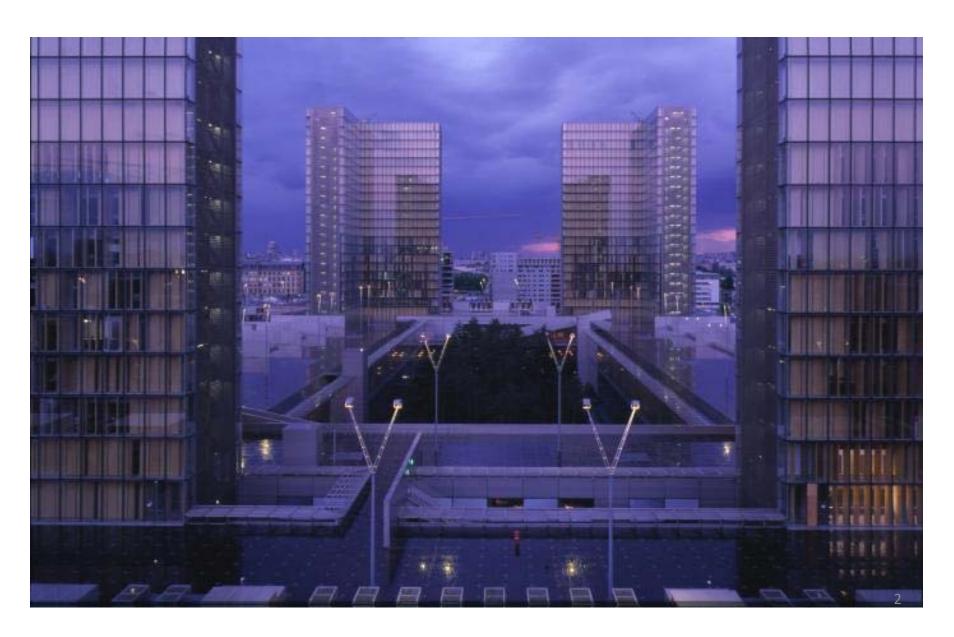
Introduction to Digital Libraries and their Technologies



Miroslav Bartošek

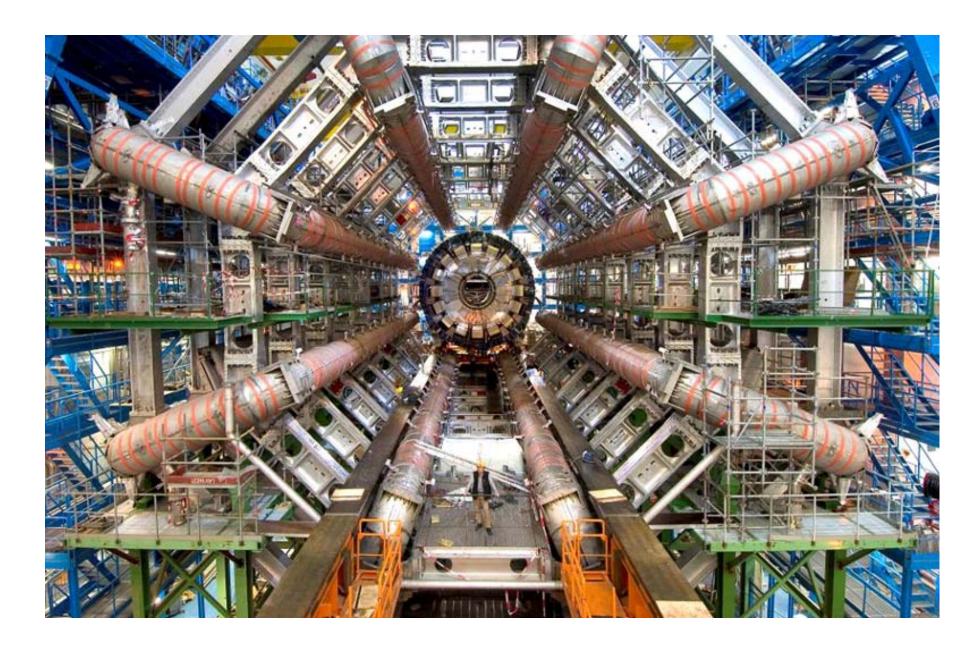
Institute of Computer Science MU
Library and Information Centre

Picture Quiz: Can you recognise ...











What do all these system have in common?

All is about INFORMATION!

Topics

- 1. Introduction to Digital Libraries (DLs)
- 2. Architecture of DLs
- 3. Identifiers
- 4. Metadata
- 5. Interoperability
- 6. Searching
- 7. Economy and Legislation
- 8. Digital Preservation
- 9. DLs@MU

1. Introduction to Digital Libraries



Logo from www.ncstrl.org

1. DL Introduction

- 1.1 DL definition
- 1.2 DL Examples
- 1.3 DLs versus WEB
- 1.4 DLs versus Libraries
- 1.5 DL's History
- 1.6 Literature

Computer scientist's view:

- Digital library is a managed collection of information, with associated services, where the information is stored in digital formats and accessible over a network.

 W.Y.Arms, 2000
 - maintained collection
 - services
 - distant access
- Focused collection of digital objects, including text, video, and audio, along with methods for access and retrieval, and for selection, organization, and maintenance.
 I.W.Witten, 2002
 - digital content(text, video, audio, 3D, simulation, dynamic visuzalization)
 - user (access and retrieval)
 - "librarian" (selection, organization, and maintenance)

Librarian's view:

 Digital libraries are organizations that provide the resources, including the specialized staff, to select, structure, offer intellectual access to, interpret, distribute, preserve the integrity of, and ensure the persistence over time of collections of digital works so that they are readily and economically available for use by a defined community or set of communities

US Digital Library Federation, 1997

- DL as an "institution" (a library, for example)
- organization of information and services
- aimed at a defined user community

Archivist's view:

- DL = the infrastructure, policies and procedures, and organisational, political and economic mechanisms necessary to enable access to and proservation of digital content.

 Ross, 2003
 - DL as a preservation infrastructure / archives

 A digital library is an online collection of digital objects, of assured quality, that are created or collected and managed according to internationally accepted principles for collection development and made accessible in a coherent and sustainable manner, supported by services necessary to allow users to retrieve and exploit the resources.

IFLA/UNESCO Digital Library Manifesto, 2011

International Federation of Library Associations and Institutions



1.1 DL General Features

- Organization of information is the key
- Not a single closed entity (-> DLs)
- Heterogeneous, dynamic, multimedial information resources
- Interconnection of autonomous units
- Transparent interconnection
- Coherent access regardless of
 - forms
 - formats
 - locations
- Long-term preservation

1.2 DL Example (1)

AMERICAN MEMORY



LIBRARY of CONGRESS

American Memory

- Digitization "Apollo project" (pilot 1990-1994)
- 120+ historical collections
- > 10 milions of digital objects
- Books, photos, manusripts, audio, video, maps

http://memory.loc.gov/

Library of Congress



1.2 DL Example (2)



JSTOR

- DL of Academic Journals (founded 1995)
- Problems with printed journals in libraries (cost, space, incompleteness, preservat.)
- Idea: digitize all our core journals from 1st issue to ... moving wall
- Non-profit organization, Mellon F grant (Ann Arbor, New York)
- 1900 digitized journals, 900 publishers
- 20 subject collections (arts, sci, soc-sci)
- Sustainable economic model
- 8.000+ institutions from 160 countries

http://www.jstor.org/

Journal Storage



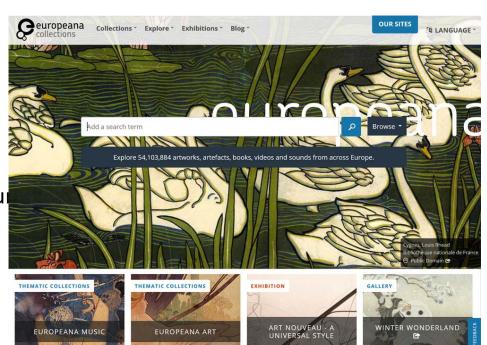
1.2 DL Example (3)

Europeana

- EU digital platform for cultural heritage
- Intitiated and supported by EC
- 2010 first version
- 2016:
- 3.500 contributing institutions
 Libraries, museums, archives, galeries...
- 54 mil of objects
 - 30 pictures, 22 texts, audio, video
- Metadata, thumbnail + link to resoul

http://europeana.eu/





1.3 DL x Web

• Why DL?
We have the Web and there is "all"!

Is the Web a digital library?

1.3 The web is great, but...

- Huge amount of information, easy access to anybody
- Unified technology
- Continuous exciting development
- And much more...

But:

- Advanced and Non-textual search
- Rights Management
- Permanent Availability
- Authenticity
- Quality Control

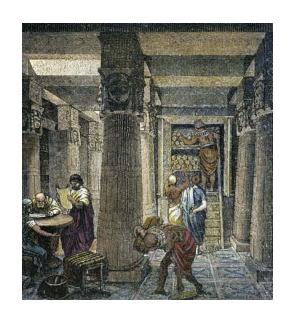


1.4 DLs x Libraries

Common features

- Systematically built collection of data objects
- Metadata structures (catalogues, indexes)
- Services tailored to designated user community
- Thematic focus
- Quality Control
- Long-Term Storage (centuries in libraries)

Library of Alexandria: Egypt - Ptolemaics, 295 BC -- ?? 700.000 papyrus scrolls (originals from Euripides, Aeschylus, Sophocles, Archimedes, Euclid...)



1.4 Advantages of libraries

- Centuries old tradition in organization and access to info
- Worldwide standards
- Elaborated system of libraries
- Established legislation
- Well-balanced system of all key players authors – publishers – libraries – users

1.4 Transformation to DLs

"Paper libraries would disappear by 1984."

Arthur Samuel (1964, The Banishment of the Paperwork.)

 "Some say that had books been invented after computers were, they would have been hailed as a great advance."

Ian H.Witten (2002, How to Build a Digital Library.)

- Transformation to DLs
 - It's not just the technological issue
 - Human beings & social environment are main obstacles

1.5 DL's History

•	1945	Vannevar	Bush (("As We May	Think", Memex)
---	------	----------	--------	-------------	----------------

• 1965 J.C.R. Licklieder ("Libraries of the Future")

• 60's MARC, OPAC (LoC, OCLC)

• 80 's fulltexts

• 90 's Computing + Communications + Contents

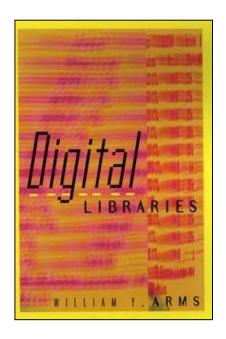
(price, performance, availability

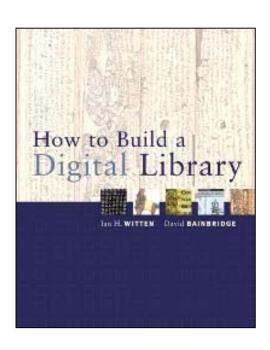
1994 Digital Library Initiative , www

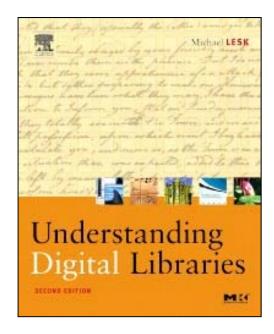


1.6 Literature

- William. Y. Arms: Digital Libraries. MIT Press, 1999, 2000, 2001
 Online edition (2005) available at http://www.cs.cornell.edu/wya/diglib/
- I.H.Witten: How to Build a Digital Library. Morgan Kaufmann Publ. 2002, 2010
- Michael Lesk: Understanding Digital Libraries. Morgan Kaufmann, 2nd ed. 2004







2. Architecture of DL



2. Architecture of DLs

- 2.1 Reference Models
- 2.2 Kahn-Wilensky Framework
- 2.3 DL.org Model

2.1 Reference Models

Reference Model = general architecture (framework)

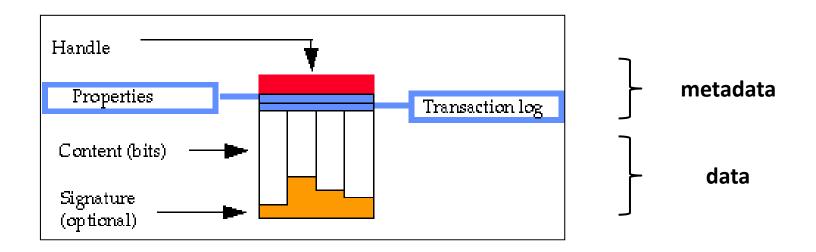
- Provision of a unified vocabulary (terms)
- Formalizing components and functions (semantics)
- Understanding important relationships between entities in a particular environment
 - Software implementation
 - Development of standards
 - Education



2.1 Kahn-Wilensky Framework

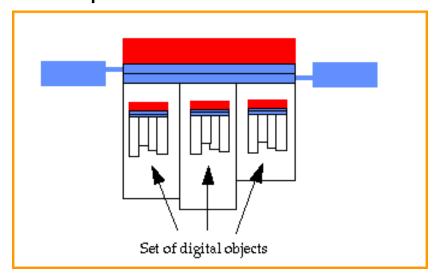
- First informal model/architecture for the DL
- R.Kahn, R.Wilenski: A Framework for Distributed Digital Object Services, Uni Berkeley, CS-TR project, ARPA, 1995 http://www.cnri.reston.va.us/home/cstr/arch.html
- Digital object; Identification system (handles); Repository;
 Services
- Implementated in FEDORA

2.1.1 Digital Object

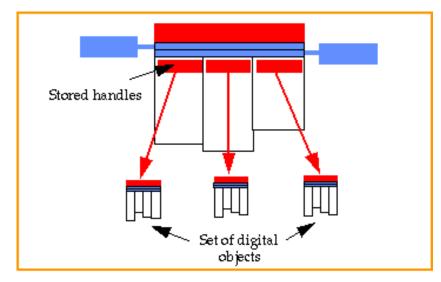


2.1.2 Composed DO, Meta DO

Composed DO



Meta DO



Example: **DO=music composition**

metadata

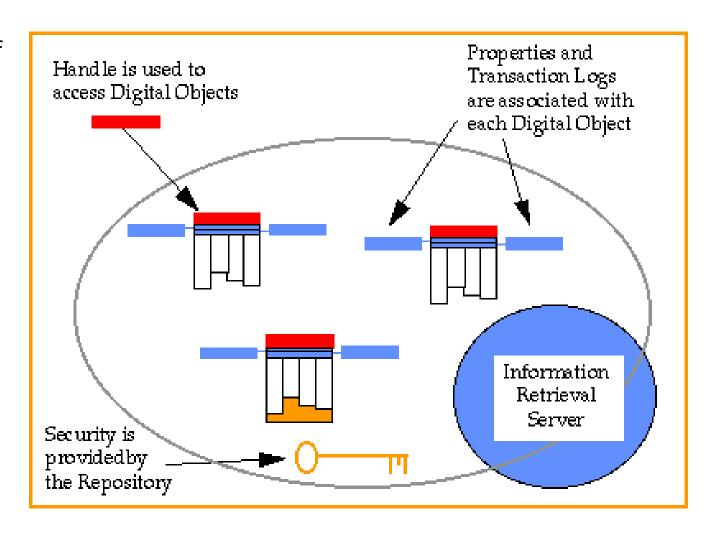
• ...

data

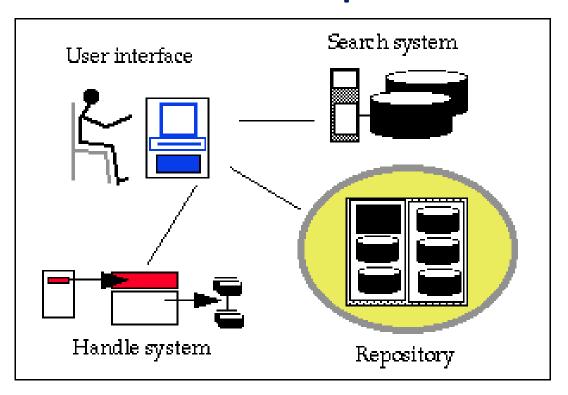
- id for DO score
- id for DO audio recording
- id for DO performance TV record

2.1.3 Repository

- Takes care of the DOs stored in it
- RAP
 RepositoryAccessProtocol



2.1.4 DL Components



- 1. search
- 2. select
- 3. retrieve
- 4. display

- User interface

- => Search system
- => Item
- => Handle system
- => Repository RAP
- => rendered DO

- => list of items
- => handle
- => repository ID
- => digital object

2.2 DL.org Model

Formal DL model (2011). Result of several EU-funded projets:

- DELOS

Network of Excellence on Digital Libraries 2004-2008 http://www.delos.info

DL.org

Coordination Action projekt EC 2008-2011
Digital Library Interoperability, Best Practices & Modelling Foundations

http://www.dlorg.eu

2.2 Documentation

Set of documents

- Digital Library Manifesto
- Digital Library Reference Model
- Digital Library Technology and Methodology Cookbook
- Digital Library Conformance Checklist

Digital Library Reference Model (very extensive document) http://www.dlorg.eu/index.php/outcomes/reference-model

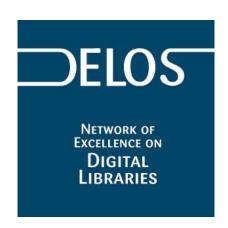
Concise booklets:

- DL Reference Model in Nutshell (16 pages only)
 http://www.dlorg.eu/uploads/Booklets/booklet21x21 nutshell web.pdf
- Digital Library Manifesto
 http://www.dlorg.eu/uploads/Booklets/booklet21x21 manifesto web.pdf
- Digital Library Cookbook
 http://www.dlorg.eu/uploads/Booklets/booklet21x21 cookbook.pdf

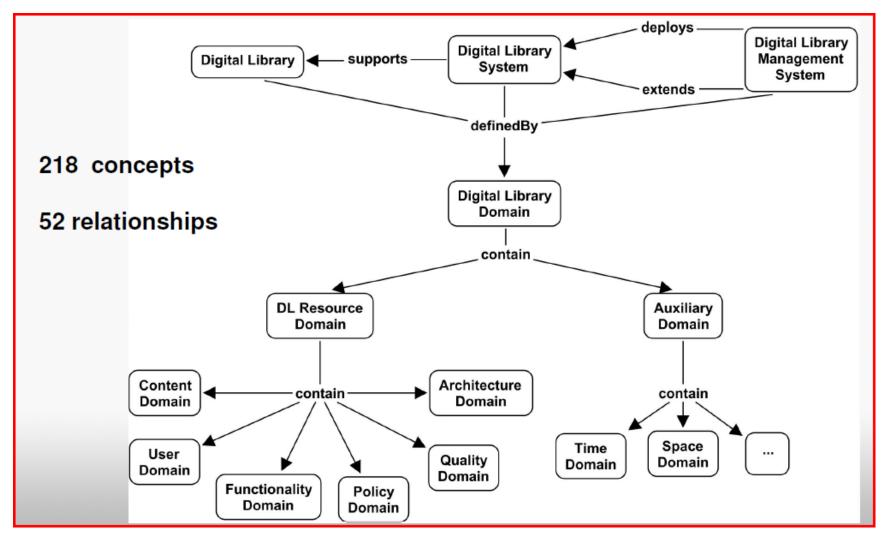


2.2 DELOS – DL vision

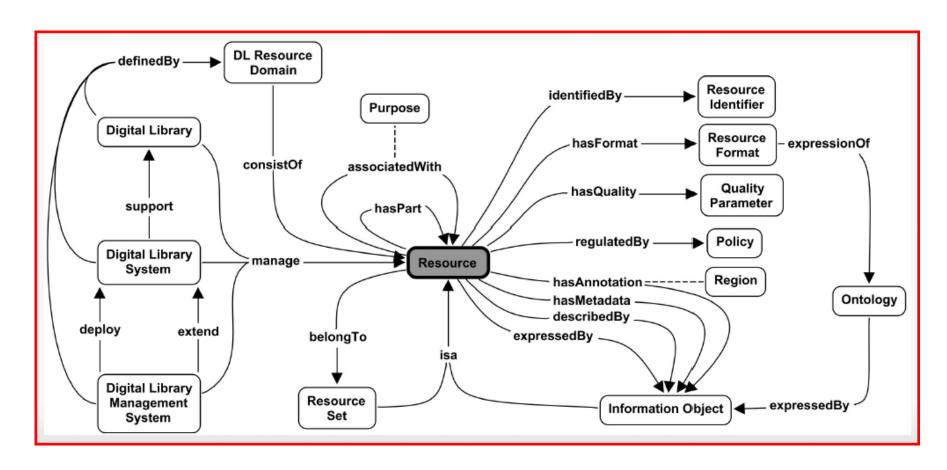
 Digital libraries should enable any citizen to access all human knowledge anytime and anywhere, in a friendly, multi-modal, efficient, and effective way, by overcoming barriers of distance, language, and culture and by using multiple Internet-connected devices



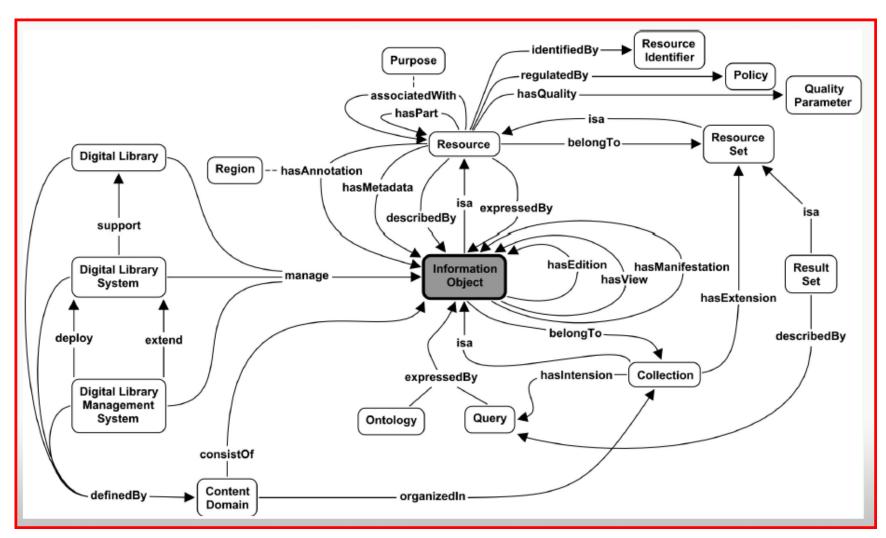
2.2 DL Domains



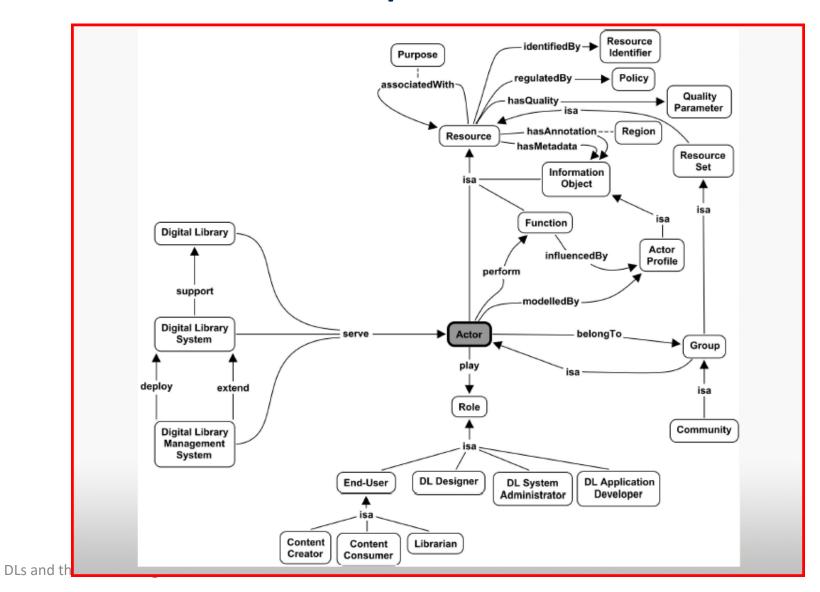
Example: Resource



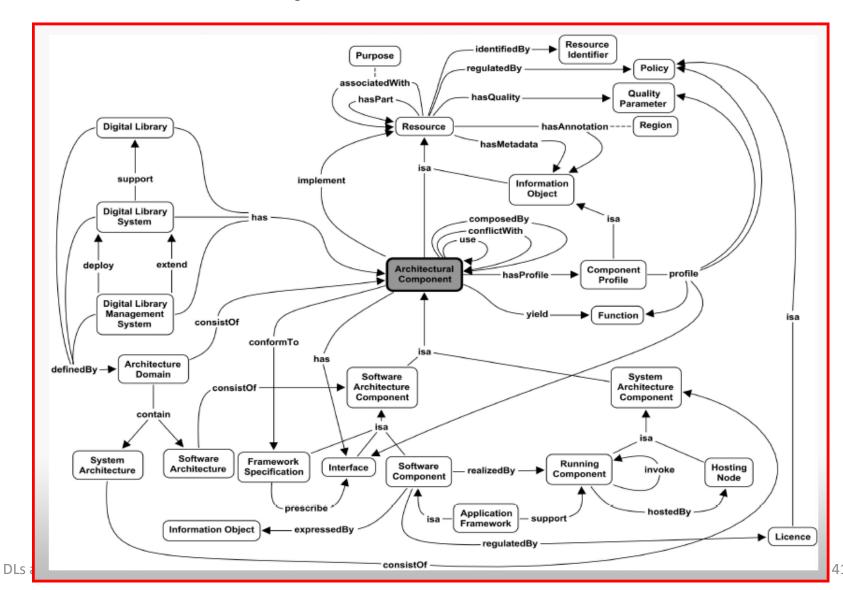
Example: Information Object



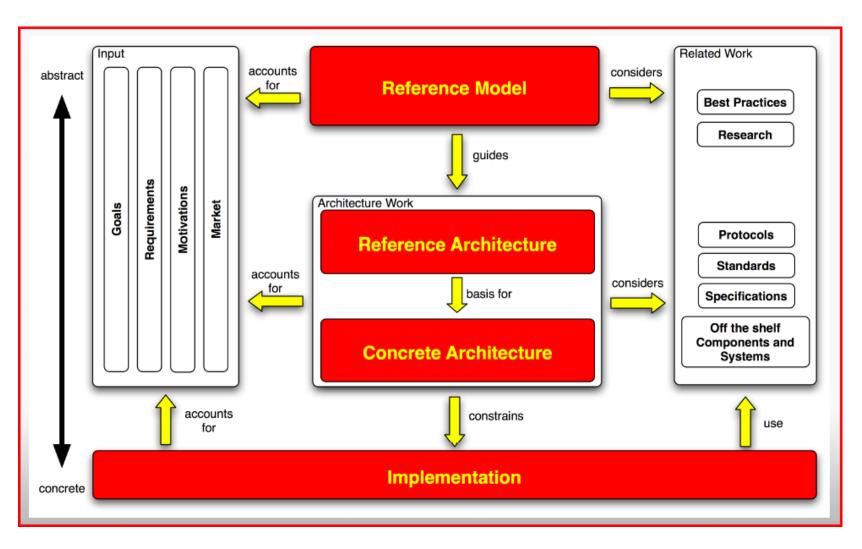
Example: User



Example: Architecture



Design and implementation of DL



3. Identifiers



3. Identifiers

- 3.1 Introduction, properties of IDs
- 3.2 Classic Library IDs
 ISBN, ISSN, SICI, ..., ISTC, ISNI, ...
- 3.3 Digital IDs
 URN, PURL, Handles, DOI, ARK

3.1 Identifiers

• If there is one thing that distinquishes a digital library from a mere web site, it is that libraries do their best to provide *reliable*, *persistent access* through durable links. (J.A.Kunze, California Digital Library)

Identifiers

- Unique names
- Basic building blocks keeping/binding things together
- Local x Global identifiers
- Eliminating physical contact = higher need for identification
 - Precision
 - Reliability
 - (machine) Linking

3.1 Properties of identifiers

1. Form

(structured, dumb, computable)

2. Uniqueness (global)

(central / distributed assignement)

3. Persistency

(future validity and interoperability)

4. Resolution (action)

(machine system providing for ID its DO, clicable)

3.1 Hierarchical system of IDs

No one universal ID for everything => hierarchical system

Organisations (library)

ISIL International Standard Identifier for Libraries and Related Organizations

Collection, service

ISCI Intl Standard Collection Identifier

Author

ISNI Intl Standard Name Identifier

Work

ISTC Intl Standard Text Code

ISWC Intl Standard Musical Work Code

Manifestation of work

ISBN Intl Standard Book Number

ISSN Intl Standard Serial Number

ISMN Intl Standard Music Number

Component/article

SICI Serial Item and Contribution Identifier

DOI Digital Object Identifier

Interantional standards (mostly ISO)

3.2 Examples: ISBN

- International Standard Book Number ISBN 80-00-01987-6
- Classic library identifier, ISO standard since 1972
- Structured id, fixed length, distributed assignment
- Invented for printed environment very successful, heavily used, useful (publishers, business, libraries, citations)
- BUT: Serious problems in digital environment
 - Web publishing rapid increase of id-requirements
 - Exhaustion of available number space!
 - ISBN-13: Temporary remedy (rapid fix) -- ISBN 978-80-00-01987-3
 - New ISBN desperately needed
 - It takes a long time to agree on a new global standard
 - It will be very costly to implement it

3.3 Examples: SICI

- Serial Item Component Identifier components of journal issue
- 0730-9295(199206)11:2<168:CRFAOC>2.0.TX;2-#
 M.Needleman. Computing Resources for an on-line catalog 10 years later.
 Information technology and libraries. 11(2), červen 1992, pp. 168-175
- Computable id, interesting novel approach
- US ANSI standard since 1996.
- BUT: Didn't gain global acceptance
 - Replaced by more successful rival DOI

3.4 Filling gaps – new IDs

ISNI – International Standard Name Identifier

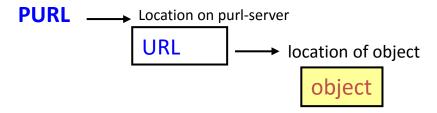
- Global unique identifier for authors, ISO standard since 2012; 9,5 mil assigned
- ISNI 0000 0000 7988 7687 (Bartošek, Miroslav)
- RA: Registration Authority (ISNI International Agency)
- RAGs: Registration Agencies (currently 12 British Library, Bibliotheque Nationale de France, ...)
- ISNI metadata set

ISTC – International Standard Textual Code

- Intellectual works/creations (expressed mainly in textual form)
- ISTC 0A9-2002-12B4A105-6
- RA: International ISTC Agency (2008)
- RAGs: currently 8; 0,2 mil ISTC-ids assigned
- Huge and costly task to identify all works worldwide who will do that?

3.5 Digital IDs – URL, URN, PURL

- URL most frequently used on the Web as a "identifier", BUT:
 - Uniform Resource Locator identifies location, not an object!
 - Not persistent (broken links 404 Not Found)
- URN conceptualy known but not deployed
- PURL Persistent URL
 - Pragmatical solution how to improve persistency of URL (OCLC)
 - 1. PURL is URL
 - 2. PURL refers to the location where the second URL is located, which refers to the location where the object resides





3.6 Handles

- hdl:cnri.dlib/magazine , http://hdl.handle.net/10338.dmlcz/141708
- Implementation of handle cocept (Kahn-Wilensky)
 (CNRI Corporation for National Research Initiatives, USA, since 1994)
- Used by DSpace (repository software), DOI (identifier), and many other...
- Main features:
 - Independent of the URN concept
 - Resolvable (own resolution system independent of DNS used by URL/URN)
 - Either a direct resolution by plug-in in the www-browser

 - or indirect resolution using URL-proxy

 - http://www.handle.net/

3.7 DOI – Digital Object Identifier

The most successful identification system for the digital environment today

- DOI:10.1006/123456
- Initiated by the Association of American Publishers
- Built on handles technology
- Self-financing system open, but not free (DOI allocation fee)
- System for identifying any entities (books, articles, research data, ...)
- In operation since 2000, ISO standard since 2012
- 140 million allocated DOIs, over 20,000 institutions involved
- RA: International DOI Foundation
- RAGs: 10 currently (CrossRef scientific articles, DataCite research datasets)

http://www.doi.org , http://www.crossref.org

3.7 DOI – Digital Object Identifier

- DOI:10.1006/123456
- doi:10.1000/ISBN-1-900512-44-0
- doi:10.5817/AM2013-1-17
- structure:
 - prefix (globally unique, assigned to registering organization by a RAG)
 - suffix (locally unique string assigned by the RO)
- DOI metadata to be filled-in when registering DOI number in RAG Register

References:

[1] Balinsky, A., Ryan, J.: Some sharp \$L^2\$ inequalities for Dirac type operators. SIGMA, Symmetry Integrability Geom. Methods Appl. (2007), 10, paper 114. DOI 10.1037/9871-3520.5.98

[2] Brackx, F., Delanghe, R.: Clifford Analysis. Pitman, London, 1982. DOI 10.2100/BDC398762

[3] Bureš, J., Sommen, F., Souček, V., Van Lancker, P.: Rarita-Schwinger type operators in Clifford analysis. J. Funct. Anal. 185 (2) (2001), 425–455. DOI 10.1105/j-funct-anal.2001.425

4. Metadata



4. Metadata

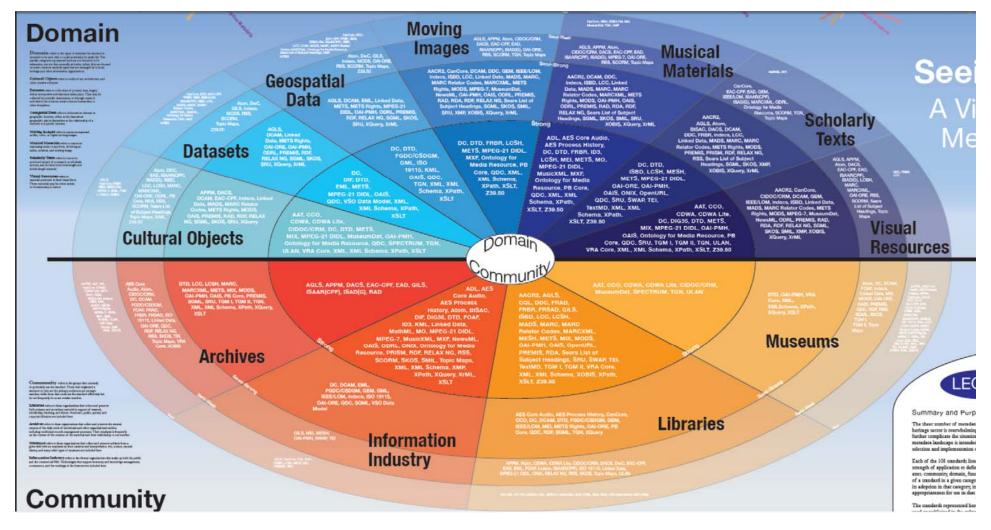
- 1.1 Introduction
- 4.2 Classic Library Metadata MARC, UNIMARC
- 4.3 Digital Metadata

 Dublin Core, MODS, METS, RDF, ...

4.1 Metadata – introduction

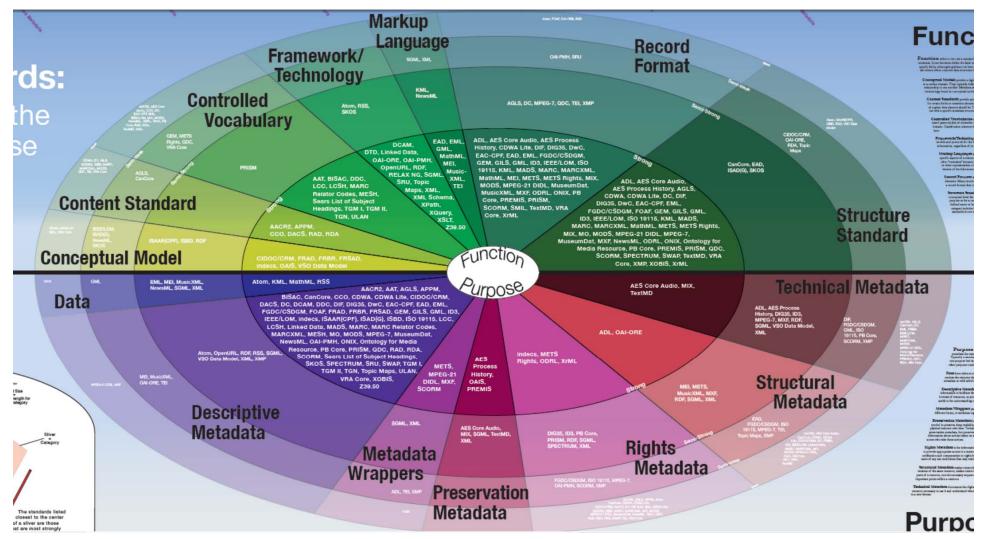
- Metadata = (structured) data about resources
- Metadata consists of statements we make about resources to help us find, identify, use, manage, evaluate, and preserve them
- 3 basic categories of metadata
 - Descriptive resource description (to find, identify, evaluate):
 MARC, Dublin Core, MODS,
 - Administrative resource management (technical, administrative, preservation, rights management, ...): PREMIS
 - Structural resource internal structure (parts, hierarchy): METS, RDF
- Metadata schema (standard) selected set of metadata elements with a defined meaning for use in a particular area (MARC, Dublin Core, TEI, MODS, MADS, RDF, Premis, ...)
- XML Markup language (encoding structured documents, e.g. metadata records)

Metadata Typology – Domains & Communities



http://jennriley.com/metadatamap/

Metadata Typology – Functions & Purposes



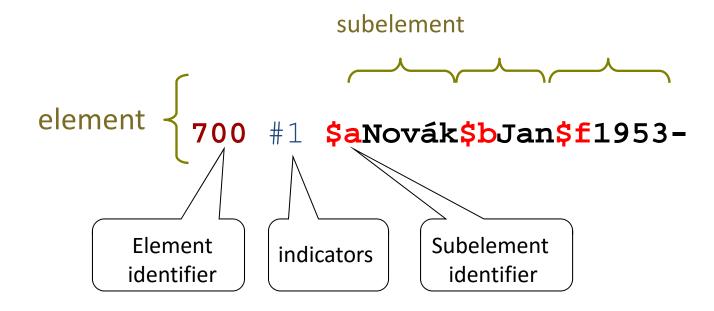
http://jennriley.com/metadatamap/

4.2 MARC Standard Family

- MARC = MAchine Readable Cataloguing record (Library of Congress, 1965)
- General structure of the bibliographic record (descriptive metadata for library materials – books, serials, audio, video, authorities)
 - Internal format in Library management systems
 - Exchange format for transfer of records between LMSs
- Widely used collaboration between libraries and different systems
 - Record exchange
 - Union catalogues
- Very reach structure (hundreds of elements and subelements!)
- The whole family of MARC-based standards:
 - USMARC, CANMARC, UKMARC, ... -> MARC21
 - UNIMARC (IFLA, 1977, first as a bridge between MARCs, later as a full independent format)

4.2 MARC element/subelement

- MARC record consists of variable length elements
- Each element may be subdivided in subfields (with repetitioning)



4.2 Example – UNIMARC record

```
001
      CASLIN0000001
005 19960312
010 $a80-7050-237-1
100
      $a19960305d1996####k##y0czey0103####ba
101 0# $acze
102
      $aCZ
200 1# $aZáznam pro souborný katalog$eUNIMARC$iTištěné monografie
      $fPracovní skupina CASLIN pro standardizaci a jmenné ...
205 $a1. vyd.
210 $aPraha$cNárodní knihovna České republiky$d1996
215 $a31 s.
225 1# $aStandardizace$vč. 4
675
      $a025.3$9v
711 02 $aCASLIN$bPracovní skupina pro standardizaci a ...
801 #0 $aCZ$bABA001$c19960312$gAACR2$91
801 #3 $aCZ$bABA001$c19960515
910
      $aABA001
```

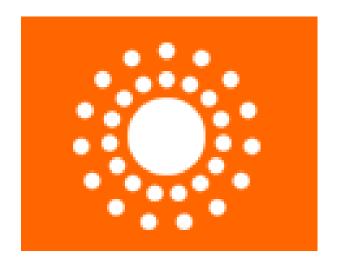
4.2 MARC Summary

- Detailed cataloguing rules AACR2, RDA (how to use the format)
- Sophisticated set of tools (LCSH, authority files, ...)
- Fragmentation into many format variations
- UNIMARC more advanced, MARC more successful (LoC)
- Systematic development (responses to changes)
- Hundreds of millions of existing MARC records worldwide (OCLC WorldCat – 34 mil) – great legacy/burden
- Expensive creation of records (50-100 USD/record), only for highly qualified users
- Very successful but complex format -- too complicated for wider use!
- For most applications, we need something more simple

4.3 Dublin Core

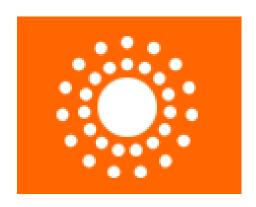
Motto:

- "The association of standardized descriptive metadata with networked objects has the
 potential for substantially improving resource discovery capabilities by enabling field-based
 (e.g., author, title) searches, permitting indexing of non-textual objects, and allowing access
 to the surrogate content that is distinct from access to the content of the resource itself."
 (Weibel and Lagoze, 1997)
- MARC maximalist approach
- DC minimalist approach
 - Simple (core for description of resources on the Web)
 - Universal (for any kind of resources)
 - Easy to use (selfcataloguing by web users)





4.3 Dublin Core – 15 elements



content ownership instantiation

Title
Subject
Description
Coverage
Type

Creator Identifier
Contributor Date
Publisher Language
Rights Format

Audience

Relation

Source

(Provenance, Rights Holder)

- Each element is optional, repeatable, on the order of the elements does not matter
- General semantics given for each element (Title = name given to a resource)
- Syntax not given by the standard (recommendations XML, HTML-heading,...)
- Qualified version of DC for more precise description
 - Creator.Illustrator, Date.Created, Date.Updated, Subject.Abstract
 - Date=1994-04-12:ISO8601, Subject=5.34:UDC



4.3 Dublin Core in HTML

```
<html>
<head>
<title> Guidance on expressing the Dublin Core within the RDF </title>
<link rel = "schema.DC" href = "http://purl.org/DC/elements/1.0/">
<meta name = "DC.Title" lang = "en" content = "Guidance on expressing...">
<meta name = "DC.Title" lang = "de" content = "Dublin Core in RDF: Eine...">
<meta name = "DC.Creator" content = "Miller, Eric">
<meta name = "DC.Creator" content = "Miller, Paul">
<meta name = "DC.Creator.Illustrator" content = "Brickley, Dan">
<meta name = "DC.Description.Abstract" content = "This paper describes work...">
<meta name = "DC.Date.Created" scheme = "ISO8601" content = "1999-07-01">
<meta name = "DC.Format" content = "text/html">
<meta name = "DC.Language" content = "en">
<meta name = "DC.Type" content = "working draft">
<meta name = "DC.Subject" scheme = "LCSH" content = "Dublin Core; DC; RDF; XML">
</head>
<body> ... </body>
</html>
```



4.4 MODS

- Metadata Object Description Schema (LoC, 2002)
- Compromise between MARC complexity and DC simplicity (19 top-elements, 64 optional subelements)
- More accurate and more modern syntax (defined as a XML schema)
- Granularity and Extensibility
 (the level of detail in description; embedding of sub-resource description into XML tree)
- Set of tools
 (MADS Metadata Autority Description Schema)



4.4 MODS – 19 top elements

<u>titleInfo</u>

<u>name</u>

typeOfResource

genre

<u>originInfo</u>

<u>language</u>

physicalDescription

<u>abstract</u>

tableOfContents

targetAudience

Element atributes:

lang, script, transliteration, ...

note

subject

classification

<u>relatedItem</u>

<u>identifier</u>

location

<u>accessCondition</u>

extension

recordInfo

TitleInfo

- -- title
- -- subTitle
- -- PartNumber
- -- partName
- -- nonSort



4.4 Example – MODS record

```
<mods version="3.0">
<titleInfo>
   <title>Hiring and recruitment in academic libraries :</title>
    <subTitle>The User Quide</subTitle> </titleInfo>
<name type="personal">
   <namePart type="family">Raschke</namePart>
   <namePart type="given">Gregory K.</namePart>
   <displayForm>Gregory K. Raschke</displayForm> </name>
 <typeOfResource>text</typeOfResource>
 <genre>journal article
 <originInfo>
   <place><placeTerm type="text">Baltimore, Md.</placeTerm> </place>
   <publisher>Johns Hopkins University Press/publisher>
   <dateIssued>2003</dateIssued> </originInfo>
 <language>
   <languageTerm authority="iso639-2b">eng</languageTerm> 
 <physicalDescription>
   <form authority="marcform">print</form> <extent>15 p.</extent>
 </physicalDescription>
</mods>
```

4.4 MARC – MARCXML – MODS

MARC

[245] 10 \$aHelsinki:\$ba cultural and literary history /\$cNeil Kent

MARCXML

MODS

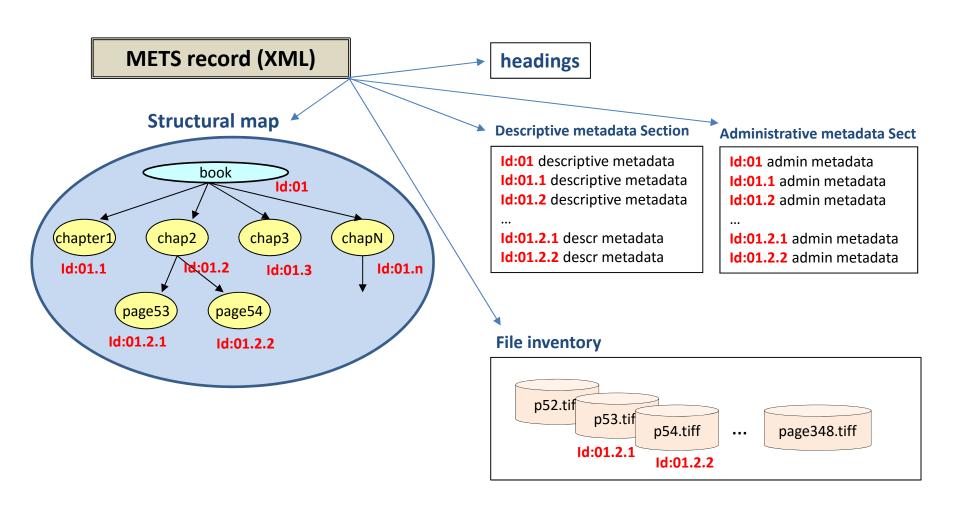


4.5 METS

- Metadata Encoding and Transmission Standard (LoC, 2001)
- Standard for exchanging digital objects between repositories (DLs)
- An XML schema that packs into one "package" all components of a complex DO:
 - the internal structure of the object
 - metadata (descriptive, admin, technical, etc.) for the object and all its components
 - source files that comprise the object
- The package can by moved and easily integrated into the new repository
- Example: object = one academic journal
 - Complex internal structure: Journal Volumes Issues Articles
 - Thousands of metadata records for all components
 - Thousands of source files (articles)



4.5 METS – diagram



4.6 Other metadata schemas

TEI – Text Encoding Initiative

- XML standard for marking documents and linguistic texts of any kind (books, articles, poems, dramas, ...) (1987)
- Very extensive (2000 pages)
- TEI-lite

RDF – Resource Description Framework

- W3C standard for describing resources on the web using simple machine-readable (understandable) statements – triplets
- Subject predicate object

```
<anthology>
<poem>
 <heading>The SICK ROSE</heading>
 <stanza>
  <line>0 Rose thou art sick.</line>
  <line>The invisible worm,</line>
  <line>That flies in the night</line>
  <line>In the howling storm:</line>
  </stanza>
  <stanza>
  <line>Has found out thy bed</line>
  <line>Of crimson joy:</line>
  <line>And his dark secret love</line>
  <line>Does thy life destroy.</line>
 </stanza>
</poem>
<!-- more poems go here -->
</anthology>
```

```
Hamlet – Author – Shakespeare
Hamlet – Type – tragedy
Hamlet – Date – 1959
Shakespeare – Nationality – British
Shakespeare – Occupation - Writer
```

To be continued...

END OF PART 1