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**Description of the course (main issues):**

- 1.** Introduction to EU Banking Law – Treaty Freedoms in the Banking Sector (Freedom of establishment and Freedom to provide services)
- 2.** Scope of Banking Regulation in the EU; sectorial directives and regulations
- 3.** Core Principles of the European Banking Law; single license, mutual recognition, home country supervision, home country deposit guarantee scheme

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**Description of the course (main issues):**

- 4.** The Notion of Credit Institution and its Organisational Forms; license requirements
- 5.** The Fundamental Changes of the EU Banking Regulation and Supervision Architecture after the Crisis – from Minimal Harmonization to the Federal Financial Law
- 6.** The New Role of European Central Bank: Monetary Stability v. Financial Stability

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- Uniform Principles for the Establishment and Functioning of banks and credit institutions,
- Financial Safety Net: National Central Bank, Banking Supervision, Bank Deposit Guarantee Scheme
- European Banking Union

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- Description of the course  
(main issues):
7. Law of the European Banking Union and its institutional architecture
  8. EU Single Regulation of bank activity and prudential supervision – the Capital Requirements Regulation (CRR), the Capital Requirements Directive IV (CRD IV), the Directive on the Recovery and Resolution of credit institutions (BRRD) and the Directive on Deposit Guarantee Schemes (DGSD)

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- Description of the course  
(main issues):
9. EU System of Banking Supervision (European Banking Authority + Single Supervisory Mechanism) and Rules for Supervising the Credit Institutions;
  10. EU System of Bank Resolution and Bank Guarantee Schemes.

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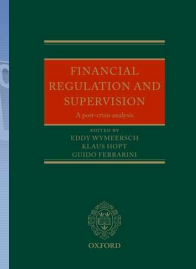
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### Obligatory study material (literature)

- **Financial regulation and supervision : A post-crisis analysis**  
Edited by Guido Ferrarini, Klaus J. Hopt, Eddy Wymeersch: Oxford University Press :



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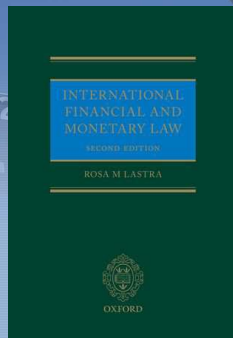
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### Obligatory study material (literature)

- **Lastra, R.M. *International Financial and Monetary Law***  
Oxford University Press, Oxford, January 2015  
(second edition of Legal Foundations of International Monetary Stability)



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### Recommended course literature:

- **Oxford Handbook of Financial Regulation** / Edited by E. Ferran, N. Moloney, and J. Payne, Oxford: Oxford University Press, 2015
- E. Ferran, N. Moloney, **The Regulatory Aftermath of the Global Financial Crisis** (International Corporate Law and Financial Market Regulation), Cambridge University Press, 2012
- R. M. Lastra, **Legal Foundations of International Monetary Stability**, Oxford University Press 2006

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EU Banking Law. Treaty  
<http://eur-lex.europa.eu/>

European Monetary Union  
European Central Bank  
European System of Central Banks  
• CONSOLIDATED VERSION OF THE TREATY ON THE FUNCTIONING OF THE EUROPEAN UNION. [OJ C 326, 26.10.2012](#)

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EU Banking Law.  
Directives and Regulations  
<http://eur-lex.europa.eu/>

- **Directive 2013/36/EU** of the European Parliament and of the Council of 26 June 2013 on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms  
OJ L 176, 27.6.2013, p. 338-436
- **Regulation (EU) No 575/2013** of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms  
OJ L 176, 27.6.2013, p. 1-337

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## Evaluation

- written exam (single choice test)
- 10 questions

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**Timetable of classes**

**7 November:**

- *Introduction to EU Banking Law*
- *The Law of the EU Single Financial Market*
- *Credit Institutions*

**8 November:**

- *Uniform Principles for the Establishment and Supervising of banks and credit institutions*

**9 November** *Financial Safety Net: National Central Bank, Banking Supervision, Bank Resolution and Deposit Guarantee Scheme*

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**Timetable of classes**

**10 November**

*EU Banking Union*  
*Exam\_Final test*

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