

11. Sentences (2)

In the previous chapter we characterised sentences as combinations of a verb and one or more nouns, which may in turn have associated with them modifiers (eg adjectives) and be linked to the verb by a relational device (preposition or case inflection). Sentences were distinguished by type according to the number of nouns determined by the verb and their relationships to the verb and in some cases to each other. We will now identify and give labels to the functions that the verb and the nouns perform in the structure of sentences.

Functions

The function performed by the verb in a sentence we refer to as the 'Predicator' function: the verb 'predicates' an action, process or state of a noun or nouns. The element that we referred to as the 'first noun' in the previous chapter performs the 'Subject' function in a sentence: it is the agent of an action Predicator, the undergoer of a process, the 'thing' of which a location or state is predicated. There is often person and number agreement (see Chapter 16) between the noun functioning as Subject and the verb functioning as Predicator. Consider the present tense paradigm of the verb *travailler* 'work' in French: *je travaille*, *tu travailles*, *il/elle travaille*, *noun travaillons*, *vous travaillez*, *ils/elles travaillent*. That is to say, "tu travailles" is analysed as 'Subject:noun—Predicator:verb', with the categories of '2nd person'/'singular number' of the Subject *tu* marked in the Predicator *travailles* by means of the *-es* suffix—working here from the written rather than the spoken forms.

The 'second noun' in a sentence may perform a number of functions, depending on the sentence type in which it occurs. In a transitive sentence the second noun performs the function of 'Object' in the sentence: it represents the undergoer of the action, the 'object' towards which the action is directed, eg *the camp-fire* in "The boy-scout lit the camp-fire". The second noun in a stative or equative sentence has the syntactic function of 'Complement', eg in sentences like "The journey was a *frightening experience*", "Harry is *the carpenter*". The Complement refers back to and elaborates or describes the Subject of the sentence. As we have seen, in stative sentences the Complement function may be performed by an adjective rather than a noun, eg "The journey was *frightening*". The second noun in sentences of the locative or temporal type functions as an 'Adjunct', representing additional, circumstantial information about a Subject or a predicated action or event, as in "The children are *in the garden*", "Our guests departed *the day before yesterday*". Second nouns, then, may function syntactically as Object, Complement or Adjunct, according to the sentence type, or ultimately according to the meaning of the verb functioning as Predicator.

We turn now to the syntactic functions of a possible third noun in a sentence. In the

previous chapter we mentioned one sentence type that contained a third noun: the ditransitive type, eg “We sent all our friends a postcard”/“We sent a postcard to all our friends”, where (to) all our friends is considered the third noun. We noticed that the third noun usually has the semantic function of ‘recipient’ or ‘beneficiary’. Syntactically, the third noun in ditransitive sentences functions as Object; that is to say, in ditransitive sentences there are two nouns functioning as Object. A distinction is sometimes drawn between the ‘Direct Object’ (the second noun, ‘directly’ involved in the action) and the ‘Indirect Object’ (the third noun, only ‘indirectly’ involved).

There are two further not uncommon sentence types that contain a third noun. The first can be illustrated from English by the following sentence: “We thought Harry’s death a terrible tragedy”. Here *we* is analysed as Subject, *thought* as Predicator, *Harry’s death* as Object, and *a terrible tragedy* as Complement. The Complement now refers back to and elaborates the Object (rather than the Subject as in stative sentences). This sentence type could perhaps be called a ‘transitive/stative’ type. The second further type with a third noun can be illustrated from English by: “The carpenter keeps his tools in a leather bag”. Here *the carpenter* is analysed as Subject, *keeps* as Predicator, *his tools* as Object, and *in a leather bag* as Adjunct. The Adjunct in this sentence type gives information on the location of the Object (rather than of the Subject as in the locative type). This type could perhaps be called a ‘transitive/locative’ sentence type. Both sentence types just discussed are sometimes referred to as ‘complex-transitive’.

Identifying syntactic functions

We have so far attempted to characterise syntactic functions by alluding to their role in the economy of particular sentence types. Another identification procedure is to examine the kinds of questions that relate to each of the functions. The Subject of a sentence is elicited by questions like: “Who/What is/did something?”, “What happened?” The Object of a sentence is elicited by: “What/Who(m) did someone do?” or “To/For Who(m) did someone do something?” (Indirect Object). The Adjunct of a sentence is elicited by: “Where/When/How/Why did someone do something?” or “... did something happen?” or “... is something?”. The Complement of a sentence is elicited by: “What is someone/something?”

This procedure may be combined with one that starts with the verb functioning as Predicator and asks what additional elements are determined by that particular verb (cf Chapter 10). For example, the verb *send* could be said to determine *someone* who sends (“Who sends?”), *something* that is sent (“What did someone send?”), and *someone* to whom it is sent (“To whom did someone send something?”) or *somewhere* it is sent (“Where did someone send something?”). That is, *send* enters either a ditransitive sentence type (containing two Objects) or a transitive/locative sentence type (containing Object and Adjunct).

Functions and sentence types

It is now possible to look again at sentence types and to describe them in terms of the syntactic functional slots that each of them opens up. The intransitive sentence

type, for example, opens up a Subject and a Predicator slot only, the ditransitive type a Subject, a Predicator and two Object slots. We can summarise the structure of sentence types that we have discussed in the following table (where S is Subject, P is Predicator, O is Object, C is Complement, A is Adjunct):

Intransitive	S P
Stative or Equative	S P C
Locative or Temporal	S P A
Transitive	S P O
Ditransitive	S P O O
Transitive/Stative	S P O C
Transitive/Locative	S P O A

It should be noted that the list is not complete, in the sense that many languages have more, fewer, or different sentence types than these. Also, the order of the elements in the sentence types above corresponds to the 'neutral' order for English, and other languages that place Subject before Predicator and Objects or Complement after the Predicator. Other languages have a different 'neutral' or 'basic' order; eg Western Desert (Australia) has SOP order: "watilu maku kultunu" (*lit.* 'man kangaroo speared').

Exercise 24

Identify for the following English sentences which sentence type is represented, and indicate which words belong to each functional position; eg "Bill (S) sent (P) his wife (O) a message (O)"—ditransitive.

1. The shipyard is building a new oil-tanker.
2. Harry is sitting in the garden.
3. The children will put their muddy boots on the kitchen floor.
4. Susan is a first-class journalist.
5. Last night's storm blew over the tree in the corner.
6. The committee has appointed Edward as its secretary.
7. Our parking time expired five minutes ago.
8. Harry was telling us a funny story.
9. The branch is breaking.
10. My coat is the brown one.

Obligatory and optional elements

We have discussed the structure so far in terms of a verb and a number of nouns, functioning in positions opened up according to sentence type, or alternatively, determined by the 'meaning' of the verb. The implication has been that a verb 'requires' a specific number of nouns in order for the sentence to be 'grammatical' or 'complete', or to 'make sense'. We have noted, eg in the case of English *send*, that a verb may function as Predicator in more than one sentence type (ditransitive and transitive/locative for *send*). But all the functional positions determined by the verb or implied by the sentence type have been filled. That is to say, we have been dealing so far only with 'obligatory' elements of sentences.

There are two senses in which an element of sentence structure may be regarded as 'optional' rather than 'obligatory'. First of all, it is possible to add elements to

sentences that are not directly required by the verb. Consider: “The agent gave me the parcel yesterday in the park”. *Give* is a ditransitive verb; it requires a Subject (*the agent*) and two Objects (*me, the parcel*). The sentence would be grammatical or complete with just these slots filled: *yesterday* and *in the park* are thus gratuitous elements that have been added to the basic sentence type. Note that they are both functioning as Adjunct, as a temporal and a locative Adjunct respectively. It is possible to specify time and place for almost any event, but it is arguable that few verbs actually require them to be specified. Optional Adjuncts—of time, place, manner, reason, purpose, etc—may be freely added to almost any sentence, depending on the constraints of context.

The second sense in which it is possible to speak of an ‘optional’ element in sentence structure entails the omission of an element that is regarded as being required by the verb. Consider the verb *write* in English. Arguably this verb enters a ditransitive sentence type, ie ‘someone *write* something *to/for* someone’ (eg “Harry is writing a letter to his aunt”, “Harry is writing a report for the director”). But it is possible to omit either or both of the Objects, eg “Harry is writing” (in answer to the question “What’s Harry doing?”), “Harry is writing a letter”, “Harry is writing to his aunt”. In the case of a verb like *write* we must say either that *write* enters the intransitive and transitive sentence types as well as the ditransitive, or that *write* is basically ditransitive but that either or both Objects are in some sense ‘optional’. Clearly, this is a different kind of optionality from the first kind; and it may be more appropriate to distinguish it by referring to it as ‘deletability’ rather than optionality. That is, the Objects of *write* are said to be ‘deletable’ according to the conditions of context; for it is usually the case that context determines or allows the deletability of otherwise obligatory elements of sentence structure. It is probably also the case that a semantically restricted Object is more likely to be deleted than one not so restricted; for example, Indirect Objects nearly always refer to persons and are thus probably more often deletable, and in the case of a verb like *write*, the Direct Object is more-or-less restricted to a set of nouns referring to written artefacts (cf also *read, sing, play*).

Functions and classes

We began our discussion of sentences by asserting that a sentence is essentially made up of a verb and some accompanying nouns. We have had to revise that assertion in the intervening pages, in order to account for verbless sentences and to allow for adjectives appearing instead of nouns. Having now introduced the functional slots of sentence structure, we need to bring the functions into relationship with the classes (or categories) of word (ie noun, verb, etc).

The Predicator functional slot is filled by a verb, along with any of its accompanying modifying elements (eg auxiliary verbs, negative particles), ie by what might be called a ‘verb phrase’. The Subject slot is usually filled by a noun, along with its accompanying modifying elements (see Chapters 12 and 13), ie by a ‘noun phrase’, or by a pronoun or proper noun (name). Pronouns and proper nouns are not usually accompanied by any modifying elements, and in any case the possibilities are normally restricted. For example, in English a pronoun may be postmodified by

a relative clause (see Chapter 13): “he who hesitates”. But compare the equivalents of this in French and German: “celui qui hésite” ‘the one who hesitates’, ie not using the pronoun *il* ‘he’; “wer zögert” ‘who hesitates’, ie not using the pronoun *er* ‘he’.

The Object slot is also usually filled by a noun phrase or pronoun or proper noun. It may additionally be accompanied by a preposition or postposition, eg “I gave it *to* John”, French “Je l’ai donné *à* Jean”; Hindi “us *se* yah saval puchie”, literally ‘him-to this question ask’ (ie “Ask him this question”). The Complement slot is usually filled either by a noun phrase or by an adjective and any accompanying modifiers it might have (see Chapter 14), ie by an ‘adjective phrase’. These may also be accompanied by a preposition or postposition, eg “I regard him *as* a friend”. Finally, the Adjunct slot may be filled by a number of categories: a noun phrase, a noun phrase accompanied by a preposition or postposition, or an adverb; eg Hindi “yah apne ghar laut gaya” ‘he his home back went’ (ie “he returned to his home”), “budhvar ko ao” ‘Wednesday on come’ (ie “Come on Wednesday”), “yah accha gati hai” ‘she well singing is’ (ie “she sings well”).

It is thus possible to describe the structure of a sentence by identifying both the functional slots and the categories of word or phrase that fill them. For example:

“The keeper (S: Noun Phrase) is giving (P: Verb Phrase) the lions (O_i: Noun Phrase) their meat (O_d: Noun Phrase)”.

“Our friends (S: NP) live (P: VP) in Brussels (A: Prepositional Phrase)”.

“This room (S: NP) feels (P: VP) very cold (C: Adjective Phrase)”

Exercise 25

For the following data (SIL 1980: E13) from Tlingit (Alaska), make an analysis of each sentence identifying functional slots and categories of phrase that fill them, and indicate which sentence type each belongs to.

- | | |
|--|-----------------------------------|
| 1. xóots saxwaa.áx dzeeyáak
brown-bear voice-I-heard earlier-on | ‘I heard a brown bear earlier on’ |
| 2. Juneau-dé kukgwaatèen
he-will-take-a-trip | ‘He’s going to Juneau on a trip’ |
| 3. kúnax kusi.áat’
really it-is-cold | ‘It’s really cold’ |
| 4. tlax a yáanax ee wdixwétl
too-much it face-beyond you is-tired | ‘You’re too tired’ |
| 5. ee xòonee
your friend | ‘It’s your friend’ |
| 6. aatlèin dáanaa doo jèewoo
lots money his hand-locative marker | ‘He has lots of money’ |

12. Expanding the noun (1)

Noun phrase

We referred in the previous chapter to the possibility of a noun, functioning at a particular position in sentence structure, being accompanied by one or more modifying elements. And we termed this combination a 'noun phrase'. Some descriptive linguists would recognise a phrase level of syntactic structure intermediate between word and sentence. That is, the structure of a sentence is described in terms of its constituent phrases, and these phrases in turn are described in terms of their constituent words. So the general definition of a 'phrase' becomes: "a unit consisting of one or more words". In such a descriptive framework each unit is described in terms of the units at the level immediately below.

In this book we have taken the view so far that sentences are considered to be combinations of words, which may in turn be accompanied by modifying items. That is to say, we are regarding 'sentence' and 'word' as the primitive terms in our syntactic description. And, as the titles of this chapter and the next two imply, we are regarding phrases as expansions of particular classes of words.

The class of nouns is the one, probably in all languages, that may be subject to the most and to the most varied expansion by modifying elements. In this chapter we consider the expansion of nouns by means of members of other word classes, and in the next chapter we look at modifiers that are themselves syntactic structures.

Closed class modifiers

Towards the end of Chapter 2 we identified a number of word classes that we termed 'closed' rather than 'open', because their membership is restricted in number, changes only very slowly over time, and can easily be listed exhaustively. Some of these classes function in the expansion of nouns, especially the class of Determiners.

The membership of the Determiner class varies from language to language, and it includes a number of quite diverse subsets of items, all of which, however, contribute to 'determining' the contextual status of a noun. First of all, a distinction is often made in the Determiner class between 'Identifiers' and 'Quantifiers'. As the latter label implies, these items have the function of specifying 'how many' or 'how much' of a particular noun is being referred to. Such a specification may either involve an actual number ("*five* coaches", "the *third* coach") or be an expression of indefinite quantity ("*several* coaches", "*some* cheese"). Clearly, there is a relation of compatibility here between quantifiers and the subclasses of 'mass' and 'countable' nouns. For example, *some* is compatible with the singular of mass nouns ("*some* cheese"), but with the plural of countable nouns ("*some* coaches"); and

numerals are compatible with countable nouns only, unless they precede a specific expression of quantity (“five *pounds* of cheese”, “twelve *litres* of petrol”). Compare German “einige Wagen” ‘some coaches’, “etwas Käse” ‘some cheese’; and French “des wagons” ‘some coaches’, “du fromage” ‘some cheese’.

The class of Identifiers includes, in English, the ‘articles’ (indefinite *a*, definite *the*), the demonstratives (*this*, *that*), the possessives (*my*, *your*, *his*, etc). In English these items are mutually exclusive, ie the occurrence of one excludes the possibility of the occurrence of the others in the same noun phrase; eg **“my the box”* is not a possible noun phrase in English. Many languages (eg Latin) do not have items equivalent to the English articles: ‘definiteness’ is signalled in other ways. Neither do all languages show the same mutual occurrence restrictions as English.

Consider the following data (SIL 1980: B2) from Bekwarra (Nigeria):

- | | |
|------------------------|----------------------------|
| 1. ugam | ‘(the/a) mat’ |
| 2. ugam iyi | ‘my mat’ |
| 3. ugam itfja | ‘three mats’ |
| 4. ugam abin | ‘this mat’ |
| 5. ugam iyi itfja abin | ‘these three mats of mine’ |

Note that the modifiers of the noun come after it in Bekwarra, whereas the equivalent items in English come before the noun, except for *of mine* in the last example. This, however, is the English way of including a demonstrative and a possessive in the same noun phrase (cf **“my these mats”*), a structure that is possible with the regular possessive identifier in Bekwarra. Note also, incidentally, that Bekwarra does not mark ‘plural’ number in either the noun or the demonstrative, as English does. A further descriptive point is the relative order of the modifiers in the noun phrase. From Item 5 we can describe the structure of the noun phrase in this Bekwarra data as: noun—possessive—numeral—demonstrative.

Exercise 26

Describe the structure of the noun phrase in the following Agatu (Nigeria) data (based on SIL 1980: B5):

- | | |
|-------------------|-----------------------|
| 1. ugwu oye | ‘one hen’ |
| 2. ugwu εho | ‘five hens’ |
| 3. ugwu du | ‘all the hens’ |
| 4. ugwu εho du lo | ‘all those five hens’ |

Open class modifiers

The most obvious and extensive class in this group is that of Adjectives, perhaps the set of words that most readily springs to mind in the context of noun modification. Adjectives referring to colour, size, shape, texture, provenance, etc, are widespread in the languages of the world. Very often they can function both as modifiers of nouns and in the predicate of a clause as Complement, eg “the *red* hat”, “the hat is *red*”. As we have noted already (Chapter 10), descriptive or stative sentences like this are frequently verbless. Within the noun phrase, some lan-

guages (like English) place the adjective before the noun, while others place it after, and yet others have adjectives in both positions. A language of this last kind is French, eg “le chapeau rouge” ‘the hat red’, “le bon enfant” ‘the good child’; although noun-adjective is the more frequently occurring order, with the alternative limited to a relatively small set of common adjectives. English also has an adjective-following pattern, though not as a rule with nouns: indefinite pronouns, however, are normally followed by an adjective modifier, eg “somebody neutral”, “anything unusual”, “nothing alcoholic”; but cf “a little something”, “an absolute nobody”—where the pronoun has more or less taken on the status of a noun.

When more than one adjective occurs as modifier of a noun, it may be the case that classes of adjective are ordered relative to each other. In English, for example, we would be more likely to say “the big red hat” than ?“the red big hat”—unless there were two ‘big hats’ and we wished to distinguish the red one from one of another colour, in which case *red* would carry contrastive stress. In English, then, adjectives referring to size normally precede those referring to colour. Compare French “le grand chapeau rouge”, where the size adjective *grand* ‘big’ precedes the noun and the colour one, *rouge* ‘red’, follows it. It would appear that, in English, the more criterial the adjective is in distinguishing the noun, the closer it is put to it in the noun phrase, so that colour is normally considered more criterial than size.

Consider now the following data (based on SIL 1980: B7) from Mambila (Nigeria):

- | | |
|--------------------------|-----------------------------------|
| 1. tèt | ‘elephant’ |
| 2. tèt búnu | ‘this elephant’ |
| 3. tèt achi | ‘your elephant’ |
| 4. tèt amòh búnu | ‘this elephant of mine’ |
| 5. tèt amòh dua | ‘my large elephant’ |
| 6. tèt dua yili | ‘a large black elephant’ |
| 7. tèt bonu fàl | ‘these two elephants’ |
| 8. tèt bonu dua yili fàl | ‘these two large black elephants’ |

From this data we can conclude that the structure of the noun phrase in Mambila may be expressed, at least partially, by the following formula: noun—possessive—demonstrative—adjective (size)—adjective (colour)—numeral. Here, too, the size adjective precedes the colour one, but is closer to the noun; though in Mambila the adjectives are separated from the noun by closed-class modifiers.

We have been talking of adjectives as if they were a universal class of words. This is by no means the case. Some languages have a class of modifying words that corresponds to the classes of adjective and adverb in English: compare the Apinaye (Brazil) data in Exercise 5 (Chapter 2). This is also the case, more or less, in German, where it can be said at least that there is a large overlap between the set of open-class modifiers of nouns and the set of items functioning as Adjunct in the predicate structure of sentences; cf “die schöne Sängerin” ‘the beautiful singer’, “sie singt sehr schön” ‘she sings very beautifully’. What is widespread, if not indeed universal, is the occurrence of a set of open-class words that have as one of their functions the modification of nouns.

The other set of open-class modifiers of nouns is perhaps much less widespread: it is

nouns themselves, functioning as modifiers of other nouns. For example, English “the felt hat”, where the noun *felt* modifies *hat*. Arguably, this noun + noun combination (*felt hat*) could be considered as a compound (see Chapter 7), but it does not have the characteristic single primary stress on the first element (cf *fire-bucket*). Moreover, the modifying noun often co-varies with adjectives having similar reference (cf “the woollen hat”) and the combination is not felt semantically to be a single unit. Possibly, however, there is considerable variation in degrees of cohesiveness between noun + noun compounds at one end of the scale and noun-modifier + noun combinations at the other.

Defining/non-defining

It is probably true to say that adjectives more often than not have a defining role; that is, they serve to assign the noun being modified to one subset of such nouns rather than another, or they distinguish the ‘thing’ being referred to by the modified noun from another possible ‘thing’ referred to by the same noun. For example, the adjective *red* in “the red hat” assigns this hat to the subset of hats distinguished by their being red; in “my red hat”, *red* distinguishes this hat from hats of other colours that I might have. Such uses of adjectives are said to be ‘defining’.

If, however, I have only one hat, then to refer to it as “my red hat” is to provide gratuitous and non-essential information. Indeed the adjective *red* would normally be understood as having a defining function in the phrase “my red hat”; and one would probably resort to other strategies if one intended *red* as non-defining, eg “I can’t find my hat. It’s red”, where the indication of colour is intended as a clue to establishing its whereabouts. Arguably, in the expression “It’s red”, the adjective *red*, although no longer a modifier, has a defining function, assigning “my hat” to the subset of hats coloured red.

In the phrase “my lovely wife”, however, the adjective *lovely* would normally be taken to have a non-defining function, assuming that it is spoken in a monogamous society! Similarly, the adjective *late* is non-defining in the phrase “his late father”; and the adjective *old* in the phrase “the old railway worker”, where *old* is added merely as a characterising feature of the noun, not as a defining one. Note in this example, though, that the noun-modifier *railway* does have a defining function, assigning the noun *worker* to a particular subset of workers. The distinction between defining and non-defining will again be important in the discussion of clausal modifiers, especially relative clauses.

Definite vs indefinite

Many languages, including English, have overt means of marking a noun phrase as ‘definite’ or ‘indefinite’. This is often done by means of a set of Determiners called ‘Articles’: *a* and *the* in English, ‘indefinite’ and ‘definite’ article respectively. The primary function of the articles is in the relationship between the sentences of a text or discourse. The indefinite article marks a noun as ‘first mention’ of a referent, while the definite article marks the noun as ‘already introduced’ into the discourse. For example, in the sentence “A Japanese team has climbed the mountain”, the

noun *mountain* is marked as a referent already under discussion, while *Japanese team* here receives its first mention.

In languages which do not have items equivalent to the articles of English (eg Russian, Bimoba), other means are used to indicate that a noun is to be interpreted as definite or indefinite. In Russian, for example, the positioning of a noun in the initial slot of a sentence marks it as definite. In Bimoba, special particles occur after nouns that have been mentioned before in the discourse. And in Lithuanian there is a special pronominal form of adjectives, used with nouns that have already been introduced into the discourse.

Another function that the definite article has (in English, for example), is to occur with nouns that are modified by a defining relative clause or prepositional phrase; eg “the woman that I saw you with yesterday”, “the house with the red door”. Note that both “a house with the red door” and “the house with a red door” sound odd in English, but not “a house with a red door”.

Exercise 27

For the following data (SIL 1980: B1) based on Coatlán Mixe (Mexico) describe the structure of the noun phrase:

- | | |
|---|-------------------------------------|
| 1. po:ʃ tsu:tʃ ʔiʃp
spider horsefly sees | ‘The spider sees the horsefly’ |
| 2. he po:ʃ tsu:tʃ ka:jɪp
that spider horsefly will-eat | ‘That spider will eat the horsefly’ |
| 3. tsu:k tʃi:t ʔiʃti
rat cat saw | ‘The rat saw the cat’ |
| 4. tʃi:t po:p tsu:k ʔiʃp
cat white rat sees | ‘The cat sees the white rat’ |
| 5. tʃi:t po:p tsu:k jahʔoʔokip
cat white rat will-kill | ‘The cat will kill the white rat’ |
| 6. po:p tʃi:t he po:p tsu:k ka:jti
white cat that white rat ate | ‘The white cat ate that white rat’ |
| 7. mets tʃi:t mets po:p tsu:k ka:jti
two cat two white rat ate | ‘Two cats ate two white rats’ |
| 8. məh po:ʃ tsu:k jahʔoʔokti
big spider rat killed | ‘The big spider killed the rat’ |
| 9. mets məh tʃi:t mets məh tsu:k jahʔoʔokti
two big cat two big rat killed | ‘Two big cats killed two big rats’ |
| 10. he mets tsu:t he tsu:k ʔiʃti
this two horsefly that rat saw | ‘These two horseflies saw that rat’ |

13. Expanding the noun (2)

In the previous chapter we considered ways of expanding the noun with single word items: determiners and adjectives. In this chapter we turn our attention to the expansion of nouns by means of structures comprising more than one word: phrases and clauses.

Possessive phrase

Possessive phrases allow a relationship of possession to be expressed between one noun (phrase) and another noun (phrase); eg English “the big man’s overcoat”. The possessive phrase in English takes up the position of the identifier in the modification of the noun; more specifically, it replaces a possessive identifier, cf “his overcoat”, “the big man’s grey overcoat”. It will be noted that the possessive noun phrase in English is marked by the possessive (genitive) case suffix *'s*. In fact, this is not a genuine suffix on the noun, since, as we noted in Chapter 9, it is attached to the last item of the possessive phrase: “the man in the corner’s overcoat”, “the last man out’s overcoat”. It is, therefore, more appropriately termed a possessive clitic.

English has an alternative means of expressing the possessive relationship: by a noun phrase introduced by the preposition *of*, placed after the noun which is possessed, eg “the tail of the aeroplane” (cf “the aeroplane’s tail”). The *of*-possessive construction seems to be preferred with *aeroplane*, whereas the *'s*-clitic construction seems preferred with *man*, cf “the grey overcoat of the big man”. In general, nouns referring to persons prefer the premodifying position in English, unless the noun being possessed is itself heavily modified, eg “the grey overcoat of the big man with the bowler hat talking to the lady in the fur-coat”. Contextual factors also play a part in the choice of possessive construction in English.

Few languages have the luxury of a choice of possessive phrase. In Punjabi, for instance, there is one way of forming a possessive phrase, which is a kind of synthesis of the two English means. Punjabi has postpositions instead of the prepositions of English: the possessive phrase is formed by means of the postposition *da* after the possessor noun (phrase). The possessive phrase (ie noun phrase *da*) is positioned in the premodifying position of the noun being possessed, eg “mwnde di kytab” (*lit.* ‘boy of book’), ‘the boy’s book’.

Consider now the following data (SIL 1980: G6) from Bariba (Dahomey):

- | | |
|----------------|---------------|
| 1. sabii | ‘Sabi’ |
| 2. sabiin kuro | ‘Sabi’s wife’ |
| 3. duro | ‘man’ |
| 4. duro wi | ‘that man’ |

- | | |
|------------------------|--------------------------|
| 5. durɔ boko | 'the big man' |
| 6. durɔ win kuro | 'that man's wife' |
| 7. durɔ bokon kuro | 'the big man's wife' |
| 8. durɔ geo wi | 'that good man' |
| 9. durɔ geo win kuro | 'that good man's wife' |
| 10. sabiin kuro geo wi | 'that good wife of Sabi' |

From Nos 4, 5 and 8 it will be noted that determiners and adjectives normally follow (postmodify) the noun in Bariba. The possessive phrase in Nos 2, 6, 7, 9 and 10, however, precedes (premodifies) the noun, which means that it does not replace one of the other modifiers. Thus in No 10, English cannot have both *that* (demonstrative identifier) and *Sabi's* (possessive phrase) as premodifiers, and has to resort to the circumlocution with the postmodifying *of*-phrase. The marker of the possessive phrase in Bariba is a clitic *-n*, attached to the last item of the phrase: to the noun in Nos 2 and 10, to the demonstrative in Nos 6 and 9, and to the adjective in No 7.

Exercise 28

Describe the expression of possession in the following Basari (Ghana) data (SIL 1980: G9):

- | | |
|--------------------------------|----------------------------|
| 1. ubɔti | 'chief' |
| 2. uninja bɔti | 'man's chief' |
| 3. unimpu | 'wife' |
| 4. uninja nimpuu | 'a man's wife' |
| 5. unimpu ubɔ | 'one wife' |
| 6. uninja-nee nimpuu ubɔ | 'this man's one wife' |
| 7. kusaau | 'farm' |
| 8. kusaau kubɔ | 'one farm' |
| 9. uninja saaku | 'a man's farm' |
| 10. uninja ubɔ saaku | 'a man's one farm' |
| 11. uninja ubɔ saaku | 'one man's farm' |
| 12. kukuntuu | 'mortar' |
| 13. u kuntuuku | 'his mortar' |
| 14. u nimpuu kuntuuku | 'his wife's mortar' |
| 15. uninja-nee nimpuu kuntuuku | 'this man's wife's mortar' |

Relative clause

The relative clause is a means of expanding or modifying a noun by means of a whole sentence (or predication), without the noun itself having a function in the sentence, except through a substitute. Compare in English: "the girl is eating her breakfast", "the girl who is eating her breakfast". The first of these examples is a sentence, and the noun *girl* has a function in the sentence, viz that of Subject. The second example is not a sentence (it is a noun phrase), though it contains a sentence, viz "who is eating her breakfast"; and the noun *girl* has no function in this sentence except through the substitute (pronoun) *who*. Here, then, the sentence—termed a 'clause' because it is 'subordinate' or 'embedded'—is part of the noun phrase; it modifies and expands the noun.

In English the relative clause occurs in postmodification position in the noun phrase. It is linked explicitly to the head noun by means of the noun substitute, the

relative pronoun, which always occurs in initial position in the relative clause, irrespective of its function in the clause. In the example above, the relative pronoun *who* is functioning as Subject in the relative clause, and so it occurs in its normal position in sentence structure. When relative pronouns occur with functions other than Subject, the normal structure of the sentence may be disturbed; eg in “the girl that I like best” the relative pronoun *that* functions as Object, so that the order in the clause is ‘Object—Subject—Predicator . . .’. In fact, when a relative pronoun functions as Object in the relative clause in English, it may be omitted (“the girl I like best”). Note also that English has a relative pronoun that functions as a possessive phrase: *whose*, eg “the philosopher whose ideas I am quoting”. Here, *whose ideas* is Object in the relative clause, and *whose* substitutes for *the philosopher’s* as possessive phrase modifying *ideas*.

The distinction that we discussed in the previous chapter between ‘defining’ and ‘non-defining’ is relevant also to relative clauses. A relative clause may be used to define the reference of a noun; eg

“Which girl were you talking to?”

“I was talking to the girl who was wearing the red scarf.”

Alternatively, a relative clause may be non-defining, providing additional, gratuitous information about the referent of a noun; eg

“I was talking to a girl from the team yesterday The girl, who was wearing a scarf in the team colours, was telling me”

Non-defining relative clauses in English are usually bounded by commas in writing and are intonationally distinct in speech. The same convention does not apply in written German, though, where all relative clauses are bounded by commas: “die Dame, die einen roten Halstuch trug, hat mir gesagt . . .”, ‘the lady who was wearing a red scarf told me . . .’ or ‘the lady, who was wearing a red scarf, told me . . .’.

In Hindi, a relative clause, together with the noun that it is modifying, often precedes the sentence in which the noun phrase has a function (as Subject, Object, etc). The noun modified by the relative clause is then ‘picked up’ in the main sentence by a ‘correlative’ pronoun, eg

“jis admi ne yah patr likha, vah bhartiya hoga”
 who man this letter wrote he Indian probably-is,

ie ‘The man who wrote this letter is probably an Indian’. In fact, the rule seems to be that definite nouns modified by relative clauses are placed before the main sentence, while indefinite nouns modified by relative clauses are placed after the main sentence, cf

“maim ek admi se bat kar raha tha jo kal bharat jaega”
 I a man to talk cont. was who tomorrow India will-go,

ie ‘I was talking to a man who is going to India tomorrow’. The so-called ‘relative-correlative’ construction in Hindi includes a greater variety of structures than just relative clauses.

Consider now the following data (SIL 1980: G1) from Konkomba (Ghana):

- | | |
|---|----------------------------------|
| 1. u ba kpo
he past die | 'He died' |
| 2. bi ba kan uwon
they past see rabbit | 'They saw the rabbit' |
| 3. bi ba kan uwon u n ba kpo na
they past see rabbit it past die | 'They saw the rabbit which died' |
| 4. unambuun ba gɛɛn
cat past sleep | 'The cat slept' |
| 5. u ba fii
he/it past get-up | 'He/It got up' |
| 6. unambuun u n ba gɛɛn na ba fii
cat it past sleep past get-up | 'The cat which slept got up' |

The relative clause is placed immediately after the noun that it modifies. The modified noun is represented in the relative clause by the 3rd person singular pronoun *u*; in both examples, this functions as Subject in the relative clause. The relative clause is marked by the particles *n* and *na*: *n* occurs after the Subject pronoun, and *na* occurs clause-finally.

Non-finite clauses

One of the functions of non-finite clauses (ie clauses containing a non-finite verb form—infinitive or participle) in some languages is to modify nouns; eg in English “the girl looking at the book”, “the accident witnessed by the people at the bus stop”, “the person to see about your problem”. In English, non-finite clauses postmodify the noun. In German, where they occur less frequently, they generally premodify the noun if they are participle clauses; eg “der den Ball mit der Hand berührende Fussballspieler” (*lit.* ‘the the ball with the hand touching footballer’), ie ‘the footballer touching the ball with his hand’; “die an der Haltestelle angehaltene Strassenbahn” (*lit.* ‘the at the stop stopped tram’), ie ‘the tram stopped at the stop’. Note that the participles come finally in the non-finite clause, next to the noun being modified, and that they then inflect like adjectives. If the non-finite clause is an infinitive clause, it postmodifies the noun in German, eg “die Fähigkeit, das Klavier zu spielen” ‘the ability to play the piano’.

Since, unlike relative clauses, non-finite clauses generally lack a sentence element, viz the Subject, the relationship of the modified noun to the clause is not made explicit. In fact, the modified noun is the implied Subject of the non-finite clause, at least of participle clauses: in the case of a present participle clause, the Subject of an active clause (“the girl looking at the book”—“the girl is looking at the book”); and in the case of a past participle clause, the Subject of a passive clause (“the accident witnessed by the people at the bus stop”—“the accident was witnessed ...”). The relationship of a noun to a modifying infinitive clause is more problematical. In “the person to see about your problem”, the modified noun *person* would appear to be the implied Object of the infinitive clause, cf “(you) see the person about your problem”. But in “the first person to discover oxygen”, the

modified noun *person* is implied Subject in the infinitive clause (“the person discovered oxygen”). And in “the ability to play a musical instrument”, the modified noun *ability* has no recognisable implied function in the infinitive clause: *ability* is derived from the adjective *able*, or rather from the verb *be able*, so that the noun phrase is perhaps relatable to the sentence “someone is able to play a musical instrument”.

Exercise 29

In the following data (SIL 1980: G11) based on Agatu (Nigeria), describe the possessive phrase and the relative clause:

- | | |
|--|---|
| 1. ɔi wa
child came | ‘The child came’ |
| 2. ewo wa ɔle ene
dog came compound yesterday | ‘The dog came to the compound yesterday’ |
| 3. ada wa ene
father came yesterday | ‘Father came yesterday’ |
| 4. ɔi ma ewo ene
child saw dog yesterday | ‘The child saw the dog yesterday’ |
| 5. ada ɔi ɛpa wa ene
two | ‘The father of the two children came yesterday’ |
| 6. ɔi ma ewo gada | ‘The child saw the father’s dog’ |
| 7. ɔi ma ewo ɛpa | ‘The child saw two dogs’ |
| 8. ada ma ewo ɛpa ɔi | ‘Father saw the child’s two dogs’ |
| 9. ɔi ma ɔle | ‘The child saw the compound’ |
| 10. ada ɔle ma ehi ɔi
pot | ‘The compound-head (father-of-compound) saw the child’s pot’ |
| 11. ewo ma ɔi gada ɔle | ‘The dog saw the compound-head’s child’ |
| 12. ewo ɛpa gada ɔle wa ene | ‘The compound-head’s two dogs came yesterday’ |
| 13. ada ma ɔi ni wa ene
who | ‘Father saw the child who came yesterday’ |
| 14. ada ma ewo ɛpa ɔi ni wa ene | ‘Father saw two dogs of the child who came yesterday’ |
| 15. ɔi ma ewo ɛpa ni wa ene | ‘The child saw the two dogs that came yesterday’ |
| 16. ɔi ni wa ene ma ehi ɛpa | ‘The child who came yesterday saw two pots’ |
| 17. ɔi ni ma ehi ɛpa gada ɔle wa
ene | ‘The child who saw the compound-head’s two pots came yesterday’ |

14. Expanding adjective and adverb

Not all languages, as we noted in Chapter 12, have separate classes of adjective and adverb (cf the Apinaye data in Exercise 5). Or, as in German, there may be considerable overlap between identifiable classes of adjective and adverb. Nevertheless, it is often possible to identify different functions corresponding to those of the adjective and the adverb in English, ie modifier of nouns (cf Chapter 12) or Complement (cf Chapter 11) for adjectives, and Adjunct (cf Chapter 11) for adverbs.

In this chapter we want to look at ways in which adjectives and adverbs may be expanded. The possibilities of expansion are severely limited by comparison with those for nouns.

Intensifiers

In many languages, adjectives and adverbs may be expanded by a set of words known as intensifiers, themselves often regarded as a subclass of adverbs. The representative intensifier in English is *very*, eg in “very beautiful”, “very quickly”. In fact, *very* only ever functions as an intensifier in English, as does the equivalent word in French, *très*. In German, however, *sehr* may function alone as an Adjunct as well as in combination with an adjective or adverb as intensifier, eg “es regnet sehr” ‘it’s raining a lot’, “sehr schön” ‘very beautiful(ly)’. Not all words functioning as intensifier in English are restricted to that function, cf *absolutely* as intensifier in “His suggestion is absolutely marvellous”, and as Adjunct in “I repudiate his accusation absolutely”.

In the *Grammar of Contemporary English* (Longman 1972), Quirk *et al* identify three classes of intensifier in English, in recognition of the fact that some ‘intensifiers’ have the opposite function to that indicated by their label; ie there is in fact a scale of intensification. The three classes of intensifier are those of: emphasisers, amplifiers, and downtoners. It should be pointed out that some intensifiers in English have functions other than those of expanding adjectives and adverbs. The following examples will serve to illustrate the classes of intensifier in the expansion of adjective/adverb in English: emphasiser—“definitely shut”; amplifier—“completely clean”, “thoroughly badly”; downtoner—“rather flat”, “moderately important”, “hardly new”, “almost illiterate”. It will be noted that intensifiers in English are generally placed before the adjective or adverb they modify, though *enough* is an exception to this rule, cf “bad enough”.

Not all adjectives and adverbs in English may be expanded by means of an intensifier. In the case of adjectives, it is the so-called ‘gradable’ adjectives that may be readily expanded in this way, ie adjectives with a ‘more-or-less’ meaning rather

elephant”, “less frequent than the timetable states”. Superlative expressions (‘most/least’ comparison) have either a locative expression or a clause introduced by conjunction *that*, eg “the least expensive in the shop”, “the most reliable that money can buy”. There is a similar expression in English with *too* and an infinitive clause, eg “too tired to walk another step”; and also a comparative expression of ‘equality’ with *as* either as preposition or as conjunction, eg “as foolish as the next man”, “as foolish as I thought he would be”.

All the examples so far have been with adjectives. Similar expressions occur also with adverbs, eg “more gracefully than I have ever seen”, “most patiently of all the prisoners”, “too decisively to be ignored”, “as quickly as he was able”. And similar expressions can be found in other European languages at least; eg French “plus fort que son frère” ‘stronger than his brother’, “plus vite que je ne le croyais” ‘faster than I thought’ (note the insertion of *ne* ‘negative particle’ and the pronoun *le* ‘it’ in the comparative clause); German “so kalt wie es im Januar war” ‘as cold as it was in January’, “zu müde, einen weiteren Schritt zu machen” ‘too tired to take another step’.

In Punjabi there are no comparative or superlative forms of the adjective as in English or German. Comparison relies on the use of the comparative particle equivalent to English *than*: *toṅ* or *naḷoṅ*. For example:

“mwṅḍa kwṛi toṅ lamma e”	‘The boy is taller than the girl’
boy girl than tall is	
“o māythoṅ syaṅe e”	‘He is cleverer than me’
he me-than clever is	

With the superlative, the quantifying pronoun *səb* ‘all’ is used with the comparative particle; eg

“é kər da səb toṅ cənga kəmra e”	‘This is the best room in the house’
this house of all than good room is	

Note that the phrase in this last example is a possessive phrase (*kər da*) rather than a locative phrase as in English, cf German “das grösste Zimmer des Hauses”, lit. ‘The biggest room of the house’.

In the Hixkaryana language of Brazil (cf Desmond C Derbyshire, *Hixkaryana*, North Holland 1979), there are three ways of expressing the comparative relation. The first is by juxtaposing a negative and a positive clause!

eg “kawohra naha Waraka.	kaw naha Kaywerye”
tall-neg he-is	tall he-is

which could be translated either as ‘Waraka is not as tall as Kaywerye’, or as ‘Kaywerye is taller than Waraka’. The second is by juxtaposing positive clauses containing ‘degree’ particles like *nyhe* ‘more’, *rmahaxa* ‘very much’:

eg “ohxe naha meku.	ohxe nyhe naha yayhi.	ohxe rmahaxa	naha honyko”
good it-is monkey	more	tapir	very-much peccary

ie ‘Monkey is good, tapir is better, and peccary is really good’.

The third means is by the use within the clause of postpositional relators *-oho* 'bigger than, more important than' and *-osnaka* 'less than, smaller than':

eg "kratxatxa yoho naha tukusu"
grasshopper bigger-than it-is humming-bird

'The hummingbird is bigger than the grasshopper'

"enahri yoho rmahaxa tinyahke natxow hami"
eating-of-it greater-than very-much having-food they-are 'deductive'

'It is evident that they have much more food than they can eat'.

Adjectives—phrasal/clausal expansion

One further way of expanding adjectives—in English at least, though it is probably by no means universal—is by means of a phrase or a clause. The type of phrase concerned is a prepositional phrase (ie noun phrase introduced by a preposition), eg 'fond of cream cakes', "anxious about the future", "annoyed at the suggestion". It will be noted that particular adjectives are associated with specific prepositions; compare further "keen on ice hockey", "different from his brother", "disgusted with the exam result", "contrary to all advice", "eager for new experiences". With some adjectives the noun phrase which the preposition introduces may be replaced by an *-ing*-clause (clause introduced by a present participle form of the verb) or by a *wh*-clause (introduced by a 'wh' word, eg *what*, *who*, *when*), eg "guilty of robbing the bank", "uncertain about what they should do next", "angry about where the telephone had been put".

The clausal expansion of adjectives in English is by means of a *that*-clause or an infinitive clause, eg "concerned that nothing would be done", "anxious to arrive at work on time", "eager to please everybody", "important that nothing should be forgotten". Adjectives that are expanded in this way, and indeed by means of a prepositional phrase, may only function predicatively in English, usually after the verb *be*. It is arguable that 'be + adjective' should thus be regarded as a verb, which is what the equivalent would be in some languages, cf *be afraid*—German *sich fürchten*.

Exercise 30

Describe the expansion of adjectives in German from the following data. Note that German has a four-term case system: nominative (nom), accusative (acc), genitive (gen) and dative (dat):

- | | |
|--|--------------------------------------|
| 1. Er war seinen Eltern gehorsam
he was his(dat) parents obedient | 'He was obedient to his parents' |
| 2. Wir wollen unserer Sorgen ledig sein
we want our(gen) troubles free to-be | 'We want to be free of our troubles' |
| 3. Er ist zu dieser Aufgabe fähig
he is to this(dat) task capable | 'He is capable of this task' |
| 4. Sie ist gegen dieses Medikament empfindlich
she is against this(acc) medicine allergic | 'She is allergic to this medicine' |

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5. Dieses Haus ist nicht den Preis wert
this(nom) house is not the(acc) price worth
 6. Er ist es müde, dauernd zu arbeiten
he is it tired continually to-work
 7. Sie ist von seiner Unschuld überzeugt
she is of his(dat) innocence convinced
 8. Sie ist auf die Leistung stolz
she is on the(acc) achievement proud
 9. Er ist für den Schaden haftbar
he is for the(acc) damage responsible
 10. Er ist damit einverstanden, dass wir sein Auto borgen
he is it-with agreeable that we his(acc) car borrow
- 'This house is not worth the price'
'He is tired of continually working'
'She is convinced of his innocence'
'She is proud of the achievement'
'He is responsible for the damage'
'He is agreeable to us borrowing his car'

15. Locatives and temporals

Two of the most common kinds of circumstantial information that we choose to specify for any event are 'where' the event took place and 'when' it took place; that is, 'locative' information and 'temporal' information, respectively. Location and time are, however, not simple concepts. In the case of location, for example, one may specify the place 'at' which an event takes place, or the place 'towards' or 'from' which movement occurs, besides the position of things relative to each other (eg 'in front of', 'behind', 'beside', 'below', etc). Similarly in the case of time, one may specify the point in time 'at' which an event occurred, or the amount of time that an event took up (duration), or the relative distribution in time of events (eg 'before', 'after', 'while'). Another fairly common kind of circumstantial information that is specified is the Manner in which an event takes place or an action is carried out, answering the question "How did it happen?" or "How was it done?". Other kinds of circumstantial information include: purpose, cause, reason, result, condition, etc.

Such information usually occupies the Adjunct slot in sentence structure (see Chapter 11). In this chapter we shall consider the various grammatical means for expressing circumstantial information, in particular locative and temporal information.

Adverb phrases

Adverb phrases are relatively abbreviated forms of locative and temporal expression, consisting often of only a single adverb without intensification. Sometimes, especially in the case of locatives, they have a pro-form function, referring back in a text to a fuller expression of location or time by means of pre/postpositional phrases or adverbial clauses (see below). This is particularly true in English, for example, of the adverbs *here*, *there*, *now* and *then*. Other locative adverbs in English include the adverb particles like *in*, *out*, *below*, *above*, *behind*, as in sentences like "Push the knob in", "We'll clamber below", "Another bus is coming behind".

Besides *now* and *then*, other point-of-time adverbs in English include *today*, *yesterday* (though in some contexts these might be considered to be nouns), *soon*, *just*, *afterwards*, *before*. There are also time-frequency adverbs such as: *often*, *sometimes*, *occasionally*, *frequently*, *usually*, *again*, *never*. Most commonly expressed by adverb phrases, in English at least, is Manner: all the adverbs formed from adjectives by the addition of the derivational suffix *-ly* are manner adverbs, eg *gracefully*, *quietly*, *happily*, *beautifully*, *slowly*, *candidly*, as in "She danced gracefully", "They moved quietly", "The children play very happily together".

Consider now the adverb phrases in the following data (SIL 1980: E2), based on Northern Pueblo Totonac (Mexico):

1. wał
he-spoke
2. tʃuʔnca nawan
thus he-will-speak
3. wał maqtuwa
many-times
4. tʃoʔla wał lakapał
probably quickly
5. tʃuʔnca wan qaʔwa:ʔtʃu laqali nawan
the boy tomorrow
6. nawan maqtuwa laqali tufumaʔn 'He will speak many times in the future'
day-after-tomorrow
7. pedro wał laqa:tʃu
Peter everywhere
8. qo:tan pedro ki:wał wampala
yesterday went-to-speak again
9. a:kalista:n nawan pedro lakapał
after-that
10. nawan laqa:tʃu ka:cisa:t
early
11. wał aʔca maqtuwa
here

The locative adverbs in this data are: *laqa:tʃu* 'everywhere', *aʔca* 'here'. The temporal adverbs are, firstly time-when: *laqali* 'tomorrow', *tufumaʔn* 'day-after-tomorrow', *qo:tan* 'yesterday', *a:kalista:n* 'after-that', *ka:cisa:t* 'early'; secondly time-frequency: *maqtuwa* 'many times', *wampala* 'again'. The manner adverbs are: *tʃuʔnca* 'thus', *lakapał* 'quickly'. *tʃoʔla* must also be considered as an adverb, perhaps belonging to a class of 'modal' adverbs (cf Chapter 17).

Prepositional/postpositional phrases

We have encountered pre/postpositional phrases already, eg in the expansion of adjectives (Chapter 14), and in the expansion of nouns (Chapter 13). Here we consider them functioning as Adjunct with locative, temporal and other circumstantial meanings. In 'prepositional' phrases the particle precedes the associated noun (phrase), eg English "in the garden"; whereas in 'postpositional' phrases the particle follows the associated noun (phrase), eg Punjabi "bəzar yc" (*lit.* 'bazaar in') 'in the bazaar'. Not all languages have pre/postpositional phrases (eg Turkish), but rely instead on a system of cases in the noun, so that nouns or noun phrases in the appropriate case signal locative/temporal information. Other languages (eg Latin, German) have a system of prepositions governing the case of the associated noun; eg German "in die Stadt" (*in* + accusative) 'to the town', "in der Stadt" (*in* + dative) 'in the town'.

Pre/postpositional phrases as Adjunct express primarily locative and temporal information. Examples of locative prepositional phrases in English are: "The incident occurred *outside the swimming baths*", "The children are playing *in the garden*", "Grandpa is asleep *on the sofa*". And examples of directional phrases: "We're going *into the town centre*", "They raced *across the park*", "We've just come *from the cricket match*". Here are now some examples of temporal prepositional phrases in English, firstly time-when phrases: "The incident occurred *in the morning*", "We all went to sleep *after our lunch*", "The manager can see you *at any time during the day*". Secondly, time-frequency/duration phrases: "They made the noise *throughout the afternoon*", "The voyage lasted *for six days*". Time-duration is also expressed by noun phrases, without preposition, in English; eg "The performance lasted *four hours*", "They were at sea *ten days*". Time-when is also expressed in this way with days of the week and the like, eg "The accident happened *last Friday*", "We shall go to Greece *next Spring*".

Prepositional phrases perform a similar function of expressing locative/temporal information when they occur in the expansion of nouns, eg "the house on the corner", "the discussion after lunch", "my thoughts during the lecture". But prepositional phrases as modifiers of nouns do not always perform this function; sometimes a noun requires a particular preposition + noun (phrase) as a kind of complement, eg "his request for asylum", "her annoyance at the rumours", where *for* and *at* are not replaceable by any other preposition but are determined by the nouns *request* and *annoyance*, respectively.

Exercise 31

Describe the locative and temporal expressions in the following data (SIL 1980: E4) from Bekwarra (Nigeria):

- | | |
|-------------------------------------|---|
| 1. a kà ye ká
he then went there | 'Then he went there' |
| 2. a maŋ ye ká
again | 'He went there again' |
| 3. a be kìn
came here | 'He came here' |
| 4. a kà maŋ be | 'Then he came again' |
| 5. a be ufufo
yesterday | 'He came yesterday' |
| 6. a ye ká ufufo | 'He went there yesterday' |
| 7. a be yè ká
from | 'He came from there' |
| 8. a kà ye yè kìn | 'Then he went from here' |
| 9. a ye k' utyen ufufo
to farm | 'He went to the farm yesterday' |
| 10. a ci kìn yè ufufo
stayed | 'He has stayed here since
yesterday' |
| 11. a ne uni ká
saw someone | 'He saw someone there' |
| 12. a kà maŋ ne he ká
him | 'Then he saw him there again' |

Temporals and the verb phrase

Clearly, temporal expressions, particularly referring to time-when, frequently have the function of amplifying or making more specific the tense marked in the verb phrase. So, in the sentence "They arrived yesterday", the *-(e)d* of *arrived* indicates the point in time at which the event occurred as being past, and the temporal adverb *yesterday* makes that point in time specific. In Urdu, the word for 'yesterday' is the same as the word for 'tomorrow'; the distinction is provided by the tense of the verb. Compare:

"kəl kya dyn tha?"	'What day was it yesterday?'
yesterday which day it-was	
"kəl kya dyn hoga?"	'What day will it be tomorrow?'
tomorrow it-will-be	

Likewise, the same word is used for 'the day before yesterday' and for 'the day after tomorrow', viz *pərsəŋ*.

The reverse situation obtains in English with some expressions of future time, where the burden of future reference rests solely with the temporal expression; eg in "They are visiting the museum *tomorrow/on Friday/next week*", where the tense is 'present progressive', and the absence of the temporal expression would remove reference to future time. A similar dependence on the temporal expression occurs with the simple present tense, eg "They visit the museum *tomorrow*", though here the removal of the temporal expressions creates a gap that is appropriately filled by a time-frequency expression, eg "They visit the museum *every week/on Wednesdays*".

Time-frequency meanings in English may be expressed within the verb phrase without the presence of a temporal expression. Compare: "He always bangs the door when he comes in", with the temporal adverb *always*, and "He will bang the door when he comes in", where a similar meaning is expressed by the modal auxiliary verb *will*. A similar expression is the use of *keep on* in sentences like "He kept on banging the door", in which *keep on* could be regarded as some kind of auxiliary verb.

Consider also the meanings of frequency and repetition expressed in different verb forms in the following data (from SIL 1980: A23) from Tlingit (Alaska):

1. nagúttf	'he goes frequently'
2. a xsatíntf	'he sees it often'
3. askóowtf	'he always knows'
4. yoo sya.átk	'they go back and forth'
5. a daa yoo toowatánk	'he's thinking something over'
6. at únt	'he's shooting (repeatedly)'
7. a klaxútt	'he's splitting (firewood)'
8. datátft	'he's clapping (in time to music)'

Adverbial clauses

There is a further, more expansive means of expressing locative, temporal and indeed all kinds of other circumstantial information: adverbial clauses. Like adverb

phrases and pre/postpositional phrases they can be regarded as having the function of Adjunct in sentence structure, though in this case it is a matter of ‘embedding’ one clause in another clause (see Chapter 19). Adverbial clauses are frequently introduced by conjunctions, which specify the circumstantial meaning of the clause, eg in English *where* for locative adverbial clauses (“The accident happened *where the two roads meet*”), *when* for temporal point-of-time adverbial clauses (“*When you come home* I shall have the meal ready”).

Temporal adverbial clauses may be particularly important for indicating the time relationship between two events, eg by means of adverbial clauses introduced by the conjunctions *before*, *after*, *while* (“*Before they went to bed* they washed and brushed their teeth”, “They went to bed *after they had washed and brushed their teeth*”, “*While they were brushing their teeth* the telephone rang”). This is not the only clausal means of indicating the temporal relationship between events, however, neither in English nor in other languages: a participle clause, without a conjunction, may serve a similar purpose, eg “*Having washed and brushed their teeth*, they went to bed”, “*Brushing their teeth*, they heard the telephone ring”. There must, however, be an identity of reference between the implied Subject of the participle clause and the actual Subject of the main clause (cf “*Brushing their teeth*, the telephone rang”).

Consider now the following data (from SIL 1980: F13) from Xavante (Brazil), where the temporal relator is not a conjunction, but a suffix in the verb of the adverbial clause:

- | | | |
|------------------------------|---------------|--------------------------------|
| 1. tete madaa-wamhã | te mo | ‘When he had watched it, he |
| he watched-it-when | he went | went’ |
| 2. tete upra-re | wa mada | ‘I watched while he bought it’ |
| he bought-it-while | I watched | |
| 3. te mañari-mono-re | tetete upra | ‘Whenever I made it, he bought |
| I made-it-habitually-while | he bought-it- | it’ |
| | habitually | |
| 4. tete upsō-mono-wamhã | tete madaa | ‘Whenever he washed it, I |
| he washed-it-habitually-when | I watched | watched’ |

Note that the adverbial clause appears always to take up initial position in the sentence.

Adverbial clauses may serve to express several further kinds of circumstantial information, besides locative and temporal. For example: cause or reason, introduced by *because* or *since* in English; condition, introduced by *if* or negatively by *unless*; concession, introduced by *although*; result, introduced by *so that*; purpose, introduced by *in order that* or simply an infinitive clause (“Jack and Jill went up the hill *to fetch a pail of water*”). Eg “He arrived late, *because his car broke down*”, “*If you agree to my suggestion*, I will help you”, “The museum is shut, *so that we cannot visit it today*”.

Exercise 32

Describe the adverbial clauses in the following Finnish data (SIL 1980: F1):

- | | | |
|----------|-------|------------------|
| 1. ostin | auton | ‘I bought a car’ |
| I-bought | car | |

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- | | |
|--|--------------------------------------|
| 2. rikastuin | 'I became rich' |
| 3. elin rikastunut | 'I had become rich' |
| 4. rikastuttuani ostin auton | 'Having become rich, I bought a car' |
| 5. kun elin rikastunut ostin auton
when I-had | 'When I became rich, I bought a car' |
| 6. rikastun | 'I am becoming/will become rich' |
| 7. jos rikastun ostan auton
if I-buy | 'If I become rich, I will buy a car' |
| 8. menin kaupunkiin
I-went to-town | 'I went to town' |
| 9. menin kaupunkiin ostamaan auton
to-buy | 'I went to town to buy a car' |

16. Agreement

Items in a linguistic unit that are in some kind of syntactic relationship, eg Subject and Predicator in a sentence, adjective and noun in a noun phrase, may mark that relationship in some way. We noted, too, in previous chapters how, in German, prepositions are followed by nouns in a specific case, eg *von* ‘of, from’ by the dative, *für* ‘for’ by the accusative (see further below). Items that are in a syntactic relationship and have that relationship marked are said to be ‘in agreement’. In this chapter we shall consider the kinds of syntactic relationship that are marked and the means employed for marking them, ie the kinds of ‘agreement’ that occur in languages.

Noun phrase

Items in the noun phrase—determiners, adjectives, nouns—are frequently found to be ‘in agreement’. Consider the English noun phrase “these girls”: by comparison with the singular form “this girl”, it can be seen that both demonstrative (*this—these*) and noun (*girl—girls*) are marked for ‘plural’ number. There is agreement between demonstrative and noun in respect of number, marked (in the spoken form) in the demonstrative by the lengthening of the vowel (ɪ→i) and voicing of the final sibilant (s→z), and marked in the noun by the addition of the suffix /z/. This is probably the only kind of agreement that can be found in English noun phrases.

Consider now the following examples of French noun phrases:

1. le bon garçon ‘the good boy’
2. les bons garçons ‘the good boys’
3. la bonne fille ‘the good girl’
4. les bonnes filles ‘the good girls’

Taking the written forms for our description—the description of the spoken French forms would be much more complex—it is clear that there is agreement between article, adjective and noun in respect of ‘plural’ number (Nos 2 and 4), marked by the -s suffix on each item (additionally there is a change of vowel in the article in No 4, as compared with No 3, from *a* to *e*). In addition, there is agreement between the three items in respect of gender (*garçon* is a ‘masculine’ noun, *fille* is a ‘feminine’ noun), marked in the article by the alternation between *le* and *la*, marked in the adjective by the addition of -*ne* for feminine gender, but not marked in the noun, which may be regarded as having inherent gender. In Nos 2 and 4, then, we may say that there is agreement in respect of both gender and number between article, adjective and noun, marked in the ways indicated.

When we turn to German we find agreement in the noun phrase, not only in respect of number and gender, but additionally in respect of case. The German noun

(phrase) has a three-term gender system ('masculine', 'feminine' and 'neuter') and a four-term case system ('nominative', 'accusative', 'genitive', 'dative'). Consider the following paradigms for the noun phrases "der gute Mann" 'the good man', "die gute Frau" 'the good woman', and "das gute Boot" 'the good boat':

		Masculine	Feminine	Neuter
sing	nom	der gute Mann	die gute Frau	das gute Boot
	acc	den guten Mann	die gute Frau	das gute Boot
	gen	des guten Mannes	der guten Frau	des guten Bootes
	dat	dem guten Mann	der guten Frau	dem guten Boot
pl	nom	die gute Männer	die guten Frauen	die guten Boote
	acc	die guten Männer	die guten Frauen	die guten Boote
	gen	der guten Männer	der guten Frauen	der guten Boote
	dat	den guten Männern	den guten Frauen	den guten Booten

It will be noted that there is by no means maximal differentiation of forms; there is in fact considerable overlap, eg the *-en* form of the adjective (*guten*) appears throughout the plural paradigms and in a good number of cases in the singular ones. Similarly the article form *die* occurs in nominative and accusative in all three genders in the plural, in addition to the feminine singular in those two cases. It should, however, be pointed out that the form of the adjective depends on the kind of determiner present, and whether a determiner is present or not, cf "ein guter Mann" (nominative singular), "guter Männer" 'of good men' (genitive plural). A principle of economy appears to be at work in these paradigms, requiring the clear marking of gender, number and case once only in each noun phrase.

Consider now the following data (SIL 1980: C2) from Basari (Ghana), set as Exercise 7 in Chapter 3:

1. uni umbini	'this person'	biniib bimbini	'these persons'
2. uboti umbini	'this chief'	bibotiib bimbini	'these chiefs'
3. diyin dimbini	'this name'	ayin ŋimbini	'these names'
4. dibil dimbini	'this seed'	abil ŋimbini	'these seeds'
5. kusaau kumbini	'this farm'	tisaati timbini	'these farms'
6. kukabuu kumbini	'this basket'	tikabuti timbini	'these baskets'

As we saw in Exercise 7, the noun and the demonstrative are marked by means of prefixes and suffixes according to gender (1, 2 and 3) and number (singular and plural) in the following way:

		Noun	Demonstrative
<i>Gender 1</i>			
<i>-bōti</i> 'chief'	sing	u-	u-
<i>-ni</i> 'person'	pl	bi- -ib	bi-
<i>Gender 2</i>			
<i>-yin</i> 'name'	sing	di-	di-
<i>-bil</i> 'seed'	pl	a-	ŋi-
<i>Gender 3</i>			
<i>-saa-</i> 'farm'	sing	ku- -u	ku-
<i>-kabu-</i> 'basket'	pl	ti- -ti	ti-

That is to say: there is agreement between noun and demonstrative in respect of gender and number, marked by affixes as shown in the table above.

Exercise 33

Describe the agreement in the Portuguese noun phrase from the following data (SIL 1980: C3). The order of elements is: demonstrative—noun—adjective.

- | | |
|---------------------------|------------------------|
| 1. esse menino gordo | 'that fat boy' |
| 2. essa menina bonita | 'that pretty girl' |
| 3. esses meninos gordos | 'those fat boys' |
| 4. essas meninas bonitas | 'those pretty girls' |
| 5. esse vestido bonito | 'that pretty dress' |
| 6. essa galinha gorda | 'that fat hen' |
| 7. esses vestidos bonitos | 'those pretty dresses' |
| 8. essas galinhas gordas | 'those fat hens' |

Sentence

We turn now to agreement within the sentence. Here the agreement usually involves the marking of a syntactic relationship between the Predicator and some other sentence element, often the Subject. Compare the following pair of sentences in English: “My son plays chess”—“My sons play chess”. The difference between singular and plural is marked in both the Subject and the Predicator, in the singular by the absence of -s in the Subject noun with corresponding presence of -s in the verb, and in the plural by the presence of -s in the Subject noun with corresponding absence of -s in the verb. Thus, we can say that there is agreement between Subject and Predicator in English with respect to number, marked by the presence/absence of an -s suffix as outlined above. However, this agreement applies only to 3rd person Subjects and only in the present tense, except for the verb *be* (cf “I am/you are/he is”, “I/he was/you were”).

In written French, on the other hand, as we saw in Chapter 4, there is consistent agreement in respect of person and number in all tenses, marked by suffixes in the verb, with little duplication of forms. A similar extensive agreement obtains between Subject and Predicator in German; cf the following present tense paradigm for *gehen* ‘go’:

	Singular	Plural
1st person	ich gehe	wir gehen
2nd person	du gehst	Ihr geht
3rd person	er/sie/es geht	Sie/sie gehen

In Gujarati transitive sentences the Predicator agrees with the Object, in some instances in respect of gender, number and case, in others in respect of gender and number only. For example (from W S Tisdall, *Gujarati Grammar*, New York: Ungar 1961):

1. “E baie vagaḍamañ dahaḍa kahaḍya”, ‘That lady passed her days in the wilderness’. Here *kahaḍya* ‘passed’ is in the ‘absolute’ case, ‘plural’ number, ‘masculine’ gender, to agree with *dahaḍa* ‘days’.

2. "Tene e rajae pakadyo", 'The king seized him'. Here *pakadyo* 'seized' is masculine singular, to agree with *tene* 'him', but it is in the 'absolute' case while *tene* is in the 'oblique' case with *-ne*.
3. "Teŋe Raŋine ranmadañ nasaqi muki", 'He removed the queen into a forest'. Here the verb *muki* is in the feminine singular, agreeing with the Object *Raŋi* 'queen', though *Raŋi* is in the 'oblique' case with *-ne*.

Consider now the following data (SIL 1980: C9) from Tsonga (South Africa/Mozambique):

1. mufana watlanga	'The boy plays'
2. mufana lonkulu watirha	'The big boy works'
3. mufana waŋeka	'The boy laughs'
4. mufana watsutfuma	'The boy runs'
5. mufana wadja	'The boy eats'
6. mufana wadja fihari lefikulu	'The boys eats the big animal'
7. βafana βatlanga	'The boys play'
8. βafana laβakulu βatirha	'The big boys work'
9. βafana βaŋeka	'The boys laugh'
10. βafana βatsutfuma	'The boys run'
11. βafana βadja	'The boys eat'
12. fihari fatsutfuma	'The animal runs'
13. fihari lefikulu sabaleka	'The big animal runs away'
14. sihari satsutfuma	'The animals run'
15. sihari lesikulu sabaleka	'The big animals run away'

In this data there is agreement both within the noun phrase (Nos 2, 6, 8, 13, 15) and within the sentence. Agreement in the sentence is between Subject and Predicator with respect to gender and number, marked by prefixes in the Subject noun and in the verb as follows:

		Subject	Predicator
<i>Gender 1</i>			
<i>-fana</i> 'boy'	sing	mu-	wa-
	pl	βa-	βa-
<i>Gender 2</i>			
<i>-hari</i> 'animal'	sing	fi-	fa-
	pl	si-	sa-

The agreement in the noun phrase is between noun and adjective, with respect to number and gender, marked by prefixes as follows:

		Noun	Adjective	
<i>Gender 1</i>				
	sing	mu-	lon-	(No 2)
	pl	βa-	laβa-	(No 8)
<i>Gender 2</i>				
	sing	fi-	lefi-	(Nos 6, 13)
	pl	si-	lesi-	(No 15)

Concord and government

Sometimes in the discussion of agreement a distinction is made between two kinds of agreement: ‘concord’ and ‘government’. The distinction refers to the way in which agreement is marked. In the case of concord, all the items in agreement are marked in some way. So, in the Tsonga data just considered, both Subject and Predicator are marked by prefixes in the sentence agreement, and in the noun phrase agreement both noun and adjective are marked by prefixes. So, these are both instances of concord.

Government, then, refers to the type of agreement where not all items are marked: one item, the unmarked one, is said to ‘govern’ the form of the other items in the syntactic unit. In the French singular noun phrase, for example (cf “la bonne fille”, ‘the good girl’), the noun is not itself marked for gender (though it belongs to the ‘feminine’ gender), but it governs the form (feminine) of the article *la* and the adjective *bonne*. Things are complicated in the plural noun phrase, however, because ‘plural’ number is marked in all the items in the unit (cf “les bonnes filles”). Perhaps a too rigid insistence on the distinction between concord and government will in some cases be descriptively unhelpful. How, for example, could one fit the agreement in English “The boy plays”/“The boys play” into this distinction?

Pre/postpositions

As we have seen before (cf Chapter 15) prepositions or postpositions are often associated with nouns in a particular case. This could be considered a form of government: a pre/postposition governs the case of an associated noun. Sometimes pre/postpositions in a language all govern the same case; eg in Punjabi, postpositions are preceded by nouns in the ‘oblique’ case, or in English if a preposition governs a personal pronoun it is in the ‘object’ case (cf “to me”, “for him”, “from us”).

In other languages (eg German) the situation is more complex. Some prepositions in German always govern the same case, eg *von* (‘from’, ‘of’) + dative, *für* (‘for’) + accusative, *wegen* (‘because of’) + genitive. The majority of prepositions are of this kind. A few prepositions, however, may govern more than one case, usually with a difference of meaning; eg *in* (‘to’, ‘towards’, ‘into’) + accusative, *in* (‘in’, ‘inside’) + dative; *auf* (‘onto’) + accusative, *auf* (‘on’, ‘on top of’) + dative.

Exercise 34

Describe the agreement in the following data (SIL 1980: C10) from Mbembe (Nigeria):

- | | |
|---|---------------------------|
| 1. oñi ope
elephant died | ‘The elephant died’ |
| 2. ojinɔŋ ope
man | ‘The man died’ |
| 3. eten eze ojinɔŋ
animal saw | ‘The animal saw the man’ |
| 4. ajinɔŋ maze eten ndoma
men saw this | ‘The men saw this animal’ |

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- | | |
|---|---|
| 5. ojincɔŋ ɣwoma oze nten nta:n
this saw animals three | 'This man saw three animals' |
| 6. ajincɔŋ mboma ata:n maze nten njoma nta:n
these three these | 'These three men saw these three animals' |
| 7. ajincɔŋ ata:n mape
died | 'Three men died' |
| 8. nten njoma nta:n ipe
died | 'These three animals died' |
| 9. oñi ɣwoma ope za
there | 'This elephant died there' |
| 10. añi mboma ata:n mape za
elephants | 'These three elephants died there' |
| 11. ojincɔŋ ɣwoma oze añi mboma za | 'This man saw these elephants there' |

17. Mood and voice

In this chapter we consider two grammatical categories that are commonly associated with the verb phrase, but which also often have implications for sentence ordering and structure. The category of 'mood' is frequently understood to involve two separate sets of distinctions, on the one hand the contrast between declarative/affirmative, interrogative, negative, imperative and perhaps subjunctive, and on the other hand the expression of notions such as necessity, probability and certainty, and of such notions as obligation, ability and volition. The category of 'voice' refers primarily to the distinction between 'active' and 'passive', though some languages distinguish also a 'middle' voice.

Interrogative mood

In discussing the interrogative and other such moods, the assumption is usually made that the declarative or affirmative mood is somehow basic, and interrogative, negative, etc, sentences are derived from declarative ones. Mood is sometimes referred to as 'sentence modification'. Essentially, moods concern the role which a speaker takes on in relation to a proposition, ie as questioner, requestor, commander, denier, etc. Languages vary in the ways in which moods are realised grammatically, whether morphologically or syntactically.

It is useful to distinguish two kinds of interrogative sentence: 'polar' questions and 'information' questions. Polar questions ask merely about the polarity (negative/positive) of a proposition, and so they expect the answer "Yes" or "No", eg in English "Have you let the cat out?" Information questions, on the other hand, ask for some specific information and include an information-seeking word (interrogative pronoun, adverb or adjective) which identifies the specific information, eg in English "*Who* is your brother talking to?", "*Where* did you put my scissors?" Here *who* is an interrogative pronoun that expects a noun (phrase) for an answer, and *where* is an interrogative adverb that expects an Adjunct of some kind for an answer.

In English, interrogative mood is realized largely by syntactic rearrangement. This is certainly true in the case of polar questions, which are formed from declarative sentences by the inversion of Subject and first auxiliary verb in the verb phrase, eg "You have let the cat out" → "Have you let the cat out?" If there is no auxiliary verb in the verb phrase of the declarative sentence, then the dummy auxiliary *do* is used in the question, eg "You gave Susan the book" → "Did you give Susan the book?" In information questions in English the information-seeking word is placed first in the sentence, followed by the first auxiliary verb, then the Subject, the remainder of the verb phrase and the rest of the sentence, eg "My brother has been talking to the headmaster" → "Who has my brother been talking to?" Here the

by means of a declarative sentence, eg “I’d rather like the newspaper”. For this reason it is probably important to distinguish mood (as a grammatical category) from sentence function (as a semantic/pragmatic category), since they are not necessarily in a one-to-one relationship.

In the subjunctive mood a speaker casts doubt on the validity or veracity or reality of the proposition he is making. In English, the subjunctive survives only in a few fossilised phrases like “If I were you . . .”; its function has been taken over by the modal verbs *should* and *would*. In French, the subjunctive verb form is found in subordinate clauses after certain verbs of cognition, eg *croire* ‘believe’, *vouloir* ‘want’/‘wish’: “Je crois qu’elle soit morte” (‘I believe her to be dead’), “Je veux qu’il vienne” (‘I want him to come’). The subjunctive is also associated with other moods or roles that a speaker may adopt, eg wishing, cf English “Long live the Queen”, “God bless you”, where the verb form lacks the usual *-s* suffix of the 3rd person singular present. With the subjunctive and its expression of doubt and uncertainty we have come close to the other set of distinctions under the heading of ‘mood’, sometimes also called ‘modality’, to distinguish it from the set we have so far considered.

Exercise 35

Describe the signalling of interrogative mood in the following data (SIL 1980: F9) from Yakurr (Nigeria):

- | | |
|--|--------------------------------------|
| 1. odam owu okoh kebla
husband your go farm | ‘Your husband has gone to the farm’ |
| 2. odam owu okoh kebla-o | ‘Has your husband gone to the farm?’ |
| 3. umana-wahm oyahu kah
friend-my is there | ‘My friend is there’ |
| 4. umana-wahm oyahu kah-o | ‘Is my friend there?’ |
| 5. na yakehwyati etehn
future they-go kill animal | ‘They are going to kill an animal’ |
| 6. na yakehwyati etehn-o | ‘Are they going to kill an animal?’ |
| 7. wol liweh litahwa
body his is-strong | ‘He is well’ |
| 8. wol liweh litahwa-o | ‘Is he well?’ |

Mood—modality

We turn now to the second set of meanings associated with the term ‘mood’, but also called ‘modality’. Again we are concerned with a role, or stance or attitude that a speaker takes up in relation to the proposition that he is making. In this case, however, the speaker intervenes with his assessment of the possibility, probability or certainty of the proposition. One way in which English expresses these kinds of meanings is by means of the so-called ‘modal’ auxiliary verbs: *can*, *may*, *must*, *will*, *shall*. For example, “The letter may come tomorrow”, “It could come tomorrow”, “It will come tomorrow”, “It must come tomorrow” express varying degrees of certainty/uncertainty or possibility concerning the proposition. But the modal auxiliary verbs are not the only means of expressing these modal meanings in English: there is, for example, a set of modal adverbs (or modal particles) that may be added

to a sentence to modify the proposition in respect of its certainty or possibility: *maybe, perhaps, for sure, certainly, possibly, probably*, etc; eg “Perhaps the letter will come tomorrow”, “The letter will come tomorrow for sure”.

Some of these modal adverbs also have adjective and noun counterparts, eg “It is possible that the letter will come tomorrow”—“There is a possibility that the letter will come tomorrow”, “It is certain that the letter will come tomorrow”. Thus English realises the meanings of modality in a number of ways. French, on the other hand, does not have the same range of modal auxiliary verbs as English, but more often uses adverbs and adjectives to express modality; eg “Il vient demain *peut-être*”, ‘He may come tomorrow’/‘Perhaps he’ll come tomorrow’.

Consider now the following data (SIL 1980: E13) from Tlingit (Alaska), presented in a modified form as Exercise 25 in Chapter 11:

- | | | | |
|-------------------|-----------------------------|--------------------------|-------------------------------|
| 1. xóots | <u>shakdé</u> saxwaa.áx | dzeeyáak | ‘Maybe I heard a brown bear |
| | brown-bear | voice-I-heard earlier-on | earlier on’ |
| 2. Juneau-dé | <u>kwshé</u> kukgwaatèen | | ‘Perhaps he’s going to Juneau |
| | he-will-take-a-trip | | on a trip’ |
| 3. kúnaxkusi.áat’ | <u>xáa</u> | | ‘It’s sure cold’ |
| | really it-is-cold | | |
| 4. tlax a yáanax | ee wdixwétl | <u>kwshé</u> | ‘Maybe you’re too tired’ |
| | it face-beyond you is-tired | | |
| 5. ee | xòonee | <u>kwshé</u> | ‘Maybe it’s your friend’ |
| | your friend | | |
| 6. aatlèin dáanaa | <u>xáa</u> doo jèewoo | | ‘He sure has lots of money’ |
| | lots money his hand-loc. | | |

The underlined items represent modal particles (adverbs or perhaps modal auxiliary verbs); they appear to be positioned before action verbs (Nos 1 and 2) but after state verbs (Nos 3 and 4), but they can also occur in verbless clauses (Nos 5 and 6).

Modal verbs in English have another set of meanings (permission, ability, obligation) which are arguably not a matter of mood or modality, unless one views these meanings as extensions of the primary possibility/certainty meanings of the modals. The distinction has been made between the speaker or discourse-oriented uses of the modals (ie speaker’s assessment of possibility or certainty) and the subject-oriented uses (ie permission, obligation, etc) as in: “Can you ride a bicycle?”, “You may leave now”, “We must report to the police tomorrow”.

Passive voice

When we turn to ‘voice’ we are considering not so much the speaker’s assessment of or attitude to what he is saying, but rather the speaker’s choice of the way in which he presents, within the sentence, the elements of his proposition. Voice, then, is concerned not so much with the speaker’s relationship to his proposition or his interlocutor, but to the text of which the proposition forms a part, whether that text is a monologue or a dialogue. On the other hand, the term ‘passive’ has a further

connotation, namely that the Subject of the sentence is being acted upon, rather than being the actor.

In a passive sentence the Object of the active sentence becomes the Subject, and the Subject of the active sentence takes a subordinate position in an 'agent'-phrase, while the verb is put into the passive form (in English, *be* followed by past participle). For example, the English active sentence "A bus hit the car" transforms into the passive sentence "The car was hit by a bus", where *the car* is Object in the active sentence but Subject in the passive, and *a bus* is Subject in the active sentence but in the 'agent'-phrase "by a bus" in the passive. In English it is possible to leave the Agent unexpressed, ie to omit the agent-phrase, eg "The drivers of the two vehicles were killed". The passive transform is thus only possible for active sentences that contain an Object, ie are transitive. But this is, in fact, not the whole story of the passive in English: see Exercise 36 below.

The passive is particularly important in a language like English, where the order of sentence elements is relatively fixed, since the syntactic function (Subject, Object, etc) is largely defined by position. In a language with a well developed case system that distinguishes the syntactic function of sentence elements, a passive structure is not so important from a textual point of view. In German, for example, which does have a passive construction, the rearrangement of sentence elements can be achieved without recourse to the passive, eg "Mein Freund besuchte meinen Vater" ('My friend visited my father')—"Meinen Vater besuchte mein Freund" (*lit.* 'My father (accusative) visited my friend (nominative)'), ie 'My father was visited by my friend'. German differs from English also in that an 'impersonal' passive is possible that is not relatable to an active sentence with an Object, eg "Es wurde getanzt" (*lit.* 'it was danced'), ie 'There was dancing'.

In Punjabi the passive is used considerably less frequently than in English and normally in an agentless construction, eg "ethe pāñjabi boli jandī e" (*lit.* 'here Punjabi spoken Passive is'), ie 'Punjabi is spoken here'. In tenses formed with the past participle (past, perfect, past perfect, future perfect) transitive verbs have a special construction (in the active) that is superficially equivalent to the English passive construction, as follows: the Subject is put in the oblique case and is followed by the postposition *ne* 'by', the participle agrees in gender and number with the Object; eg "mwnde ne pāñjabi sykkhi e" (*lit.* 'boy by Punjabi learnt is'), ie 'The boy has learnt Punjabi'.

Middle voice

Some languages, eg Ancient Greek, have for some verbs in some tenses separate forms for active, passive and middle voice. If active voice implies as Agent doing an action, and passive voice implies a Patient undergoing an action, middle voice implies a person/thing acting of its own accord or in its own interest. The distinctions may be illustrated by the following English sentences:

1. Harry opened the door — active
2. The door was opened by Harry — passive
3. The door opened — middle.

In English, middle voice (if No 3 can be so termed) is not marked by a distinct form of the verb: the Patient/Undergoer of the action occurs as Subject (as in the passive example—No 2), but the verb is in the ‘active’ form (cf No 1). In other languages (eg German, French) the verb in No 3 would be in a reflexive form, eg German “Die Tür öffnete sich” (*lit.* ‘the door opened itself’). This kind of reflexive has, however, to be distinguished from reflexives that refer to an action that an Agent does to itself, eg “Sie wäscht sich” (‘She’s washing herself’), which must be regarded as active in voice.

Exercise 36

Describe the passive voice in English as illustrated by the following sentences:

1. They were arrested by the border police.
2. Everyone who reaches 100 is sent a telegram by the queen.
3. A telegram is sent by the queen to everyone who reaches 100.
4. The trial will be held next month.
5. It is understood that a statement will be made this evening.
6. This bed was slept in by Queen Victoria.
7. The garden has been walked over.
8. Hundreds of people are killed every year in road accidents.