

# Bioequivalence and in vitro-in vivo correlations

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# Lecture

## Outline

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**Generic vs. Innovator drugs**

**Bioequivalence**

**IVIVC**

**(In vitro-in vivo correlations)**



# Lecture

## Outline

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### Generic vs. Innovator drugs

Bioequivalence

IVIVC

# Innovator drugs

## Basic facts

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1/1000 new drug candidates is approved for the market

Cost = 300-1000 million USD

Time spent = 12-15 years

20-year patent (approx. 10 y during development + 10 y during marketing)

# Generic drugs

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By 2016, generics constituted 89% of total prescriptions, while only accounting for 27% of total drug costs\*

# Generic drugs

vs. originator drugs

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## Identical:

- Active ingredient
- Dosage form type (e.g. immediate release tablet)
- Route of administration
- Strength
- Indication
- Quality
- Performance

# Generic drugs

vs. originator drugs

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## Differences:

- Formulation shape, color...
- Packaging
- Release mechanisms
- Clinical tests
  - only bioequivalence study required!
  - safety and efficacy data provided by innovator



**Generics production – copy & paste??**

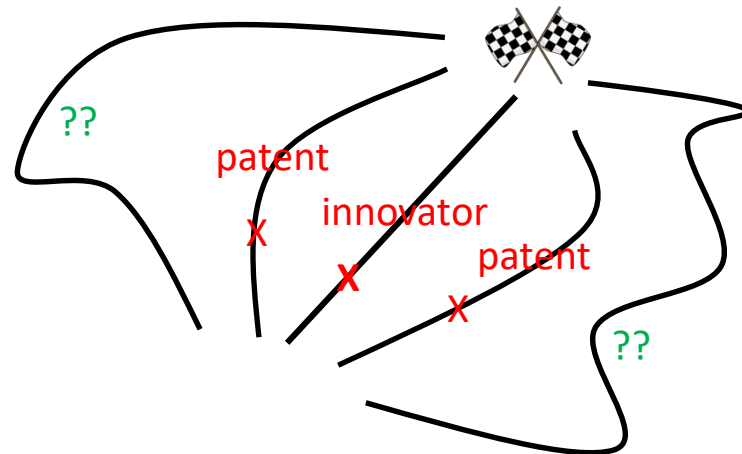
**Not exactly...**

# Generic drugs

vs. originator drugs

## Obstacles implied by intellectual property patents:

- Active ingredient
  - e.g. patented polymorphs (different crystal structures)
- Dosage form type (e.g. immediate release tablet)
  - e.g. patented excipients, formulation content



Making the same product, but  
different way...





# Lecture

## Outline

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Generic vs. Innovator drugs

**Bioequivalence**

IVIVC

# Bioequivalence

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**Equal performance of generic vs. innovator drug**  
**= bioequivalence**  
**= equal bioavailability**

Two products or formulations containing the same active ingredient are bioequivalent if their rates and extents of absorption (**bioavailabilities**) are the same (within predefined limits).

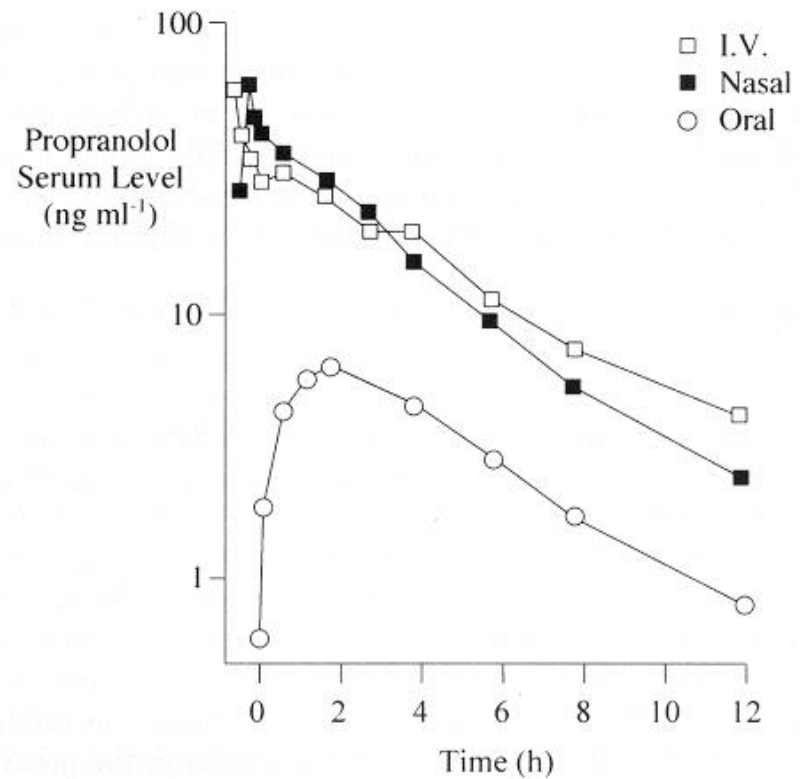
Bioequivalence may be demonstrated through in vivo or in vitro test methods, comparative clinical trials, or pharmacodynamic studies.

# Bioequivalence

## Bioavailability

### Absolute bioavailability

$$F = \frac{AUC_{\text{oral}}}{AUC_{\text{iv}}} \times \frac{\text{DOSE}_{\text{iv}}}{\text{DOSE}_{\text{oral}}}$$

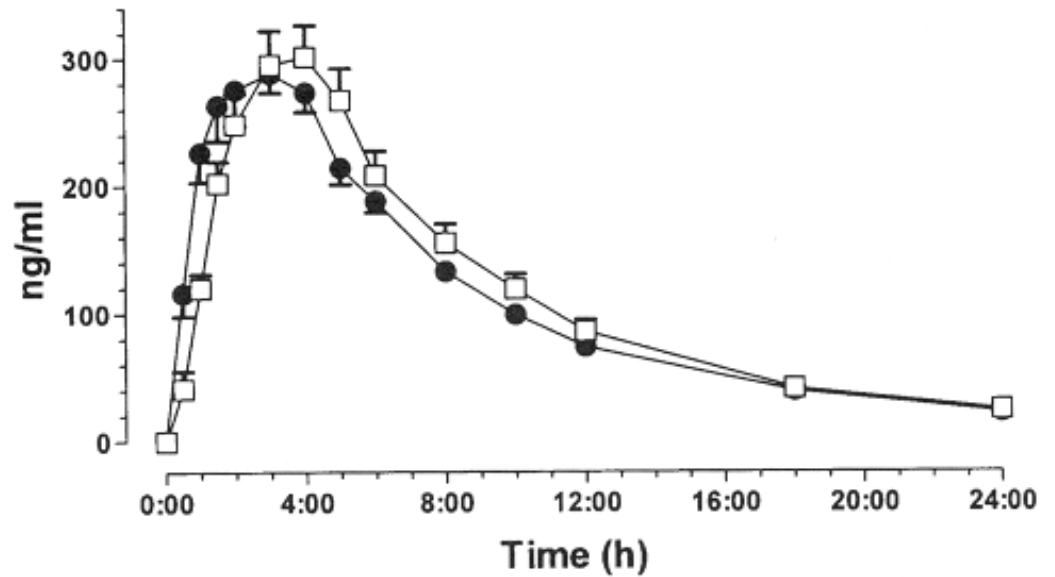


# Bioequivalence

## Bioavailability

Relative bioavailability

$$F_{rel} = \frac{AUC_{formA}}{AUC_{formB}}$$



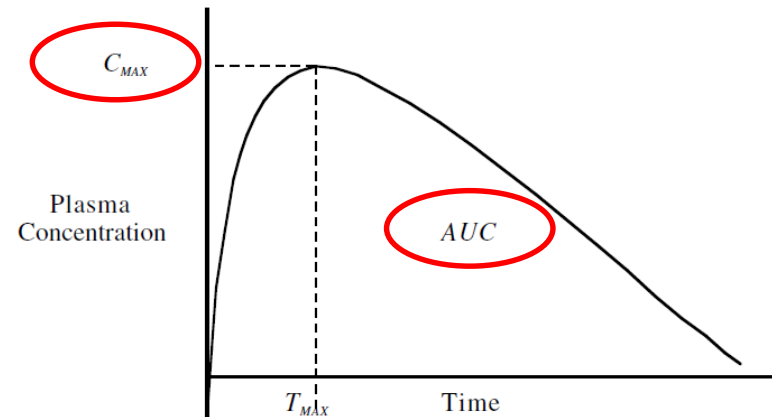
**Identical bioavailability = bioequivalence**

# Bioequivalence

*In vivo* studies

## Investigated parameters:

- $AUC_{0-t}$
- $C_{MAX}$



## Acceptance criteria:

- 80-125%\* range for 90% confidence interval of test/reference product

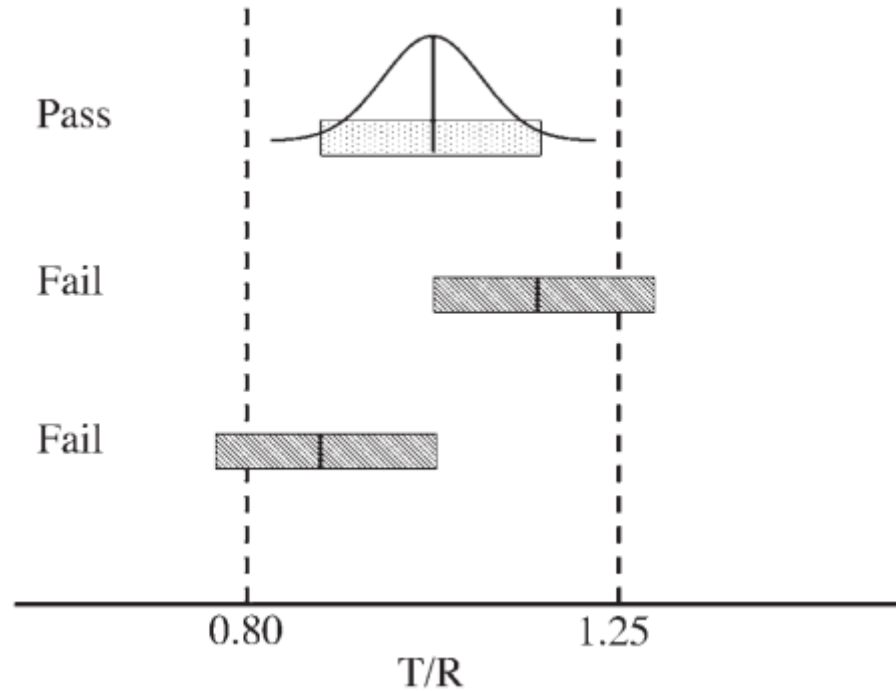
**DOES NOT MEAN THAT:  
"GENERIC CAN BE  $\pm 20\%$  DIFFERENT FROM THE ORIGINAL"**

\*in specific cases, more narrow (90-111%) or wider (75-133%) intervals are acceptable

# Bioequivalence

*In vivo* studies

90% confidence interval has to fit the 80-125% interval

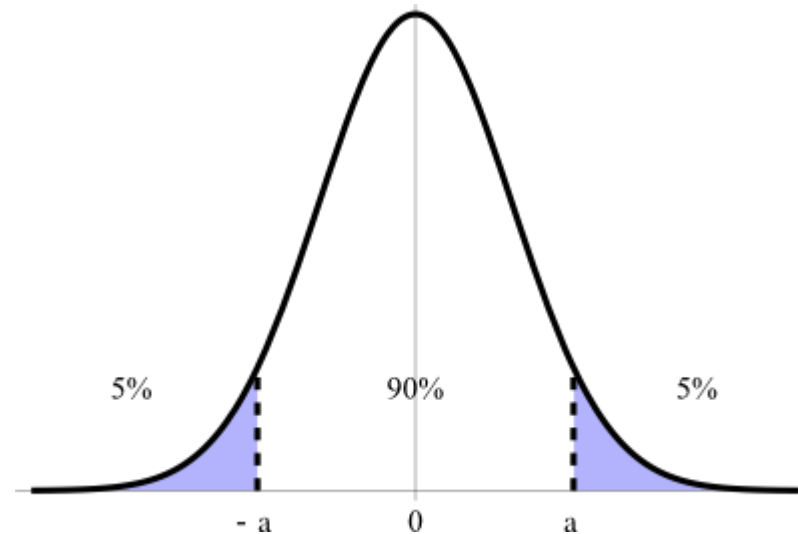


# Bioequivalence

*In vivo* studies

What is the 90% confidence interval???

*If the BE study was repeated 100 times - 90 times the population value (mean  $C_{max}$  or AUC) would fall inside this interval, and 10 times outside (biological variability).*



# Bioequivalence

*In vivo* studies

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## In practice (data from 2070 BE studies):

- the generic/innovator ratios were  **$1.00 \pm 0.06$**  for  $C_{\max}$  and  **$1.00 \pm 0.04$**  for AUC (mean  $\pm$  SD)
- the average difference in  $C_{\max}$  and AUC between generic and innovator products was **4.35%** and **3.56%**, respectively
- in nearly 98% of the BE studies, the generic product AUC differed from that of the innovator product by less than 10%

*Davit et al., Ann. Pharmacother. 2009, Comparing Generic and Innovator Drugs: A Review of 12 Years of Bioequivalence Data from the United States Food and Drug Administration.*



# Bioequivalence

*In vivo* studies

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## EMA Guideline on the Investigation of Bioequivalence, 2010

### STUDY DESIGN :

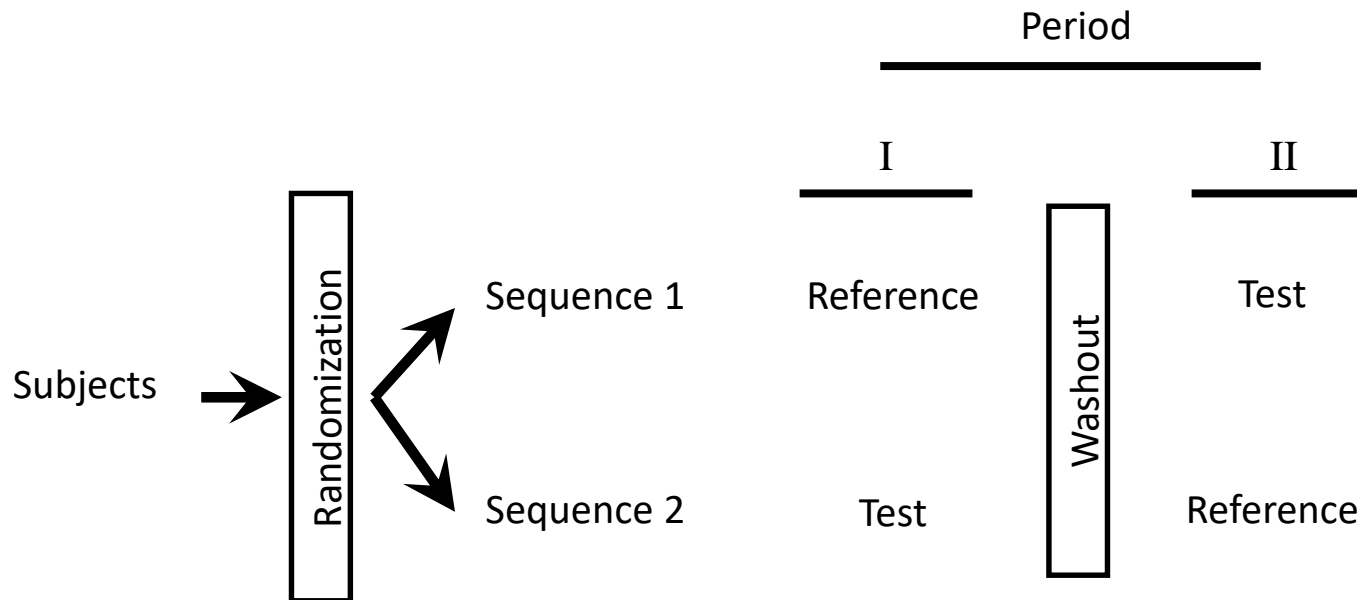
- standard design: randomized, two-period, two-sequence, single dose cross-over design
- alternative designs: parallel design (substances with very long half-lives) and replicate designs (in case of highly variable drugs or drug products)

# Bioequivalence

*In vivo* studies

## Standard 2×2 Crossover design

- standard design: randomized, two-period, two-sequence, single dose, cross-over design

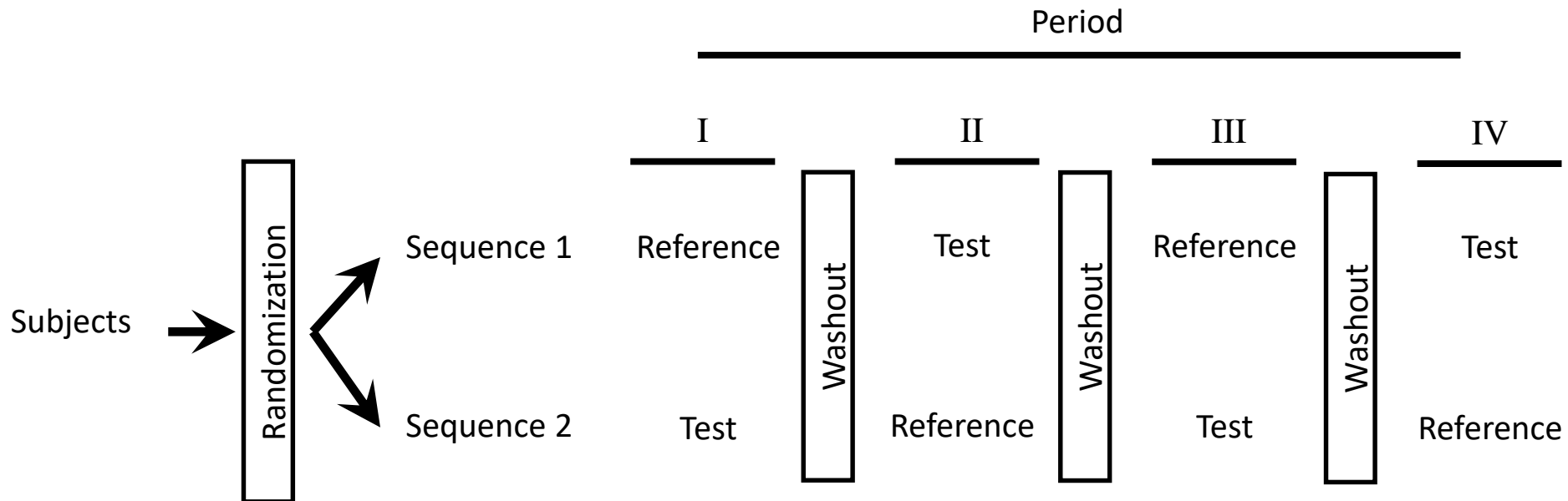


# Bioequivalence

*In vivo* studies

## Replicate design

- randomized, **four**-period, two-sequence, single dose, cross-over design (highly variable drugs or drug products)



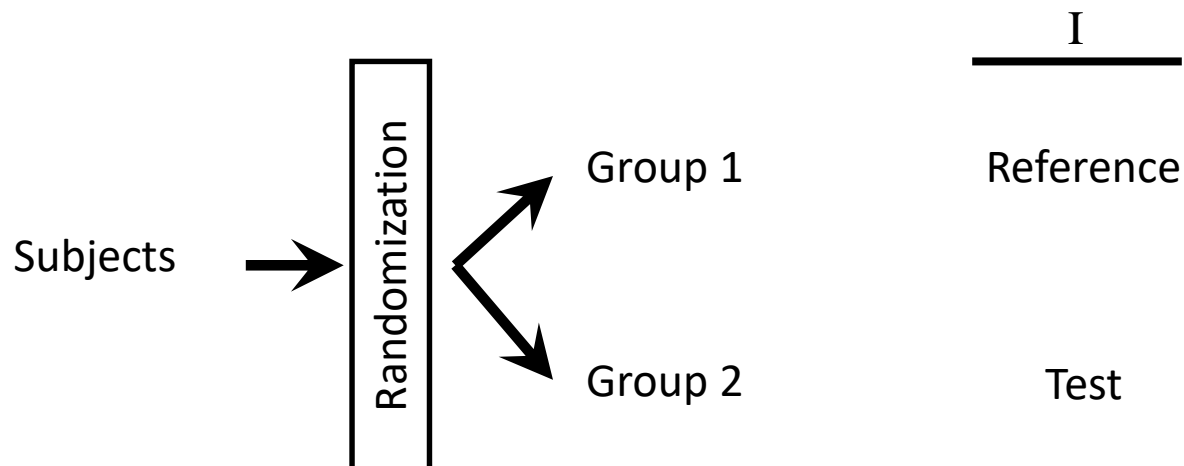
# Bioequivalence

*In vivo* studies

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## Parallel design

(substances with very long half-lives)



# Bioequivalence

*In vivo* studies

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## EMA Guideline on the Investigation of Bioequivalence, 2010

### STUDY SUBJECTS:

- **≥ 12 subjects**
  - more subjects = better homogeneity, “more accurate result”
- healthy volunteers to reduce variability (patients, e.g. for chemotherapy)
- strict inclusion/exclusion criteria,
- subjects could belong to either sex,
- preferably non-smokers and without a history of alcohol or drug abuse

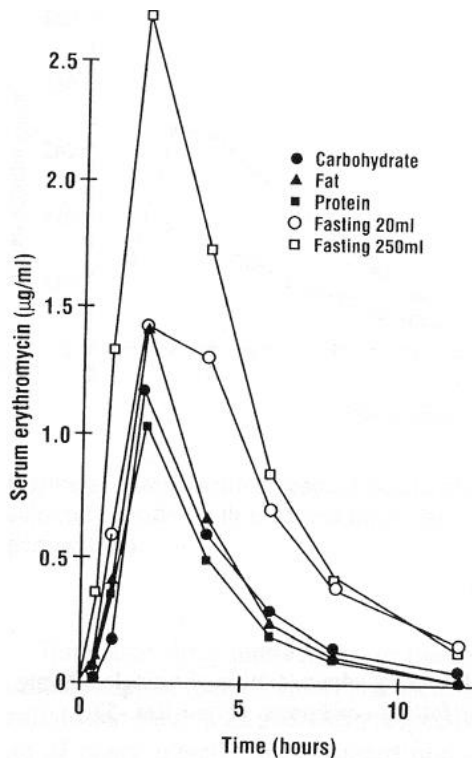
# Bioequivalence

*In vivo* studies

## EMA Guideline on the Investigation of Bioequivalence, 2010

### SAMPLING TIMES

- frequent sampling around the predicted  $t_{\max}$
- $C_{\max}$  should not be the first point of the concentration-time curve

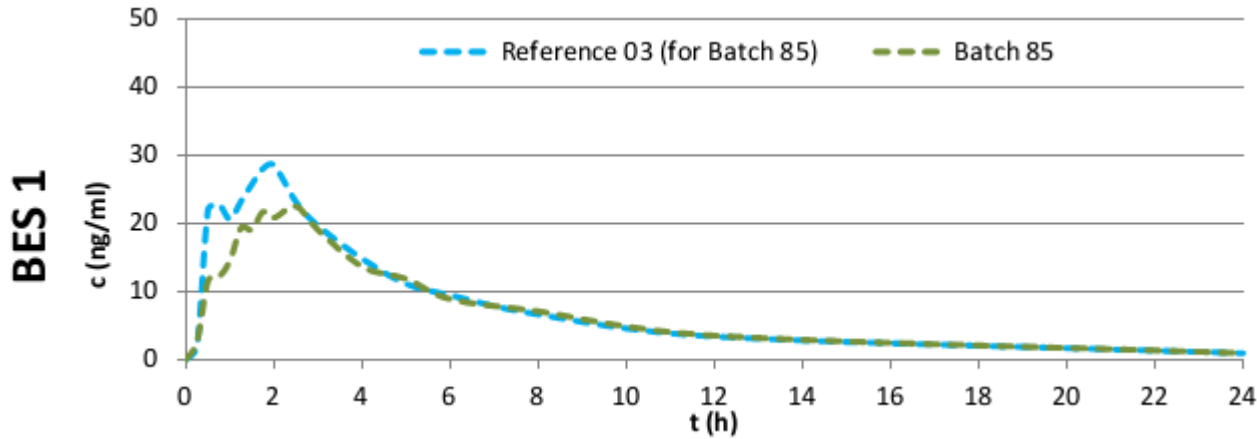


**INAPPROPRIATE STUDY DESIGN IS ONE OF THE MOST COMMON CAUSES OF FAILURE**

*personal communication, Helmut Schutz*

# Bioequivalence

*In vivo* studies



## BES 1, pilot

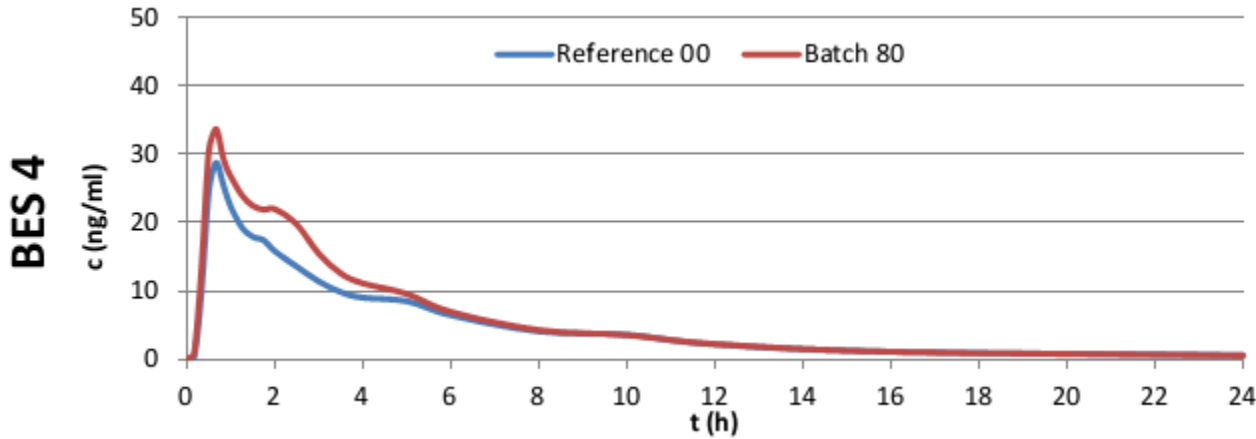
Batch 85 and Reference 03; n = 24;

acceptance criteria: 80-125 % range for 90 % CI

	Mean (CV%) - Test	Mean (CV%) - Reference	Test/Reference 90 % CI	Lower 90 % CI	Upper 90 % CI
<b>C<sub>max</sub> (ng/ml)</b>	33.6 (71.5)	41.6 (48.1)	75.2	63.8	88.6
<b>AUC<sub>0-t</sub> (ng/ml*h)</b>	160.8 (59.5)	175.2 (51.8)	91.4	82.3	101.5
<b>AUC<sub>0-inf</sub> (ng/ml*h)</b>	165.7 (58.1)	179.4 (50.3)	91.8	82.9	101.6
<b>T<sub>max</sub> (h)</b>	2.0 (56.1)	1.5 (67.6)			
<b>T<sup>1/2</sup> (h)</b>	6.8 (34.8)	7.2 (47.8)			

# Bioequivalence

*In vivo* studies



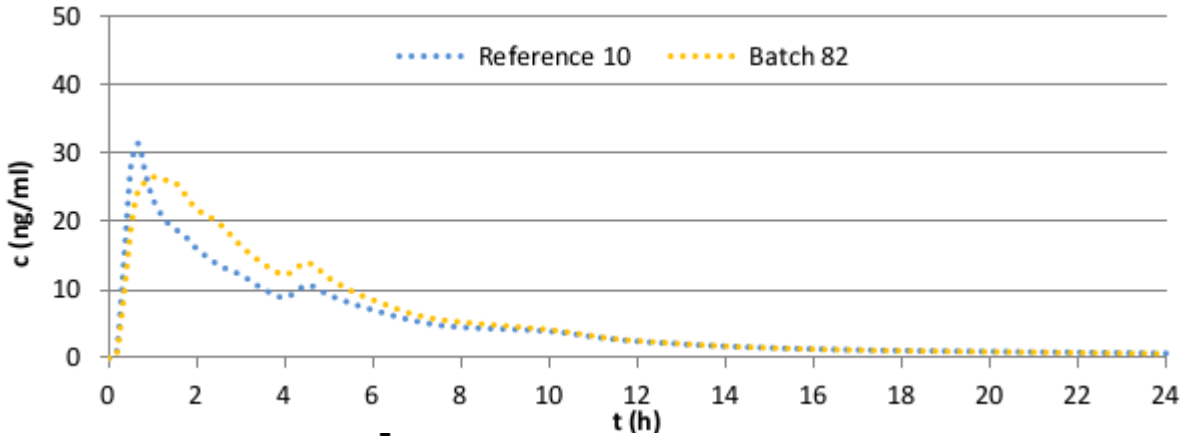
<b>BES 4</b>					
<b>Batch 80 and Reference 00, n = 81;</b>					
acceptance criteria: 80-125 % range for 90 % CI					
	Mean (CV%) - Test	Mean (CV%) - Reference	Test/Reference 90 % CI	Lower 90 % CI	Upper 90 % CI
<b>C<sub>max</sub> (ng/ml)</b>	46.0 (62.4)	37.6 (49.8)	115.6	105.1	127.2
<b>AUC<sub>0-t</sub> (ng/ml*h)</b>	137.2 (62.4)	117.7 (57.0)	114.0	109.5	118.8
<b>AUC<sub>0-inf</sub> (ng/ml*h)</b>	142.3 (64.3)	123.1 (57.2)	112.9	108.5	117.5
<b>T<sub>max</sub> (h)</b>	0.7	0.7			
<b>T<sup>1/2</sup> (h)</b>	9.45 (28.5)	9.8 (22.7)			
<b>K<sub>el</sub> (h<sup>-1</sup>)</b>	7.9*10 <sup>-2</sup> (31.7)	7.5*10 <sup>-2</sup> (26.5)			



# Bioequivalence

*In vivo* studies

**BES 3**



**BES 3**

**Batch 82 and Reference 10, n = 102;**

acceptance criteria: 80-125 % range for 90 % CI

	Mean (CV%) - Test	Mean (CV%) - Reference	Test/Reference 90 % CI	Lower 90 % CI	Upper 90 % CI
<b>C<sub>max</sub> (ng/ml)</b>	42.4 (57.7)	39.0 (62.1)	110.1	100.2	121.0
<b>AUC<sub>0-t</sub> (ng/ml*h)</b>	153.1 (51.2)	134.8 (54.5)	114.6	110.1	119.3
<b>AUC<sub>0-inf</sub> (ng/ml*h)</b>	155.5 (52.2)	138.0 (54.2)	113.5	109.1	118.0
<b>T<sub>max</sub> (h)</b>	1.4 (74.4)	0.9 (83.6)			
<b>T<sup>1/2</sup> (h)</b>	13.0 (24.5)	14.3 (41.6)			
<b>K<sub>el</sub> (h<sup>-1</sup>)</b>	5.3*10 <sup>-2</sup> (21.2)	5.3*10 <sup>-2</sup> (26.6)			



# Lecture

## Outline

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Generic vs. Innovator drugs

Bioequivalence

**IVIVC**

# IVIVC

## In vitro-in vivo correlations

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**FDA definition:** “a predictive mathematical model describing the relationship between an in-vitro property (*dissolution*) of a dosage form and an in-vivo response (*PK curve*)”

**Purpose:** to utilize *in vitro* dissolution profiles as a surrogate for *in vivo* bioequivalence

**Application:**

- supporting biowaivers (approval without *in vivo* BE)
- Scale-Up and Post-Approval Changes (SUPAC) and line extensions (e.g., different dosage strengths)
- support of dissolution methods

# Bioequivalence

During development

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## Scale-Up and Post-Approval Changes (SUPAC)

- 1) Components or composition
- 2) Manufacturing site
- 3) Scale-up (increasing production)
- 4) Manufacturing (process or equipment)



Effect on quality and performance:

**LEVEL1 – UNLIKELY** any detectable effect

**LEVEL2 – COULD HAVE** significant effect

**LEVEL3 – LIKELY** to have significant effect

# Bioequivalence

SUPAC

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## Example 1:

Changing a coloring agent in IR tablet

Type of change: **Components or composition**

LEVEL: **1**

BE required: **NO**

## Example 2:

Changing direct compression to wet granulation:

Type of change: **Manufacturing process**

LEVEL: **3**

BE required: **YES**

# IVIVC

## submission examples

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### 14 examples from FDA database:

- Change in dissolution method and specifications
- Level 3 site manufacturing change
- **Waiver for lower strengths**
- **Waiver for higher strengths**
- To support dissolution method
- Batch-to-batch variation in the particle size, coating weight, process changes, test product composition do not impact the BE
- **Change in dissolution specifications**
- Change in dissolution specifications
- Challenge the results of a failed BE study
- Batch-to-batch variation in pellet coating does not impact the BE
- Change in dissolution specifications
- Exploratory to guide the development of pivotal formulation

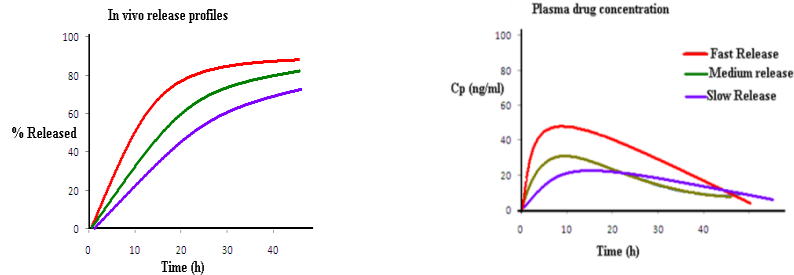
*Kaur et al., The AAPS Journal. 2015, Applications of In Vitro–In Vivo Correlations in Generic Drug Development: Case Studies.*

# IVIVC

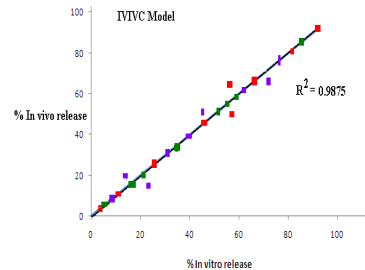
## Procedure

### Description/procedure:

#### 1) Obtaining dissolution (in vitro) and PK (in vivo) data for “input” formulations



#### 2) Building a mathematical IVIVC model using the “input” formulations



#### 3) Testing the prediction power of the established model

# IVIVC

## Division

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### LEVEL A

- highest level of correlation.
- point to point relationship between *in vitro* dissolution rate and *in vivo* input rate

### LEVEL B

- mean absorption time is plotted against mean dissolution time for  $\geq 3$  formulations

### LEVEL C

- single point correlation for  $\geq 3$  formulations
- % drug dissolved in X min vs. AUC or Cmax or Tmax



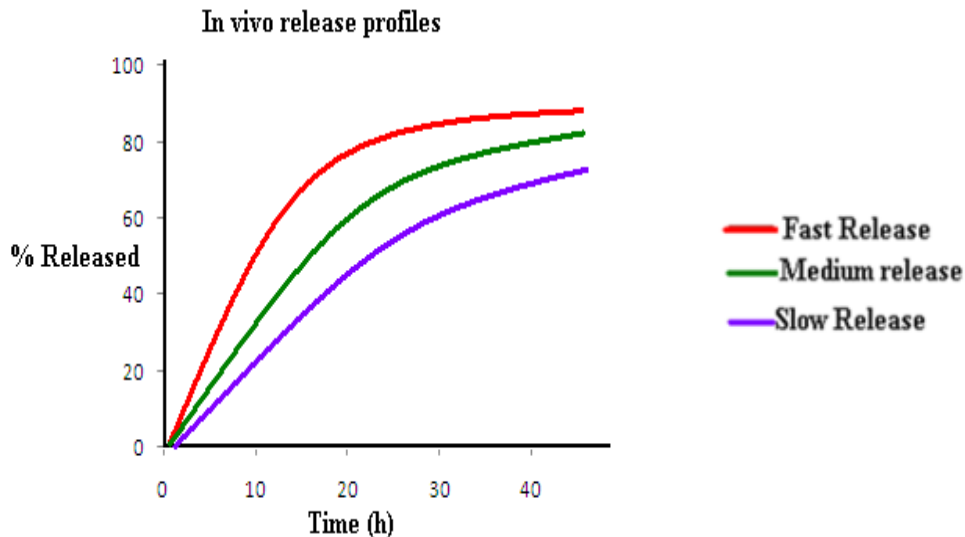
# IVIVC

## 1) Obtaining dissolution (in vitro) and PK (in vivo) data for “input” formulations

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### Dissolution data

- Ideally 3 formulations with different release rates
- any in vitro dissolution method can be utilized (the preferred dissolution apparatus is USP apparatus I or II)
- the same for all formulations tested
- an aqueous medium either water or buffered solutions not exceeding pH 6.8 is recommended



# IVIVC

## 1) Obtaining dissolution (in vitro) and PK (in vivo) data for “input” formulations

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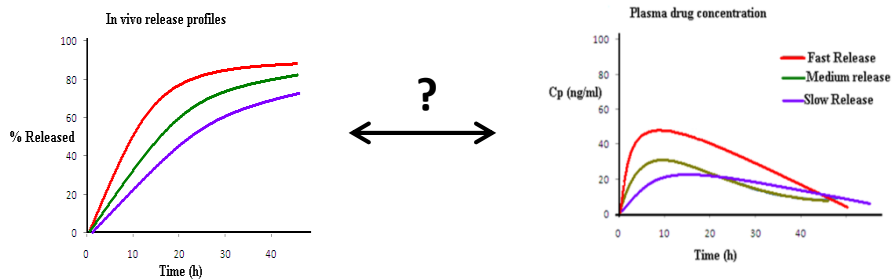
### PK data

- > 6 subjects
- Crossover design preferred
- 3 formulations + inclusion of a reference treatment is advised:
  - **IV solution**
  - Oral solution
  - Immediate release product

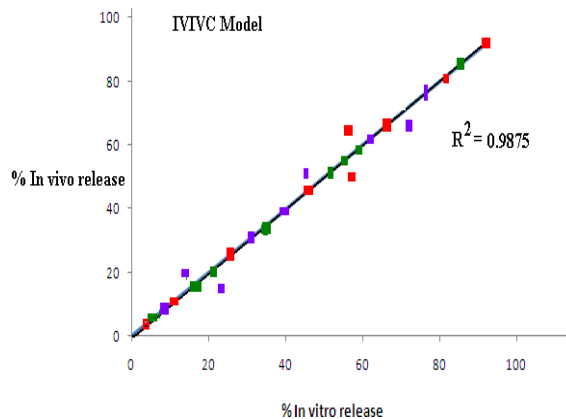
# IVIVC

## 2) Building a mathematical IVIVC model using the “input” formulations

### a) Making the PK and dissolution data “comparable”



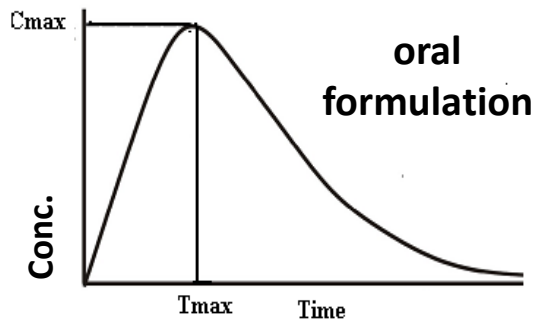
### b) Correlating the mathematically processed PK and dissolution curves



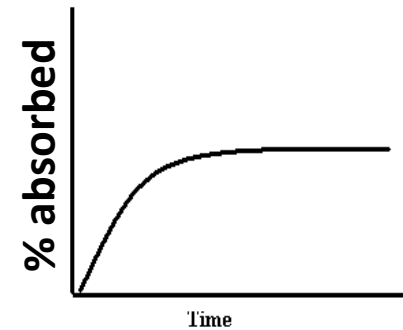
# IVIVC

## 2) Building a mathematical IVIVC model using the “input” formulations

### a) Making the PK and dissolution data “comparable”

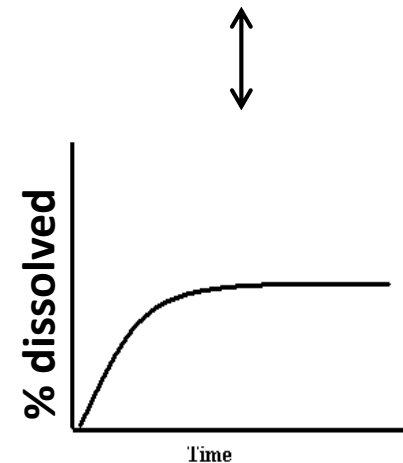
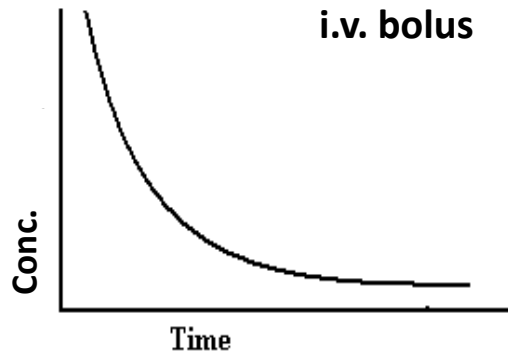


deconvolution  
 $\rightleftarrows$   
 convolution



**Absorption + elimination**

**Absorption**



Elimination of drug

**Elimination**

# IVIVC

## 2) Building a mathematical IVIVC model using the “input” formulations

---

### a) Making the PK and dissolution data “comparable”

**Deconvolution** - calculating the fraction absorbed from PK curve

Wagner-Nelson method

*One compartmental method*

Loo-Riegelman method

*Multi-compartmental method*

Numerical deconvolution

*Model independent method*

Commercial software (e.g. Gastroplus)

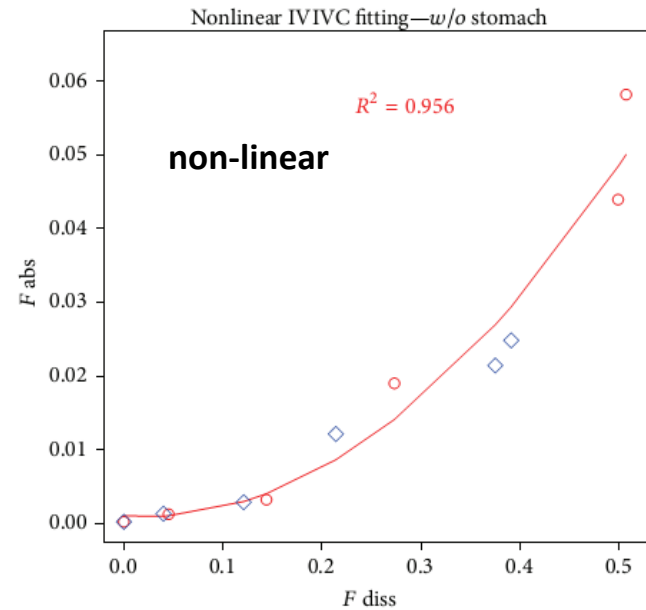
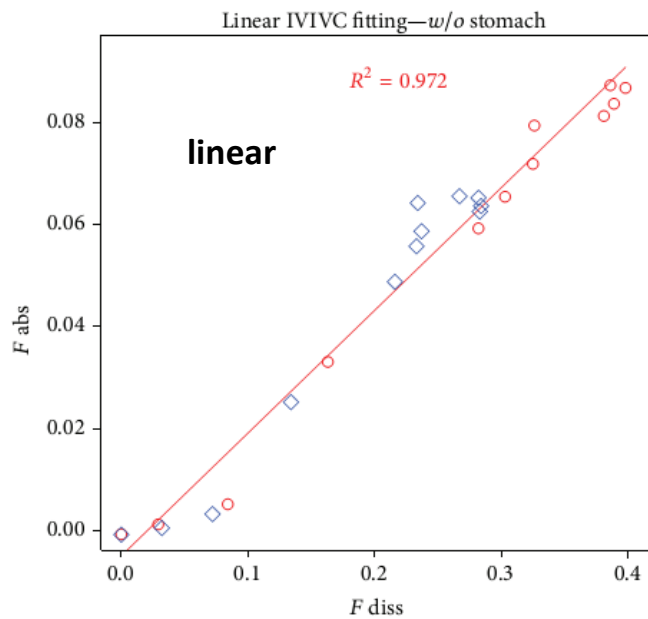
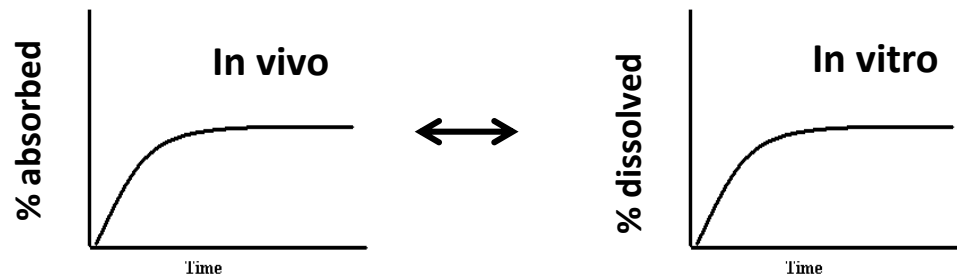
**Convolution** - calculating the PK curve from fraction absorbed/dissolved

Weibull function

# IVIVC

## 2) Building a mathematical IVIVC model using the “input” formulations

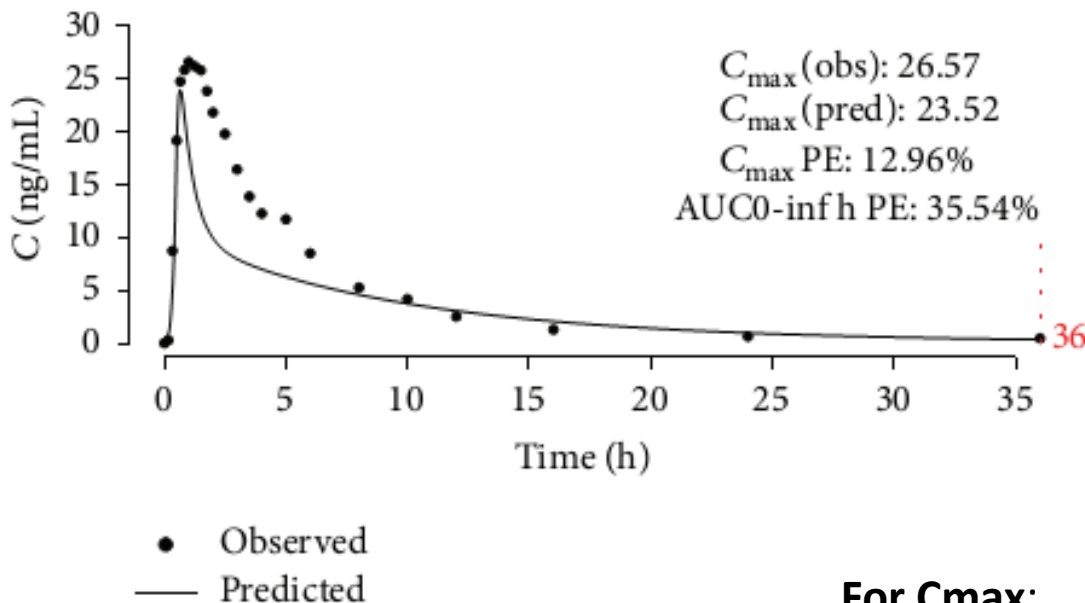
### b) Correlation of PK and dissolution data



# IVIVC

## 3) Testing the prediction power of the established model

**Prediction of  $C_{\max}$  and AUC from dissolution data using the established model.  
Comparing the predicted vs. real PK data**



**For  $C_{\max}$ :**

$$\% \text{ Prediction error (P.E.)} = \frac{(C_{\max} \text{ observed} - C_{\max} \text{ predicted})}{C_{\max} \text{ observed}} \times 100$$

**For AUC:**

$$\% \text{ Prediction error (P.E.)} = \frac{(\text{AUC observed} - \text{AUC predicted})}{\text{AUC observed}} \times 100$$

# IVIVC

## 3) Testing the prediction power of the established model

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**Prediction of  $C_{\max}$  and AUC from dissolution data using the established model.  
Comparing the predicted vs. real PK data**

**Acceptance criteria:** According to FDA guidance

- $\leq 15\%$  for absolute prediction error (%P.E.) of each formulation.
- $\leq 10\%$  for mean absolute prediction error (%P.E.)



# IVIVC

## 3) Testing the prediction power of the established model

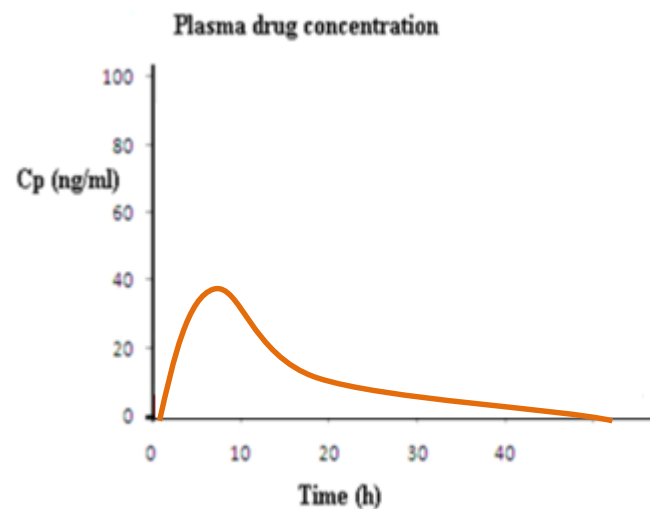
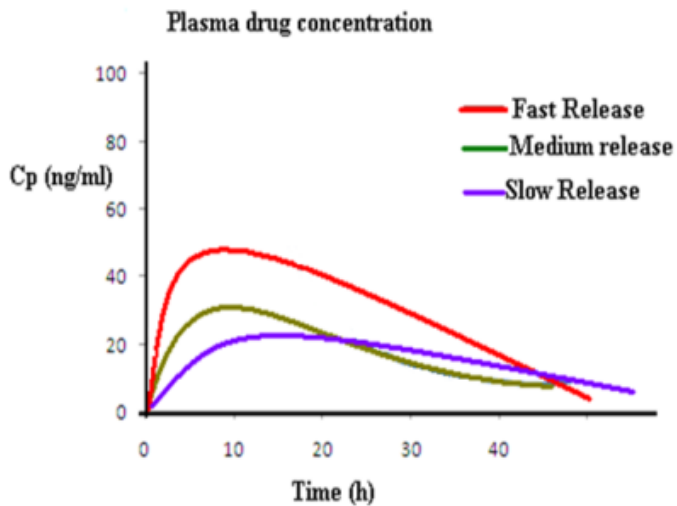
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### Internal predictability

- 2-3 different formulations used for model building
- identical mathematical processing

### External predictability

- 1 formulation not used for model building
- identical mathematical processing



# IVIVC

## Additional considerations – BCS classification

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Class	Solubility	Permeability	Absorption rate control step	IVIVC
I	High	High	Gastric emptying time	Correlation (if dissolution is slower than GET)
II	Low	High	Dissolution	Correlation
III	High	Low	Permeability	Little or no correlation
IV	Low	Low	Case by case	Little or no correlation

# IVIVC

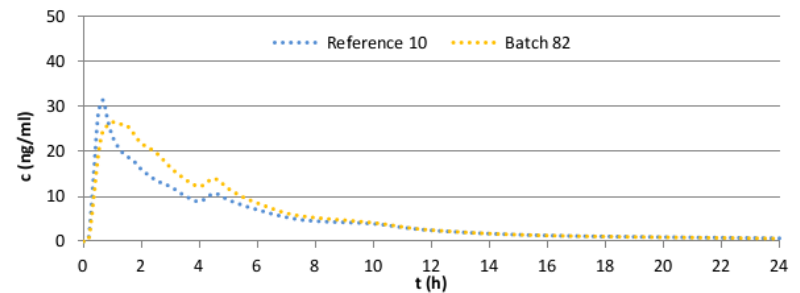
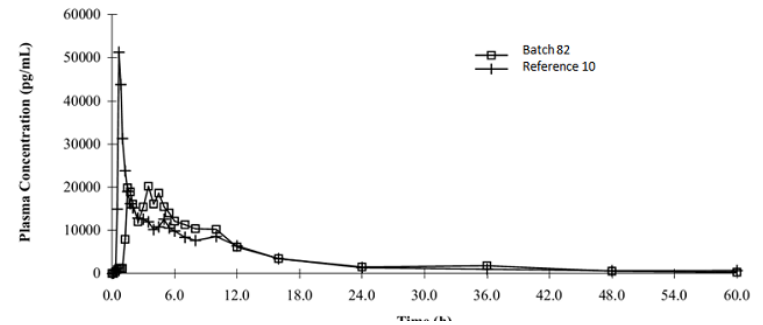
## Additional considerations – possible issues

### Dissolution

- inaccurate *in vitro* dissolution data
- complex *in vivo* dissolution processes (precipitation, API binding, poorly identified release mechanisms/kinetics)

### Pharmacokinetics

- absorption rate limitations
- non-linear elimination or elimination kinetics
- enterohepatic recycling or second peak
- inter-individual variability



**Thank you for attention!**

