it has no potential major alliance partners. On the other hand, the second-strongest state in a multipolar system can seek security through alliances with others, and may be tempted to pass the buck to them, instead of building up its own strength. External balancing of this sort is especially attractive because it is cheap and fast. However, such behavior leaves intact the power gap between the two leading states, and thus leaves in place the dangers that such a power gap creates. Hence another source of stability under bipolarity lies in the greater tendency for its poles to be equal.

THE VIRTUES OF NUCLEAR DETERRENCE. Deterrence is most likely to hold when the costs and risks of going to war are obviously great. The more horrible the prospect of war, the less likely it is to occur. Deterrence is also most robust when conquest is most difficult. Aggressors then are more likely to be deterred by the futility of expansion, and all states feel less compelled to expand to increase their security, making them easier to deter because they are less compelled to commit aggression.

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27. This point is the central theme of Ludwig Dehio, *The Precarious Balance: Four Centuries of the European Power Struggle*, trans. Charles Fullman (New York: Knopf, 1962). Also see Randolph M. Siverson and Michael R. Tennefoss, "Power, Alliance, and the Escalation of International Conflict, 1815–1965," *American Political Science Review*, Vol. 78, No. 4 (December 1984), pp. 1057–1069. The two lengthy periods of peace in the nineteenth century (see note 10 above) were mainly caused by the equal distribution of power among the major European states. Specifically, there was no aspiring hegemon in Europe for most of these two periods. France, the most powerful state in Europe at the beginning of the nineteenth century, soon declined to a position of rough equality with its chief competitors, while Germany only emerged as a potential hegemon in the early twentieth century.



Nuclear weapons favor peace on both counts. They are weapons of mass destruction, and would produce horrendous devastation if used in any numbers. Moreover, if both sides' nuclear arsenals are secure from attack, creating a mutually assured retaliation capability (mutual assured destruction or MAD), nuclear weapons make conquest more difficult; international conflicts revert from tests of capability and will to purer tests of will, won by the side willing to run greater risks and pay greater costs. This gives defenders the advantage, because defenders usually value their freedom more than aggressors value new conquests. Thus nuclear weapons are a superb deterrent: they guarantee high costs, and are more useful for self-defense than for aggression.<sup>28</sup>

In addition, nuclear weapons affect the degree of equality in the system. Specifically, the situation created by MAD bolsters peace by moving power relations among states toward equality. States that possess nuclear deterrents can stand up to one another, even if their nuclear arsenals vary greatly in size, as long as both sides' nuclear arsenals are secure from attack. This situation of closer equality has the stabilizing effects noted above.

Finally, MAD also bolsters peace by clarifying the relative power of states and coalitions.<sup>29</sup> States can still miscalculate each other's will, but miscalculations of relative capability are less likely, since nuclear capabilities are not elastic to the specific size and characteristics of forces; once an assured destruction capability is achieved, further increments of nuclear power have little strategic importance. Hence errors in assessing these specific characteristics have little effect. Errors in predicting membership in war coalitions also have less effect, since unforeseen additions or subtractions from such coalitions will not influence war outcomes unless they produce a huge change in the nuclear balance—enough to give one side meaningful nuclear superiority.

**THE DANGERS OF HYPER-NATIONALISM.** Nationalism is best defined as a set of political beliefs which holds that a nation—a body of individuals with characteristics that purportedly distinguish them from other individuals—

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28. Works developing the argument that nuclear weapons are essentially defensive in nature are Shai Feldman, *Israeli Nuclear Deterrence: A Strategy for the 1980s* (New York: Columbia University Press, 1982), pp. 45–49; Stephen Van Evera, "Why Europe Matters, Why the Third World Doesn't: American Grand Strategy after the Cold War," *Journal of Strategic Studies*, Vol. 13, No. 2 (June 1990, forthcoming); and Van Evera, "Causes of War," chap. 13.

29. See Feldman, *Israeli Nuclear Deterrence*, pp. 50–52; and Van Evera, "Causes of War," pp. 697–699.

should have its own state.<sup>30</sup> Although nationalists often believe that their nation is unique or special, this conclusion does not necessarily mean that they think they are superior to other peoples, merely that they take pride in their own nation.

However, this benevolent nationalism frequently turns into ugly hyper-nationalism—the belief that other nations or nation-states are both inferior and threatening and must therefore be dealt with harshly. In the past, hyper-nationalism among European states has arisen largely because most European states are nation-states—states comprised of one principal nation—and these nation-states exist in an anarchic world, under constant threat from other states. In such a situation people who love their own nation and state can develop an attitude of contempt and loathing toward the nations who inhabit opposing states. The problem is exacerbated by the fact that political elites often feel compelled to portray adversary nations in the most negative way so as to mobilize public support for national security policies.

Malevolent nationalism is most likely to develop under military systems that require reliance on mass armies; the state may exploit nationalist appeals to mobilize its citizenry for the sacrifices required to sustain large standing armies. On the other hand, hyper-nationalism is least likely when states can rely on small professional armies, or on complex high-technology military organizations that do not require vast manpower. For this reason nuclear weapons work to dampen nationalism, since they shift the basis of military power away from pure reliance on mass armies, and toward greater reliance on smaller high-technology organizations.

In sum, hyper-nationalism is the most important domestic cause of war, although it is still a second-order force in world politics. Furthermore, its causes lie largely in the international system.

#### THE CAUSES OF THE LONG PEACE: EVIDENCE

The historical record shows a perfect correlation between bipolarity, equality of military power, and nuclear weapons, on the one hand, and the long peace, on the other hand. When an equal bipolarity arose and nuclear weapons appeared, peace broke out. This correlation suggests that the bipolarity

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30. This definition is drawn from Ernest Gellner, *Nations and Nationalism* (Ithaca: Cornell University Press, 1983), which is an excellent study of the origins of nationalism. Nevertheless, Gellner pays little attention to how nationalism turns into a malevolent force that contributes to instability in the international system.

theory, the equality theory, and the nuclear theory of the long peace are all valid. However, correlation alone does not prove causation. Other factors still may account for the long peace. One way to rule out this possibility is to enumerate what the three theories predict about both the pre-war and postwar eras, and then to ask if these predictions came true in detail during those different periods.

BEFORE THE COLD WAR. The dangers of multipolarity are highlighted by events before both world wars. The existence of many dyads of potential conflict provided many possible ways to light the fuse to war in Europe. Diplomacy before World War I involved intense interactions among five major powers (Britain, France, Russia, Austria-Hungary, and Germany), and two minor powers (Serbia, and Belgium). At least six significant adversarial relationships emerged: Germany versus Britain, France, Russia, and Belgium; and Austria-Hungary versus Serbia and Russia. Before World War II five major powers (Britain, France, the Soviet Union, Germany, and Italy) and seven minor powers (Belgium, Poland, Czechoslovakia, Austria, Hungary, Romania, and Finland) interacted. These relations produced some thirteen important conflicts: Germany versus Britain, France, the Soviet Union, Czechoslovakia, Poland, and Austria; Italy versus Britain and France; the Soviet Union versus Finland and Poland; Czechoslovakia versus Poland and Hungary; and Romania versus Hungary. This multiplicity of conflicts made the outbreak of war inherently more likely. Moreover, many of the state interests at issue in each of these conflicts were interconnected, raising the risk that any single conflict that turned violent would trigger a general war, as happened in both 1914 and 1939.

Before World War II Germany was able to gang up with others against some minor states, and to bully others into joining with it. In 1939 Germany bolstered its power by ganging up with Poland and Hungary to partition Czechoslovakia, and then ganged up with the Soviet Union against Poland. In 1938 Germany bullied the Czechs into surrendering the Sudetenland, and also bullied the Austrians into complete surrender.<sup>31</sup> By these successes Germany expanded its power, leaving it far stronger than its immediate neighbors, and thereby making deterrence much harder.

German power could have been countered before both world wars had the other European powers balanced efficiently against Germany. If so, Ger-

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31. Austria is not a pure case of bullying; there was also considerable pro-German support in Austria during the late 1930s.

many might have been deterred, and war prevented on both occasions. However, the other powers twice failed to do so. Before 1914 the scope of this failure was less pronounced; France and Russia balanced forcefully against Germany, while only Britain failed to commit firmly against Germany before war began.<sup>32</sup>

Before 1939, failure to balance was far more widespread.<sup>33</sup> The Soviet Union failed to aid Czechoslovakia against Germany in 1938, partly for geographic reasons: they shared no common border, leaving the Soviets with no direct access to Czech territory. France failed to give effective aid to the Czechs and Poles, partly because French military doctrine was defensively oriented, but also because France had no direct access to Czech or Polish territory, and therefore could not easily deploy forces to bolster Czech and Polish defenses.

Britain and France each passed the buck by transferring the cost of deterring Germany onto the other, thereby weakening their combined effort. The Soviet Union, with the Molotov-Ribbentrop Pact, sought to turn the German armies westward, hoping that they would become bogged down in a war of attrition similar to World War I on the Western Front. Some of the minor European powers, including Belgium, the Netherlands, Denmark, and the Scandinavian states, passed the buck to the major powers by standing on the sidelines during the crises of 1938 and 1939.

Britain and the United States failed to recognize that they were threatened by Germany until late in the game—1939 for Britain, 1940 for the United States—and they therefore failed to take an early stand. When they finally recognized the danger posed by Germany and resolved to respond, they lacked appropriate military forces. Britain could not pose a significant military threat to Germany until after it built up its own military forces and coordinated its plans and doctrine with its French and Polish allies. In the meantime

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32. Britain's failure to commit itself explicitly to a Continental war before the July Crisis was probably a mistake of great proportions. There is evidence that the German chancellor, Bethmann-Hollweg, tried to stop the slide towards war once it became apparent that Britain would fight with France and Russia against Germany, turning a Continental war into a world war. See Imanuel Geiss, ed., *July 1914: The Outbreak of the First World War* (New York: Norton, 1967), chap. 7. Had the Germans clearly understood British intentions before the crisis, they might have displayed much greater caution in the early stages of the crisis, when it was still possible to avoid war.

33. See Williamson Murray, *The Change in the European Balance of Power, 1938–1939: The Path to Ruin* (Princeton: Princeton University Press, 1984); Posen, *Sources of Military Doctrine*; and Arnold Wolfers, *Britain and France between Two Wars: Conflicting Strategies of Peace from Versailles to World War II* (New York: Norton, 1968); and Barry R. Posen, "Competing Images of the Soviet Union," *World Politics*, Vol. 39, No. 4 (July 1987), pp. 579–597.

deterrence failed. The United States did not launch a significant military buildup until after the war broke out.

Multipolarity also created conditions that permitted serious miscalculation before both world wars, which encouraged German aggression on both occasions. Before 1914, Germany was not certain of British opposition if it reached for continental hegemony, and Germany completely failed to foresee that the United States would eventually move to contain it. In 1939, Germany hoped that France and Britain would stand aside as it conquered Poland, and again failed to foresee eventual American entry into the war. As a result Germany exaggerated its prospects for success. This undermined deterrence by encouraging German adventurism.

In sum, the events leading up to the world wars amply illustrate the risks that arise in a multipolar world. Deterrence was undermined in both cases by phenomena that are more common under a multipolar rather than a bipolar distribution of power.<sup>34</sup>

Deterrence was also difficult before both wars because power was distributed asymmetrically among the major European powers. Specifically, Germany was markedly stronger than any of its immediate neighbors. In 1914 Germany clearly held military superiority over all of its European rivals; only together were they able to defeat it, and then only with American help. 1939 is a more ambiguous case. The results of the war reveal that the Soviet Union had the capacity to stand up to Germany, but this was not apparent at the beginning of the war. Hitler was confident that Germany would defeat the Soviet Union, and this confidence was key to his decision to attack in 1941.

Finally, the events leading up to both world wars also illustrate the risks that arise in a world of pure conventional deterrence in which weapons of mass destruction are absent. World War I broke out partly because all of the important states believed that the costs of war would be small, and that successful offense was feasible.<sup>35</sup> Before World War II these beliefs were less widespread, but had the same effect.<sup>36</sup> The lesser powers thought war would

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34. The problems associated with multipolarity were also common in Europe before 1900. Consider, for example, that inefficient balancing resulted in the collapse of the first four coalitions arrayed against Napoleonic France. See Steven T. Ross, *European Diplomatic History, 1789–1815: France Against Europe* (Garden City, N.Y.: Doubleday, 1969).

35. Stephen Van Evera, "The Cult of the Offensive and the Origins of the First World War," *International Security*, Vol. 9, No. 1 (Summer 1984), pp. 58–107. Also see Jack Snyder, *The Ideology of the Offensive: Military Decision-Making and the Disasters of 1914* (Ithaca: Cornell University Press, 1984).

36. Mearsheimer, *Conventional Deterrence*, chaps. 3–4.

be costly and conquest difficult, but the leaders of the strongest state—Germany—saw the prospect of cheap victory, and this belief was enough to destroy deterrence and produce war. Had nuclear weapons existed, these beliefs would have been undercut, removing a key condition that permitted both wars.

What was the role of internal German politics in causing the world wars? So far I have focused on aspects of the international system surrounding Germany. This focus reflects my view that systemic factors were more important. But German domestic political and social developments also played a significant role, contributing to the aggressive character of German foreign policy. Specifically, German society was infected with a virulent nationalism between 1870 and 1945 that laid the basis for expansionist foreign policies.<sup>37</sup>

However, two points should be borne in mind. First, German hyper-nationalism was in part fueled by Germany's pronounced sense of insecurity, which reflected Germany's vulnerable location at the center of Europe, with relatively open borders on both sides. These geographic facts made German security problems especially acute; this situation gave German elites a uniquely strong motive to mobilize their public for war, which they did largely by fanning nationalism. Thus even German hyper-nationalism can be ascribed in part to the nature of the pre-1945 international system.

Second, the horror of Germany's murderous conduct during World War II should be distinguished from the scope of the aggressiveness of German foreign policy.<sup>38</sup> Germany was indeed aggressive, but not unprecedentedly so. Other states have aspired to hegemony in Europe, and sparked wars by their efforts; Germany was merely the latest to attempt to convert dominant into hegemonic power. What was unique about Germany's conduct was its policy of mass murder toward many of the peoples of Europe. The causes of this murderous policy should not be conflated with the causes of the two

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37. See Ludwig Dehio, *Germany and World Politics in the Twentieth Century*, trans. Dieter Pevsner (New York: Norton, 1967); Fritz Fischer, *War of Illusions: German Policies from 1911 to 1914*, trans. Marian Jackson (New York: Norton, 1975); Paul M. Kennedy, *The Rise of the Anglo-German Antagonism, 1860–1914* (London: Allen and Unwin, 1980), chap. 18; Hans Kohn, *The Mind of Germany: The Education of a Nation* (New York: Harper Torchbook, 1965), chaps. 7–12; and Louis L. Snyder, *German Nationalism: The Tragedy of a People* (Harrisburg, Pa.: Telegraph Press, 1952).

38. There is a voluminous literature on the German killing machine in World War II. Among the best overviews of the subject are Ian Kershaw, *The Nazi Dictatorship: Problems and Perspectives of Interpretation*, 2nd ed. (London: Arnold, 1989), chaps. 5, 8, 9; Henry L. Mason, "Imponderables of the Holocaust," *World Politics*, Vol. 34, No. 1 (October 1981), pp. 90–113; and Mason, "Implementing the Final Solution: The Ordinary Regulating of the Extraordinary," *World Politics*, Vol. 40, No. 4 (July 1988), pp. 542–569.

world wars. The policy of murder arose chiefly from domestic sources; the wars arose mainly from aspects of the distribution and character of power in Europe.

THE COLD WAR RECORD. The European state system abruptly shifted from multipolar to bipolar after 1945. Three factors were responsible: the near-complete destruction of German power, the growth of Soviet power, and the permanent American commitment to the European Continent. The weakening of the German Reich was accomplished by allied occupation and dismemberment. Silesia, Pomerania, East Prussia, and parts of West Prussia and Brandenburg were given to other countries, the Sudetenland was returned to Czechoslovakia, and Austria was restored to independence. The rest of the German Reich was divided into two countries, East and West Germany, which became enemies. This reduction of German power, coupled with the physical presence of American and Soviet military might in the heart of Europe, eliminated the threat of German aggression.<sup>39</sup>

Meanwhile the Soviet Union extended its power westward, becoming the dominant power on the Continent and one of the two strongest powers in the world. There is no reason to think that the Soviets would not have reached for continental hegemony, as the Spanish, French, and Germans did earlier, had they believed they could win a hegemonic war. But the Soviets, unlike their predecessors, made no attempt to gain hegemony by force, leaving Europe in peace.

Bipolarity supplies part of the reason. Bipolarity made Europe a simpler place in which only one point of friction—the East-West conflict—had to be managed to avoid war. The two blocs encompassed most of Europe, leaving few unprotected weak states for the Soviets to conquer. As a result the Soviets have had few targets to bully. They have also been unable to gang up on the few states that are unprotected, because their West-bloc adversary has been their only potential ganging-up partner.

Bipolarity also left less room for miscalculation of both resolve and capability. During the first fifteen years of the Cold War, the rules of the road for the conflict were not yet established, giving rise to several serious crises. However, over time each side gained a clear sense of how far it could push the other, and what the other would not tolerate. A set of rules came to be agreed upon: an understanding on the division of rights in Austria, Berlin,

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39. See Anton W. DePorte, *Europe between the Superpowers: The Enduring Balance*, 2nd ed. (New Haven: Yale University Press, 1986).

and elsewhere in Europe; a proscription on secret unilateral re-deployment of large nuclear forces to areas contiguous to the opponent; mutual toleration of reconnaissance satellites; agreement on rules of peacetime engagement between naval forces; and so forth. The absence of serious crises during 1963–90 was due in part to the growth of such agreements on the rights of both sides, and the rules of conduct. These could develop in large part because the system was bipolar in character. Bipolarity meant that the same two states remained adversaries for a long period, giving them time to learn how to manage their conflict without war. By contrast, a multipolar world of shifting coalitions would repeatedly have forced adversaries to re-learn how their opponents defined interests, reach new accords on the division of rights, and establish new rules of competitive conduct.

Bipolarity also left less room to miscalculate the relative strength of the opposing coalitions. The composition of possible war coalitions has been clear because only two blocs have existed, each led by an overwhelmingly dominant power that could discipline its members. Either side could have miscalculated its relative military strength, but bipolarity removed ambiguity about relative strength of adversarial coalitions arising from diplomatic uncertainties.

The East-West military balance in Europe has been roughly equal throughout the Cold War, which has further bolstered stability. This approximate parity strengthened deterrence by ensuring that no state was tempted to use force to exploit a power advantage. Parity resulted partly from bipolarity: because the two blocs already encompassed all the states of Europe, both sides have balanced mainly by internal rather than external means. These more efficient means have produced a more nearly equal balance.

Nuclear weapons also played a key role in preventing war in post-World War II Europe.

Western elites on both sides of the Atlantic quickly recognized that nuclear weapons were vastly destructive and that their widespread use in Europe would cause unprecedented devastation. The famous *Carte Blanche* exercises conducted in Germany in 1955 made it manifestly clear that a nuclear war in Europe would involve far greater costs than another World War II.<sup>40</sup> Accordingly, Western policymakers rarely suggested that nuclear war could be “won,” and instead emphasized the horrors that would attend nuclear war.

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40. See Hans Speier, *German Rearmament and Atomic War: The Views of German Military and Political Leaders* (Evanston, Ill.: Row, Peterson, 1957), chap. 10.



Moreover, they have understood that conventional war could well escalate to the nuclear level, and have in fact based NATO strategy on that reality.

Soviet leaders also recognized the horrendous results that a nuclear war would produce.<sup>41</sup> Some Soviet military officers have asserted that victory is possible in nuclear war, but even they have acknowledged that such a victory would be Pyrrhic. Soviet civilians have generally argued that victory is impossible. Furthermore, the Soviets long maintained that it was not possible to fight a purely conventional war in Europe, and that conventional victory would only prompt the loser to engage in nuclear escalation.<sup>42</sup> The Soviets later granted more possibility that a conventional war might be controlled, but still recognized that escalation is likely.<sup>43</sup> Under Gorbachev, Soviet military thinking has placed even greater emphasis on the need to avoid nuclear war and devoted more attention to the dangers of inadvertent nuclear war.<sup>44</sup>

Official rhetoric aside, policymakers on both sides have also behaved very cautiously in the presence of nuclear weapons. There is not a single case of a leader brandishing nuclear weapons during a crisis, or behaving as if nuclear war might be a viable option for solving important political problems. On the contrary, policymakers have never gone beyond nuclear threats of a very subtle sort, and have shown great caution when the possibility of nuclear confrontation has emerged.<sup>45</sup> This cautious conduct has lowered the risk of war.

Nuclear weapons also imposed an equality and clarity on the power relations between the superpowers. This equality and clarity represented a

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41. See Robert L. Arnett, "Soviet Attitudes Towards Nuclear War: Do They Really Think They Can Win?" *Journal of Strategic Studies*, Vol. 2, No. 2 (September 1979), pp. 172–191; and David Holloway, *The Soviet Union and the Arms Race* (New Haven: Yale University Press, 1983).

42. Thus Nikita Khrushchev explained, "Now that the big countries have thermonuclear weapons at their disposal, they are sure to resort to those weapons if they begin to lose a war fought with conventional means. If it ever comes down to a question of whether or not to face defeat, there is sure to be someone who will be in favor of pushing the button, and the missiles will begin to fly." Nikita Khrushchev, *Khrushchev Remembers: The Last Testament*, trans. and ed. by Strobe Talbott (New York: Bantam, 1976), pp. 603–604.

43. See James M. McConnell, "Shifts in Soviet Views on the Proper Focus of Military Development," *World Politics*, Vol. 37, No. 3 (April 1985), pp. 317–343.

44. See Stephen M. Meyer, "The Sources and Prospects of Gorbachev's New Political Thinking on Security," *International Security*, Vol. 13, No. 2 (Fall 1988), pp. 134–138.

45. See Hannes Adomeit, *Soviet Risk-taking and Crisis Behavior: A Theoretical and Empirical Analysis* (London: Allen and Unwin, 1982); Richard K. Betts, *Nuclear Blackmail and Nuclear Balance* (Washington, D.C.: Brookings, 1987); and McGeorge Bundy, *Danger and Survival: Choices about the Bomb in the First Fifty Years* (New York: Random House, 1988). Also see Joseph S. Nye, Jr., "Nuclear Learning and U.S.-Soviet Security Regimes," *International Organization*, Vol. 41, No. 3 (Summer 1987), pp. 371–402.

marked change from the earlier non-nuclear world, in which sharp power inequalities and miscalculations of relative power were common.<sup>46</sup>

During the Cold War, the United States and the Soviet Union have exhibited markedly less hyper-nationalism than did the European powers before 1945. After World War II, nationalism declined sharply within Europe, partly because the occupation forces took active steps to dampen it,<sup>47</sup> and also because the European states, no longer providing their own security, now lacked the incentive to purvey hyper-nationalism in order to bolster public support for national defense. More importantly, however, the locus of European politics shifted to the United States and the Soviet Union—two states that, each for its own reasons, had not exhibited nationalism of the virulent type found earlier in Europe. Nor has nationalism become virulent in either superpower during the Cold War. In part this reflects the greater stability of the postwar order, arising from bipolarity, military equality, and nuclear weapons; with less expectation of war, neither superpower has faced the need to mobilize its population for war. It also reflects a second effect of nuclear weapons: they have reduced the importance of mass armies for preserving sovereignty, thus diminishing the importance of maintaining a hyper-nationalized pool of manpower.

#### THE CAUSES OF THE LONG PEACE: COMPETING EXPLANATIONS

The claim that bipolarity, equality, and nuclear weapons have been largely responsible for the stability of the past 45 years is further strengthened by the absence of persuasive competing explanations. Two of the most popular theories of peace—*economic liberalism* and *peace-loving democracies*—are not relevant to the issue at hand.

Economic liberalism, which posits that a liberal economic order bolsters peace (discussed in more detail below), cannot explain the stability of postwar Europe, because there has been little economic exchange between the Soviet Union and the West over the past 45 years. Although economic flows be-

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46. Some experts acknowledge that nuclear weapons had deterrent value in the early decades of the Cold War, but maintain that they had lost their deterrent value by the mid-1960s when the Soviets finally acquired the capability to retaliate massively against the American homeland. I reject this argument and have outlined my views in John J. Mearsheimer, "Nuclear Weapons and Deterrence in Europe," *International Security*, Vol. 9, No. 3 (Winter 1984/85), pp. 19–46.

47. See Paul M. Kennedy, "The Decline of Nationalistic History in the West, 1900–1970," *Journal of Contemporary History*, Vol. 8, No. 1 (January 1973), pp. 77–100; and E.H. Dance, *History the Betrayer* (London: Hutchinson, 1960).

tween Eastern and Western Europe have been somewhat greater, in no sense has all of Europe been encompassed by a liberal economic order.

The peace-loving democracies theory (also discussed below) holds that democracies do not go to war against other democracies, but concedes that democracies are not especially pacific when facing authoritarian states. This theory cannot account for post–World War II stability because the Soviet Union and its allies in Eastern Europe have not been democratic over the past 45 years.

A third theory of peace, *obsolescence of war*, proposes that modern conventional war had become so deadly by the twentieth century that it was no longer possible to think of war as a sensible means to achieve national goals.<sup>48</sup> It took the two world wars to drive this point home, but by 1945 it was clear that large-scale conventional war had become irrational and morally unacceptable, like institutions such as slavery and dueling. Thus, even without nuclear weapons, statesmen in the Cold War would not seriously have countenanced war, which had become an anachronism. This theory, it should be emphasized, does not ascribe the absence of war to nuclear weapons, but instead points to the horrors of modern conventional war.

This argument probably provides the most persuasive alternative explanation for the stability of the Cold War, but it is not convincing on close inspection. The fact that World War II occurred casts serious doubt on this theory; if any war could have convinced Europeans to forswear conventional war, it should have been World War I, with its vast casualties. There is no doubt that conventional war among modern states could devastate the participants. Nevertheless, this explanation misses one crucial difference between nuclear and conventional war, a difference that explains why war is still a viable option for states. Proponents of this theory assume that all conventional wars are protracted and bloody wars of attrition, like World War I on the Western front. However, it is possible to score a quick and decisive victory in a conventional war and avoid the devastation that usually attends a protracted conventional war.<sup>49</sup> Conventional war can be won; nuclear war cannot be, since neither side can escape devastation by the other, regardless of the outcome on the battlefield. Thus, the incentives to avoid

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48. This theory is most clearly articulated by John E. Mueller, *Retreat from Doomsday: The Obsolescence of Major War* (New York: Basic Books, 1989). See also Carl Kaysen, "Is War Obsolete? A Review Essay," *International Security*, Vol. 14, No. 4 (Spring 1990), pp. 42–64.

49. See Mearsheimer, *Conventional Deterrence*, chaps. 1–2.

war are far greater in a nuclear than a conventional world, making nuclear deterrence much more robust than conventional deterrence.<sup>50</sup>

*Predicting the Future: The Balkanization of Europe?*

What new order will emerge in Europe if the Soviets and Americans withdraw to their homelands and the Cold War order dissolves? What characteristics will it have? How dangerous will it be?

It is certain that bipolarity will disappear, and multipolarity will emerge in the new European order. The other two dimensions of the new order—the distribution of power among the major states, and the distribution of nuclear weapons among them—are not pre-determined, and several possible arrangements could develop. The probable stability of these arrangements would vary markedly. This section examines the scope of the dangers that each arrangement would present, and the likelihood that each will emerge.

The distribution and deployment patterns of nuclear weapons in the new Europe is the least certain, and probably the most important, element of the new order. Accordingly, this section proceeds by exploring the character of the four principal nuclear worlds that might develop: a denuclearized Europe, continuation of the current patterns of nuclear ownership, and nuclear proliferation either well- or ill-managed.

The best new order would incorporate the limited, managed proliferation of nuclear weapons. This would be more dangerous than the current order, but considerably safer than 1900–45. The worst order would be a non-nuclear Europe in which power inequities emerge between the principal poles of power. This order would be more dangerous than the current world, perhaps almost as dangerous as the world before 1945. Continuation of the current

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50. German decision-making in the early years of World War II underscores this point. See Mearsheimer, *Conventional Deterrence*, chap. 4. The Germans were well aware from their experience in World War I that conventional war among major powers could have devastating consequences. Nevertheless, they decided three times to launch major land offensives: Poland (1939); France (1940); and the Soviet Union (1941). In each case, the Germans believed that they could win a quick and decisive victory and avoid a costly protracted war like World War I. Their calculations proved correct against Poland and France. They were wrong about the Soviets, who thwarted their blitzkrieg and eventually played the central role in bringing down the Third Reich. The Germans surely would have been deterred from attacking the Soviet Union if they had foreseen the consequences. However, the key point is that they saw some possibility of winning an easy and relatively cheap victory against the Red Army. That option is not available in a nuclear war.

pattern, or mismanaged proliferation, would be worse than the world of today, but safer than the pre-1945 world.

#### EUROPE WITHOUT NUCLEAR WEAPONS

Some Europeans and Americans seek to eliminate nuclear weapons from Europe, and would replace the Cold War order with a wholly non-nuclear order. Constructing this nuclear-free Europe would require Britain, France and the Soviet Union to rid themselves of nuclear weapons. Proponents believe that a Europe without nuclear weapons would be the most peaceful possible arrangement; in fact, however, a nuclear-free Europe would be the most dangerous among possible post-Cold War orders. The pacifying effects of nuclear weapons—the security they provide, the caution they generate, the rough equality they impose, and the clarity of relative power they create—would be lost. Peace would then depend on the other dimensions of the new order—the number of poles, and the distribution of power among them. However, the new order will certainly be multipolar, and may be unequal; hence the system may be very prone to violence. The structure of power in Europe would look much like it did between the world wars, and it could well produce similar results.

The two most powerful states in post-Cold War Europe would probably be Germany and the Soviet Union. They would be physically separated by a band of small, independent states in Eastern Europe. Not much would change in Western Europe, although the states in that area would have to be concerned about a possible German threat on their eastern flank.

The potential for conflict in this system would be considerable. There would be many possible dyads across which war might break out. Power imbalances would be commonplace as a result of the opportunities this system would present for bullying and ganging up. There would be considerable opportunity for miscalculation. The problem of containing German power would emerge once again, but the configuration of power in Europe would make it difficult to form an effective counterbalancing coalition, for much the same reason that an effective counterbalancing coalition failed to form in the 1930s. Eventually the problem of containing the Soviet Union could also re-emerge. Finally, conflicts may erupt in Eastern Europe, providing the vortex that could pull others into a wider confrontation.

A reunified Germany would be surrounded by weaker states that would find it difficult to balance against German aggression. Without forces stationed in states adjacent to Germany, neither the Soviets nor the Americans

would be in a good position to help them contain German power. Furthermore, those small states lying between Germany and the Soviet Union might fear the Soviets as much as the Germans, and hence may not be disposed to cooperate with the Soviets to deter German aggression. This problem in fact arose in the 1930s, and 45 years of Soviet occupation in the interim have done nothing to ease East European fears of a Soviet military presence. Thus, scenarios in which Germany uses military force against Poland, Czechoslovakia, or even Austria become possible.

The Soviet Union also might eventually threaten the new status quo. Soviet withdrawal from Eastern Europe does not mean that the Soviets will never feel compelled to return to Eastern Europe. The historical record provides abundant instances of Russian or Soviet involvement in Eastern Europe. Indeed, the Russian presence in Eastern Europe has surged and ebbed repeatedly over the past few centuries.<sup>51</sup> Thus, Soviet withdrawal now hardly guarantees a permanent exit.

Conflict between Eastern European states is also likely to produce instability in a multipolar Europe. There has been no war among the states in that region during the Cold War because the Soviets have tightly controlled them. This point is illustrated by the serious tensions that now exist between Hungary and Romania over Romanian treatment of the Hungarian minority in Transylvania, a region that previously belonged to Hungary and still has roughly 2 million Hungarians living within its borders. Were it not for the Soviet presence in Eastern Europe, this conflict could have brought Romania and Hungary to war by now, and it may bring them to war in the future.<sup>52</sup> This will not be the only danger spot within Eastern Europe if the Soviet empire crumbles.<sup>53</sup>

Warfare in Eastern Europe would cause great suffering to Eastern Europeans. It also might widen to include the major powers, because they would

51. See, inter alia: Ivo J. Lederer, ed., *Russian Foreign Policy: Essays in Historical Perspective* (New Haven: Yale University Press, 1962); Andrei Lobanov-Rostovsky, *Russia and Europe, 1825–1878* (Ann Arbor, Mich.: George Wahr Publishing, 1954); and Marc Raeff, *Imperial Russia, 1682–1825: The Coming of Age of Modern Russia* (New York: Knopf, 1971), chap. 2.

52. To get a sense of the antipathy between Hungary and Romania over this issue, see *Witnesses to Cultural Genocide: First-Hand Reports on Romania's Minority Policies Today* (New York: American Transylvanian Federation and the Committee for Human Rights in Romania, 1979). The March 1990 clashes between ethnic Hungarians and Romanians in Tîrgu Mures (Romanian Transylvania) indicate the potential for savage violence that is inherent in these ethnic conflicts.

53. See Zbigniew Brzezinski, "Post-Communist Nationalism," *Foreign Affairs*, Vol. 68, No. 5 (Winter 1989/1990), pp. 1–13; and Mark Kramer, "Beyond the Brezhnev Doctrine: A New Era in Soviet-East European Relations?" *International Security*, Vol. 14, No. 3 (Winter 1989/90), pp. 51–54.

be drawn to compete for influence in that region, especially if disorder created fluid politics that offered opportunities for wider influence, or threatened defeat for friendly states. During the Cold War, both superpowers were drawn into Third World conflicts across the globe, often in distant areas of little strategic importance. Eastern Europe is directly adjacent to both the Soviet Union and Germany, and has considerable economic and strategic importance; thus trouble in Eastern Europe could offer even greater temptations to these powers than past conflicts in the Third World offered the superpowers. Furthermore, because the results of local conflicts will be largely determined by the relative success of each party in finding external allies, Eastern European states will have strong incentives to drag the major powers into their local conflicts.<sup>54</sup> Thus both push and pull considerations would operate to enmesh outside powers in local Eastern European wars.

Miscalculation is also likely to be a problem in a multipolar Europe. For example, the new order might well witness shifting patterns of conflict, leaving insufficient time for adversaries to develop agreed divisions of rights and agreed rules of interaction, or constantly forcing them to re-establish new agreements and rules as old antagonisms fade and new ones arise. It is not likely that circumstances would allow the development of a robust set of agreements of the sort that have stabilized the Cold War since 1963. Instead, Europe would resemble the pattern of the early Cold War, in which the absence of rules led to repeated crises. In addition, the multipolar character of the system is likely to give rise to miscalculation regarding the strength of the opposing coalitions.

It is difficult to predict the precise balance of conventional military power that would emerge between the two largest powers in post-Cold War Europe, especially since the future of Soviet power is now hard to forecast. The Soviet Union might recover its strength soon after withdrawing from Central Europe; if so, Soviet power would overmatch German power. Or centrifugal national forces may pull the Soviet Union apart, leaving no remnant state that is the equal of a united Germany.<sup>55</sup> What seems most likely is that

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54. The new prime minister of Hungary, Jozsef Antall, has already spoken of the need for a "European solution" to the problem of Romania's treatment of Hungarians in Transylvania. Celestine Bohlen, "Victor in Hungary Sees '45 as the Best of Times," *New York Times*, April 10, 1990, p. A8.

55. This article focuses on how changes in the strength of Soviet power and retraction of the Soviet empire would affect the prospects for stability in Europe. However, the dissolution of the Soviet Union, a scenario not explored here in any detail, would raise dangers that would be different from and in addition to those discussed here.

Germany and the Soviet Union might emerge as powers of roughly equal strength. The first two scenarios, with their marked inequality between the two leading powers, would be especially worrisome, although there is cause for concern even if Soviet and German power are balanced.

Resurgent hyper-nationalism will probably pose less danger than the problems described above, but some nationalism is likely to resurface in the absence of the Cold War and may provide additional incentives for war. A non-nuclear Europe is likely to be especially troubled by nationalism, since security in such an order will largely be provided by mass armies, which often cannot be maintained without infusing societies with hyper-nationalism. The problem is likely to be most acute in Eastern Europe, but there is also potential for trouble in Germany. The Germans have generally done an admirable job combatting nationalism over the past 45 years, and in remembering the dark side of their past. Nevertheless, worrisome portents are now visible; of greatest concern, some prominent Germans have lately advised a return to greater nationalism in historical education.<sup>56</sup> Moreover, nationalism will be exacerbated by the unresolved border disputes that will be uncovered by the retreat of American and Soviet power. Especially prominent is that of the border between Germany and Poland, which some Germans would change in Germany's favor.

However, it seems very unlikely that Europe will actually be denuclearized, despite the present strength of anti-nuclear feeling in Europe. For example, it is unlikely that the French, in the absence of America's protective cover and faced with a newly unified Germany, would get rid of their nuclear weapons. Also, the Soviets surely would remain concerned about balancing the American nuclear deterrent, and will therefore retain a deterrent of their own.

#### THE CURRENT OWNERSHIP PATTERN CONTINUES

A more plausible order for post-Cold War Europe is one in which Britain, France and the Soviet Union keep their nuclear weapons, but no new nuclear powers emerge in Europe. This scenario sees a nuclear-free zone in Central Europe, but leaves nuclear weapons on the European flanks.

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56. Aspects of this story are recounted in Richard J. Evans, *In Hitler's Shadow: West German Historians and the Attempt to Escape from the Nazi Past* (New York: Pantheon, 1989). A study of past German efforts to mischaracterize history is Holger H. Herwig, "Clio Deceived: Patriotic Self-Censorship in Germany After the Great War," *International Security*, Vol. 12, No. 2 (Fall 1987), pp. 5-44.



This scenario, too, also seems unlikely, since the non-nuclear states will have substantial incentives to acquire their own nuclear weapons. Germany would probably not need nuclear weapons to deter a conventional attack by its neighbors, since neither the French nor any of the Eastern European states would be capable of defeating a reunified Germany in a conventional war. The Soviet Union would be Germany's only legitimate conventional threat, but as long as the states of Eastern Europe remained independent, Soviet ground forces would be blocked from a direct attack. The Germans, however, might not be willing to rely on the Poles or the Czechs to provide a barrier and might instead see nuclear weapons as the best way to deter a Soviet conventional attack into Central Europe. The Germans might choose to go nuclear to protect themselves from blackmail by other nuclear powers. Finally, given that Germany would have greater economic strength than Britain or France, it might therefore seek nuclear weapons to raise its military status to a level commensurate with its economic status.

The minor powers of Eastern Europe would have strong incentives to acquire nuclear weapons. Without nuclear weapons, these Eastern European states would be open to nuclear blackmail from the Soviet Union and, if it acquired nuclear weapons, from Germany. No Eastern European state could match the conventional strength of Germany or the Soviet Union, which gives these minor powers a powerful incentive to acquire a nuclear deterrent, even if the major powers had none. In short, a continuation of the current pattern of ownership without proliferation seems unlikely.

How stable would this order be? The continued presence of nuclear weapons in Europe would have some pacifying effects. Nuclear weapons would induce greater caution in their owners, give the nuclear powers greater security, tend to equalize the relative power of states that possess them, and reduce the risk of miscalculation. However, these benefits would be limited if nuclear weapons did not proliferate beyond their current owners, for four main reasons.

First, the caution and the security that nuclear weapons impose would be missing from the vast center of Europe. The entire region between France and the Soviet Union, extending from the Arctic in the north to the Mediterranean in the south, and comprising some eighteen significant states, would become a large zone thereby made "safe" for conventional war. Second, asymmetrical power relations would be bound to develop, between nuclear and non-nuclear states and among non-nuclear states, raising the dangers that attend such asymmetries. Third, the risk of miscalculation

would rise, reflecting the multipolar character of this system and the absence of nuclear weapons from a large portion of it. A durable agreed political order would be hard to build because political coalitions would tend to shift over time, causing miscalculations of resolve between adversaries. The relative strength of potential war coalitions would be hard to calculate because coalition strength would depend heavily on the vagaries of diplomacy. Such uncertainties about relative capabilities would be mitigated in conflicts that arose among nuclear powers: nuclear weapons tend to equalize power even among states or coalitions of widely disparate resources, and thus to diminish the importance of additions or defections from each coalition. However, uncertainty would still be acute among the many states that would remain non-nuclear. Fourth, the conventionally-armed states of Central Europe would depend for their security on mass armies, giving them an incentive to infuse their societies with dangerous nationalism in order to maintain public support for national defense efforts.

#### NUCLEAR PROLIFERATION, WELL-MANAGED OR OTHERWISE

The most likely scenario in the wake of the Cold War is further nuclear proliferation in Europe. This outcome is laden with dangers, but also might provide the best hope for maintaining stability on the Continent. Its effects depend greatly on how it is managed. Mismanaged proliferation could produce disaster, while well-managed proliferation could produce an order nearly as stable as the current order. Unfortunately, however, any proliferation is likely to be mismanaged.

Four principal dangers could arise if proliferation is not properly managed. First, the proliferation process itself could give the existing nuclear powers strong incentives to use force to prevent their non-nuclear neighbors from gaining nuclear weapons, much as Israel used force to preempt Iraq from acquiring a nuclear capability.

Second, even after proliferation was completed, a stable nuclear competition might not emerge between the new nuclear states. The lesser European powers might lack the resources needed to make their nuclear forces survivable; if the emerging nuclear forces were vulnerable, this could create first-strike incentives and attendant crisis instability. Because their economies are far smaller, they would not be able to develop arsenals as large as those of the major powers; arsenals of small absolute size might thus be vulnerable. Furthermore, their lack of territorial expanse deprives them of possible basing modes, such as mobile missile basing, that would secure their deterrents.

Several are landlocked, so they could not base nuclear weapons at sea, the most secure basing mode used by the superpowers. Moreover, their close proximity to one another deprives them of warning time, and thus of basing schemes that exploit warning to achieve invulnerability, such as by the quick launch of alert bombers. Finally, the emerging nuclear powers might also lack the resources required to develop secure command and control and adequate safety procedures for weapons management, thus raising the risk of accidental launch, or of terrorist seizure and use of nuclear weapons.

Third, the elites and publics of the emerging nuclear European states might not quickly develop doctrines and attitudes that reflect a grasp of the devastating consequences and basic unwinnability of nuclear war. There will probably be voices in post-Cold War Europe arguing that limited nuclear war is feasible, and that nuclear wars can be fought and won. These claims might be taken seriously in states that have not had much direct experience with the nuclear revolution.

Fourth, widespread proliferation would increase the number of fingers on the nuclear trigger, which in turn would increase the likelihood that nuclear weapons could be fired due to accident, unauthorized use, terrorist seizure, or irrational decision-making.

If these problems are not resolved, proliferation would present grave dangers. However, the existing nuclear powers can take steps to reduce these dangers. They can help deter preventive attack on emerging nuclear states by extending security guarantees. They can provide technical assistance to help newly nuclear-armed powers to secure their deterrents. And they can help socialize emerging nuclear societies to understand the nature of the forces they are acquiring. Proliferation managed in this manner can help bolster peace.

How broadly should nuclear weapons be permitted to spread? It would be best if proliferation were extended to Germany but not beyond.<sup>57</sup> Germany has a large economic base, and can therefore sustain a secure nuclear force. Moreover, Germany will feel insecure without nuclear weapons; and Germany's great conventional strength gives it significant capacity to disturb Europe if it feels insecure. Other states—especially in Eastern Europe—may also want nuclear weapons, but it would be best to prevent further proliferation. The reasons are, as noted above, that these states may be unable to

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57. See David Garnham, "Extending Deterrence with German Nuclear Weapons," *International Security*, Vol. 10, No. 1 (Summer 1985), pp. 96–110.

secure their nuclear deterrents, and the unlimited spread of nuclear weapons raises the risk of terrorist seizure or possession by states led by irrational elites. However, if the broader spread of nuclear weapons proves impossible to prevent without taking extreme steps, the existing nuclear powers should let the process happen, while doing their best to channel it in safe directions.

However, even if proliferation were well-managed, significant dangers would remain. If all the major powers in Europe possessed nuclear weapons, history suggests that they would still compete for influence among the lesser powers and be drawn into lesser-power conflicts. The superpowers, despite the security that their huge nuclear arsenals provide, have competed intensely for influence in remote, strategically unimportant areas such as South Asia, Southeast Asia, and Central America. The European powers are likely to exhibit the same competitive conduct, especially in Eastern Europe, even if they possess secure nuclear deterrents.

The possibility of ganging up would remain: several nuclear states could join against a solitary nuclear state, perhaps aggregating enough strength to overwhelm its deterrent. Nuclear states also might bully their non-nuclear neighbors. This problem is mitigated if unbounded proliferation takes place, leaving few non-nuclear states subject to bullying by the nuclear states, but such widespread proliferation raises risks of its own, as noted above.

Well-managed proliferation would reduce the danger that states might miscalculate the relative strength of coalitions, since nuclear weapons clarify the relative power of all states, and diminish the importance of unforeseen additions and defections from alliances. However, the risk remains that resolve will be miscalculated, because patterns of conflict are likely to be somewhat fluid in a multipolar Europe, thus precluding the establishment of well-defined spheres of rights and rules of conduct.

Unbounded proliferation, even if it is well-managed, will raise the risks that appear when there are many fingers on the nuclear trigger—accident, unauthorized or irrational use, or terrorist seizure.

In any case, it is not likely that proliferation will be well-managed. The nuclear powers cannot easily work to manage proliferation while at the same time resisting it; there is a natural tension between the two goals. But they have several motives to resist. The established nuclear powers will be reluctant to give the new nuclear powers technical help in building secure deterrents, because it runs against the grain of state behavior to transfer military power to others, and because of the fear that sensitive military technology could be turned against the donor state if that technology were further

transferred to its adversaries. The nuclear powers will also be reluctant to undermine the legitimacy of the 1968 Nuclear Non-Proliferation Treaty by allowing any signatories to acquire nuclear weapons, since this could open the floodgates to the wider proliferation that they seek to avoid, even if they would otherwise favor very limited proliferation. For these reasons the nuclear powers are more likely to spend their energy trying to thwart the process of proliferation, rather than managing it.

Proliferation can be more easily managed if it occurs during a period of relative international calm. Proliferation that occurred during a time of crisis would be especially dangerous, since states in conflict with the emerging nuclear powers would then have a strong incentive to interrupt the process by force. However, proliferation is likely not to begin until the outbreak of crisis, because there will be significant domestic opposition to proliferation within the potential nuclear powers, as well as significant external resistance from the established nuclear powers. Hence it may require a crisis to motivate the potential nuclear powers to pay the domestic and international costs of moving to build a nuclear force. Thus, proliferation is more likely to happen under disadvantageous international conditions than in a period of calm.

Finally, there are limits to the ability of the established nuclear powers to assist small emerging nuclear powers to build secure deterrents. For example, small landlocked powers cannot be given access to sea-based deterrents or land-mobile missile systems requiring vast expanses of land; these are geographic problems that technology cannot erase. Therefore even if the existing nuclear powers move to manage the proliferation process early and wisely, that process still may raise dangers that they cannot control.

#### *Alternative Theories that Predict Peace*

Many students of European politics will reject my pessimistic analysis of post-Cold War Europe and instead argue that a multipolar Europe is likely to be at least as peaceful as the present order. Three specific scenarios for a peaceful future have been advanced. Each rests on a well-known theory of international relations. However, each of these theories is flawed and thus cannot serve as the basis for reliable predictions of a peaceful order in a multipolar Europe; hence the hopeful scenarios they support lack plausibility.

Under the first optimistic scenario, even a non-nuclear Europe would remain peaceful because Europeans recognize that even a conventional war

would be horrific. Sobered by history, national leaders will take great care to avoid war. This scenario rests on the "obsolescence of war" theory.

Although modern conventional war can certainly be very costly, there are several flaws in this argument. There is no systematic evidence demonstrating that Europeans believe war is obsolete. However, even if it were widely believed in Europe that war is no longer thinkable, attitudes could change. Public opinion on national security issues is notoriously fickle and responsive to elite manipulation and world events. Moreover, only one country need decide war is thinkable to make war possible again. Finally, it is possible that a conventional war could be fought and won without suffering grave losses, and elites who saw this possibility could believe war is a viable option.

Under the second optimistic scenario, the existing European Community (EC) grows stronger with time, a development heralded by the Single European Act, designed to create a unified Western European market by 1992. A strong EC then ensures that this economic order remains open and prosperous, and the open and prosperous character of the European economy keeps the states of Western Europe cooperating with each other. In this view, the present EC structure grows stronger, but not larger. Therefore, while conflict might emerge in Eastern Europe, the threat of an aggressive Germany would be removed by enmeshing the newly unified German state deeply in the EC. The theory underpinning this scenario is "economic liberalism."

A variant of this second scenario posits that the EC will spread to include Eastern Europe and possibly the Soviet Union, bringing prosperity and peace to these regions as well.<sup>58</sup> Some also maintain that the EC is likely to be so successful in the decade ahead that it will develop into a state apparatus: a unified Western European super-state would emerge and Germany would be subsumed in it. At some future point, the remainder of Europe would be incorporated into that super-state. Either way, suggest the proponents of this second scenario and its variants, peace will be bolstered.

Under the third scenario, war is avoided because many European states have become democratic since the early twentieth century, and liberal democracies simply do not fight against each other. At a minimum, the presence of liberal democracies in Western Europe renders that half of Europe free from armed conflict. At a maximum, as democracy spreads to Eastern Europe and the Soviet Union, it bolsters peace among these states, and between

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58. Jack Snyder, "Averting Anarchy in the New Europe," *International Security*, Vol. 14, No. 4 (Spring 1990), pp. 5–41.

these states and Western Europe. This scenario is based on the theory that can be called "peace-loving democracies."

#### ECONOMIC LIBERALISM

**THE LOGIC OF THE THEORY.** Economic liberalism rejects the notion that the prospects for peace are tightly linked to calculations about military power, and posits instead that stability is mainly a function of international economic considerations. It assumes that modern states are primarily motivated by the desire to achieve prosperity, and that national leaders place the material welfare of their publics above all other considerations, including security. This is especially true of liberal democracies, where policymakers are under special pressure to ensure the economic well-being of their populations.<sup>59</sup> Thus, the key to achieving peace is establishment of an international economic system that fosters prosperity for all states.

The taproot of stability, according to this theory, is the creation and maintenance of a liberal economic order that allows free economic exchange between states. Such an order works to dampen conflict and enhance political cooperation in three ways.<sup>60</sup>

First, it makes states more prosperous; this bolsters peace because prosperous states are more economically satisfied, and satisfied states are more

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59. This point about liberal democracies highlights the fact that economic liberalism and the theory of peace-loving democracies are often linked in the writings of international relations scholars. The basis of the linkage is what each theory has to say about peoples' motives. The claim that individuals mainly desire material prosperity, central to economic liberalism, meshes nicely with the belief that the citizenry are a powerful force against war, which, as discussed below, is central to the theory of peace-loving democracies.

60. The three explanations discussed here rest on three of the most prominent theories advanced in the international political economy (IPE) literature. These three are usually treated as distinct theories and are given various labels. However, they share important common elements. Hence, for purposes of parsimony, I treat them as three strands of one general theory: economic liberalism. A caveat is in order. The IPE literature often fails to state its theories in a clear fashion, making them difficult to evaluate. Thus, I have construed these theories from sometimes opaque writings that might be open to contrary interpretations. My description of economic liberalism is drawn from the following works, which are among the best of the IPE genre: Richard N. Cooper, "Economic Interdependence and Foreign Policies in the Seventies," *World Politics*, Vol. 24, No. 2 (January 1972), pp. 158–181; Ernst B. Haas, "Technology, Pluralism, and the New Europe," in Joseph S. Nye, Jr., ed., *International Regionalism* (Boston: Little, Brown, 1968), pp. 149–176; Robert O. Keohane and Joseph S. Nye, Jr., *Power and Interdependence: World Politics in Transition* (Boston: Little, Brown, 1977); Robert O. Keohane, *After Hegemony: Cooperation and Discord in the World Political Economy* (Princeton: Princeton University Press, 1984); David Mitrany, *A Working Peace System* (Chicago: Quadrangle Press, 1966); Edward L. Morse, "The Transformation of Foreign Policies: Modernization, Interdependence, and Externalization," *World Politics*, Vol. 22, No. 3 (April 1970), pp. 371–392; and Richard N. Rosecrance, *The Rise of the Trading State: Commerce and Conquest in the Modern World* (New York: Basic Books, 1986).

peaceful. Many wars are waged to gain or preserve wealth, but states have less motive for such wars if they are already wealthy. Wealthy societies also stand to lose more if their societies are laid waste by war. For both reasons they avoid war.

Moreover, the prosperity spawned by economic liberalism feeds itself, by promoting international institutions that foster greater liberalism, which in turn promotes still greater prosperity. To function smoothly, a liberal economic order requires international regimes or institutions, such as the EC, the General Agreement on Tariffs and Trade (GATT), and the International Monetary Fund (IMF). These institutions perform two limited but important functions. First, they help states to verify that partners keep their cooperative commitments. Second, they provide resources to governments experiencing short-term problems arising from their exposure to international markets, and by doing so they allow states to eschew beggar-thy-neighbor policies that might otherwise undermine the existing economic order. Once in place, these institutions and regimes bolster economic cooperation, hence bolster prosperity. They also bolster themselves: once in existence they cause the expansion of their own size and influence, by proving their worth and selling themselves to states and publics. And as their power grows they become better able to promote cooperation, which promotes greater prosperity, which further bolsters their prestige and influence. In essence, a benevolent spiral-like relationship sets in between cooperation-promoting regimes and prosperity, in which each feeds the other.

Second, a liberal economic order fosters economic interdependence among states. Interdependence is defined as a situation in which two states are mutually vulnerable; each is a hostage of the other in the economic realm.<sup>61</sup> When interdependence is high, this theory holds, there is less temptation to cheat or behave aggressively towards other states because all states could retaliate. Interdependence allows states to compel each other to cooperate on economic matters, much as mutual assured destruction allows nuclear powers to compel each other to respect their security. All states are forced by the others to act as partners in the provision of material comfort for their home publics.

Third, some theorists argue that with ever-increasing political cooperation, international regimes will become so powerful that they will assume an

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61. See Kenneth N. Waltz, "The Myth of National Interdependence," in Charles P. Kindleberger, ed., *The International Corporation* (Cambridge: MIT Press, 1970), pp. 205–223.



independent life of their own, eventually growing into a super-state. This is a minority view; most economic liberals do not argue that regimes can become so powerful that they can coerce states to act against their own narrow interests. Instead most maintain that regimes essentially reflect the interests of the states that created and maintain them, and remain subordinate to other interests of these states. However, the “growth to super-statehood” view does represent an important strand of thought among economic liberals.

The main flaw in this theory is that the principal assumption underpinning it—that states are primarily motivated by the desire to achieve prosperity—is wrong. States are surely concerned about prosperity, and thus economic calculations are hardly trivial for them. However, states operate in both an international political environment and an international economic environment, and the former dominates the latter in cases where the two systems come into conflict. The reason is straightforward: the international political system is anarchic, which means that each state must always be concerned to ensure its own survival. Since a state can have no higher goal than survival, when push comes to shove, international political considerations will be paramount in the minds of decision-makers.

Proponents of economic liberalism largely ignore the effects of anarchy on state behavior and concentrate instead on economic considerations. When this omission is corrected, however, their arguments collapse, for two reasons.

First, competition for security makes it very difficult for states to cooperate. When security is scarce, states become more concerned about relative gains than absolute gains.<sup>62</sup> They ask of an exchange not, “will both of us gain?” but instead, “who will gain more?”<sup>63</sup> When security is scarce, they reject even cooperation that would yield an absolute economic gain, if the other state would gain more of the yield, from fear that the other might convert its gain to military strength, and then use this strength to win by coercion in later rounds.<sup>64</sup> Cooperation is much easier to achieve if states worry only about absolute gains, as they are more likely to do when security is not so

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62. See Joseph M. Grieco, “Anarchy and the Limits of Cooperation: A Realist Critique of the Newest Liberal Institutionalism,” *International Organization*, Vol. 42, No. 3 (Summer 1988), pp. 485–507; and Grieco, *Cooperation among Nations: Europe, America and Non-Tariff Barriers to Trade* (Ithaca: Cornell University Press, 1990).

63. Waltz, *Theory of International Politics*, p. 105.

64. It is important to emphasize that because military power is in good part a function of economic might, the consequences of economic dealings among states sometimes have important security implications.

scarce. The goal then is simply to insure that the overall economic pie is expanding and each state is getting at least some part of the resulting benefits. However, anarchy guarantees that security will often be scarce; this heightens states' concerns about relative gains, which makes cooperation difficult unless gains can be finely sliced to reflect, and thus not disturb, the current balance of power.

In contrast to this view, economic liberals generally assume that states worry little about relative gains when designing cooperative agreements, but instead are concerned mainly about absolute gains. This assumption underlies their optimism over the prospects for international cooperation. However, it is not well-based: anarchy forces states to reject agreements that result in asymmetrical payoffs that shift the balance of power against them.

Second, interdependence is as likely to lead to conflict as cooperation, because states will struggle to escape the vulnerability that interdependence creates, in order to bolster their national security. States that depend on others for critical economic supplies will fear cutoff or blackmail in time of crisis or war; they may try to extend political control to the source of supply, giving rise to conflict with the source or with its other customers. Interdependence, in other words, might very well lead to greater competition, not to cooperation.<sup>65</sup>

Several other considerations, independent of the consequences of anarchy, also raise doubts about the claims of economic liberals.

First, economic interactions between states often cause serious frictions, even if the overall consequences are positive. There will invariably be winners and losers within each state, and losers rarely accept defeat gracefully. In modern states, where leaders have to pay careful attention to their constit-

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65. There are numerous examples in the historical record of vulnerable states pursuing aggressive military policies for the purpose of achieving autarky. For example, this pattern of behavior was reflected in both Japan's and Germany's actions during the interwar period. On Japan, see Michael A. Barnhart, *Japan Prepares for Total War: The Search for Economic Security, 1919–1941* (Ithaca: Cornell University Press, 1987); and James B. Crowley, *Japan's Quest for Autonomy* (Princeton: Princeton University Press, 1966). On Germany, see William Carr, *Arms, Autarky and Aggression: A Study in German Foreign Policy, 1933–39* (New York: Norton, 1973). It is also worth noting that during the Arab oil embargo of the early 1970s, when it became apparent that the United States was vulnerable to OPEC pressure, there was much talk in America about using military force to seize Arab oil fields. See, for example, Robert W. Tucker, "Oil: The Issue of American Intervention," *Commentary*, January 1975, pp. 21–31; Miles Igotus [said to be a pseudonym for Edward Luttwak], "Seizing Arab Oil," *Harpers*, March 1975, pp. 45–62; and U.S. Congress, House Committee on International Relations, *Report on Oil Fields as Military Objectives: A Feasibility Study*, prepared by John M. Collins and Clyde R. Mark, 94th Cong., 1st sess. (Washington, D.C.: U.S. Government Printing Office [U.S. GPO], August 21, 1975).

uents, losers can cause considerable trouble. Even in cases where only winners are involved, there are sometimes squabbles over how the spoils are divided. In a sense, then, expanding the network of contacts among states increases the scope for international disagreements among them. They now have more to squabble about.

Second, there will be opportunities for blackmail and for brinkmanship in a highly dynamic economic system where states are dependent on each other. For example, although mutual vulnerabilities may arise among states, it is likely that the actual levels of dependence will not be equal. The less vulnerable states would probably have greater bargaining power over the more dependent states and might attempt to coerce them into making extravagant concessions. Furthermore, different political systems, not to mention individual leaders, have different capacities for engaging in tough bargaining situations.

THE HISTORICAL RECORD. During two periods in the twentieth century, Europe witnessed a liberal economic order with high levels of interdependence. Stability should have obtained during those periods, according to economic liberalism.

The first case clearly contradicts the theory. The years between 1890 and 1914 were probably the time of greatest economic interdependence in Europe's history. Yet World War I broke out following this period.<sup>66</sup>

The second case covers the Cold War years. During this period there has been much interdependence among the EC states, while relations among these states have been very peaceful. This case, not surprisingly, is the centerpiece of the economic liberals' argument.

The correlation in this second case does not mean, however, that interdependence has *caused* cooperation among the Western democracies. It is more likely that the prime cause was the Cold War, and that this was the main reason that intra-EC relations have flourished.<sup>67</sup> The Cold War caused these results in two different but mutually reinforcing ways.

First, old-fashioned balance of power logic mandated cooperation among the Western democracies. A powerful and potentially dangerous Soviet

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66. See Richard N. Rosecrance, et al., "Whither Interdependence?" *International Organization*, Vol. 31, No. 3 (Summer 1977), pp. 432–434.

67. This theme is reflected in Barry Buzan, "Economic Structure and International Security: The Limits of the Liberal Case," *International Organization*, Vol. 38, No. 4 (Autumn 1984), pp. 597–624; Robert Gilpin, *U.S. Power and the Multinational Corporation: The Political Economy of Foreign Direct Investment* (New York: Basic Books, 1975); and Robert A. Pollard, *Economic Security and the Origins of the Cold War, 1945–1950* (New York: Columbia University Press, 1985).

Union forced the Western democracies to band together to meet the common threat. Britain, Germany, and France no longer worried about each other, because all faced a greater menace from the Soviets. This Soviet threat muted concerns about relative gains arising from economic cooperation among the EC states by giving each Western democracy a vested interest in seeing its alliance partners grow powerful, since each additional increment of power helped deter the Soviets. The Soviet threat also muted relative-gains fears among Western European states by giving them all a powerful incentive to avoid conflict with each other while the Soviet Union loomed to the east, ready to harvest the gains of Western quarrels. This gave each Western state greater confidence that its Western partners would not turn their gains against it, as long as these partners behaved rationally.

Second, America's hegemonic position in NATO, the military counterpart to the EC, mitigated the effects of anarchy on the Western democracies and facilitated cooperation among them.<sup>68</sup> As emphasized, states do not trust each other in anarchy and they have incentives to commit aggression against each other. America, however, not only provided protection against the Soviet threat, but also guaranteed that no EC state would aggress against another. For example, France did not have to fear Germany as it rearmed, because the American presence in Germany meant that the Germans were not free to attack anyone. With the United States serving as night watchman, relative-gains concerns among the Western European states were mitigated and, moreover, those states were willing to allow their economies to become tightly interdependent.

In effect, relations among EC states were spared the effects of anarchy—fears about relative gains and an obsession with autonomy—because the United States served as the ultimate arbiter within the Alliance.

If the present Soviet threat to Western Europe is removed, and American forces depart for home, relations among the EC states will be fundamentally altered. Without a common Soviet threat and without the American night watchman, Western European states will begin viewing each other with greater fear and suspicion, as they did for centuries before the onset of the Cold War. Consequently, they will worry about the imbalances in gains as well as the loss of autonomy that results from cooperation.<sup>69</sup> Cooperation in

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68. See Josef Joffe, "Europe's American Pacifier," *Foreign Policy*, No. 54 (Spring 1984), pp. 64–82.

69. Consider, for example, a situation where the European Community is successfully extended

this new order will be more difficult than it has been in the Cold War. Conflict will be more likely.

In sum, there are good reasons for looking with skepticism upon the claim that peace can be maintained in a multipolar Europe on the basis of a more powerful EC.

#### PEACE-LOVING DEMOCRACIES

The peace-loving democracies theory holds that domestic political factors, not calculations about military power or the international economic system, are the principal determinant of peace. Specifically, the argument is that the presence of liberal democracies in the international system will help to produce a stable order.<sup>70</sup> The claim is not that democracies go to war less often than authoritarian states. In fact, the historical record shows clearly that such is not the case.<sup>71</sup> Instead, the argument is that democracies do not go to war against other democracies. Thus, democracy must spread to Eastern Europe and the Soviet Union to insure peace in post-Cold War Europe.

It is not certain that democracy will take root among the states of Eastern Europe or in the Soviet Union. They lack a strong tradition of democracy; institutions that can accommodate the growth of democracy will have to be built from scratch. That task will probably prove to be difficult, especially in an unstable Europe. But whether democracy takes root in the East matters

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to include Eastern Europe and the Soviet Union, and that over time all states achieve greater prosperity. The Germans, however, do significantly better than all other states. Hence their relative power position, which is already quite strong, begins to improve markedly. It is likely that the French and the Soviets, just to name two states, would be deeply concerned by this situation.

70. This theory has been recently articulated by Michael Doyle in three articles: "Liberalism and World Politics," *American Political Science Review*, Vol. 80, No. 4 (December 1986), pp. 1151-1169; "Kant, Liberal Legacies, and Foreign Affairs," *Philosophy and Public Affairs*, Vol. 12, No. 3 (Summer 1983), pp. 205-235; and "Kant, Liberal Legacies, and Foreign Affairs, Part 2," *Philosophy and Public Affairs*, Vol. 12, No. 4 (Fall 1983), pp. 323-353. Doyle draws heavily on Immanuel Kant's classic writings on the subject. This theory also provides the central argument in Francis Fukuyama's widely publicized essay on "The End of History?" in *The National Interest*, No. 16 (Summer 1989), pp. 3-18. For an excellent critique of the theory, see Samuel P. Huntington, "No Exit: The Errors of Endism," *The National Interest*, No. 17 (Fall 1989), pp. 3-11.

71. There is a good empirical literature on the relationship between democracy and war. See, for example, Steve Chan, "Mirror, Mirror on the Wall . . . Are the Freer Countries More Pacific?" *Journal of Conflict Resolution*, Vol. 28, No. 4 (December 1984), pp. 617-648; Erich Weede, "Democracy and War Involvement," in *ibid.*, pp. 649-664; Bruce M. Russett and R. Joseph Monsen, "Bureaucracy and Polyarchy As Predictors of Performance," *Comparative Political Studies*, Vol. 8, No. 1 (April 1975), pp. 5-31; and Melvin Small and J. David Singer, "The War-Proneness of Democratic Regimes, 1816-1965," *The Jerusalem Journal of International Relations*, Vol. 1, No. 4 (Summer 1976), pp. 50-69.

little for stability in Europe, since the theory of peace-loving democracies is unsound.

THE LOGIC OF THE THEORY. Two explanations are offered in support of the claim that democracies do not go to war against one another.

First, some claim that authoritarian leaders are more prone to go to war than leaders of democracies, because authoritarian leaders are not accountable to their publics, which carry the main burdens of war. In a democracy, by contrast, the citizenry that pays the price of war has greater say in the decision-making process. The people, so the argument goes, are more hesitant to start trouble because it is they who pay the blood price; hence the greater their power, the fewer wars.

The second argument rests on the claim that the citizens of liberal democracies respect popular democratic rights—those of their fellow countrymen, and those of individuals in other states. As a result they are reluctant to wage war against other democracies, because they view democratic governments as more legitimate than others, and are loath to impose a foreign regime on a democratic state by force. This would violate their own democratic principles and values. Thus an inhibition on war is introduced when two democracies face each other that is missing in other international relationships.

The first of these arguments is flawed because it is not possible to sustain the claim that the people in a democracy are especially sensitive to the costs of war and therefore less willing than authoritarian leaders to fight wars. In fact, the historical record shows that democracies are every bit as likely to fight wars as are authoritarian states.

Furthermore, mass publics, whether democratic or not, can become deeply imbued with nationalistic or religious fervor, making them prone to support aggression, regardless of costs. The widespread public support in post-revolutionary France for Napoleon's wars of aggression is just one example of this phenomenon. On the other hand, authoritarian leaders are just as likely as democratic publics to fear going to war, because war tends to unleash democratic forces that can undermine the regime.<sup>72</sup> War can impose high costs on authoritarian leaders as well as on their citizenries.

The second argument, which emphasizes the transnational respect for democratic rights among democracies, rests on a weaker factor that is usually

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72. See, for example, Stanislaw Andreski, "On the Peaceful Disposition of Military Dictatorships," *Journal of Strategic Studies*, Vol. 3, No. 3 (December 1980), pp. 3–10.

overridden by other factors such as nationalism and religious fundamentalism. There is also another problem with the argument. The possibility always exists that a democracy will revert to an authoritarian state. This threat of backsliding means that one democratic state can never be sure that another democratic state will not change its stripes and turn on it sometime in the future. Liberal democracies must therefore worry about relative power among themselves, which is tantamount to saying that each has an incentive to consider aggression against the other to forestall future trouble. Lamentably, it is not possible for even liberal democracies to transcend anarchy.

THE HISTORICAL RECORD. Problems with the deductive logic aside, the historical record seems to offer strong support for the theory of peace-loving democracies. There appears to have been no case where liberal democracies fought against each other. Although this evidence looks impressive at first glance, closer examination shows it to be indecisive. In fact, history provides no clear test of the theory. Four evidentiary problems leave the issue in doubt.

First, democracies have been few in number over the past two centuries, and thus there have not been many cases where two democracies were in a position to fight with each other. Only three prominent cases are usually cited: Britain and the United States (1832–present); Britain and France (1832–49, 1871–1940); and the Western democracies since 1945.

Second, there are other persuasive explanations for why war did not occur in those three cases, and these competing explanations must be ruled out before the peace-loving democracies theory can be accepted. While relations between the British and the Americans during the nineteenth century were hardly free of conflict,<sup>73</sup> their relations in the twentieth century were quite harmonious, and thus fit closely with how the theory would expect two democracies to behave towards each other. That harmony, however, can easily be explained by the presence of a common threat that forced Britain and the United States to work closely together.<sup>74</sup> Both faced a serious German threat in the first part of the century, and a Soviet threat later. The same basic argument applies to France and Britain. While Franco-British relations

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73. For a discussion of the hostile relations that existed between the United States and Britain during the nineteenth century, see H.C. Allen, *Great Britain and the United States: A History of Anglo-American Relations, 1783–1952* (London: Odhams, 1954).

74. For a discussion of this rapprochement, see Stephen R. Rock, *Why Peace Breaks Out: Great Power Rapprochement in Historical Perspective* (Chapel Hill: University of North Carolina Press, 1989), chap. 2.

were not the best throughout most of the nineteenth century,<sup>75</sup> they improved significantly around the turn of the century with the rise of a common threat: Germany.<sup>76</sup> Finally, as noted above, the Soviet threat can explain the absence of war among the Western democracies since 1945.

Third, it bears mention that several democracies have come close to fighting one another, which suggests that the absence of war may be due simply to chance. France and Britain approached war during the Fashoda crisis of 1898. France and Weimar Germany might have come to blows over the Rhineland during the 1920s, had Germany possessed the military strength to challenge France. The United States has clashed with a number of elected governments in the Third World during the Cold War, including the Allende regime in Chile and the Arbenz regime in Guatemala.

Lastly, some would classify Wilhelmine Germany as a democracy, or at least a quasi-democracy; if so, World War I becomes a war among democracies.<sup>77</sup>

### Conclusion

This article argues that bipolarity, an equal military balance, and nuclear weapons have fostered peace in Europe over the past 45 years. The Cold War confrontation produced these phenomena; thus the Cold War was principally responsible for transforming a historically violent region into a very peaceful place.

There is no doubt that the costs of the Cold War have been substantial. It inflicted oppressive political regimes on the peoples of Eastern Europe, who were denied basic human rights by their forced membership in the Soviet

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75. For a good discussion of Franco-British relations during the nineteenth century, see P.J.V. Rolo, *Entente Cordiale: The Origins and Negotiation of the Anglo-French Agreements of 8 April 1904* (New York: St. Martins, 1969), pp. 16–109.

76. Stephen Rock, who has examined the rapprochement between Britain and France, argues that the principal motivating force behind their improved relations derived from geopolitical considerations, not shared political beliefs. See Rock, *Why Peace Breaks Out*, chap. 4.

77. Doyle recognizes this problem and thus has a lengthy footnote that attempts to deal with it. See "Kant, Liberal Legacies, and Foreign Affairs [Part One]," pp. 216–217, n. 8. He argues that "Germany was a liberal state under republican law for domestic issues," but that the "emperor's active role in foreign affairs . . . made imperial Germany a state divorced from the control of its citizenry in foreign affairs." However, an examination of the decision-making process leading to World War I reveals that the emperor (Wilhelm II) was not a prime mover in foreign affairs and that he was no more bellicose than other members of the German elite, including the leading civilian official, Chancellor Bethmann-Hollweg.



empire. It consumed national wealth, by giving rise to large and costly defense establishments in both East and West. It spawned bloody conflicts in the Third World; these produced modest casualties for the superpowers, but large casualties for the Third World nations. Nevertheless, the net human and economic cost of the Cold War order has been far less than the cost of the European order of 1900–45, with its vast violence and suffering.

A Cold War order without confrontation would have been preferable to the order that actually developed; then the peace that the Cold War order produced could have been enjoyed without its attendant costs. However, it was East-West enmity that gave rise to the Cold War order; there would have been no bipolarity, no equality, and no large Soviet and American nuclear forces in Europe without it. The costs of the Cold War arose from the same cause—East-West confrontation—as did its benefits. The good could not be had without the bad.

This article further argues that the demise of the Cold War order is likely to increase the chances that war and major crises will occur in Europe. Many observers now suggest that a new age of peace is dawning; in fact the opposite is true.

The implications of my analysis are straightforward, if paradoxical. The West has an interest in maintaining peace in Europe. It therefore has an interest in maintaining the Cold War order, and hence has an interest in the continuation of the Cold War confrontation; developments that threaten to end it are dangerous. The Cold War antagonism could be continued at lower levels of East-West tension than have prevailed in the past; hence the West is not injured by relaxing East-West tension, but a complete end to the Cold War would create more problems than it would solve.

The fate of the Cold War, however, is mainly in the hands of the Soviet Union. The Soviet Union is the only superpower that can seriously threaten to overrun Europe; it is the Soviet threat that provides the glue that holds NATO together. Take away that offensive threat and the United States is likely to abandon the Continent, whereupon the defensive alliance it has headed for forty years may disintegrate. This would bring to an end the bipolar order that has characterized Europe for the past 45 years.

The foregoing analysis suggests that the West paradoxically has an interest in the continued existence of a powerful Soviet Union with substantial military forces in Eastern Europe. Western interests are wholly reversed from those that Western leaders saw in the late 1940s: instead of seeking the retraction of Soviet power, as the West did then, the West now should hope

that the Soviet Union retains at least some military forces in the Eastern European region.

There is little the Americans or the Western Europeans can or are likely to do to perpetuate the Cold War, for three reasons.

First, domestic political considerations preclude such an approach. Western leaders obviously cannot base national security policy on the need to maintain forces in Central Europe for the purpose simply of keeping the Soviets there. The idea of deploying large forces in order to bait the Soviets into an order-keeping competition would be dismissed as bizarre, and contrary to the general belief that ending the Cold War and removing the Soviet yoke from Eastern Europe would make the world safer and better.<sup>78</sup>

Second, the idea of propping up a declining rival runs counter to the basic behavior of states. States are principally concerned about their relative power position in the system; hence, they look for opportunities to take advantage of each other. If anything, they prefer to see adversaries decline, and thus will do whatever they can to speed up the process and maximize the distance of the fall. In other words, states do not ask which distribution of power best facilitates stability and then do everything possible to build or maintain such an order. Instead, they each tend to pursue the more narrow aim of maximizing their power advantage over potential adversaries. The particular international order that results is simply a byproduct of that competition, as illustrated by the origins of the Cold War order in Europe. No state intended to create it. In fact, both the United States and the Soviet Union worked hard in the early years of the Cold War to undermine each other's position in Europe, which would have ended the bipolar order on the Continent. The remarkably stable system that emerged in Europe in the late 1940s was the unintended consequence of an intense competition between the superpowers.

Third, even if the Americans and the Western Europeans wanted to help the Soviets maintain their status as a superpower, it is not apparent that they could do so. The Soviet Union is leaving Eastern Europe and cutting its

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78. This point is illustrated by the 1976 controversy over the so-called "Sonnenfeldt Doctrine." Helmut Sonnenfeldt, an adviser to Secretary of State Henry Kissinger, was reported to have said in late 1975 that the United States should support Soviet domination of Eastern Europe. It was clear from the ensuing debate that whether or not Sonnenfeldt in fact made such a claim, no administration could publicly adopt that position. See U.S. Congress, House Committee on International Relations, *Hearings on United States National Security Policy Vis-à-Vis Eastern Europe (The "Sonnenfeldt Doctrine")*, 94th Cong., 2nd sess. (Washington, D.C.: U.S. GPO, April 12, 1976).

military forces largely because its economy is foundering. It is not clear that the Soviets themselves know how to fix their economy, and there is little that Western governments can do to help them solve their economic problems. The West can and should avoid doing malicious mischief to the Soviet economy, but at this juncture it is difficult to see how the West can have significant positive influence.<sup>79</sup>

The fact that the West cannot sustain the Cold War does not mean that the United States should abandon all attempts to preserve the current order. The United States should do what it can to direct events toward averting a complete mutual superpower withdrawal from Europe. For instance, the American negotiating position at the conventional arms control talks should aim toward large mutual force reductions, but should not contemplate complete mutual withdrawal. The Soviets may opt to withdraw all their forces unilaterally anyway; there is little the United States could do to prevent this.

#### POLICY RECOMMENDATIONS

If complete Soviet withdrawal from Eastern Europe proves unavoidable, the West faces the question of how to maintain peace in a multipolar Europe. Three policy prescriptions are in order.

First, the United States should encourage the limited and carefully managed proliferation of nuclear weapons in Europe. The best hope for avoiding war in post-Cold War Europe is nuclear deterrence; hence some nuclear proliferation is necessary to compensate for the withdrawal of the Soviet and American nuclear arsenals from Central Europe. Ideally, as I have argued, nuclear weapons would spread to Germany, but to no other state.

Second, Britain and the United States, as well as the Continental states, will have to balance actively and efficiently against any emerging aggressor to offset the ganging up and bullying problems that are sure to arise in post-Cold War Europe. Balancing in a multipolar system, however, is usually a problem-ridden enterprise, either because of geography or because of significant coordination problems. Nevertheless, two steps can be taken to maximize the prospects of efficient balancing.

The initial measure concerns Britain and the United States, the two prospective balancing states that, physically separated from the Continent, may

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79. For an optimistic assessment of how the West can enhance Gorbachev's prospects of succeeding, see Jack Snyder, "International Leverage on Soviet Domestic Change," *World Politics*, Vol. 42, No. 1 (October 1989), pp. 1-30.

thus conclude that they have little interest in what happens there. They would then be abandoning their responsibilities and, more importantly, their interests as off-shore balancers. Both states' failure to balance against Germany before the two world wars made war more likely in each case. It is essential for peace in Europe that they not repeat their past mistakes, but instead remain actively involved in maintaining the balance of power in Europe.

Specifically, both states must maintain military forces that can be deployed to the Continent to balance against states that threaten to start a war. To do this they must also socialize their publics to support a policy of continued Continental commitment. Support for such a commitment will be more difficult to mobilize than in the past, because its principal purpose would be to preserve peace, rather than to prevent an imminent hegemony, and the latter is a simpler goal to explain publicly. Moreover, it is the basic nature of states to focus on maximizing relative power, not on bolstering stability, so this prescription asks them to take on an unaccustomed task. Nevertheless, the British and American stake in peace is real, especially since there is a sure risk that a European war might involve large-scale use of nuclear weapons. It should therefore be possible for both countries to lead their publics to recognize this interest and support policies that protect it.<sup>80</sup>

The other measure concerns American attitudes and actions toward the Soviet Union. The Soviets may eventually return to their past expansionism and threaten to upset the status quo. If so, we are back to the Cold War; the West should respond as quickly and efficiently as it did the first time. However, if the Soviets adhere to status quo policies, Soviet power could play a key role in balancing against Germany and in maintaining order in Eastern Europe. It is important that, in those cases where the Soviets are acting in a balancing capacity, the United States recognize this, cooperate with its former adversary, and not let residual distrust from the Cold War interfere with the balancing process.

Third, a concerted effort should be made to keep hyper-nationalism at bay, especially in Eastern Europe. This powerful force has deep roots in Europe and has contributed to the outbreak of past European conflicts. Nationalism has been contained during the Cold War, but it is likely to reemerge once

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80. Advancing this argument is Van Evera, "Why Europe Matters, Why the Third World Doesn't."

Soviet and American forces leave the heart of Europe.<sup>81</sup> It will be a force for trouble unless it is curbed. The teaching of honest national history is especially important, since the teaching of false chauvinist history is the main vehicle for spreading virulent nationalism. States that teach a dishonestly self-exculpating or self-glorifying history should be publicly criticized and sanctioned.<sup>82</sup>

On this count it is especially important that relations between Germany and its neighbors be handled carefully. Many Germans rightly feel that Germany has behaved very responsibly for 45 years, and has made an honest effort to remember and make amends for an ugly period of its past. Therefore, Germans quickly tire of lectures from foreigners demanding that they apologize once again for crimes committed before most of the current German population was born. On the other hand, peoples who have suffered at the hands of the Germans cannot forget their enormous suffering, and inevitably ask for repeated assurance that the past will not be repeated. This dialogue has the potential to spiral into mutual recriminations that could spark a renewed sense of persecution among Germans, and with it, a rebirth of German-nationalism. It is therefore incumbent on all parties in this discourse to proceed with understanding and respect for one another's feelings and experience. Specifically, others should not ask today's Germans to apologize for crimes they did not commit, but Germans must understand that others' ceaseless demands for reassurance have a legitimate basis in history, and should view these demands with patience and understanding.

None of these tasks will be easy to accomplish. In fact, I expect that the bulk of my prescriptions will not be followed; most run contrary to powerful strains of domestic American and European opinion, and to the basic nature of state behavior. Moreover, even if they are followed, this will not guarantee the peace in Europe. If the Cold War is truly behind us, the stability of the past 45 years is not likely to be seen again in the coming decades.

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81. On the evolution of nationalistic history-teaching in Europe see Kennedy, "The Decline of Nationalistic History," and Dance, *History the Betrayer*.

82. My thinking on this matter has been influenced by conversations with Stephen Van Evera.