

# Questioning the relevance of shifting cultivation to Neolithic farming in the loess belt of Europe: evidence from the Hambach Forest experiment

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**Abstract.** Despite widespread criticism, the shifting cultivation model continues to inform discussion of Neolithic farming in Europe, beginning with early Neolithic (*Linearbandkeramik* or LBK) communities concentrated in the loess belt of western-central Europe. Hundreds of LBK and later Neolithic sites have been excavated in this region and many of them sampled for charred plant remains. Archaeobotanical data on the weed floras harvested with crops provide the most direct archaeological evidence of crop husbandry practices, including the permanence of crop fields, but have played a limited role in the debate over shifting cultivation. The Hambach Forest experiment, conducted in the 1970s-80s near Cologne, Germany, provides valuable comparative data on the weed floras growing in newly cleared cultivation plots in an area of long-lived mixed oak woodland on loess-based soil. Correspondence analysis of the Hambach weed survey data suggests that weed floras of fields managed under a shifting cultivation regime would be rich in perennial species, including woodland perennials. Comparison of these results with Neolithic weed assemblages from the loess belt of western-central Europe strongly suggests that Neolithic crop fields were not recently cleared of woodland vegetation but were long-established.

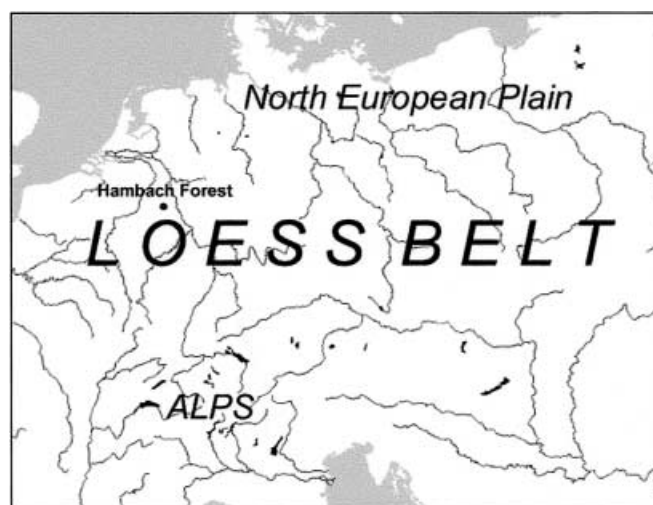
**Key words:** Shifting cultivation – Hambach Forest – Experimental cultivation – Archaeobotany – Weed ecology

## Introduction

Shifting cultivation refers to the use of newly cleared and burned woodland areas for short-term crop growing (c. 1-5 years) followed by a "shift" of cultivation to other newly cleared areas and woodland regeneration (over c. 20 or more years) on old plots. This system, also known as slash-and-burn, swidden, long-fallow or forest-fallow, is distinguished from cultivation regimes involving shorter fallow periods by the type of vegetation - primary or secondary woodland - which is cleared to create new fields (Dennell 1978, p 37). Burning releases nutrients from organic material into the soil, promoting high crop yields for

a short period, and may damage the viability of potential "weed" seeds, rhizomes etc. present in the soil (Ellenberg 1996, p 770), reducing the need for tillage and weeding (Sigaut 1975, pp 18-29, 99). Shifting cultivation is attested historically in parts of Europe and North America (Grigg 1974, pp 62-63; Sigaut 1975, pp 18-29; Steensberg 1955, 1993, pp 15-16, 98-153; Larsson 1995; Luning 2000, pp 52-54). It is also widely practised in tropical regions, where such techniques counteract the rapid leaching of soil nutrients by very high rainfall (Grigg 1974, pp 57-74; Steensberg 1993, pp 16-98).

Childe (1929, pp 45-46) first suggested shifting cultivation to explain the 'spread' of early Neolithic (*Linearbandkeramik* or LBK) farming communities across central and western Europe, principally in regions of loess-based soil between the North European Plain and the Alps, extending from Belgium to the Ukraine (Fig. 1; Bogucki 1996). The model was widely accepted for the LBK (Clark 1952, pp 92-98; Piggott 1965, pp 51-52) until the 1970s, when the alternative model of permanent fields cropped on a regular basis began to find favour (Modderman 1971; Kruk 1973). Nevertheless, shifting cultivation has contin-



**Fig. 1.** Map of western-central Europe showing the loess belt and the location of the Hambach Forest

ued to influence more recent discussion of LBK and later Neolithic agriculture in the loess belt of western-central Europe (for example Wasylikowa et al. 1985; Beranová 1987, 1989; Godłowska et al. 1987; Kruk 1988; Milisauskas and Kruk 1989; Wasylikowa 1989; Rösch 1990; Rulf 1991; Whittle 1996, pp 160-162, 1997). Following the early pollen analyses of Iversen (1941) and Troels-Smith (1953), slash-and-burn farming has also long been associated with the later Neolithic *Trichterbecherkultur* or TRB in the North European Plain and Scandinavia (Midgley 1992, pp 384-393). Here again, shifting cultivation has continued to appear in recent accounts of TRB agriculture (for example Göransson 1988, p 70; Milisauskas and Kruk 1989; Andersen 1993; Kalis and Meurers-Balke 1998). Recent writing on the Neolithic period in the foothills surrounding the Alps (*Alpenvorland*) has also promoted the idea of shifting cultivation (for example Rösch 1996, 2000; Pétrequin 1996; Pétrequin et al. 1998). Similarly, shifting cultivation emerges in recent accounts of Neolithic agriculture in Britain (for example Barrett 1994, pp 143-148, 1999; Whittle 1997; Thomas 1999, pp 23-32). Despite sustained criticism of the model over several decades (Modderman 1971; Kruk 1973; Lüning 1980, 2000, pp 49-50, 187-189; Sherratt 1980; Rowley-Conwy 1981; Bogucki 1988, pp 79-82), therefore, shifting cultivation continues to thrive in the archaeological literature on Neolithic Europe. It has evolved from a model explaining the spread of Neolithic "farmer-pioneers" across Europe (Childe 1929) into one which emphasises the indigenous, "Mesolithic" (and hence "mobile") identity of Europe's first farmers.

Key arguments in support of shifting cultivation have included the supposed rapid exhaustion of soils (Childe 1929, pp 45-46, 1957, pp 105-106), the occurrence of sites in areas of relatively poor soils (Kruk 1973, 1980, pp 54-57, 1988; Rösch 2000), discontinuity in settlement occupation (Soudsky and Pavlu 1972) and pollen evidence for changes in woodland composition associated with clearance and burning (Iversen 1941; Troels-Smith 1953; Wasylikowa et al. 1985; Wasylikowa 1989; Godłowska et al. 1987; Göransson 1988; Rösch 1990, 1993; Andersen 1993; Kalis and Meurers-Balke 1998). All of these arguments are open to question. First, the claim of soil exhaustion in regions with relatively good soils, such as those based upon loess, is refuted by experimental evidence for the long-term stability of crop yields over decades of continuous cereal cultivation, even without manuring (Lüning 1980, 2000, p 174; Rowley-Conwy 1981; Reynolds 1992). Second, the relationship between basic soil quality and crop growing conditions may not be straightforward; intensive manuring, watering and weeding of cultivation plots, for example, can create a highly fertile garden soil (for example Jones et al. 1999). Third, more or less continuous occupation over several centuries has been demonstrated for some Neolithic settlements in western-central Europe (such as LBK sites in the Aldenhoven plateau, Stehli 1989), though there appears to be considerable variation, even within the LBK (Whittle 1996, p 166). In any case, the relationship between residential permanence and the permanence of cultivation areas may have been complex (Grigg 1974, p 60; Whittle 1997). Fourth, in addition to the fundamental issues of adequate dating and calcula-

tion of pollen diagrams (Rowley-Conwy 1981; Kalis and Meurers-Balke 1998), it has been emphasised that changes in woodland composition associated with clearance and burning do not necessarily reflect past *arable* land-use (Rowley-Conwy 1981; Brombacher and Jacomet 1997; Kalis and Meurers-Balke 1997, 1998).

It is striking that the most direct archaeological evidence for crop husbandry - the seeds of arable weeds harvested with ancient crops and associated with them in samples from archaeological deposits - has played a limited role in the debate over shifting cultivation (cf. Engelmark 1989; Dennell 1992). Within the loess belt of western-central Europe (Fig. 1), hundreds of Neolithic sites have been excavated and many of them sampled for charred plant remains (for example Kreuz 1990; Knörzer 1997). The significance of charred 'weed' seeds accompanying charred crop remains as evidence of crop husbandry has long been recognised (for example Knörzer 1971a; Willerding 1983) but their usefulness for distinguishing the cultivation of shifting versus permanent fields has been limited by the lack of comparative data on modern arable weed floras developed under a shifting cultivation regime. The repeated occurrence of a narrow range of weed taxa (the so-called Bromo-Lapsanetum praehistoricum weed community) in charred crop material from LBK-Rössen sites in the Rhineland, for example, has been interpreted by Knörzer (1971a) as evidence for permanent fields, whereas Bakels (1978, p 69) has argued that such weed assemblages could reflect shifting cultivation. While more recent archaeobotanical studies of LBK and later Neolithic crop husbandry in western central Europe have tended to favour a permanent field model, the difficulty of excluding shifting cultivation based on weed evidence has also been acknowledged (Brombacher and Jacomet 1997).

Descriptions of historical shifting cultivation in Europe and North America provide few observations on the weed floras growing with crops in shifting fields. There are indications that weed growth can be severely limited by burning and/or by exuberant crop growth in the first cultivation season of a shifting regime but that weed growth increases in the second and third cultivation sea-

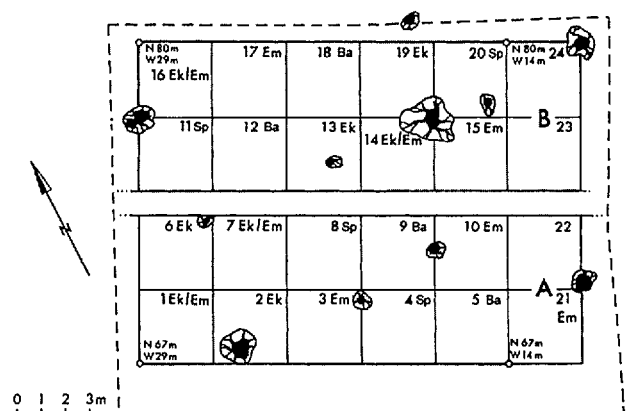


Fig. 2. The layout of experimental plots in the Hambach Forest and cereals grown on each plot (after Meurers-Balke and Lüning 1990; Fig. 2). Ba = Barley; Ek = Einkorn; Em = emmer; Sp = Spelt

**Table 1.** Outline of the Hambach Forest experiment from 1979-1984, showing weed surveys and soil disturbance measures

	1979	1980	1981	1982	1983	1984
<i>Plots 1-10:</i>						
<i>Tillage prior to sowing</i>	Hand weeding	Hand weeding	Rotavator	Rotavator	Rotavator/hoe	Rotavator
<i>Weeding during crop growth</i>	Hand weeding	Hand weeding	Hand weeding	-	Hand weeding/ hoeing	Hand weeding/ hoeing
<i>Weed survey</i>	Survey	Survey	Survey	Survey	-	Survey
<i>Plots 11-20:</i>						
<i>Tillage prior to sowing</i>	-	Ard-plough	Ard-plough	Ard-plough/hoe	Rotavator/hoe	Rotavator
<i>Weeding during crop growth</i>	-	-	-	-	Hand weeding/ hoeing	Hand weeding/ hoeing
<i>Weed survey</i>	Survey	Survey	Survey	Survey	-	Survey
<i>Plot 21:</i>						
<i>Tillage prior to sowing</i>	-	Burning	Burning	Rotavator	Rotavator/hoe	Rotavator
<i>Weeding during crop growth</i>	-	-	-	-	Hand weeding/ hoeing	Hand weeding/ hoeing
<i>Weed survey</i>	-	-	-	Survey	-	Survey

sons (Sigaut 1975, pp 18-29, 99; Engelmark 1995). Very little information is available from historical accounts on the floristic composition of these weed floras. Moreover, most of these accounts relate to coniferous woodland areas on poor soils; the weed floras of shifting cultivation in deciduous woodland may be quite different (Engelmark 1995).

A number of archaeologically-motivated experiments have included short-term cultivation of newly cleared fields in woodland areas: the Draved Forest experiment in southern Jutland, Denmark (Iversen 1956; Steensberg 1957, 1979), the Butser slash-and-burn experiment in Hampshire, England (Reynolds 1977), the Hambach Forest experiment near Cologne, Germany (Lüning and Meurers-Balke 1980; Meurers-Balke and Lüning 1990), the Chassemy experiment in the Aisne Valley, France (Firmin 1981, 1984) and the Umeå experiment in northern Sweden (Engelmark 1989, 1995; Viklund 1998, pp 27-28, 36-38). In addition, a new set of experiments was begun in 1994 near Stuttgart (Rösch 2000, Rösch et al. this volume). Of the completed experiments, the Hambach Forest experiment (Fig. 1) is particularly relevant to the interpretation of LBK and later weed assemblages in the loess regions of western-central Europe: the plots were laid out on newly-cleared, loess-based soil which had supported mixed oak woodland since early medieval times. Furthermore, the weed floras growing in experimental plots were surveyed just prior to harvest time over multiple cultivation seasons. Experimental conditions differed in some ways from those of the Neolithic, for example oak-hornbeam woodland rather than the lime-dominated woodland of the Atlantic period (Meurers-Balke and Lüning 1990). Nevertheless, the weed surveys conducted during the experiment provide comparative data of direct relevance to the interpretation of archaeobotanical weed assemblages. Three general observations on the development of the Hambach weed floras (Meurers-Balke and Lüning 1990; J. Meurers-Balke personal communication) deserve emphasis: 1. weed growth on the newly cleared soil was abundant, even by harvest time in the first cultivation

season; 2. burning increased the vigour of both crop and weed growth; 3. floristically, the weed floras did not resemble the typical archaeobotanical weed assemblages known from LBK-Rössen sites in the Rhineland.

Thanks to the generosity of the scholars who conducted the Hambach experiment, the detailed weed survey data collected have been made available to the author for analysis. The aims of this analysis are to investigate how the weed floras on freshly cleared experimental plots developed over the first six years of cultivation and to compare the Hambach weed floras in ecological terms with the extensive archaeobotanical weed dataset available from Neolithic sites in the loess belt of western-central Europe. If the Hambach floras differ not only floristically but also ecologically from the archaeobotanical weed assemblages, the relevance of shifting cultivation to Neolithic farming in the loess belt should be seriously questioned.

## Materials and methods

### *The Hambach weed survey data*

The layout of experimental plots in the Hambach Forest and the cereal crops grown on each plot are shown in Fig. 2. Husbandry techniques used and the timing of weed surveys are shown in Table 1. Weed survey data were collected immediately prior to harvest time by Lohmeyer (1980; unpublished weed survey data) from 1979-1984. It should be noted that the seed corn used in the experiment was specially counted out for the calculation of seed yield ratios and thus virtually weed-free (J. Meurers-Balke personal communication). Soil disturbance measures - tillage prior to sowing and weeding during crop growth - varied over the course of the experiment, becoming more severe in response to increasing competition from weeds (Table 1). Thus, while some plots received no tillage or weeding in the first year, all plots were tilled by rotavator (rotary hoe) prior to sowing and weeded during crop growth in the last two years (Table 1). One plot (21) was burned prior to sowing for two consecutive years, followed by tillage by rotavator in subsequent years (Table 1). All surveys conducted on plots 1-21 (plots 22-24 were not surveyed) in 1979-1982 and 1984 (Table 1) were included in the

**Table 2.** Conversion of the cover/abundance scale used to record the weed surveys to a numerical scale

Cover/abundance scale	Numerical scale
v	1
r	2
+	3
I	4
II	5
III	6
IV	7

new analysis. Weed species occurring in at least 5% (five or more) of these plot surveys were included in analyses. These taxa are listed in Appendix 1. Trees and shrubs such as *Rubus* spp. were excluded because of their inability to set seed in disturbed conditions and so be harvested in seed; such taxa would not be present in archaeobotanical assemblages. Ferns and mosses were also left out because they do not produce seeds. In total, the analysis was based on 102 individual plot surveys (experimental plots surveyed in a given year) and 50 weed species. Lohmeyer (unpublished survey data) scored the weed species in each experimental plot survey on a phytosociological cover/abundance scale. In order to analyse these data, cover/abundance values were converted to a numerical scale as shown in Table 2.

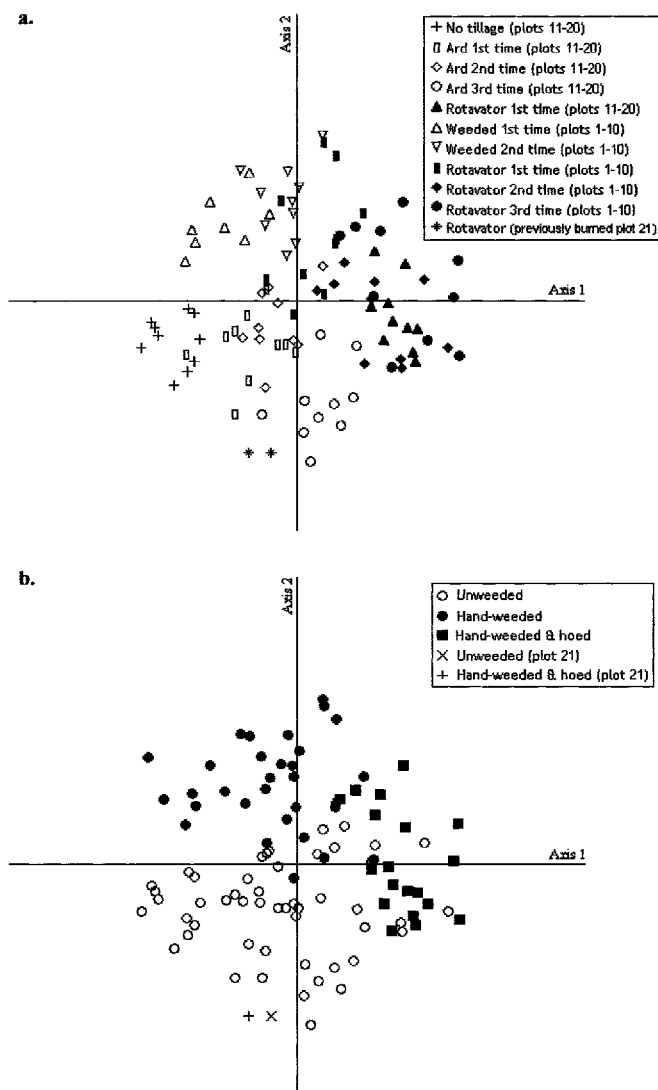
Correspondence analysis was used to explore variation in the weed survey data. Like other ordination techniques, correspondence analysis arranges sites (here, experimental plots surveyed in a certain year) along axes on the basis of their species (here, their weed species) composition. CANOCO for Windows (ter Braak and Smilauer 1997-1999) was used to carry out the correspondence analysis and CANODRAW (Smilauer 1992) to plot the results. In all correspondence analysis diagrams, axis 1 was plotted horizontally and axis 2 vertically. In the correspondence analysis diagram of experimental plots, symbols were used to indicate husbandry practices. In the correspondence diagram of species, weed species were coded according to the general habitat categories (based on groupings of phytosociological classes - *Klassengruppen*) presented by Ellenberg et al. (1992). Life history information was taken from Rothmaler (1995).

#### Selection of archaeobotanical weed data for comparison with Hambach data

Archaeobotanical data from the loess belt (Fig. 1) were selected for consideration because of the extensive archaeobotanical dataset recovered from LBK and later Neolithic sites in the region. A review was carried out of archaeobotanical data (samples of charred plant remains) from Neolithic sites (c. 5500-2200 cal B.C. - Lüning 2000, Fig. 2) published up to and including 1999. This research was focused on the loess belt from Belgium to Hungary, Slovakia and Poland; few archaeobotanical data from Neolithic sites in the loess belt further east are available. Unpublished work by the author on archaeobotanical material from ongoing excavations at LBK Vaihingen/Enz in south-west Germany (Krause et al. 1998) was also considered. Because weed-rich samples are the most likely to give a representative picture of the original field weed floras, samples containing at least 30 charred seeds of wild/weed taxa identified to species or to small species groups (that is, containing up to three species) were selected. Samples from the same archaeological deposit which were also similar in their species composition were amalgamated so that each "sample" in the analysis repre-

sents a separate deposit or context; samples combining material from separate deposits were excluded. In some cases, original species identifications were adjusted in accordance with the accepted identifiability of the taxa concerned; thus, for example, seeds originally identified as *Rumex sanguineus* but lacking the distinctive perianth were put in the category *R. conglomeratus/sanguineus* since charred seeds of these species without the perianth cannot be reliably separated (Jacomet et al. 1989, p 303). Standardised identification categories for the "potential weed" taxa occurring in the selected archaeobotanical samples are listed in Appendix 2. The seeds of shrubs and trees unlikely to set seed in disturbed conditions (such as *Rubus* spp., *Prunus* spp.) were excluded from consideration as "weeds". General habitat category (Ellenberg et al. 1992) and life history information (Rothmaler 1995) were assembled for the "potential weed" taxa in the selected archaeobotanical samples.

A total of 262 weed-rich archaeobotanical samples from 57 Neolithic sites in the loess belt of western-central Europe were selected (Table 3). Most of the sites (46 out of 57, c. 81%) are in Germany (especially the lower Rhine, Baden-Württemberg and Bavaria); the remainder are in France (1 site), Belgium (1 site), The Netherlands (3 sites), Poland (2 sites) and Slovakia (4 sites) (Table 3). This very uneven distribution of sites with weed-rich samples reflects the uneven concentration of archaeobotanical



**Fig. 3.** Correspondence analysis diagram of experimental plot surveys coded by a, tillage method prior to sowing and b, weeding during crop growth

**Table 3.** The sites and samples selected. EN = early Neolithic; MN = middle Neolithic; LN = later Neolithic

Location	Sites	Source	Date	Samples	No.
<b>Belgium - Hainaut</b>					
Aubechies		Bakels and Rouselle 1985	EN (LBK)		2
<b>France - Aisne valley</b>					
Villeneuve-St-Germain		Bakels 1984	MN (Villeneuve-St-Germain)		1
<b>Germany - Baden-Württemberg</b>					
Aldingen		Piening 1986a, 1992	LN (Schwieberdingen)		1
Ditzingen		Piening 1998	EN (LBK)		4
Ehrenstein		Hopf 1968	LN (Schussenried)		9
Endersbach		Piening 1979, 1982	MN (Großgartach)		2
Erbedingen-Hochdorf		Küster 1985	LN (Schussenried)		29
Freiburg-Geisingen		Piening 1988	LN (Schussenried)		2
Grossachsenheim		Piening 1986b	LN (Schussenried)		2
Heilbronn-Klingenberg		Stika 1996	EN (LBK), LN (Michelsberg)		2
Hilzingen		Stika 1991	EN (LBK)		6
Vaihingen/Enz		Bogaard unpublished data	EN (LBK)		58
<b>Germany - Bavaria</b>					
Aiterhofen		Bakels 1983/4	EN (LBK)		2
Altdorf		Bakels 1983/4	EN (LBK)		1
Enkingen		Kreuz 1990	EN (LBK)		1
Ergolding-Fischergasse		Küster 1989; Hinton 1995	LN (Altheim)		1
Galgenberg		Hinton 1999	LN (Altheim)		1
Hienheim/Donau		Bakels 1986	EN (LBK)		1
Meindling		Bakels 1992	EN (LBK)		11
Osterhofen-Schmiedorf		Küster 1995	MN (SBK/Oberlauterbach)		1
Schernau		Hopf 1981	MN (Bischheim)		1
Sengkofen „Pfatterbreite“		Hopf 1992	LN (Michelsberg)		1
Ulm-Eggingen		Gregg 1989	EN (LBK)		15
<b>Germany - Lower Rhine</b>					
Bedburg-Garsdorf		Knörzer 1974	EN (LBK)		4
Aldenhoven		Knörzer 1967	EN (LBK)		1
Aldenhoven 1		Knörzer 1997	MN (Rössen)		2
Hambach 260		Knörzer 1997	MN (Großgartach)		1
Inden 1		Knörzer 1997	MN (Rössen)		7
Inden 3		Knörzer 1997	MN (Rössen)		1
Köln/Mengenich		Knörzer 1967	EN (LBK)		1
Kückhoven		Knörzer 1998	EN (LBK)		2
Lamersdorf		Knörzer 1967	EN (LBK)		4
Langweiler		Knörzer 1971b	MN (Rössen)		2
Langweiler 16		Knörzer 1997	EN (LBK)		2
Langweiler 2		Knörzer 1973	EN (LBK)		1
Langweiler 3		Knörzer 1972	EN (LBK)		1
Langweiler 6		Knörzer 1972	EN (LBK)		1
Langweiler 8		Knörzer 1988	EN (LBK)		22
Langweiler 9		Knörzer 1977	EN (LBK)		5
Laurenzberg 7		Knörzer 1997	EN (LBK)		9
Laurenzberg 8		Knörzer 1997	EN (LBK)		4
Meckenheim/Bonn		Knörzer 1967	EN (LBK)		2
Rödingen		Knörzer 1967	EN (LBK)		2
Wanlo/Wickerath		Knörzer 1980	EN (LBK)		3
<b>Germany - Lower Saxony</b>					
Göttingen-Kleiner Hagen		Meyer and Willerding 1961; Willerding 1969	EN (LBK)		1
Lietfeld		Hopf 1957	LN (Bernburg)		1
<b>Germany - Mosel valley</b>					
Siebenborn „Vor Tonguich“		Bakels 1993	EN (LBK)		3
<b>Germany - South Hessen</b>					
Bruchenbrücken		Kreuz 1990	EN (LBK)		4
<b>The Netherlands - Limburg</b>					
Beek (Southern Limburg)		Bakels 1978, 1979; Bakels and Rouselle 1985	EN (LBK)		5
Geleen (Haesselderveld)		Bakels 1979; Bakels and Rouselle 1985	EN (LBK)		2
Maastricht-Randwijck		Bakels et al. 1990	MN (Rössen)		4
<b>Poland - South (Krakow)</b>					
Iwanowice-Klin		Litynska 1990	MN (Lengyel)		1
Mogiła 62		Gluzza 1971, 1983; Gluzza et al. 1988	MN (Lengyel)		2
<b>Slovakia - South</b>					
Blatné		Hajnalová 1988, 1989	EN (LBK)		2
Kamenin		Hajnalová 1989	LN (Baden)		1
Štúrovo		Hajnalová 1975, 1983	EN (LBK)		1
Svodín		Hajnalová 1986	MN (Lengyel), LN (Baden)		4

research in western-central Europe (Modderman 1988). Most of the sites are located on or near (c. <0.5 km) loess-based soil. Following the broad chronological divisions of the Neolithic in Lünig (2000, Fig. 2), most of the weed-rich samples (185 out of 262, c. 71%) date to the early Neolithic (LBK, c. 5500-5000 cal B.C.); c. 11% (29 out of 262) to the middle Neolithic (Rössen/Lengyel, c. 5000-4400 cal B.C.) and c. 18% (48 out of 262) to the later Neolithic (*Jungneolithikum* and *Spätneolithikum*, c. 4400-2800 cal B.C.) (none of the samples date to the final period, *Endneolithikum*) (see also Table 3).

The selected archaeobotanical samples were analysed to determine the crop processing stage(s) from which they derive as part of doctoral research by the author. About half of the samples appear to represent unmixed by-products and products of crop processing, while the remaining samples are of more mixed or ambiguous origin. In analyses of the archaeobotanical data presented here, all of the samples were included because the results were very similar when only unmixed samples were analysed. It should be noted, however, that the reconstruction of other aspects of crop husbandry, such as crop sowing time, is affected by the processing status of samples and so requires unmixed by-products and products.

## Results and discussion

### *The impact of different husbandry measures on the Hambach weed floras*

As noted above, the methods used to till experimental plots prior to sowing became increasingly severe over the course of the experiment, from no tillage or tillage by weeding only in 1979 through to tillage by rotavator in later years (Table 1). In Fig. 3a, data points representing experimental plots are shown distributed along correspondence axes 1 (horizontal) and 2 (vertical), with symbols indicating method of tillage. A clear horizontal trend is evident, from no tillage (+ signs) or tillage by weeding (open triangles) towards the negative (left) end of axis 1, through tillage by ard (open rectangles, diamonds and circles) in an intermediate position to tillage by rotavator (filled symbols) at the positive (right) end. Thus, the spread of points along axis 1 appears to represent a trend in tillage method, with increasing severity of soil disturbance from left to right. In addition, axis 1 seems to reflect the cumulative effect of tillage methods over time. Thus, plots tilled by weeding for the first time occur closer to the negative (left) end of axis 1 than the same plots tilled by weeding for the second time. Similarly, plots which were ard-ploughed for three consecutive years tend to be arranged sequentially along axis 1; such a trend is less evident among the plots tilled by rotavator for three years. Axis 1, therefore, appears to reflect increasingly severe tillage methods from left to right, the effect of most methods becoming more extreme when repeated through time. The major exceptions to the tillage trend along axis 1 are the surveys of plot 21 (asterisks in Fig. 3a); this plot was tilled by rotavator in both survey years but had previously been burned prior to sowing (see Table 1).

In Fig. 3b, symbols indicate whether or not plots were weeded (by hand or hand plus hoe) during the crop growing season, and weeded and unweeded plots are clearly separated on axis 2. The separation becomes less clear towards the positive (right) end of axis 1, suggesting that the additional impact of weeding during the crop growing sea-

son becomes less marked as tillage method becomes increasingly severe (cf. Jones et al. 1999). A partial separation of hoed and unhoed plots is also seen along axis 1 but this pattern may be an artifact of the association between hoeing and tillage by rotavator (Table 1). The exceptions to the patterning along axis 2 are again the surveys of plot 21. This plot was weeded in one survey year (+ sign) but this plot survey occurs at the extreme negative (bottom) end of axis 2 with the unweeded plots.

To summarise, it appears that axis 1 reflects a trend in the severity of pre-sowing disturbance (tillage) whereas axis 2 relates to disturbance during the crop growing season (weeding). The surveys of plot 21 (burned in 1980-1981), however, do not conform to the tillage trend on axis 1 or to the weeding trend on axis 2 (Figs. 3a-b). Field notes gathered during the experiment (J. Meurers-Balke personal communication) suggest that the release of nutrients by burning had a positive effect on crops and weeds alike, causing both to grow more vigorously on burned plots. The implication of these observations and of the position of plot 21 surveys in the correspondence analysis diagram (Figs. 3a-b) is that burning reduced the effectiveness of disturbance measures (tillage and weeding).

No other husbandry variables (such as type of cereal grown - diagrams not shown) showed any clear relationship with axes 1 and 2.

### *The development of the weed floras*

In Fig. 4, data points representing experimental plots are shown as pie charts indicating the relative proportions of annual and perennial weed taxa present in each plot. It is evident that these proportions do not tend to change along either axis; throughout, plots are dominated by perennial weeds. In fact, based on all taxa in each plot survey (except trees, shrubs, ferns and mosses — see materials and methods section, above), the percentage of perennial weeds ranges from 57 to 100% (average 80%). Despite increasingly severe disturbance measures, therefore, conditions in the Hambach experimental plots remained favour-

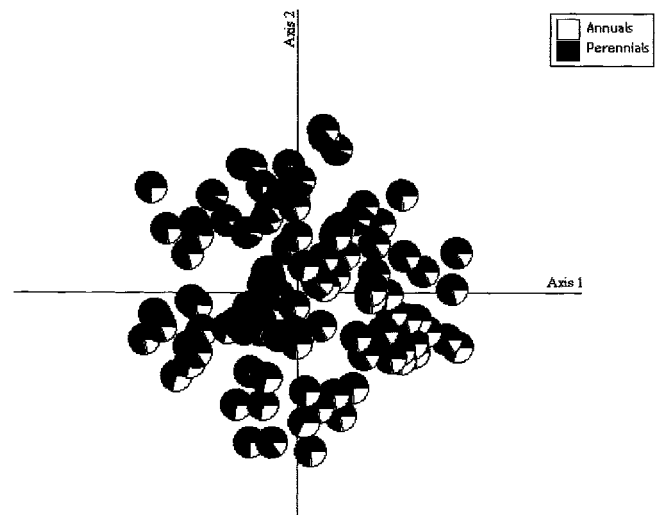
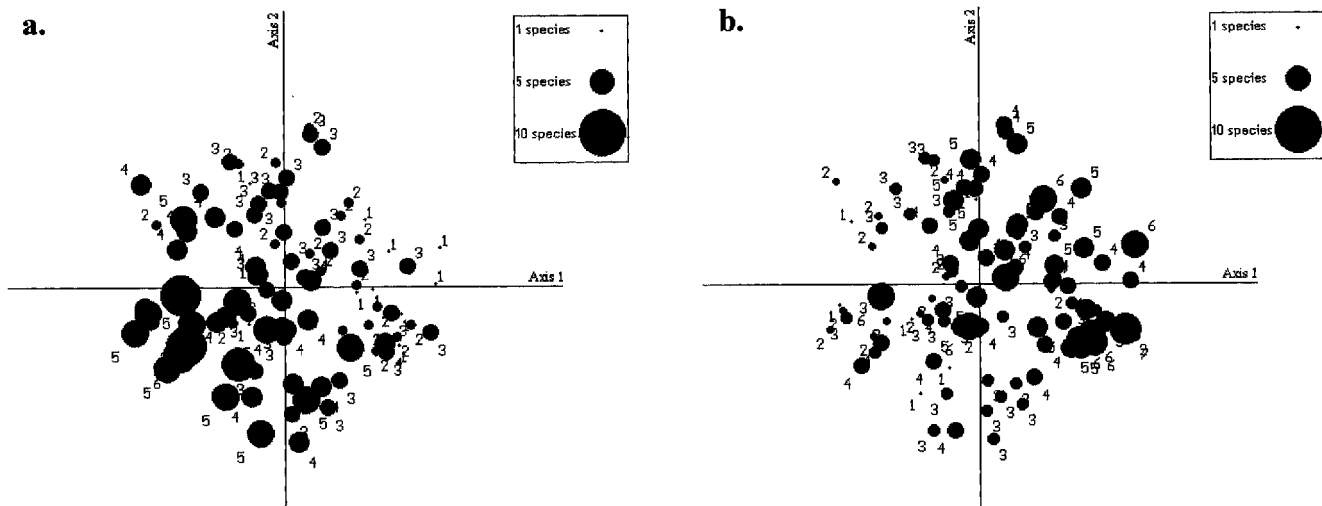


Fig. 4. Correspondence analysis diagram of experimental plot surveys showing proportions of annual and perennial weed species present



**Fig. 5.** Correspondence analysis diagram of experimental plot surveys showing numbers of a, perennial species of woodland and b, perennial species of disturbed habitats present.

able for perennials throughout the six-year period covered by the surveys. Annuals were able to colonise the plots from the first cultivation season onwards but were always outnumbered by perennials.

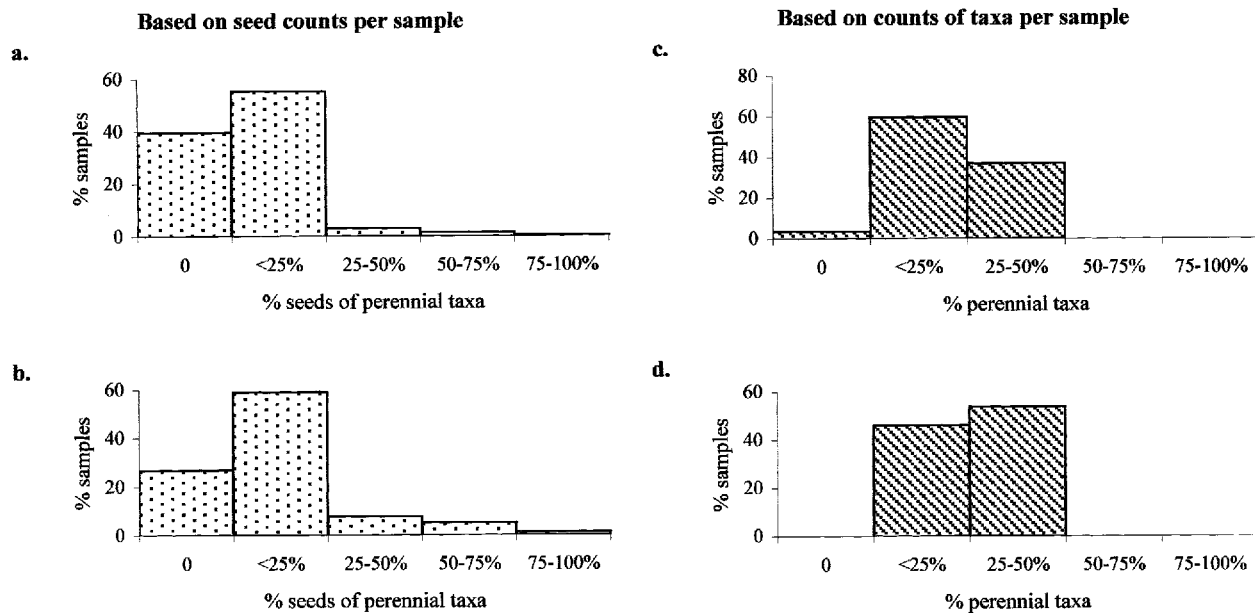
Whereas the proportions of annual and perennial weeds present in experimental plots do not appear to reflect variable levels of soil disturbance (tillage and weeding), trends are evident in the presence of perennials from different habitats. In Fig. 5, the size of points illustrates the number of woodland perennial taxa (Fig. 5a) or perennial taxa from disturbed habitats (Fig. 5b) present in each experimental plot survey; this number is also shown adjacent to each point. In Fig. 5a, woodland perennials are seen to be most numerous in plots of the bottom left quadrant, which received the least soil disturbance (no tillage or light tillage by ard and no weeding during crop growth - Fig. 3). The lowest numbers of woodland perennials occur in plots of the top right quadrant, which received the most soil disturbance (tilled by rotavator, with hand weeding or hand weeding plus hoeing during crop growth - Fig. 3). In terms of the number of woodland perennials per plot, therefore, the trend appears diagonal, decreasing from bottom left to top right. Fig. 5b, illustrating the number of perennials from disturbed habitats, such as pioneer, ruderal and arable ones, present per plot survey, shows a diagonal trend in the opposite direction, with numbers tending to increase from bottom left to top right, so from the least to most disturbed plots.

Trends in the presence of *annual* weeds from woodland versus disturbed habitats are less clear. Only one woodland annual species (*Moehringia trinervia*) occurred in the experimental plots, though, like the woodland perennials, it is particularly associated with the lowest levels of soil disturbance (diagram not shown). The remaining annual species - all of more or less disturbed habitats - do not show any clear trend in relation to disturbance level (diagram not shown). The appearance of annuals from non-woodland habitats in experimental plots during the first cultivation season was particularly noted by Lohmeyer (1980). These annuals were absent from the woodland flora prior to clearance and were probably

brought to the experimental area on car tyres, shoes etc. (Lohmeyer 1980); as noted above, no weed seeds were present in the seed corn.

#### Discussion of Hambach results

Correspondence analysis of the weed survey data has demonstrated that the weed floras of newly cleared plots receiving low-level soil disturbance, that is no/light tillage and no weeding, are rich in perennial taxa, particularly woodland perennials. The latter could regenerate from roots/rhizomes in the soil or from the local seed bank or recolonise the cleared ground from adjacent woodland areas. Recently cleared plots receiving higher levels of disturbance are also dominated by perennial weeds but are characterised particularly by perennials of disturbed habitats. These may also derive, at least partly, from the local flora including the seed bank; Ellenberg (1996, p 768) has noted that the seeds of perennials from non-woodland habitats are often present in woodland areas on the soil surface or in the seed bank and germinate following clearance. Annuals occur at low levels in relatively undisturbed and highly disturbed plots alike and derive partly from the local woodland flora but mostly from highly disturbed anthropogenic habitats elsewhere (brought in on shoes etc.). In a shifting cultivation regime, with little or no tillage and weeding, it appears that the weed flora would be dominated by perennials, including woodland perennials, though possibly with some non-woodland annuals present (that is, brought in from other anthropogenic habitats, possibly via the seed corn). This outline of the weed flora associated with shifting cultivation agrees with general observations from other experiments on the weed floras in newly cleared and burned fields (Engelmark 1995; Röscher 2000). The least predictable influence on these floras is the proximity and character of non-woodland habitats from which plants could colonise plots, including any weed seeds in the seed corn (cf. Dierschke 1988; Engelmark 1989). The most predictable influence is the



**Fig. 6.** Histograms showing proportions of perennial "weeds" in the archaeobotanical samples: a, all weed-rich samples ( $n=262$ ); b, samples containing at least 30 weed seeds after the removal of *Chenopodium album* ( $n=156$ ); c, weed-rich samples containing at least 10 weed taxa ( $n=57$ ); d, samples containing at least 30 weed seeds and 10 weed taxa after the removal of *C. album* ( $n=39$ ).

local woodland flora itself since, by definition, cultivation areas in a shifting cultivation regime are newly cleared of woodland (Dennell 1978, p 37).

While this general model of a weed flora dominated by perennials, including woodland perennials, is readily applicable to archaeobotanical data, there are two caveats. First, in order to be "visible" archaeobotanically, weeds must set seed and their seeds must be harvested with the crop. Perennial plants regenerating from seed or roots/rhizomes after clearance and burning, however, would vary in their ability to set seed in the first cultivation season after clearance. Indeed, the weed survey data from the Hambach experiment (Lohmeyer 1980; unpublished survey notes) suggest that trees and woody shrubs such as *Rubus* remained vegetative throughout the experiment; for this reason, they were excluded from the correspondence analysis (see materials and methods, above). Data on the length of the "pre-reproduction period" of herbaceous perennials are few but it is clear that some - including woodland perennials such as *Epilobium montanum* (Grime et al. 1988, p 246; cf. Ellenberg 1996, p 768) - can set seed within a few months of germination. Other newly germinated biennial/perennial species normally require at least a year of vegetative growth before they flower and set seed (such as *Cirsium* spp. - Grime et al. 1988, pp 198, 200). The archaeobotanical "visibility" of perennial weeds, therefore, would tend to increase in the second and later cultivation seasons of a shifting cultivation regime (cf. Steensberg 1979, p 23; Engelmark 1995; Rösch 2000). Assuming that prehistoric shifting cultivation allowed more than one cultivation season in newly cleared areas, as suggested by Reynolds (1977) based on the Butser slash-and-burn experiment and attested in many accounts of historical shifting cultivation in Europe (for example Sigaut 1975, pp 121-124; Steensberg 1993, pp 98-153), an abundance of perennial weeds ought to register archaeobo-

tanically. A second and related complication is the severity of burning on newly cleared plots. Very intensive burning of the soil surface could potentially lead to virtual sterilisation of the soil (Ellenberg 1996, p 709), whereas less severe burning, as in the Hambach experiment, increases the vigour of crop and weed growth alike. A similar "positive" effect on weed growth has been observed to result from stubble burning in East Anglia (Evans 1969, p 20). Clearly, the effects of burning on weed growth could have been variable in the past, sometimes resulting perhaps in virtually 'weedless' harvests, in other cases leading to abundant weed growth. In summary, it seems reasonable to expect that widespread shifting cultivation in the Neolithic would have left some definite evidence behind in archaeobotanical weed assemblages, namely an abundance of perennial weeds, including woodland perennials.

#### *Comparison of Hambach weed floras with archaeobotanical weed assemblages*

Fig. 6a summarises the proportions of perennial 'weed' taxa in the archaeobotanical samples based on seed counts. Fig. 6b shows these proportions in samples containing at least 30 weed seeds after the removal of *Chenopodium album* - an annual species which appears to have been collected separately at some Neolithic sites and so may not always represent an arable weed harvested with crops (Knörzer 1967, 1988; Bakels 1979, 1983/4). Figs. 6a and b show very similar results: most samples contain only annual taxa or are dominated by annual taxa. A very small proportion of samples (ca. 2% in Fig. 6a,c, 6% in Fig. 6b) contain at least 50% perennial taxa. Fig. 6c,d shows proportions of perennial taxa present in samples containing at least 10 weed taxa in total. No samples in Fig. 6c,d contains 50% or more perennial taxa. In contrast to the proportions of perennials in the Hambach experi-



**Table 4.** Woodland species in the archaeobotanical samples. EN = early Neolithic; MN = middle Neolithic; LN = later Neolithic; TS = Total samples

Site Sample date	Aldenhoven 1 MN (Rössen)	Bedburg-Garsdorf EN (LBK)	Inden 3 MN (Rössen)	Langweiler EN (LBK)	Lietfeld LN (Bernburg)	Schernau MN (Bischheim)	TS
<b>Woodland perennials</b>							
<i>Brachypodium sylvaticum</i>	-	-	-	-	-	1	1
<i>Stachys sylvatica</i>	1	1	1	-	-	-	3
<i>Stellaria holostea</i>	-	-	-	-	1	-	1
<b>Woodland annual</b>							
<i>Moehringia trinervia</i>	-	-	-	1	-	-	1

mental plots (57%-100% of weed species present), therefore, the archaeobotanical samples appear richer in annual taxa.

As noted above, there may be a tendency for perennial weeds to be under-represented archaeobotanically, especially in the first cultivation year after clearance, since seed set may be delayed in some taxa. Even if a minority of perennial taxa in a sample were accepted as compatible with shifting cultivation, however, woodland perennials are extremely rare in the archaeobotanical samples. Only three woodland perennial species occur in a total of only five samples (c. 2 % of the total 262) (Table 4). The extreme rarity of woodland perennials *per se* is unlikely to be due to slow perennial seed set since there should be no particular bias against seed set in these perennials as opposed to perennials from other habitats. In contrast to the Hambach experimental plots receiving little soil disturbance, which tended to contain c. 3-6 woodland perennial species each (Fig. 5a), the five archaeobotanical samples each contain only one woodland perennial species (Table 4). Furthermore, none of these samples contains 50% or more perennials (based on either seed counts or counts of taxa).

A sixth sample contains a woodland annual species (*Moehringia trinervia* - Table 4) but, like the five samples containing woodland perennials, this sample is not dominated by perennial taxa. Though the general rarity of woodland annuals in the European flora (only a few are listed in Ellenberg et al. 1992) may explain the uniqueness of *M. trinervia* in both the Hambach experiment and the archaeobotanical samples, it does not explain the rarity of its seeds in archaeobotanical samples. Furthermore, because of their annual life-cycle, the rarity of these woodland taxa in the archaeobotanical samples cannot be explained by slow seed set.

## Conclusions

The dominance of annual weeds and rarity of woodland taxa (in particular the rarity of woodland perennials) in the archaeobotanical samples under consideration strongly suggests that they do not derive from newly cleared fields as managed in a shifting cultivation regime, and that fallow periods, if used, were not long enough for woodland vegetation to re-establish itself. Even the few samples containing woodland taxa are not very convincing as evidence of shifting cultivation and could, in any case, reflect early

phases in the establishment of "new" permanent fields. Indeed, even in the absence of widespread shifting cultivation, it is remarkable that such cases are so rarely represented.

Regardless of the possibly indigenous, "Mesolithic" heritage of early farmers in the loess belt of western central Europe, the clear implication of this study is that Neolithic crop fields were "fixed" in the landscape and that cultivated ground was carefully maintained and preserved for long periods of time. Similar views have recently been put forward on the basis of archaeobotanical weed data from lakeshore sites around the Alps (for example, Jacomet et al. 1989; Brombacher and Jacomet 1997; Maier 1999) and from Scandinavia (Engelmark 1995), areas where the "indigenous context" of early farming is widely accepted (for example Bogucki 1996). Based on this archaeological evidence, different forms of "fixed field" agriculture - including high-yielding cultivation of cereals on a garden scale (Charles et al. 2002) - deserve serious consideration through the development of analogies between archaeobotanical weed data and modern weed floras developed under known conditions. Further work on the ecological interpretation of archaeobotanical weed data (for instance, by application of the FIBS method - Charles et al. 1997, 2002; Bogaard et al. 1999, 2000, 2001; Jones et al. 2000) should permit a more detailed analysis of Neolithic husbandry practices and their social and economic implications.

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**Appendix 1.** Weed species recorded in Hambach experimental plots and included in analyses. Nomenclature follows Tutin et al. 1964-80

*Agrostis canina*  
*Agrostis stolonifera*  
*Agrostis capillaris*  
*Ajuga reptans*  
*Anemone nemorosa*  
*Calamagrostis epigejos*  
*Carex sylvatica*  
*Centaurium erythraea*  
*Cerastium glomeratum*  
*Cerastium fontanum*  
*Cirsium arvense*  
*Cirsium palustre*  
*Cirsium vulgare*  
*Convallaria majalis*  
*Deschampsia cespitosa*  
*Epilobium adenocaulon*  
*Epilobium angustifolium*  
*Epilobium montanum*  
*Eupatorium cannabinum*  
*Galeopsis tetrahit*  
*Omalotheca sylvatica*  
*Filaginella uliginosa*  
*Hypericum humifusum*  
*Hypericum maculatum*  
*Hypericum pulchrum*  
*Juncus bufonius*  
*Juncus effusus*  
*Lotus pedunculatus*  
*Luzula multiflora*  
*Chamomilla recutita*  
*Milium effusum*  
*Moehringia trinervia*  
*Mycelis muralis*  
*Oxalis acetosella*  
*Plantago major*  
*Poa annua*  
*Poa trivialis*  
*Polygonum hydropiper*  
*Ranunculus repens*  
*Scrophularia nodosa*  
*Senecio sylvaticus*  
*Senecio viscosus*  
*Sonchus asper*  
*Stachys sylvatica*  
*Stellaria holostea*  
*Stellaria media*  
*Taraxacum officinale* group  
*Tussilago farfara*  
*Urtica dioica*  
*Viola riviniana*

**Appendix 2.** Standardised identification categories for the "potential weed" taxa occurring in the selected archaeobotanical samples

<i>Agropyron caninum</i>	<i>Picris hieracioides</i>
<i>Agrostemma githago</i>	<i>Pimpinella saxifraga</i>
<i>Ajuga genevensis/reptans</i>	<i>Plantago lanceolata</i>
<i>Aphanes arvensis</i>	<i>Plantago major</i>
<i>Arenaria serpyllifolia</i>	<i>Plantago media</i>
<i>Artemisia vulgaris</i>	<i>Poa annua</i>
<i>Asperula arvensis</i>	<i>Poa compressa/nemoralis/palustris</i>
<i>Astragalus glycyphyllos</i>	<i>Poa pratensis</i> group/trivialis
<i>Atriplex patula/prostrata</i>	<i>Polygonum amphibium</i>
<i>Brachypodium pinnatum</i>	<i>Polygonum aviculare</i> group
<i>Brachypodium sylvaticum</i>	<i>Polygonum lapathifolium</i>
<i>Bromus arvensis/hordeaceus/secalinus</i>	<i>Polygonum minus</i>
<i>Bromus erectus</i>	<i>Polygonum mite</i>
<i>Bromus racemosus</i>	<i>Polygonum persicaria</i>
<i>Bromus sterilis/tectorum</i>	<i>Potentilla anserina</i>
<i>Carex muricata</i>	<i>Potentilla argentea</i>
<i>Carex spicata</i>	<i>Potentilla reptans</i>
<i>Centaurea jacea</i>	<i>Prunella vulgaris</i>
<i>Centaurea scabiosa</i>	<i>Ranunculus acris</i>
<i>Chenopodium album</i> group	<i>Ranunculus repens</i>
<i>Chenopodium ficifolium</i>	<i>Rhinanthus minor</i>
<i>Chenopodium glaucum</i>	<i>Rumex acetosa/thyrsiflorus</i>
<i>Chenopodium hybridum</i>	<i>Rumex acetosella</i>
<i>Chenopodium polyspermum</i>	<i>Rumex conglomeratus/sanguineus</i>
<i>Chenopodium urticum</i>	<i>Rumex crispus/obtusifolius</i>
<i>Cirsium vulgare</i>	<i>Sambucus ebulus</i>
<i>Conium maculatum</i>	<i>Saponaria officinalis</i>
<i>Cruciata laevipes</i>	<i>Scirpus setaceus</i>
<i>Daucus carota</i>	<i>Scleranthus annuus</i>
<i>Digitaria ischaemum</i>	<i>Senecio vulgaris</i>
<i>Digitaria sanguinalis</i>	<i>Setaria pumila</i>
<i>Echinochloa crus-galli</i>	<i>Setaria verticillata/viridis</i>
<i>Erigeron acer</i>	<i>Sherardia arvensis</i>
<i>Erysimum cheiranthoides</i>	<i>Silene dioica</i>
<i>Fallopia convolvulus</i>	<i>Silene latifolia</i>
<i>Fallopia dumetorum</i>	<i>Silene nutans</i>
<i>Festuca pratensis</i>	<i>Silene vulgaris</i>
<i>Festuca rubra</i>	<i>Sisymbrium officinale</i>
<i>Galeopsis angustifolia/ladanum/segetum</i>	<i>Solanum nigrum</i>
<i>Galium aparine</i>	<i>Sonchus asper</i>
<i>Galium boreale</i>	<i>Stachys annua</i>
<i>Galium mollugo</i> group/ <i>verum</i> group	<i>Stachys sylvatica</i>
<i>Galium palustre</i>	<i>Stellaria graminea/palustris</i>
<i>Galium spurium</i>	<i>Stellaria holostea</i>
<i>Hyoscyamus niger</i>	<i>Stellaria media</i>
<i>Knautia arvensis</i>	<i>Trifolium arvense/campestre</i>
<i>Lamium amplexicaule</i>	<i>Trifolium dubium</i>
<i>Lapsana communis</i>	<i>Trifolium hybridum/fragiferum</i>
<i>Leontodon autumnalis</i>	<i>Trifolium montanum</i>
<i>Leucanthemum vulgare</i>	<i>Trifolium pratense</i>
<i>Linum catharticum</i>	<i>Trifolium repens</i>
<i>Lolium perenne</i>	<i>Urtica dioica</i>
<i>Lotus corniculatus</i> group	<i>Urtica urens</i>
<i>Luzula campestris/multiflora</i>	<i>Valerianella dentata</i>
<i>Malva sylvestris</i>	<i>Valerianella rimosa</i>
<i>Matricaria chamomilla</i>	<i>Verbascum nigrum</i>
<i>Moehringia trinervia</i>	<i>Veronica arvensis</i>
<i>Myosotis arvensis</i>	<i>Veronica hederifolia</i>
<i>Nepeta cataria</i>	<i>Vicia hirsuta</i>
<i>Papaver rhoeas</i>	<i>Vicia sepium</i>
<i>Pastinaca sativa</i>	<i>Vicia tetrasperma</i>
<i>Phleum pratense</i>	<i>Viola arvensis/tricolor</i>