

## Topic: Pro-forms and ellipsis

Pro-forms and ellipsis are syntactic means for abbreviating constructions to avoid redundancy. For example, instead of having the sentence No. 1 we have No. 2 or 3:

1. *He may come tonight, but I don't think he will come tonight.*
2. *He may come tonight, but I don't think he will do so.* (substitution)
3. *He may come tonight, but I don't think he will Q.* (ellipsis)

**Recoverability** can be defined as the ability to interpret and recover the full form (FF) from constructions with pro-forms or ellipsis, e.g. sentence No. 1 from No. 2 or 3.

### There are three types of recoverability:

1. **textual recoverability**: the FF is recoverable from a neighbouring part of the text/context (*John is late today. He usually comes late.*)
2. **situational recoverability**: the FF is recoverable from the extralinguistic situation (*Who is she? - pointing at someone, e.g. a young woman close to the speaker*)
3. **structural recoverability**: the FF is recoverable from knowledge of grammatical structure (e.g. optional conjunction *that* in *I know (that) he is clever.*)

Pro-forms and their antecedents can be linked by **coreference**, a linkage of 'cross-reference' between two expressions that refer to the same thing or things (see above the example with textual recoverability). If the relation between a pro-form and its antecedents is not that of coreference, the label **reference** is used (e.g. *Jack got a first prize this year, and I got one last year.*).

### Pro-forms

#### 1. Pro-forms for noun phrases and their constituents

- 3<sup>rd</sup> person pronouns and determiners (*My parents and their dog are in the park.*)
- indefinite pronouns such as *any, all, both, each, either, some, and none* (*When the children arrived, each (of the children/child) was given a present.*)
- *the same*, implying in all its substitute uses similarity, not identity with the antecedent (*I want my meat well done and David wants his the same.*)
- *one*, always unstressed, has two plural forms: (1) *some* for indefinite NPs (singular: *I need a nail/one*. plural: *I need some nails/some.*)
- (2) *ones* for nominal expressions, noun phrase heads, not the whole NPs (*Have you any knives? I need a sharp one. - Take any of the ones on the table.*)

#### 2. Pro-forms for clauses and clause constituents

- the dummy operator *do* is a pro-form for a predicate (*Martin can drive a car, and his sister does, too.*)
- *do so* functions as a pro-form for a predicate or predication, used especially in formal style both for finite and nonfinite forms (*As no one else has succeeded in solving the problem, I'll try to do so myself.* or *As no one else has succeeded in doing so, I'll try to solve the problem myself.*)
- *do it, do that, do so* substitute for transitive verbs and their objects (*Are you still trying to solve the problem? - You should have done it by now.*) The pro-form *do that* in contrast to *do it* gives more prominence to the object *that*, it is therefore connected especially with new or contrastive information.

#### 3. Pro-forms for adverbials

For example, *here* and *there* can be used for place adverbials, whereas *then* for time adverbials (*If you look in the drawer, you'll find it there.*)

#### 4. Some notes on pro-forms for some other sentence elements

*So* and its negative equivalent *not* can be pro-forms for a *that*-clause functioning as a direct object (*Will they help us? - I hope so.* or *I hope not.*) This use of *not* is restricted mainly to verbs of belief or assumption. Verbs that commonly allow both *so* and *not*: *believe, expect, guess, hope, imagine, presume, reckon, suppose, suspect, and think.*

## Ellipsis

is grammatical omission. It requires **verbatim recoverability**, that is, the actual word or words that are implied must be precisely recoverable.

### Requirements for strict ellipsis:

1. when we insert the missing words we do not change the meaning of the original sentence (*The poor need more help.* {generic reference} is not identical with *The poor people need more help.* {specific reference})
2. when we insert the missing words the sentence should remain grammatical:  
*He always wakes up earlier than I (wake up).* - formal  
*He always wakes up earlier than me (wake up).* - informal

There are **three categories of ellipsis** according to where the ellipsis occurs within a construction:

1. **initial** - (*I*) *hope you like it.*
2. **medial** - *Jill owns a family house and Peter (owns) a cottage.*
3. **final** - *I know I haven't yet finished all my work, but I will (finish all my work).*

### Recoverability types of ellipsis

**situational ellipsis** - the interpretation may depend on knowledge of extralinguistic context (*Get it? Want something? See you later. Television not working?*)

**structural ellipsis** - the interpretation depends on knowledge of grammatical structure (*We are staying there (for) another three weeks.* - informal) - often confined to written language, e.g. omission of determiners, pronouns, operators, and other closed-class words in block language (headlines, book titles, notices, notes, diaries, telegrams)

**textual ellipsis** - the interpretation depends on what is said or written in the linguistic context; there are two kinds of ellipsis according to the relative position of the ellipsis and its antecedent: (1) **anaphoric ellipsis** - the interpretation depends on what comes before: *I'm happy if you are (happy).*

(2) **cataphoric ellipsis** - the interpretation depends on what comes after: *Those who prefer (to work) now, can work now.*

Depending on the character of the construction in which the antecedent construction and elliptical construction participate, there are two types of ellipsis:

1. **general ellipsis** - where the functional relation between the elliptical and antecedent construction is irrelevant (see below)
2. **special ellipsis** - where the possibilities of omissions are determined by that relation (e.g. coordination, nonfinite and verbless clauses, comparative clauses)

### General ellipsis (typically final and anaphoric)

- (1) **elliptical noun phrases**: result from final ellipsis, which means that heads and any postmodifiers tend to be ellipted: *Although Helen is the oldest girl in the class, Mary is the tallest O*
- (2) **elliptical clauses**: typically, the subject and operator (and perhaps other auxiliaries) remain, and the predication is ellipted: *If I could have bought a ticket, I would have O.* Ellipsis of the whole clause or the whole clause except for an introductory word: A: *We're about to leave soon.* B: *Yes, but when O?*

**Appended clause** - a type of special ellipsis. It is an elliptical clause (usually parenthetical or an afterthought) for which the whole or part of the preceding or interrupted clause constitutes the antecedent: *I caught the train - just.* It may be viewed as elliptical for: *I caught the train - I just caught the train.*