

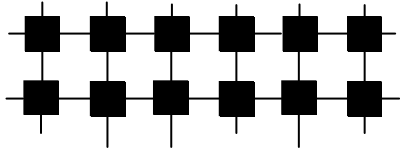
# **Surface modification of metal and metal oxide substrates by organic monolayers**

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# Surface modification



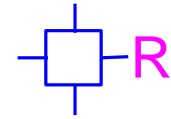
## Inorganic surface

- metal
- oxide
- hydroxide
- carbonate
- phosphate
- nitride
- sulfide
- ...

R

## Organic

- alkyl
- functional group
- polymer
- metal complex
- proteine
- enzyme
- DNA
- ...

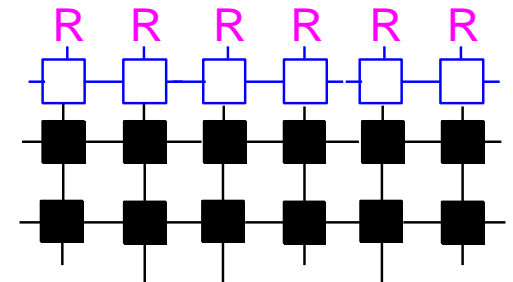


## Coupling agent

- thiol
- organoalkoxysilane
- carboxylic acid
- phosphonic acid
- ...

## Coupling Agent :

stable bonds with both **organic** and **inorganic** moieties



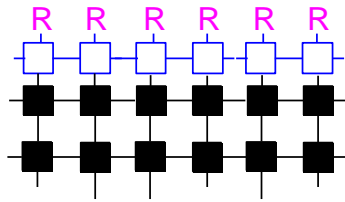
monolayer

Route to hybrid materials, complementary to Sol-Gel

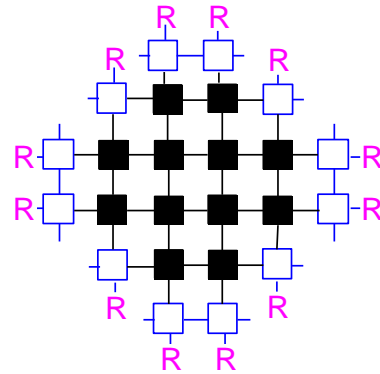
# Surfaces

**Composition:** silicium, metal (Au, Ti...), oxide, hydroxide, carbonate, phosphate, nitruce, sulfure

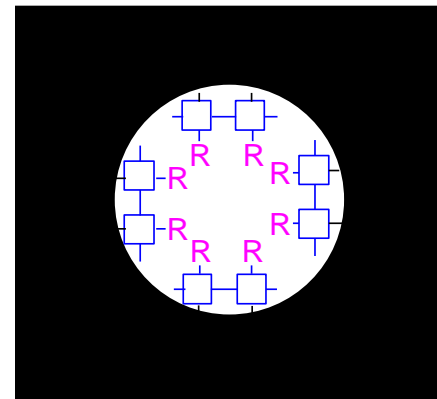
**Amorphous, microcrystalline, monocrystalline**



**Flat**



**(Nano)particles**



**Porous**

# Monolayer or self-assembled monolayer?

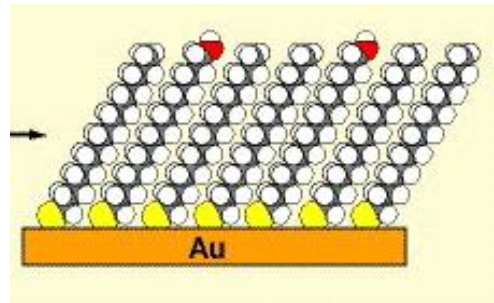
## Monolayer:

surface modification (chemical bonds with the surface)  
no lateral interaction between coupling agent molecules  
low or medium density, disordered

## Self-Assembled Monolayer = SAM

surface modification + intermolecular interactions

Usually : long alkyl chains (10 to 20 CH<sub>2</sub> groups):  
intermolecular van der Waals forces between methylene groups:  
ordered chains, high grafting density (4-4.5 /nm<sup>2</sup>)



# Thiol and disulfide coupling agents

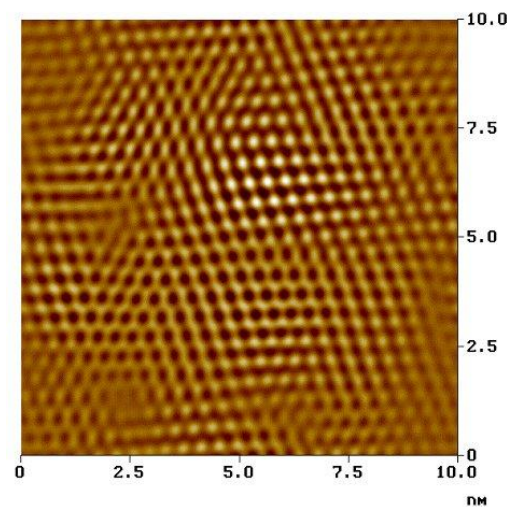
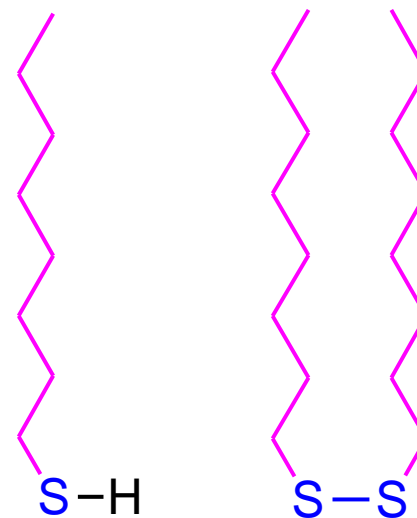
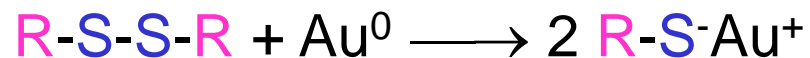
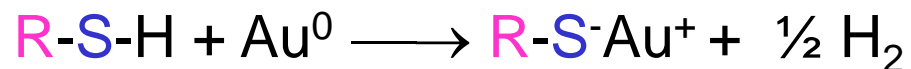
Alkylthiols, dialkyl disulfides...

**Organic:** stable S-C bonds

**Surfaces:**

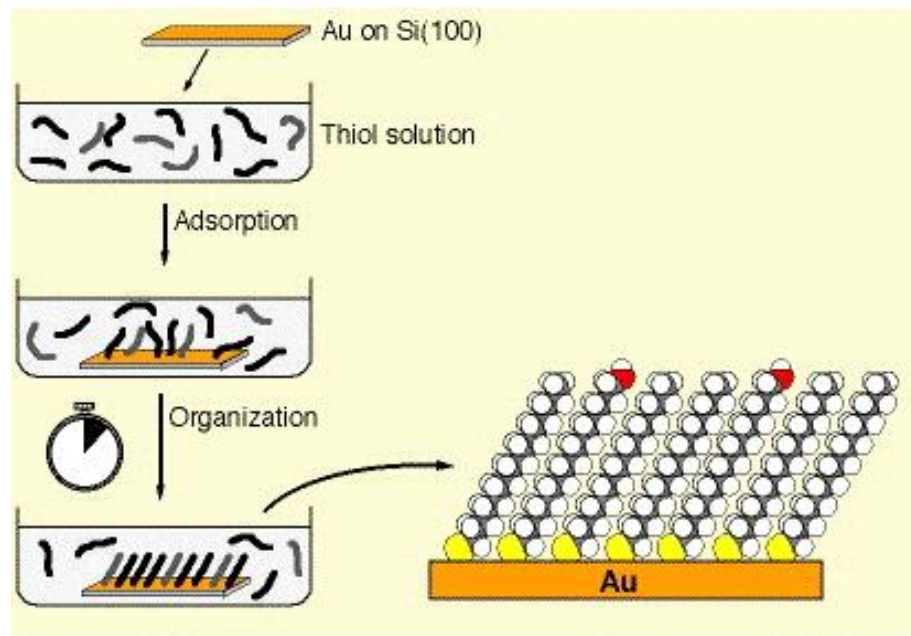
SAMs on Au(111), Pt, Ag, Cu, Hg, Fe,  
 $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>, GaAs, ZnSe, InP

**Coupling:** M-S-C bonds

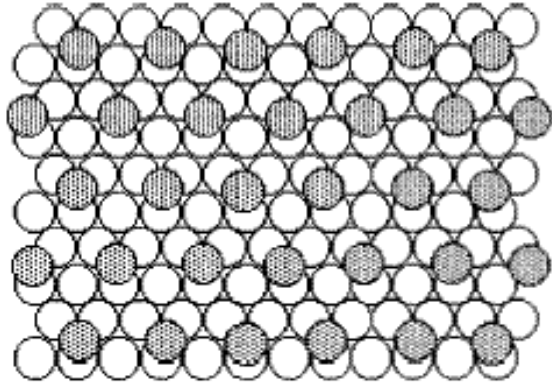


# Deposition of Alkylthiol SAMs on Au

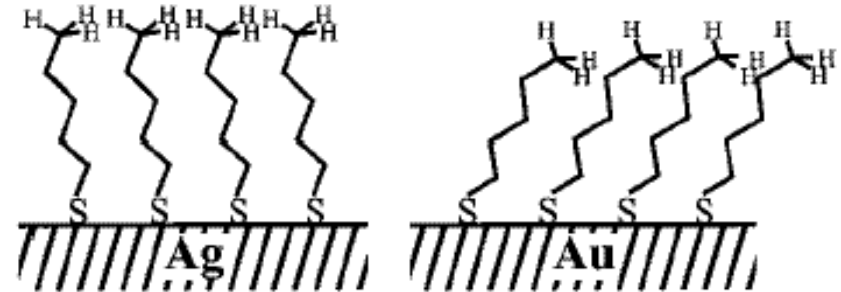
- Preferred crystal face for alkanethiolate SAM preparation Au (111)
- single crystal substrates: evaporation of thin Au films (200-400 nm) on flat supports (glass or silicon)
- Thiol concentrations: 1-2 mM, most common solvent ethanol.
- Time: a self-assembled monolayer forms very rapidly on the substrate, but it is necessary to use adsorption times of 15 h or more to obtain well-ordered, defect-free SAMs. Multilayers do not form, and adsorption times of two to three days are optimal in forming highest-quality monolayers.



# Structure



**Figure 9.** Hexagonal coverage scheme for alkanethiolates on Au(111). The open circles are gold atoms and the shaded circles are sulfur atoms.



**Figure 2.** Schematics of alkanethiol SAMs on silver and gold substrates (showing tilt angles of  $12^\circ$  and  $27^\circ$ , respectively).

## 2-D packing

### Tilt:

- to increase VdW contact alkyl chains of alkanethiol SAMs) surfactants are often tilted
- Depends on the metal (distance between M atoms)

# Characterization of density / ordering in alkyl SAMs

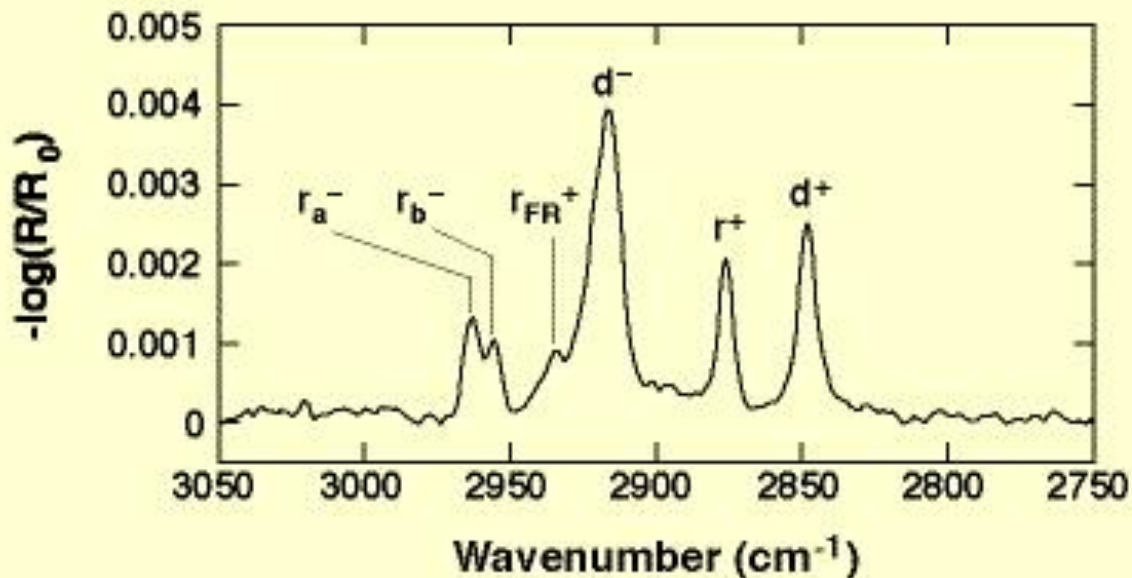
IR :CH stretching vib. very sensitive to packing density and gauche defects

as  $\text{CH}_2$  stretching vibration ( $d^-$ ) :

2916 or 2917  $\text{cm}^{-1}$  for SAMs of exceptional quality or cooled below RT

2918  $\text{cm}^{-1}$  : normal value for a high-quality SAM,

$\sim 2926 \text{ cm}^{-1}$  heavily disordered, "spaghetti-like" SAM.



IRAS spectrum of a hexadecanethiolate SAM.

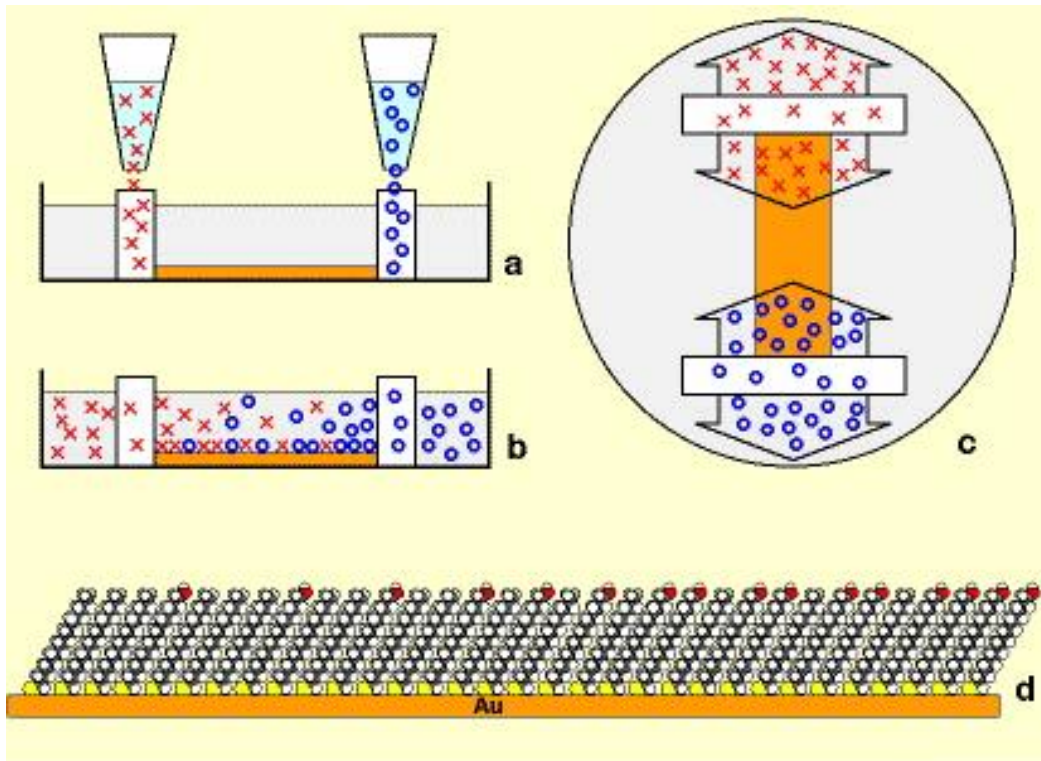
$d^+$  and  $d^-$  are the s and as  $\text{CH}_2$  stretches;  $r^+$  and  $r^-$  s and as  $\text{CH}_3$  stretches



## Mixed SAMs: two-component molecular gradients

Liedberg and Tengvall (*Langmuir* 11 (1995), 3821).

Cross-diffusion of two different thiols through an ethanol-soaked polysaccharide gel: formation of a continuous gradient of 10-20 mm length may be formed.



Preparation of two-component alkanethiolate gradients.

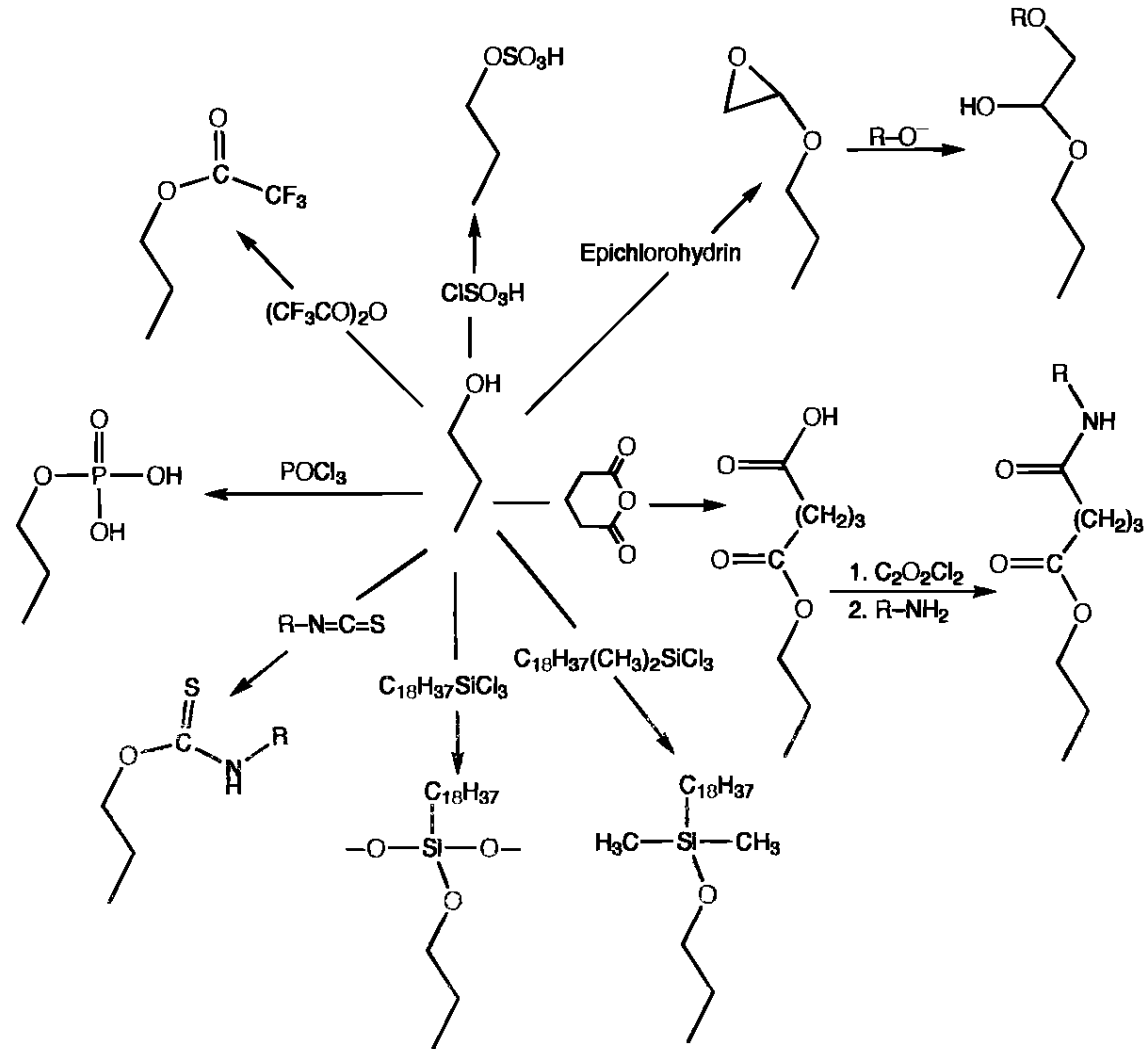
(a) The two different thiols, represented by X and O, are injected into glass filters.

(b) They diffuse slowly through the polysaccharide gel and attach to the gold substrate.

(c) Top view showing the placement of the gold substrate between the filters.

(d) Schematic illustration of a fully assembled gradient.

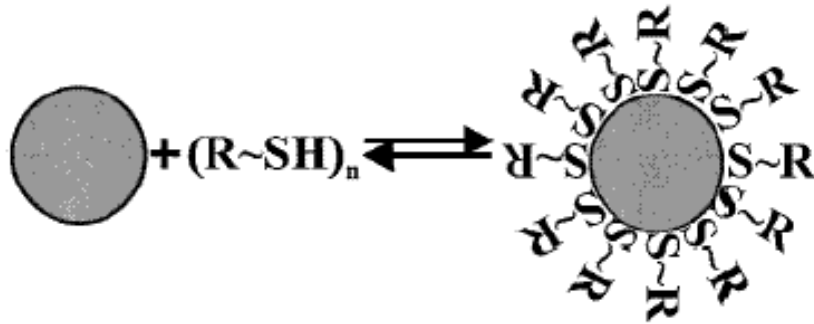
# Post-modification



Surface reactions of  $\omega$ -hydroxyalkanethiolate monolayers on Au(111).

# Monolayers on Au nanoparticles

**MPCs** (monolayer-protected clusters) = 3D analogs of 2D SAMs



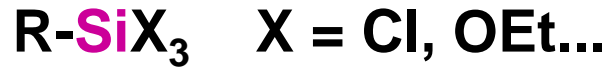
monolayers on spherical  
nanoparticle substrate surfaces  
instead of monolayers on flat  
substrate surfaces

**Figure 3.** Schematics for the capping of a nanoparticle by alkanethiol molecules (i.e., the formation of MPCs).

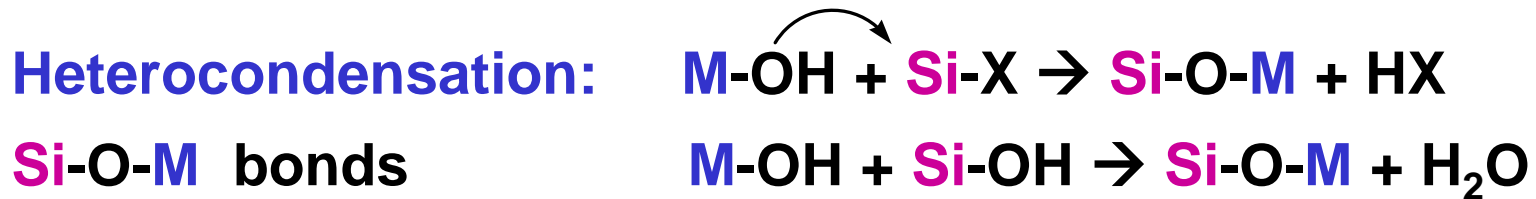
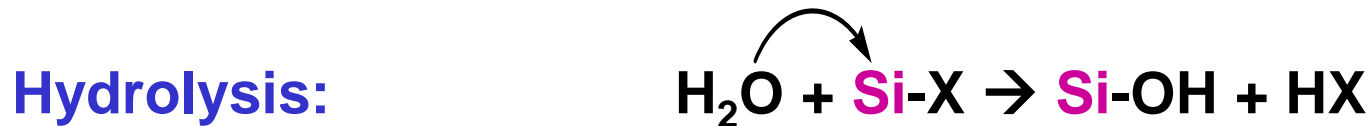
capping agents (citrate ions, thiol ligands) on gold nanoparticle surfaces can be exchanged by thiol ligand

- chemical functionalization of the nanoparticles
- transfer from solvent to solvent, storage in the dried state
- organization (and self-organization) into 2D arrays or 3D networks on solid substrates

# Silane coupling agents



easy nucleophilic attack at Si

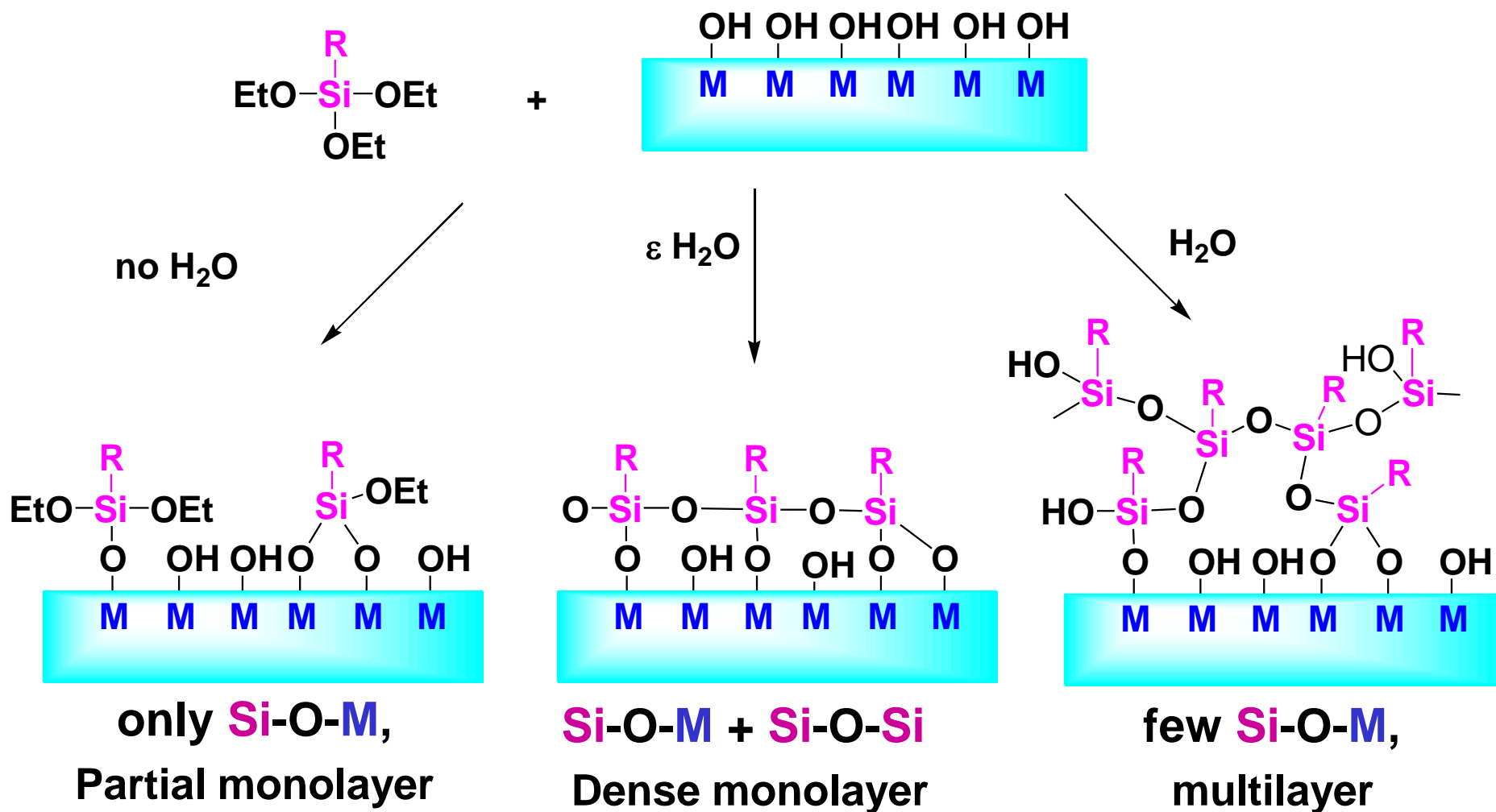


Competition heterocondensation / homocondensation

# Surface modification by trialkoxysilanes:

## Competition heterocondensation / homocondensation

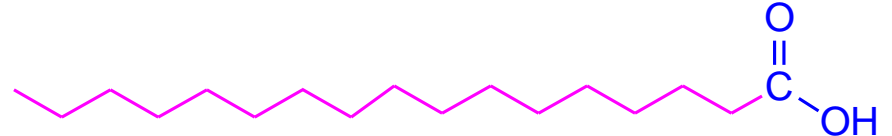
Depends on the amount of water (adsorbed, in the solvent...)



# Carboxylic acids

• RCOOH

saturated fatty acids :  $C_nH_{2n+1}COOH...$



## Surfaces:

- oxides ( $Al_2O_3$ , AgO)
- oxidized metals (Ag, Au...)
- carbonates ( $CaCO_3$ )

**Coupling:** ionic bonds

Formation of a « surface salt »  $RC(O)O^- M^+$

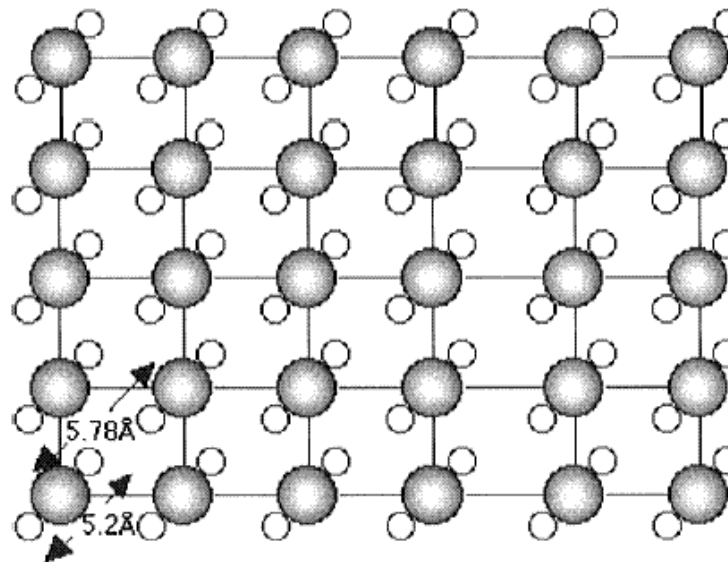
**Bonding mode:** mono- or bidentate

# Carboxylic acids

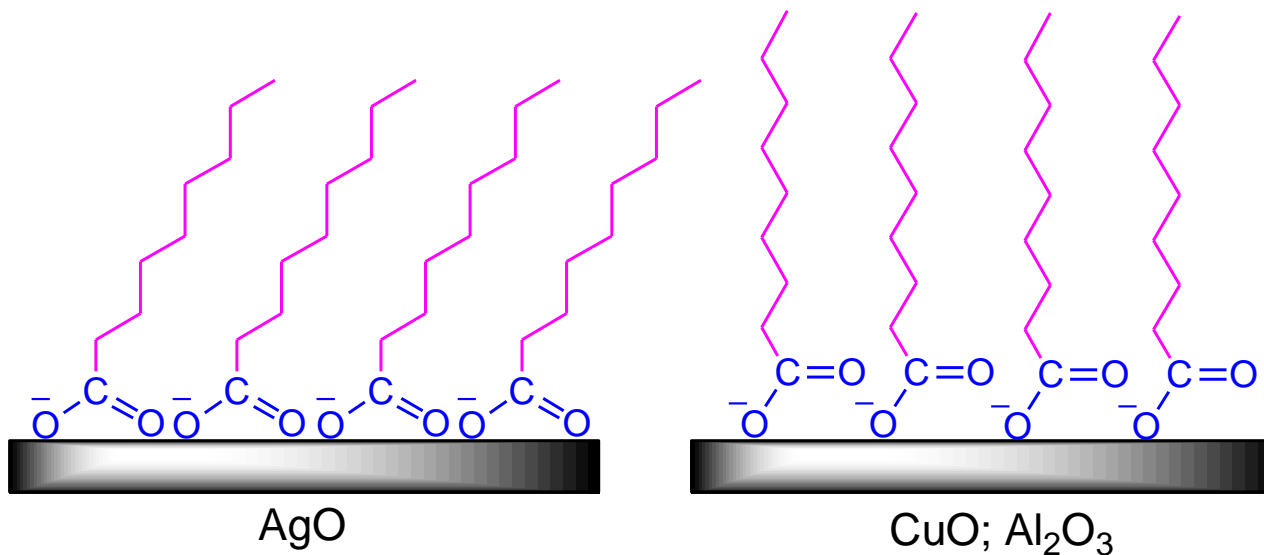
Adsorbed fatty acids on AgO.

Samart, M. G. et al

*Langmuir* **1993**, 9,1082.



Y.-T. Tao et al.,  
*J. Am. Chem. Soc.*  
**1993**, 115, 4350.



# Carboxylic acids

## Ex.: PP/ CaCO<sub>3</sub>/ stearic acid system

Surface CaCO<sub>3</sub> not compatible with PP

→ melt of PP + CaCO<sub>3</sub>: extremely high viscosity, extremely difficult mixing,

→ poor dispersion of CaCO<sub>3</sub> filler

Surface modification of CaCO<sub>3</sub> by stearic acid (C<sub>17</sub>H<sub>35</sub>COOH):

→ alkyl chains at the surface of particles

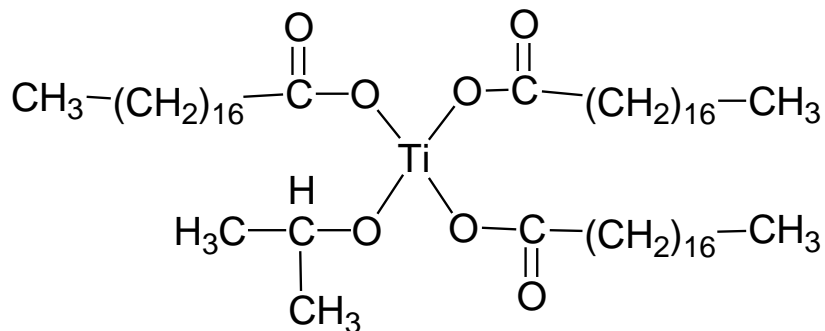
→ hydrophobic particles, good wettability by melt PP, incorporation and dispersion easier **Compatibilizer**

→ Improved mechanical properties (shock resistance)

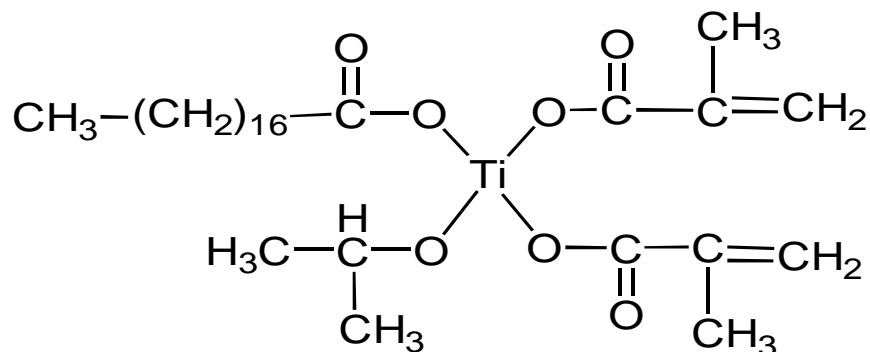


# Organotitanates

**Titanium alcoxides** (also Zr, Al), **modified** by carboxylic acids or chelating groups ( $\beta$ -dicétones, cétoesters, glycols, hydroxyacides, phosphates).



Non réactif (dispersant)



Réactif

- Commercial, goods results with  $\text{CaCO}_3$  or oxide fillers
- 1300 patents + 400 technical articles
- Mecanism?  $\text{M}-\text{OH} + \text{Ti}-\text{OR} \rightarrow \text{M}-\text{O}-\text{Ti}$  ? source of carboxylic acid?
- Real composition and structure ?????

# Titanium oxoalcoxydes

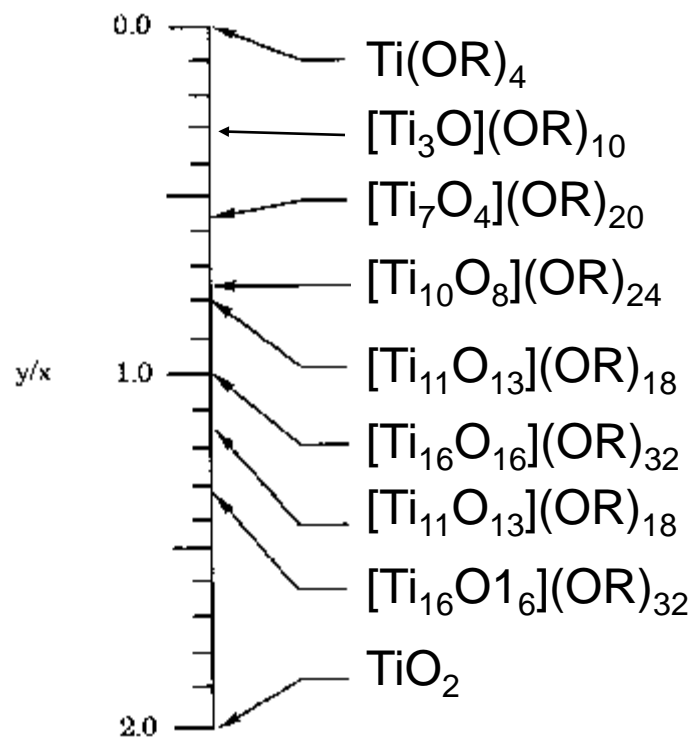
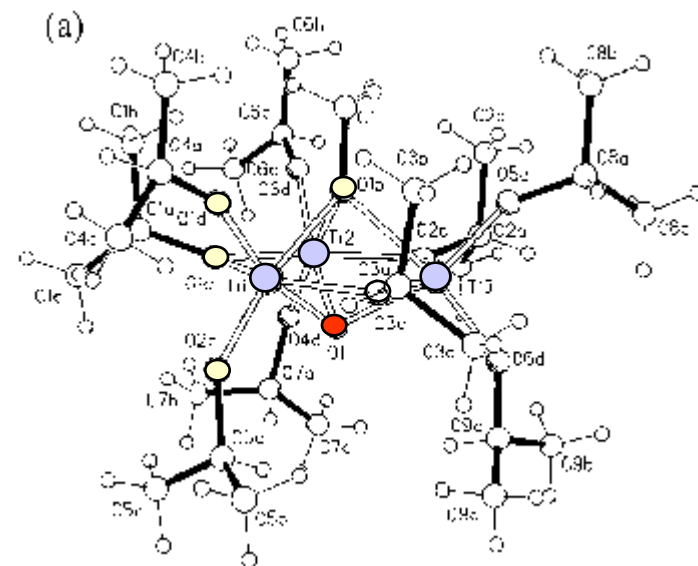
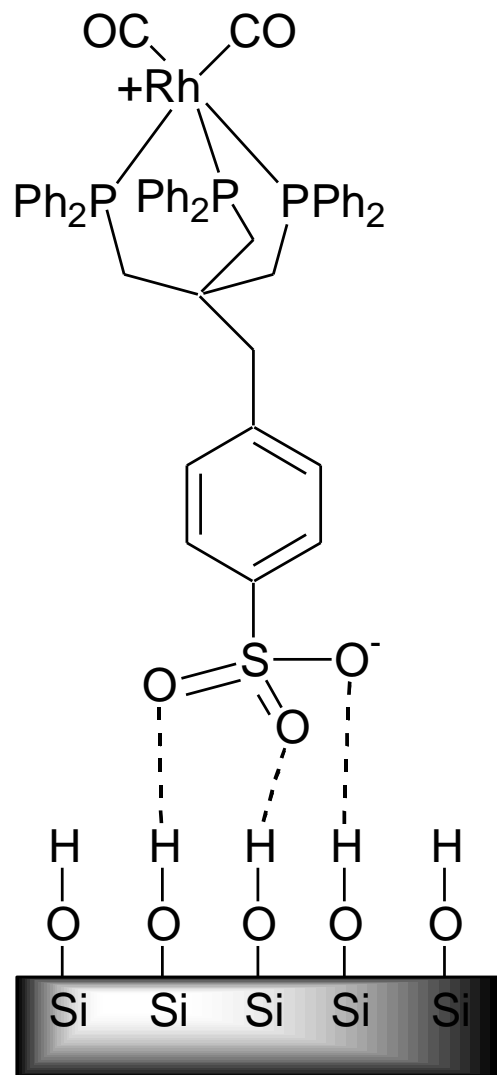


Fig. 1. Formulas of structurally-characterized titanium(IV) polyoxoalkoxides  $[Ti_xO_y](OR)_{4x-2y}$  arranged in order of their degrees of condensation relative to  $Ti(OR)_4$  and  $TiO_2$ .

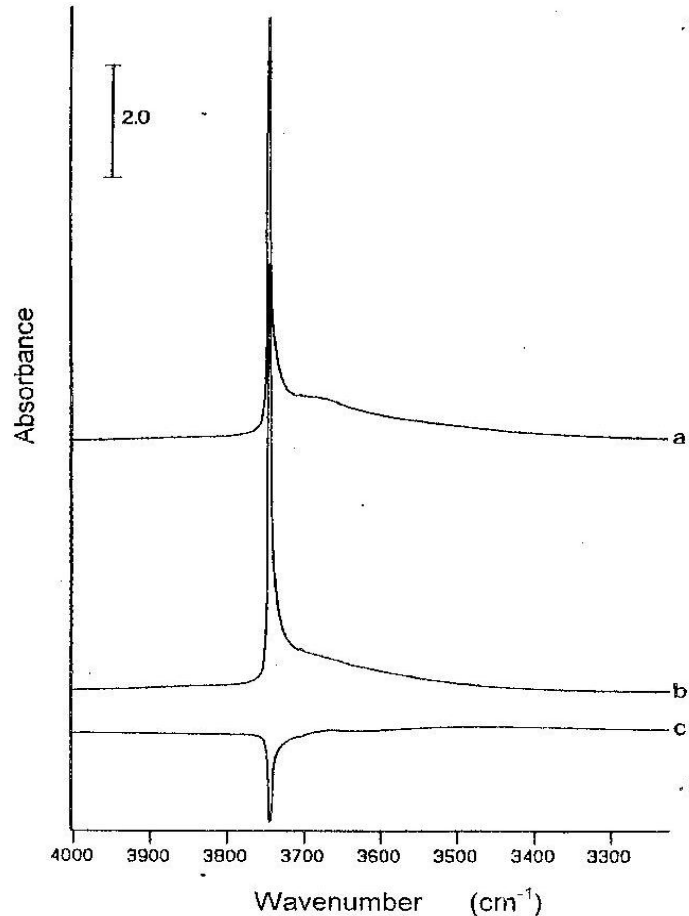


# Sulfonates coupling agents / SiO<sub>2</sub> H-bonding JACS 1999, 121, 5961



- SiO<sub>2</sub>: pretreated at 300°C
- Rh complex: dissolved in CH<sub>2</sub>Cl<sub>2</sub>
  
- Washing with CH<sub>2</sub>Cl<sub>2</sub> no loss of Rh
- Washing with MeOH: Rh complex dissolved
- Catalysis: hydrogenation and hydroformylation of alkenes, recyclable, Rh en solution < 1 ppm

# Sulfonates / SiO<sub>2</sub>: FTIR



## Conditions:

SiO<sub>2</sub>: 370 m<sub>2</sub>/g

Pellets dried at 300°C / 10<sup>-5</sup> mm Hg, 16h

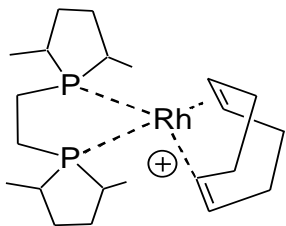
dried SiO<sub>2</sub> + sulfonate

dried SiO<sub>2</sub>

difference spectrum

- decrease of free OH
  - increase bonded OH
- ⇒ **H-bonding**

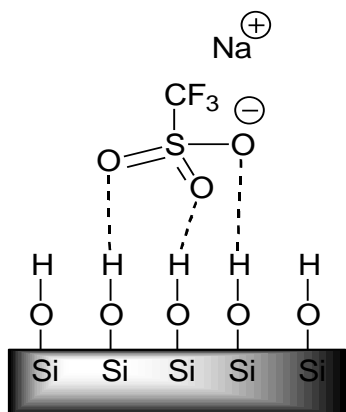
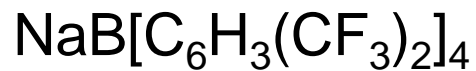
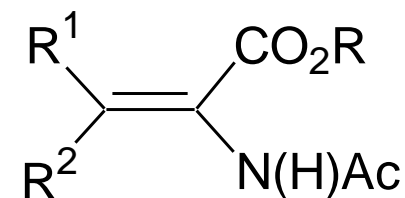
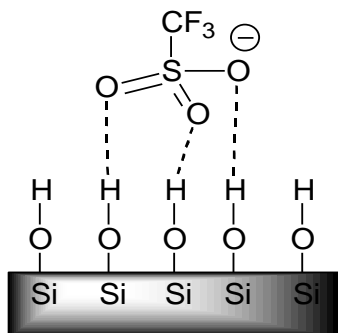
# Sulfonates / SiO<sub>2</sub> H-bonds + electrostatic bonds



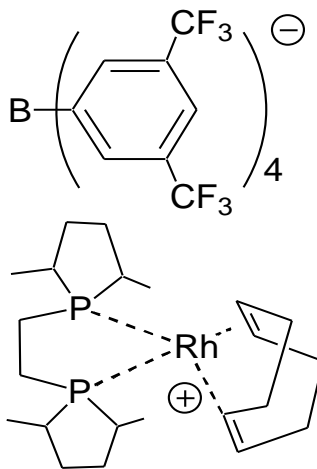
Chem. Comm. 2000, 1797

Grafting onto MCM-41

Catalysis: hydrogénation of enamides



+

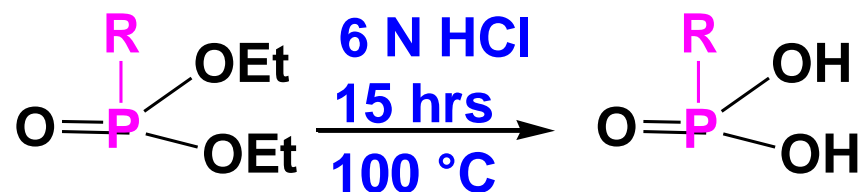


Characterization:  
<sup>31</sup>P, <sup>19</sup>F NMR

# Organophosphorus coupling agents

Nucleophilic attack at P: difficult:

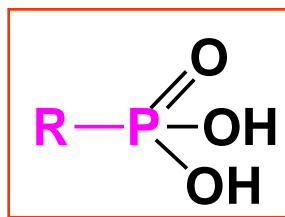
- Hydrolysis of P-OC : harsh conditions



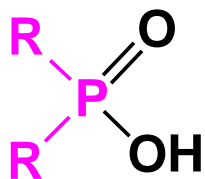
- No homocondensation of P-OH:



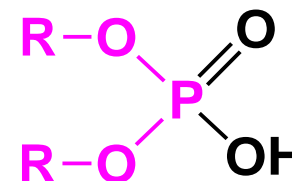
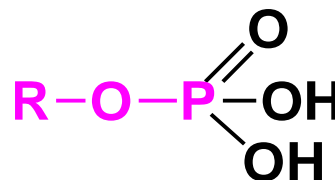
⇒ Possible coupling agents : organophosphorus acids (or salts)



phosphonic  
acids

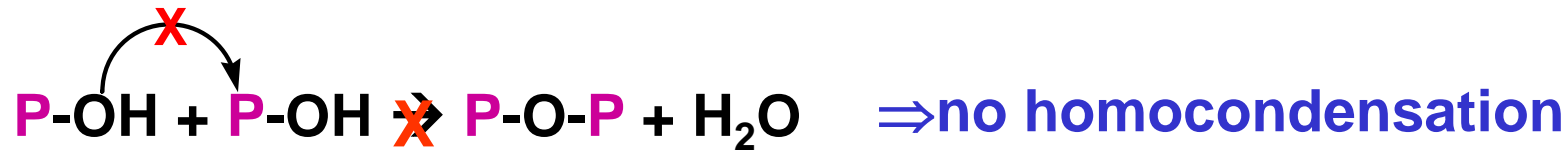


phosphinic  
acids

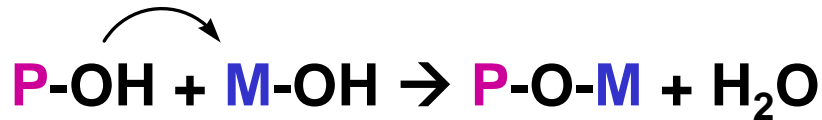


Mono- or di- esters of  
phosphoric acid

# Phosphonic acids coupling agents



Heterocondensation



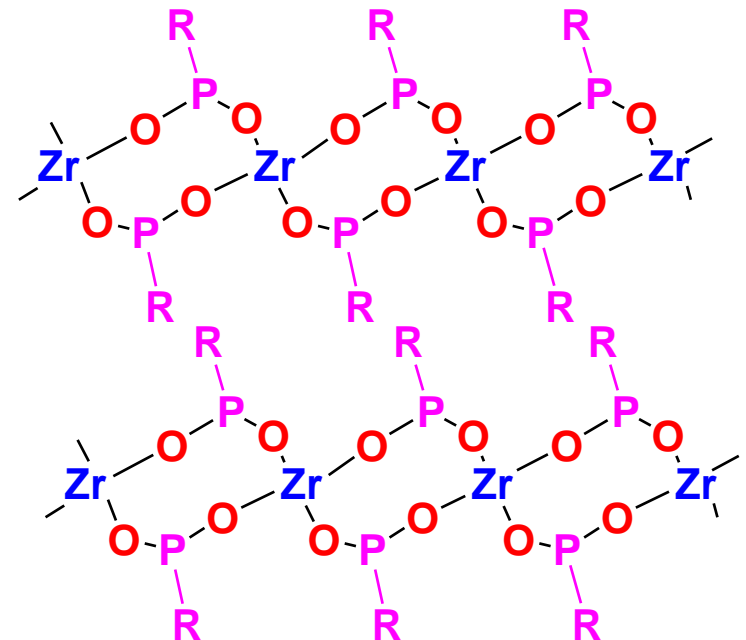
Acids stable in water:

$\Rightarrow$  surface modification in water

Great affinity for metal oxides :

$\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{Fe}_2\text{O}_3$  etc.

Ex.: metal phosphonates

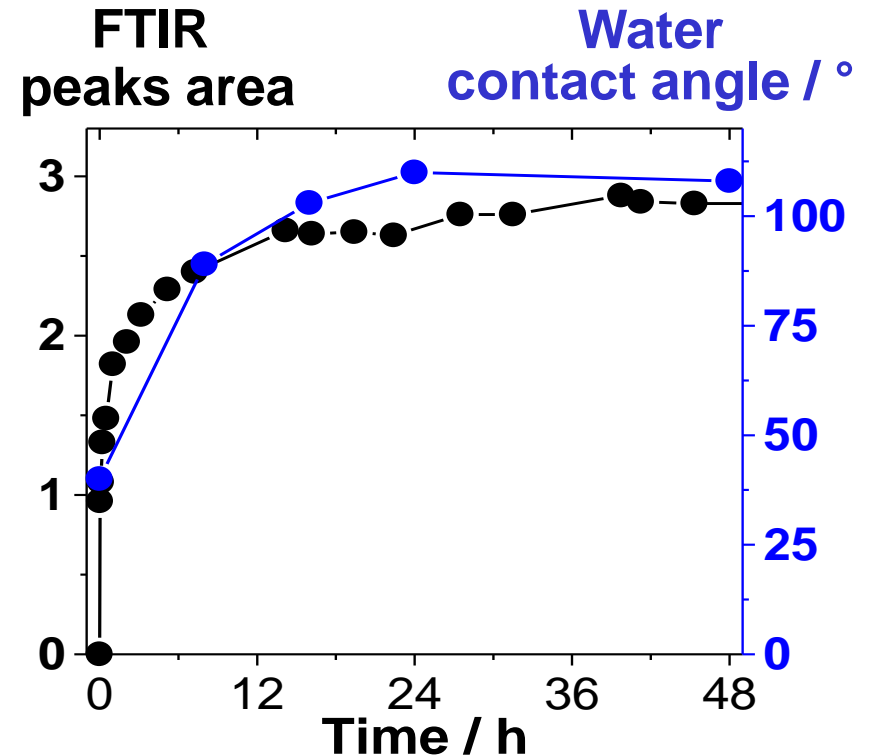
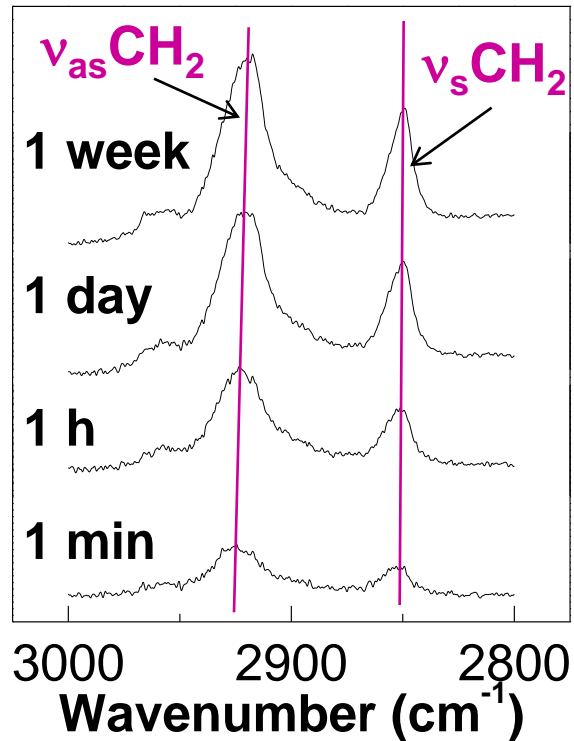
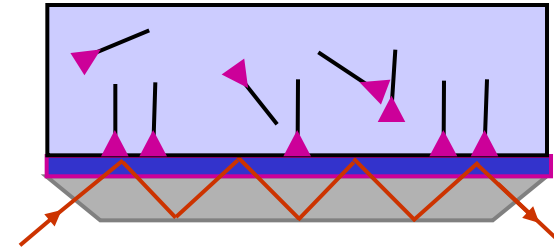


# Growth of $C_{18}H_{37}PO_3H_2$ SAMs on titanium

*in situ* multireflexion ATR FTIR

Substrate: 20 nm Ti on a silicon ATR crystal

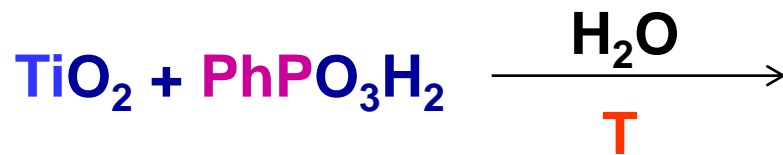
1 mM  $C_{18}H_{37}PO_3H_2$  in  $CD_3CD_2OD$ , 15 °C



• No need to control the water content, simple and reproducible!



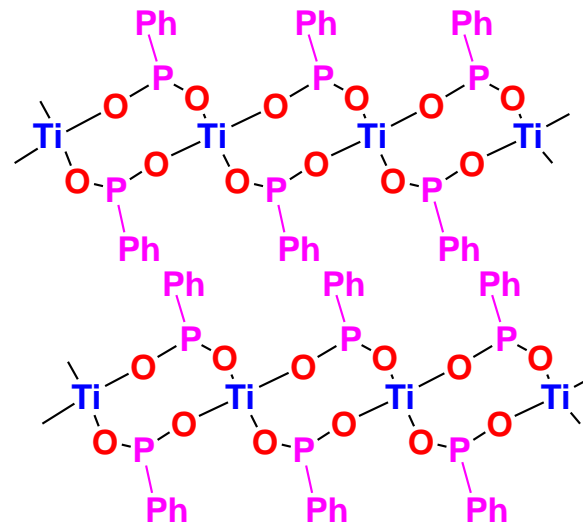
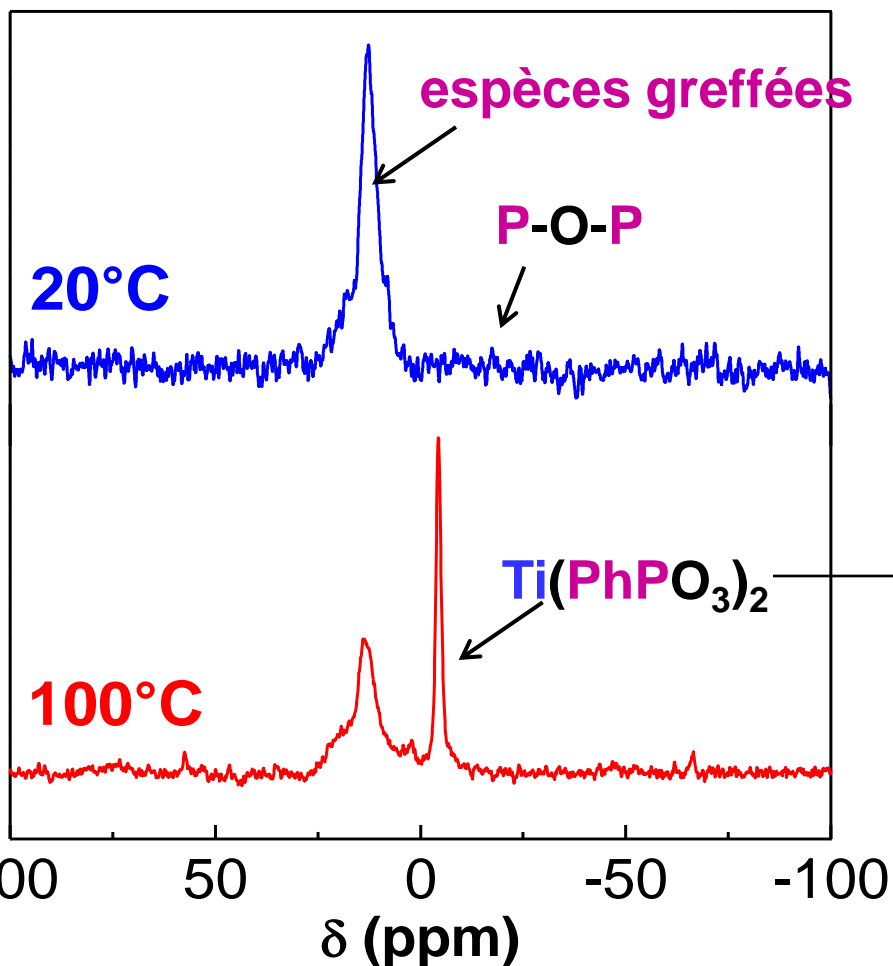
# Surface modification of TiO<sub>2</sub> particles



20°C: no P-O-P ⇒ monolayer

100°C: no P-O-P, but  
dissolution/precipitation

<sup>31</sup>P MAS-NMR



*Chem. Mater.* 2001, 13, 4367-4373

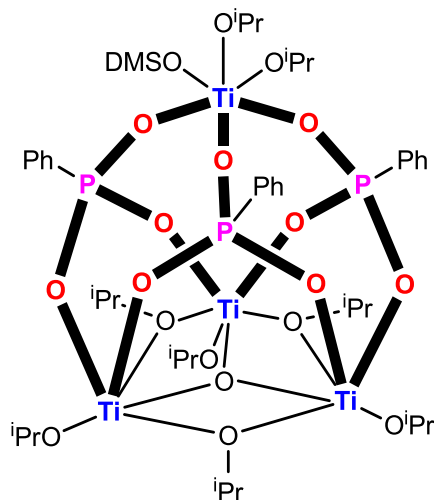
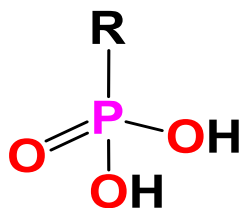
# $^{17}\text{O}$ NMR of phosphonate monolayers

collaboration F. Babonneau, C. Gervais (UPMC)

Binding of phosphonic acids to oxide surfaces: evidencing P-O-M bonds

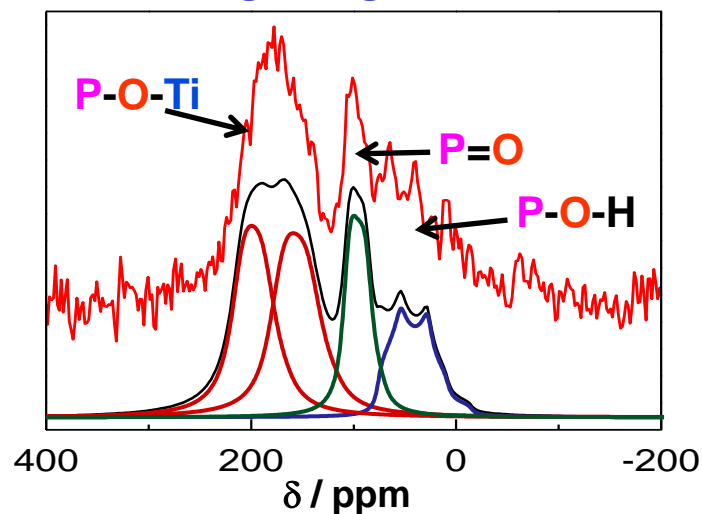
$^{17}\text{O}$ -enriched

- phosphonic acids
- model compounds
- monolayers

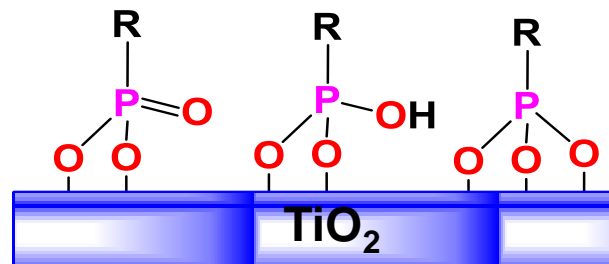


$\text{C}_{12}\text{H}_{25}\text{PO}_3\text{H}_2$  monolayer on  $\text{TiO}_2$

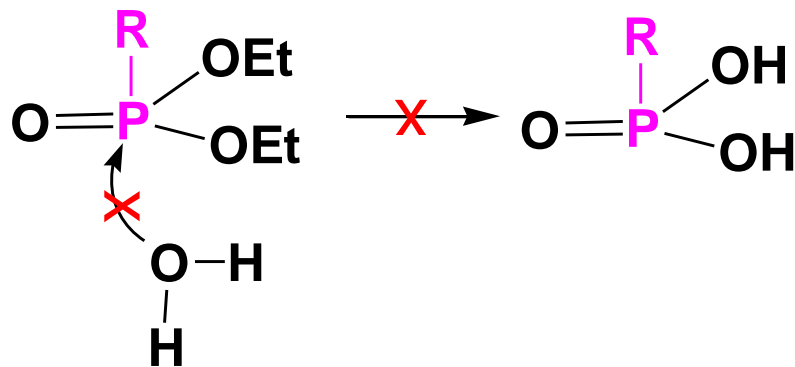
$^{17}\text{O}$  MAS-NMR



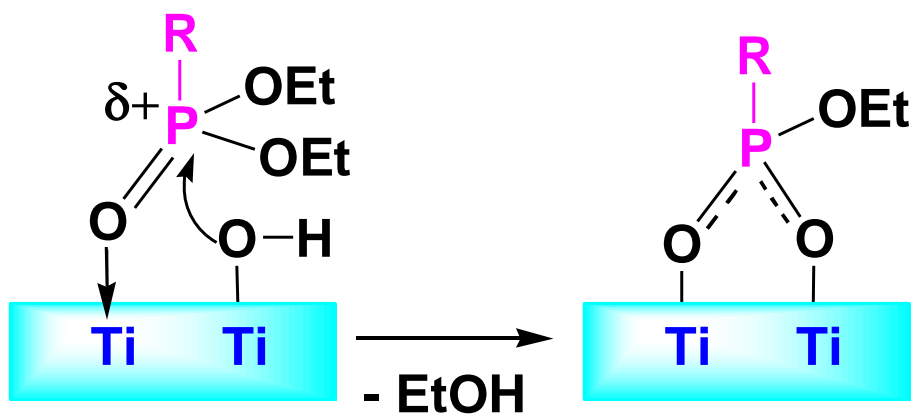
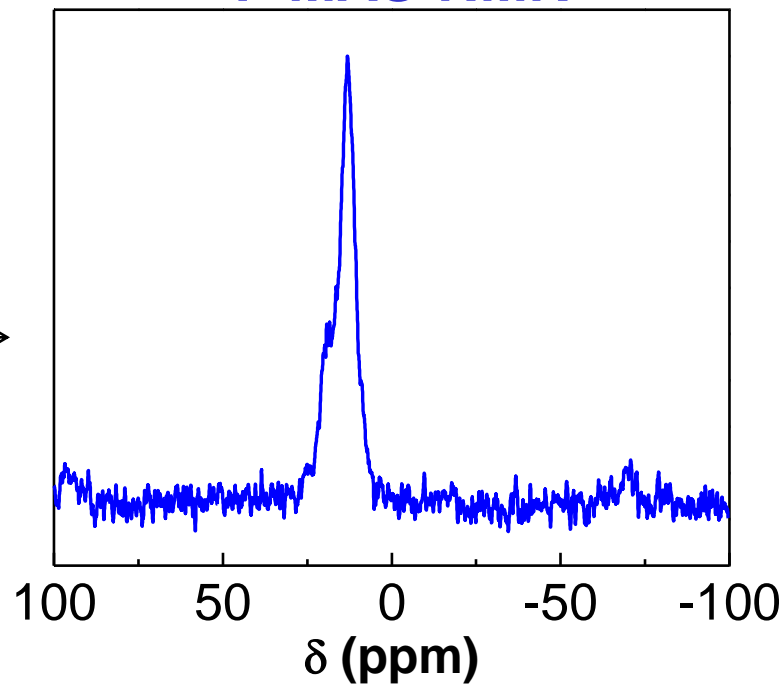
60% P-O-Ti  
20% P=O  
20% P-O-H



# Surface modification by phosphonate esters



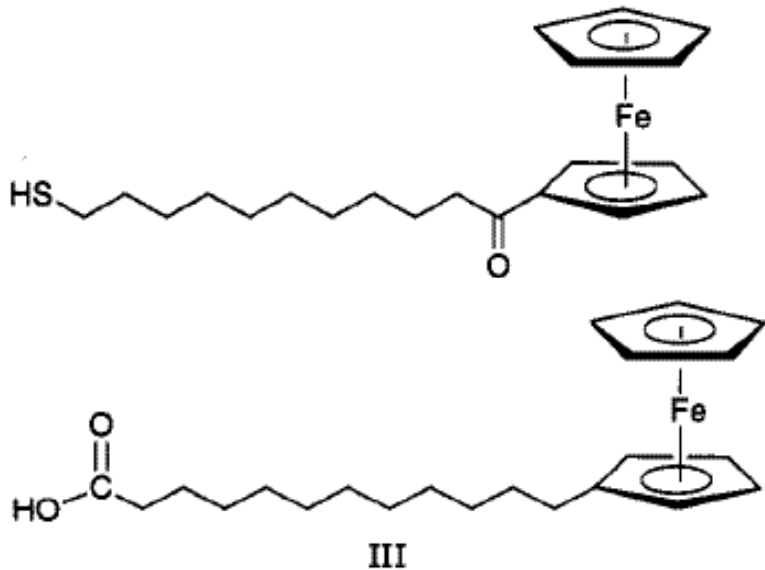
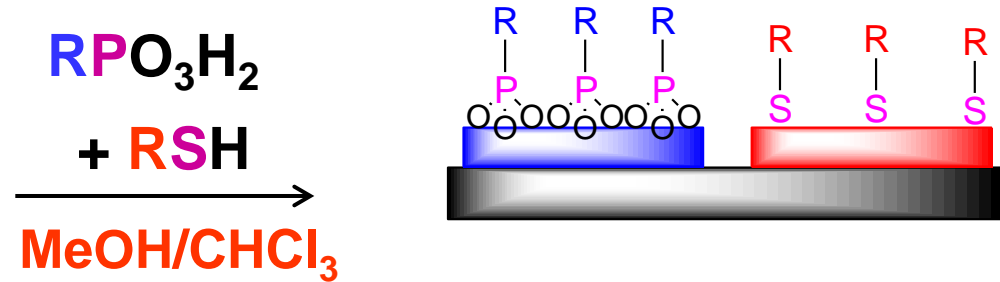
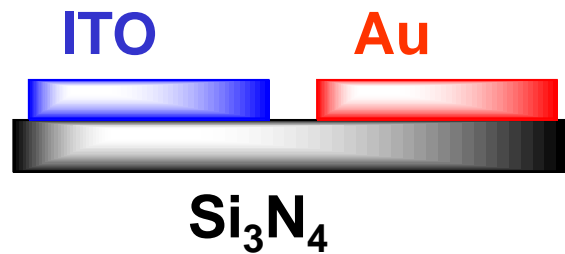
$^{31}\text{P}$  MAS-NMR



Surface catalyzed condensation

# Controlled bifunctionalization

1-step: Orthogonal Self-Assembly (Gardner et al, JACS 1995)

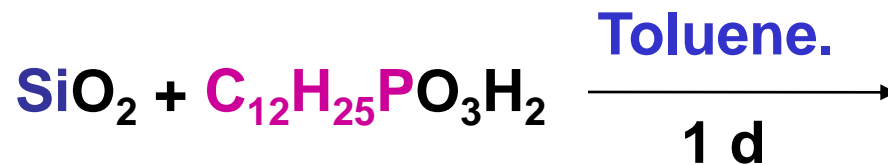
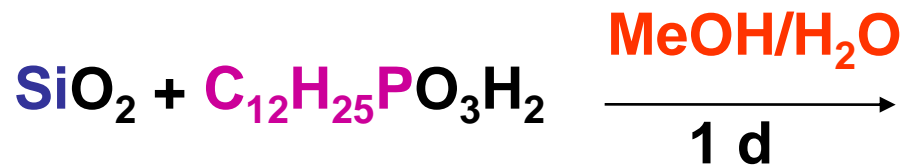
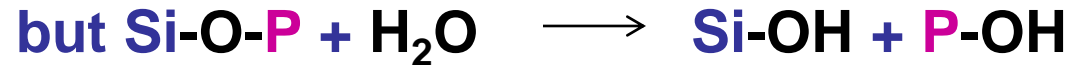


$\text{RPO}_3\text{H}_2/\text{RSH}$  : 0.1 mM in MeOH/ $\text{CHCl}_3$  (1:3)  
 $\text{RCOOH}/\text{RSH}$  : 0.1 mM in EtOH/hexane (1:20)

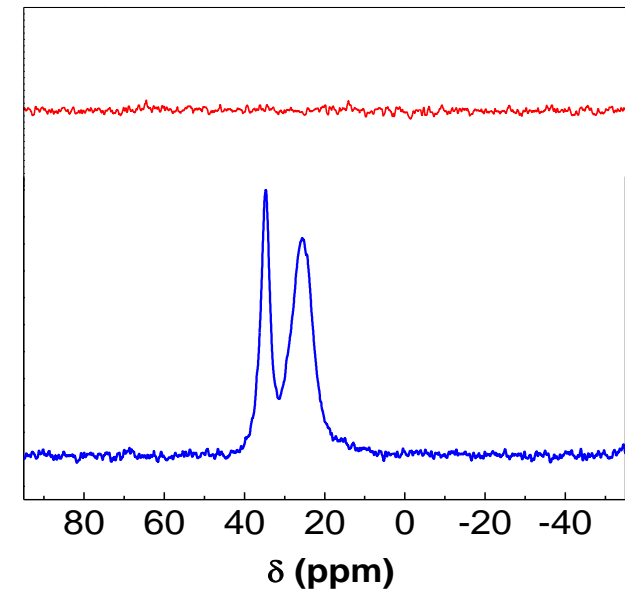
Selectivity >60

# Hydrolytic stability of M-O-P

Basic conditions : stability of Ti-O-P , Zr-O-P >> Ti-O-Si , Si-O-Si

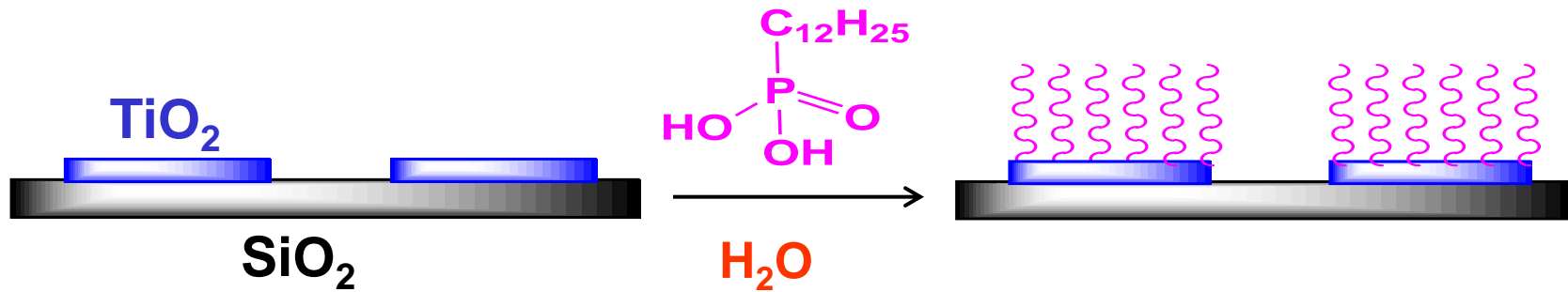


<sup>31</sup>P MAS NMR



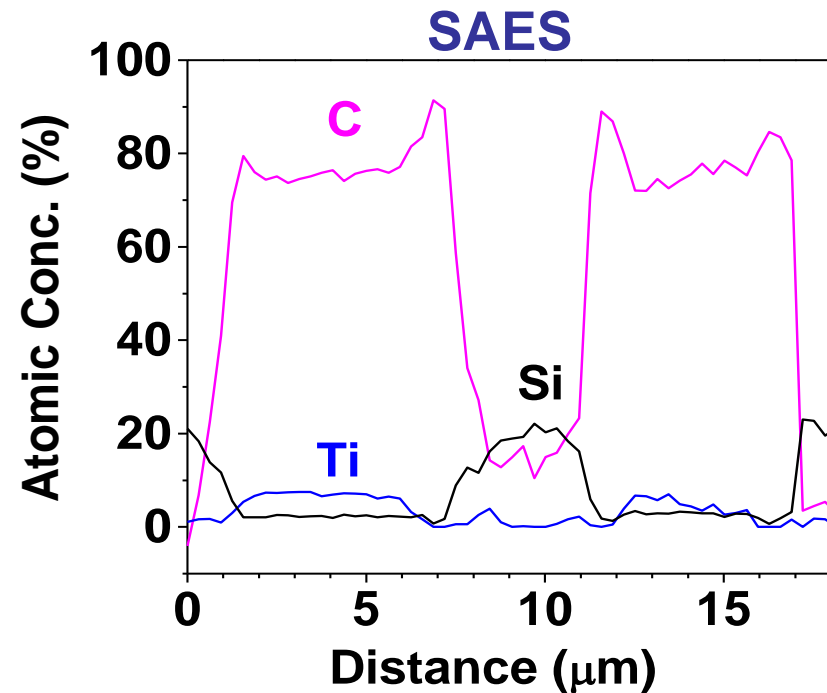
⇒ selective surface modification

# Selective surface modification



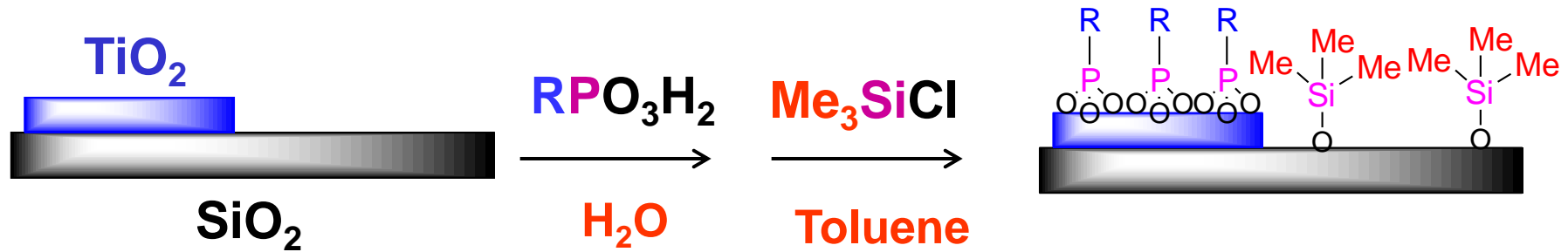
substrate prepared  
by microlithography

Repartition of organic  
groups controlled by the  
inorganic support



# Controlled bifunctionalization

2-step



- patterning substrates made by microlithography
- selective bifunctionalization of mesoporous  $\text{SiO}_2$ - $\text{TiO}_2$  mixed oxides prepared by sol-gel

*Chem. Mater.* 2004 16, 5670

# Grafting oxide NPs in aqueous colloidal solutions

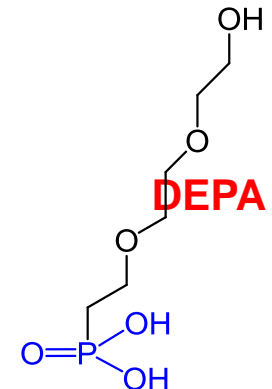
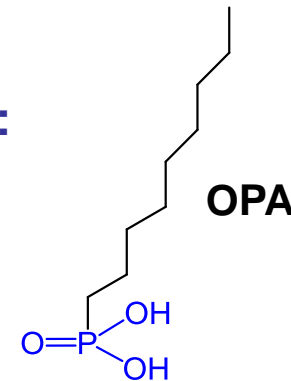
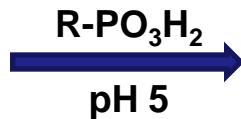
collaboration J. Oberdisse, C. Genix L2C

**Silica colloids:** used in ceramics, composite materials, cements, catalysts, polishing pastes, paper, textile...

**Levasil® 200S/30:** "cationic silica sol"  
= alumina-coated silica NPs



Modification of the NPs in the aqueous sol :



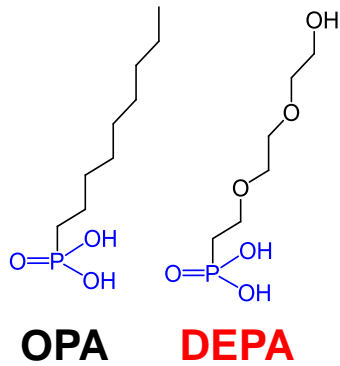
**OPA : hydrophobic R group**

**DEPA : hydrophilic R group**

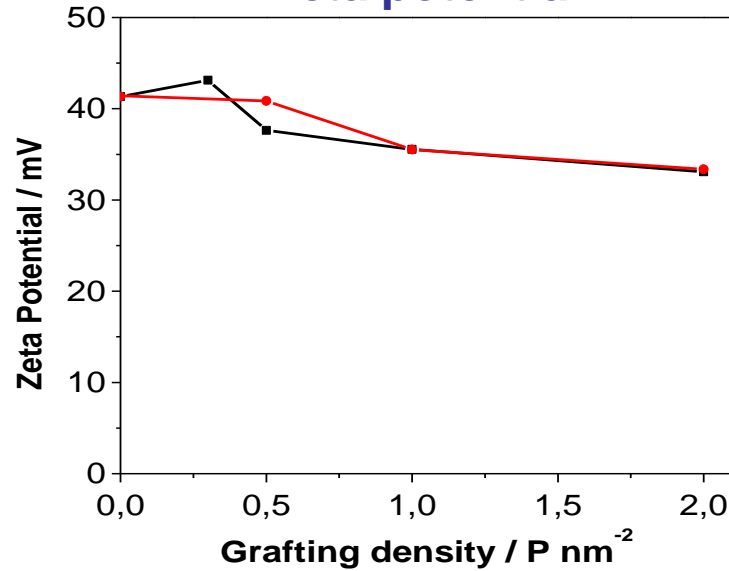
→ Tuning interactions between nanoparticles in aqueous solutions



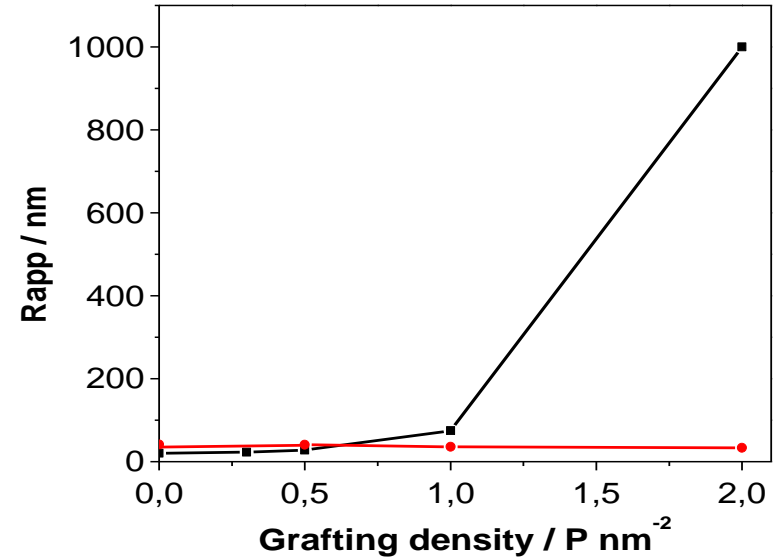
# Grafting oxide NPs in aqueous colloidal solutions



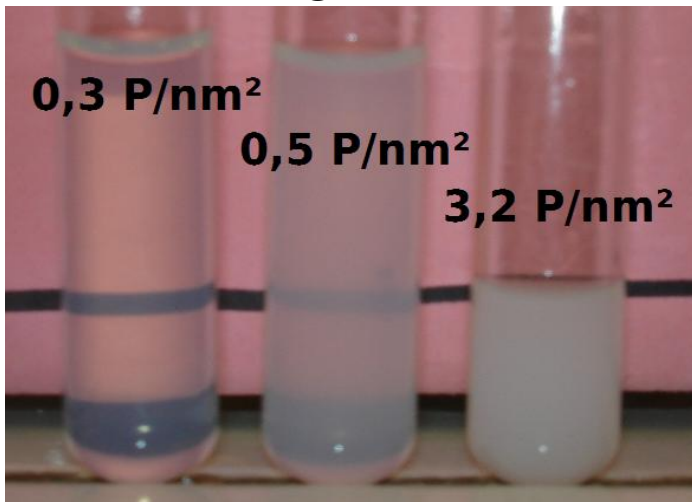
## Zeta potential



## Rapp (DLS)



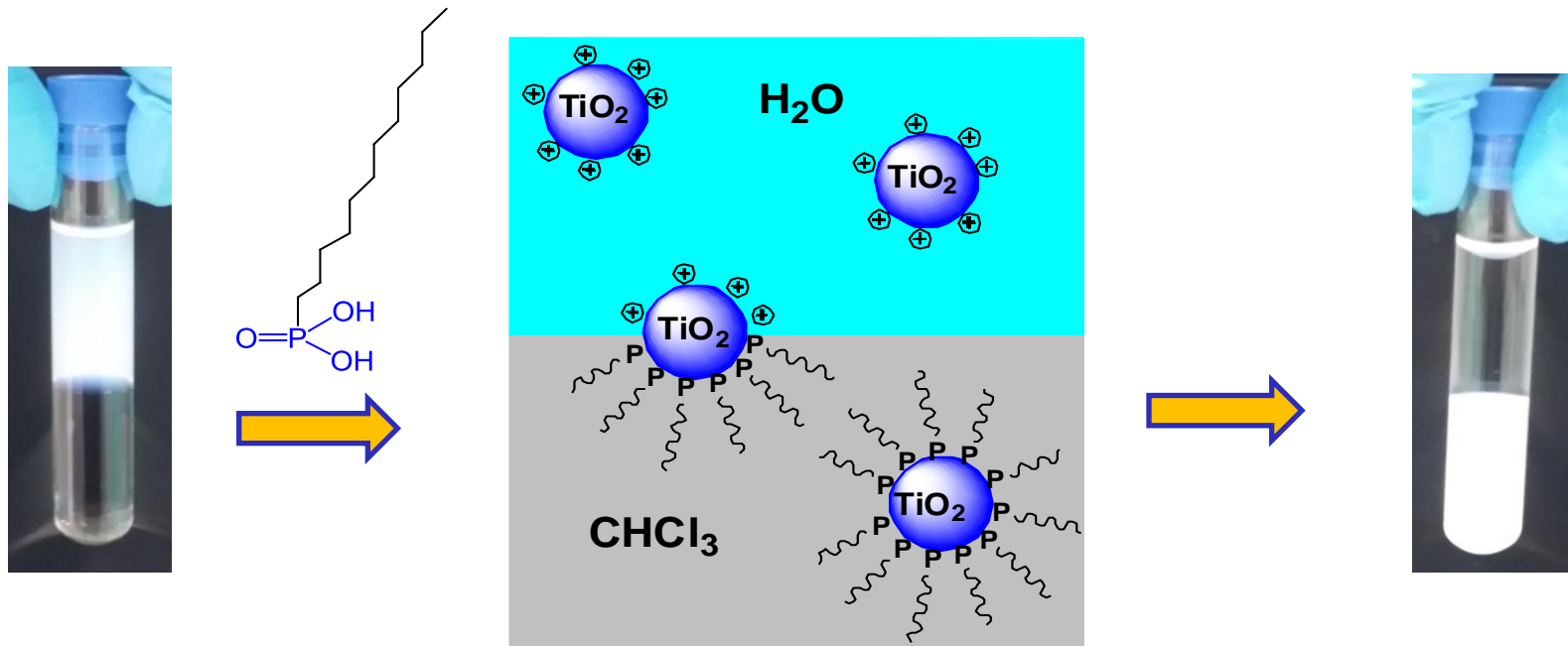
## OPA



- OPA, **DEPA**: slight decrease of ZP
- OPA: aggregation increases with grafting density
  - hydrophobic interactions

# Phase transfer of $\text{TiO}_2$ particles

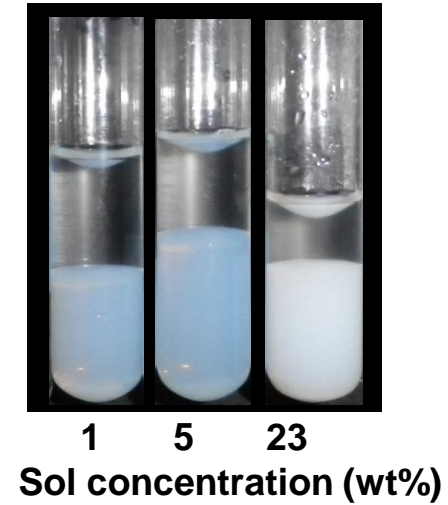
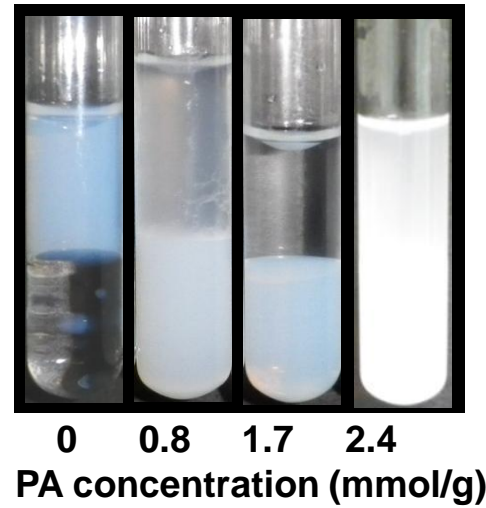
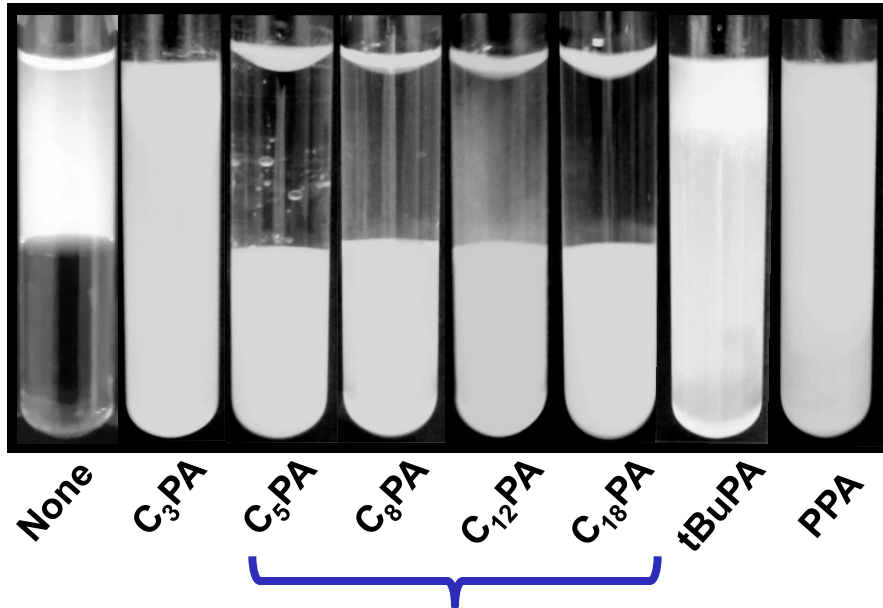
- Oxide nanoparticles: *cheap, "green" syntheses in aqueous media, sols stabilized by electrostatic repulsion*
- Inks, paints, nanocomposites: *need for organosoluble nanoparticles*



- Simultaneous grafting / phase transfer (FTIR, NMR)

# Phase transfer of TiO<sub>2</sub> particles

## Parameters influencing the transfer

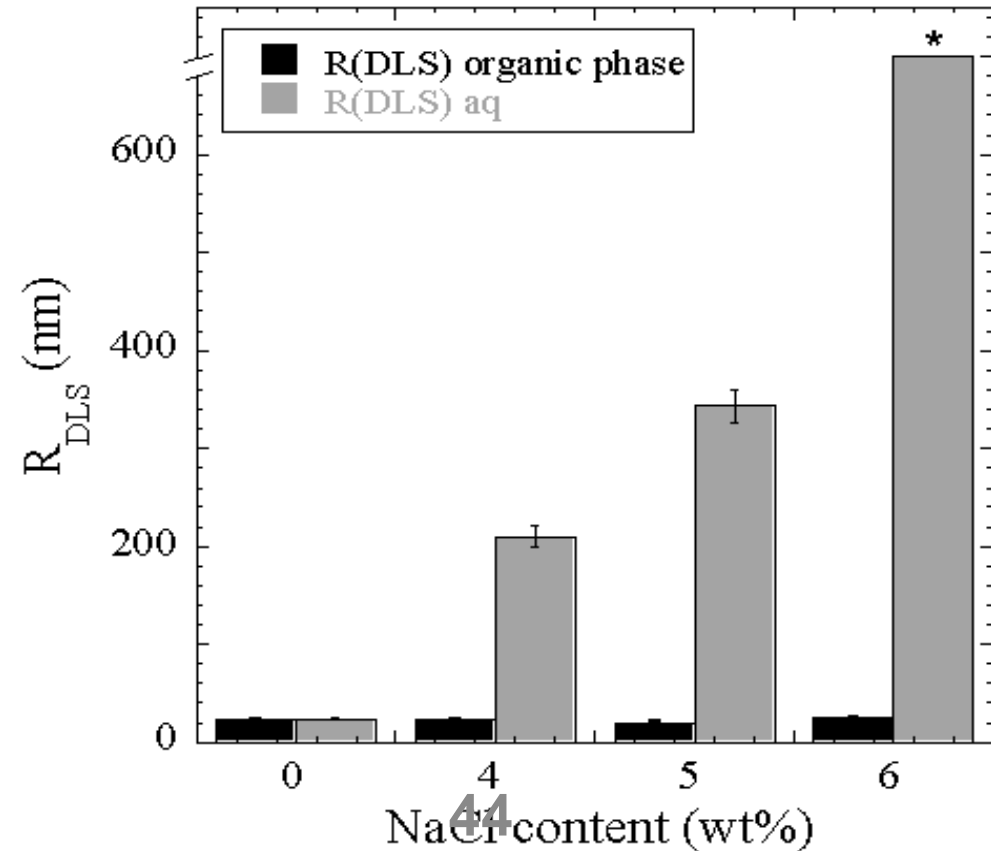
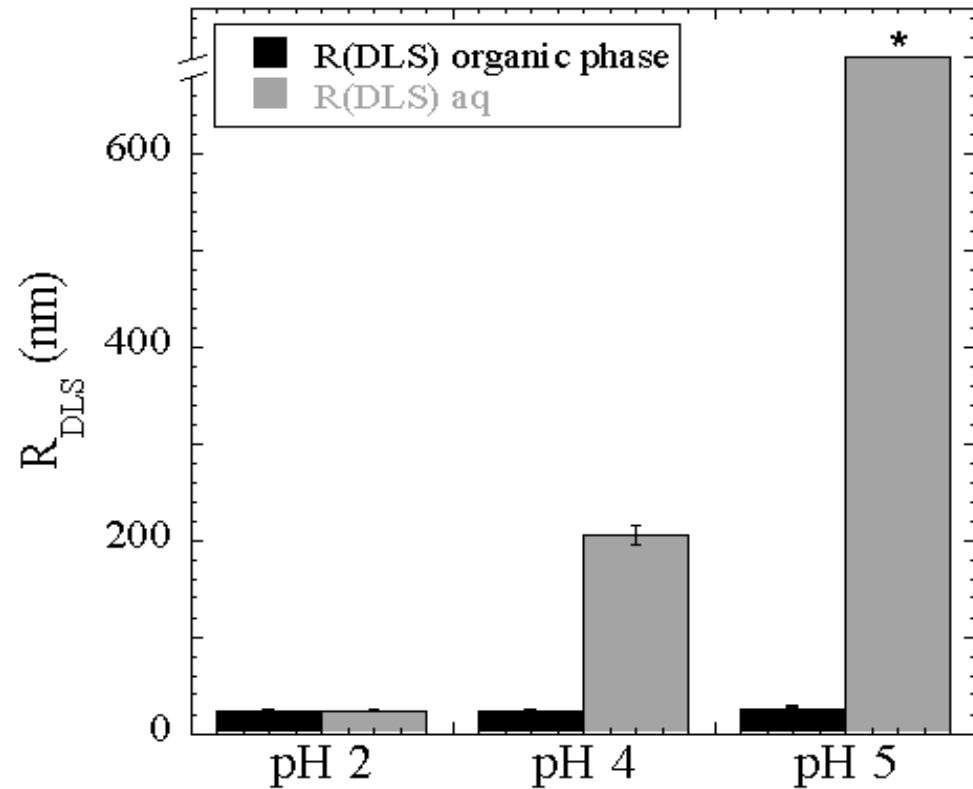


- Alkyphosphonic acids with chain  $\geq 5$  Carbons
- ca 4-5 P/nm<sup>2</sup>
- Works even for high sol concentration

# Phase transfer of TiO<sub>2</sub> particles

## Transfer of aggregated nanoparticles

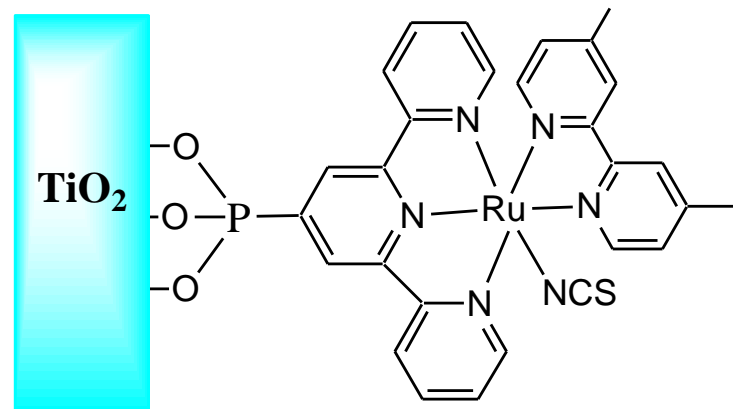
Deaggregation during phase transfer / surface modification



# Applications

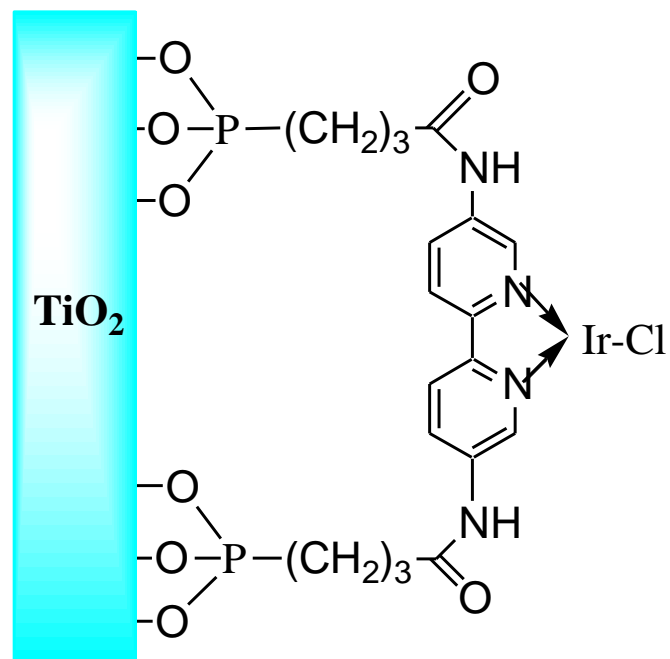
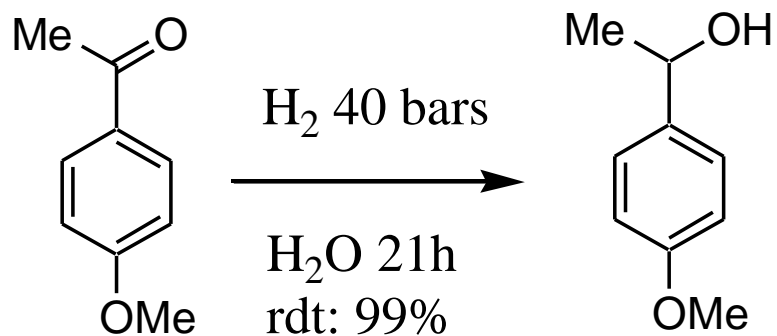
- **Photovoltaic cells**

Complex absorbs visible light. Injection of  $e^-$  in the conduction band of the metal

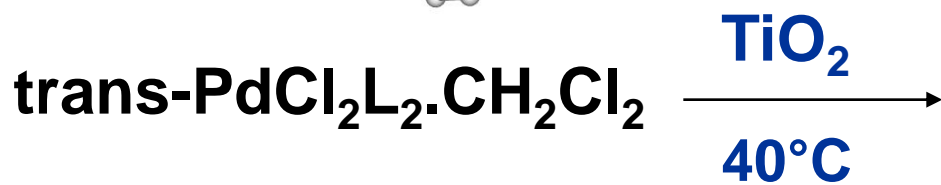
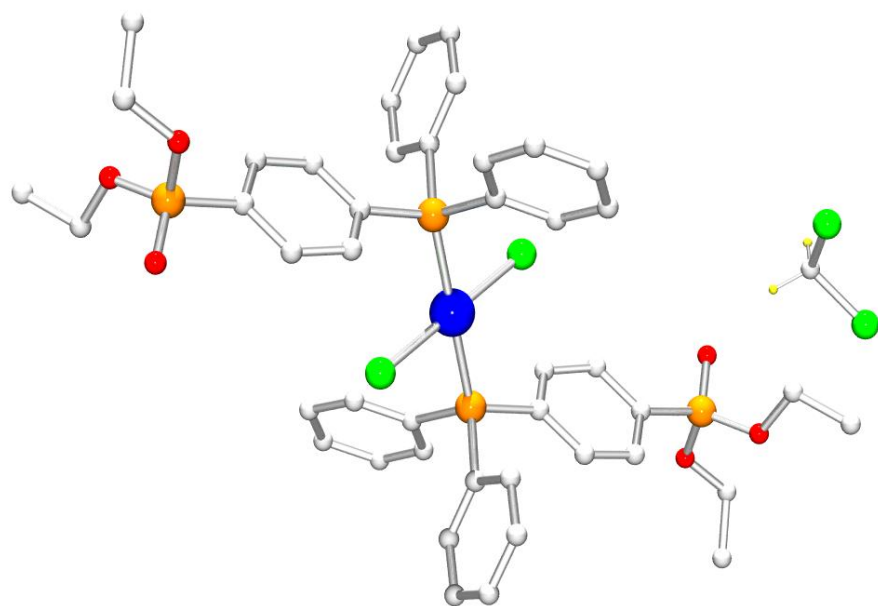
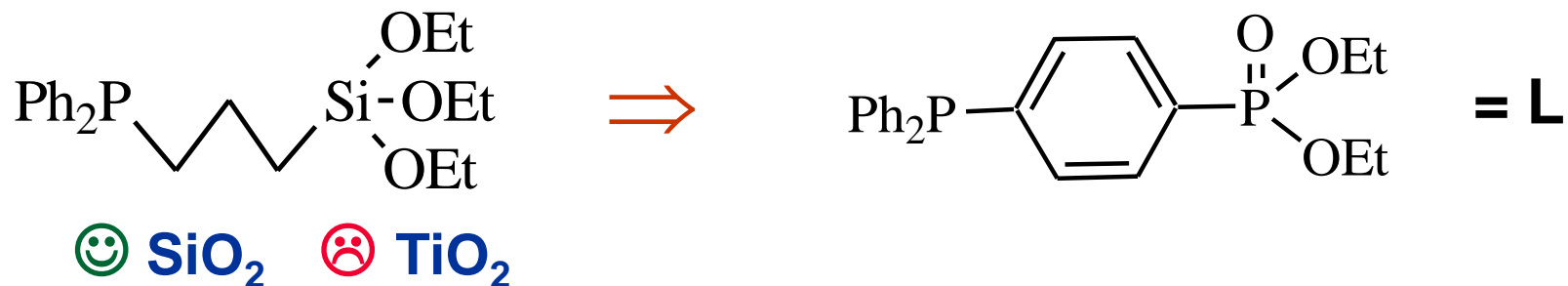


- **Heterogeneous catalysis**

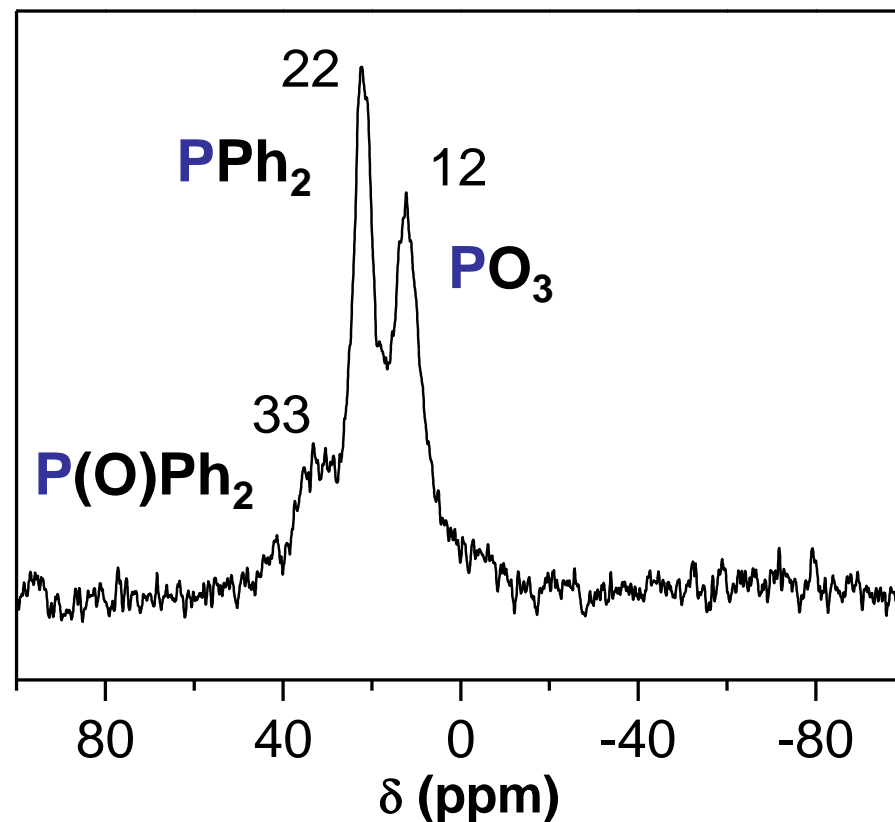
Reduction of aromatic ketones



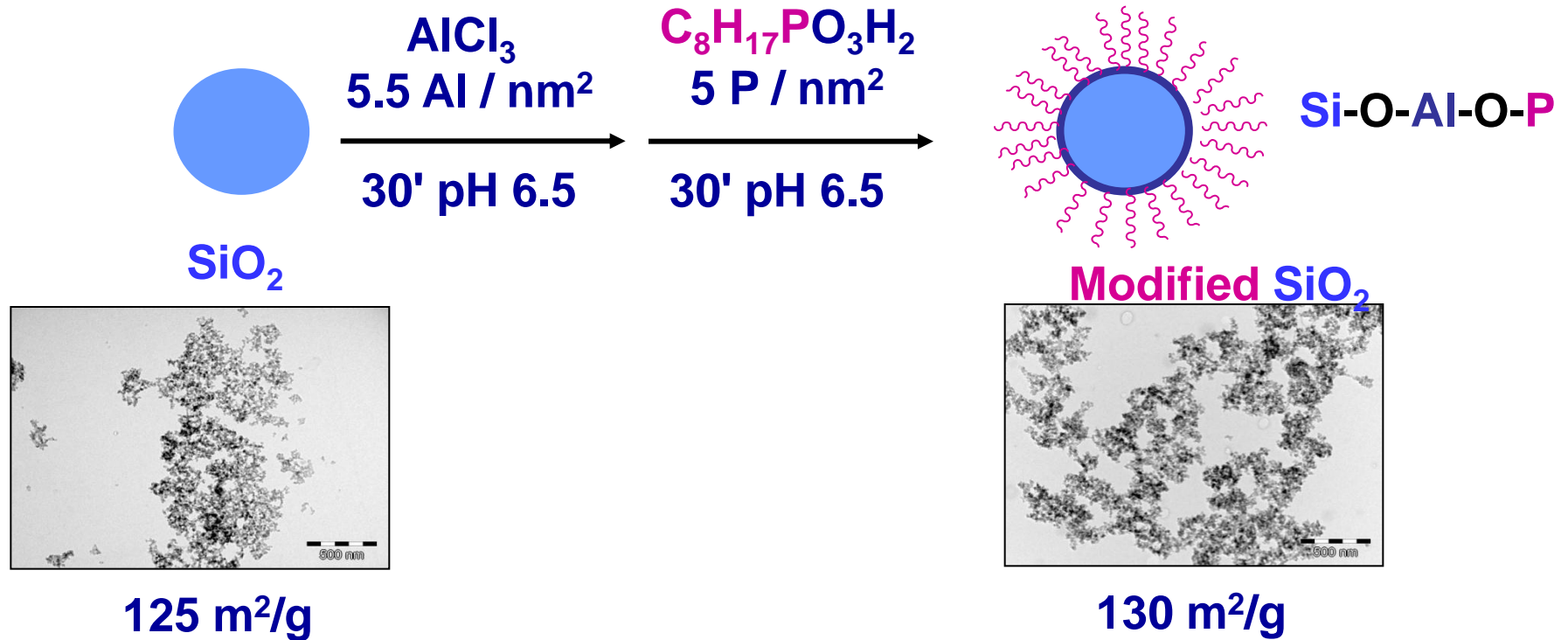
# Immobilization of Catalysts on Metal Oxides



## $^{31}\text{P}$ MAS-NMR



# Modification of SiO<sub>2</sub> fillers in Water

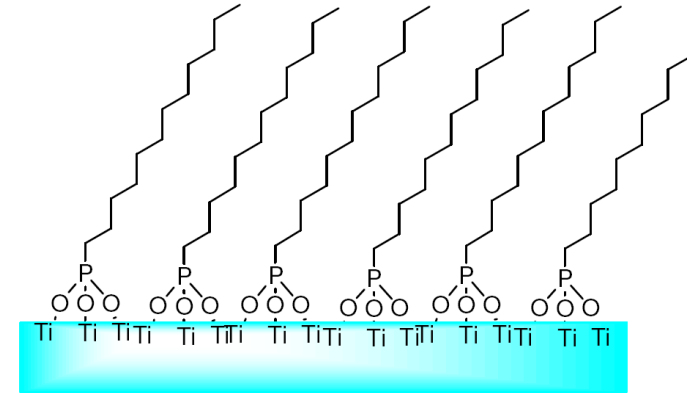


No change in morphology, 1-4 P/nm<sup>2</sup>, hydrophobic

# $C_{18}H_{37}PO_3H_2$ SAMs as boundary layer lubricants

## Long alkyl chains :

intermolecular forces between methylene groups  
⇒ formation of Self-Assembled Monolayers

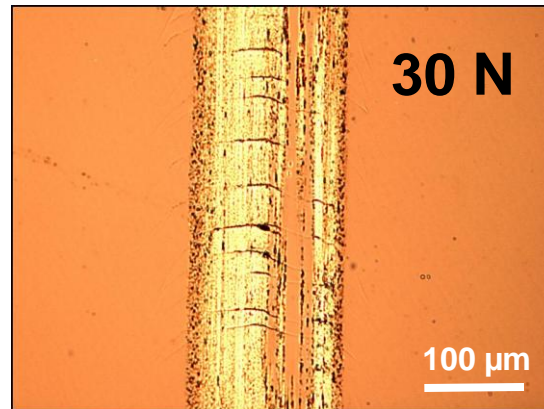


## Application: Lubrication

**Substrates:** 20 nm Ti on Si  
grafting with  $C_{18}H_{37}PO_3H_2$   
in EtOH, 2d.

**Friction:** stainless steel ball  
D = 2 mm, 260 HV,  
normal force up to 60 N

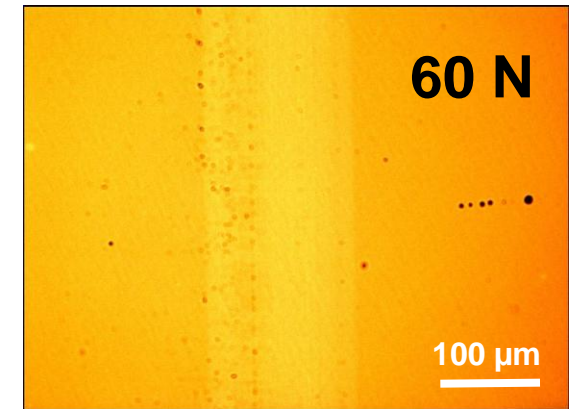
*MRS Symp. Proc. 2004*



**Ti/Si untreated**

Contact angle  $11^\circ$

Friction coeff. 0.6



**ODPA/Ti/Si**

Contact angle  $102^\circ$

Friction coeff. 0.1



# Antibacterial Monolayers for Biomaterials

Prevention of orthopedic implant infections:

**Current approach:** hinder bacterial adhesion and biofilm formation using "thick" antibacterial coatings : cationic polymers, polyelectrolyte multilayers, silver-releasing sol-gel coatings...

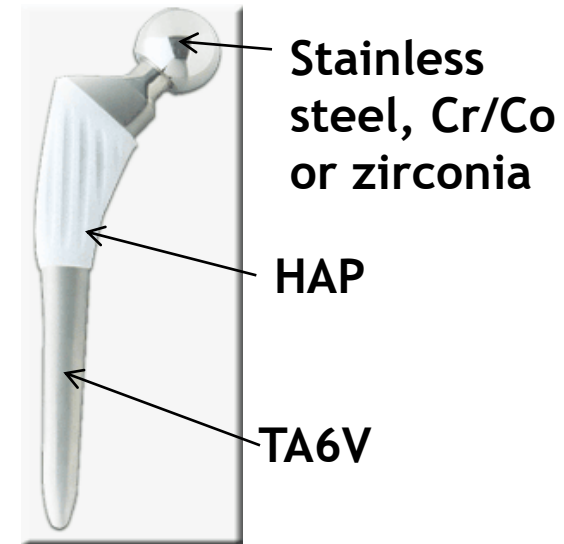
**Monolayers ?**

**Inorganic implant materials:**

- metals, metal oxides, phosphates...

**Interest of phosphonates:**

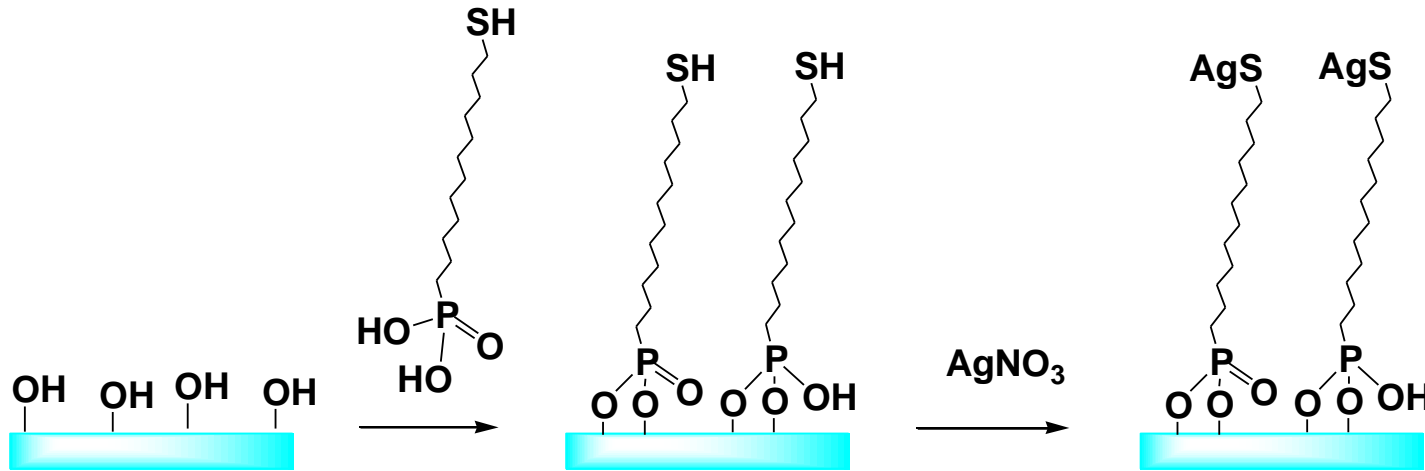
- high affinity for all these materials
- good thermal and hydrolytic stability



**Hip  
prosthesis**

# Antibacterial monolayers

## Proof of principle: silver release

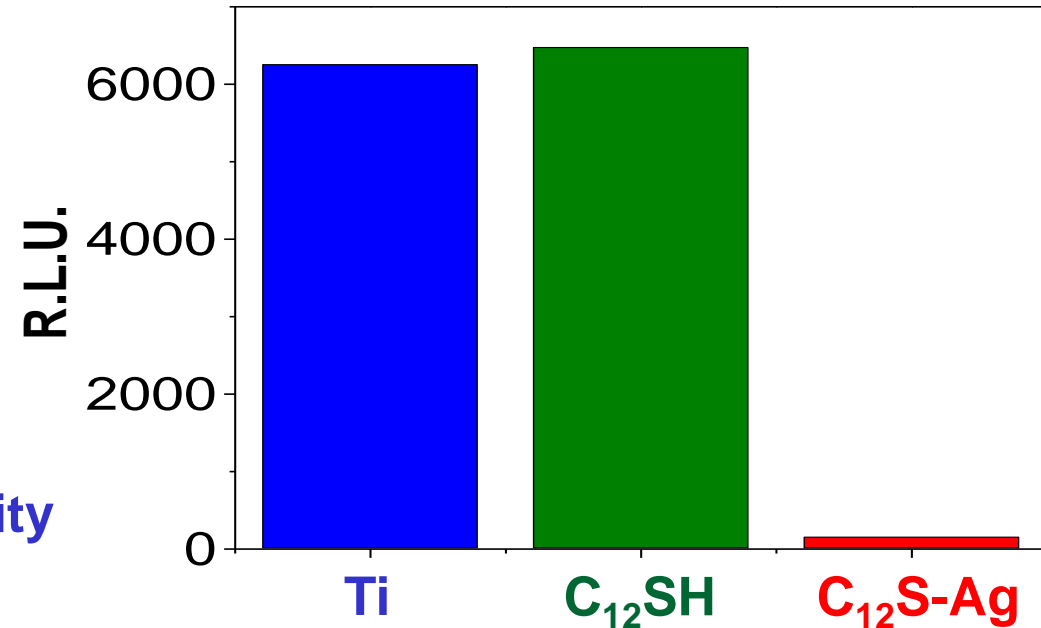


## Biofilm assay:

samples immersed 3d at 37 °C in a culture of *E. Coli* GFP

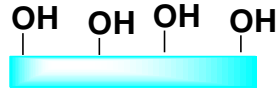
⇒ growth of the biofilm at the air-liquid interface.

Decrease of ca 97 % of biofilm density

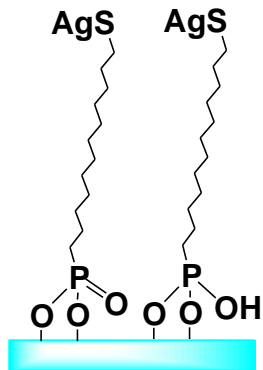


# Fluorescence microscopy (*E. coli gfp*)

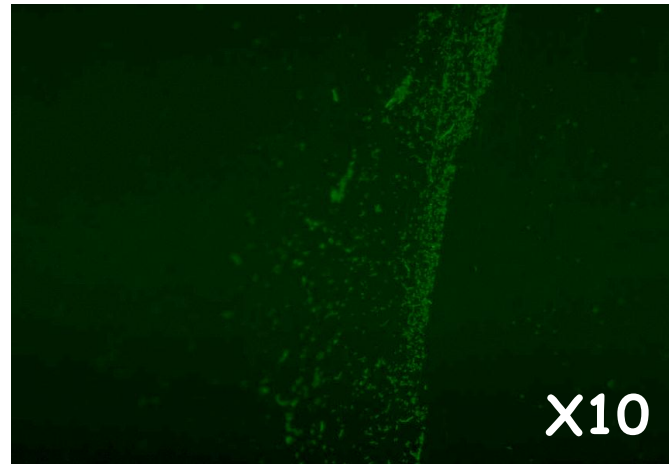
Immersion 3 days at 37 °C



**Very dense biofilm**



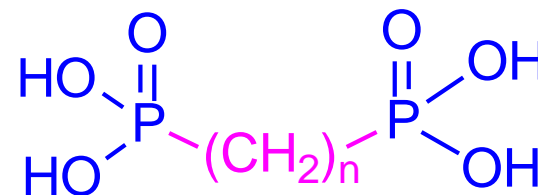
**No real biofilm**



**Decrease of 95 % of biofilm density**

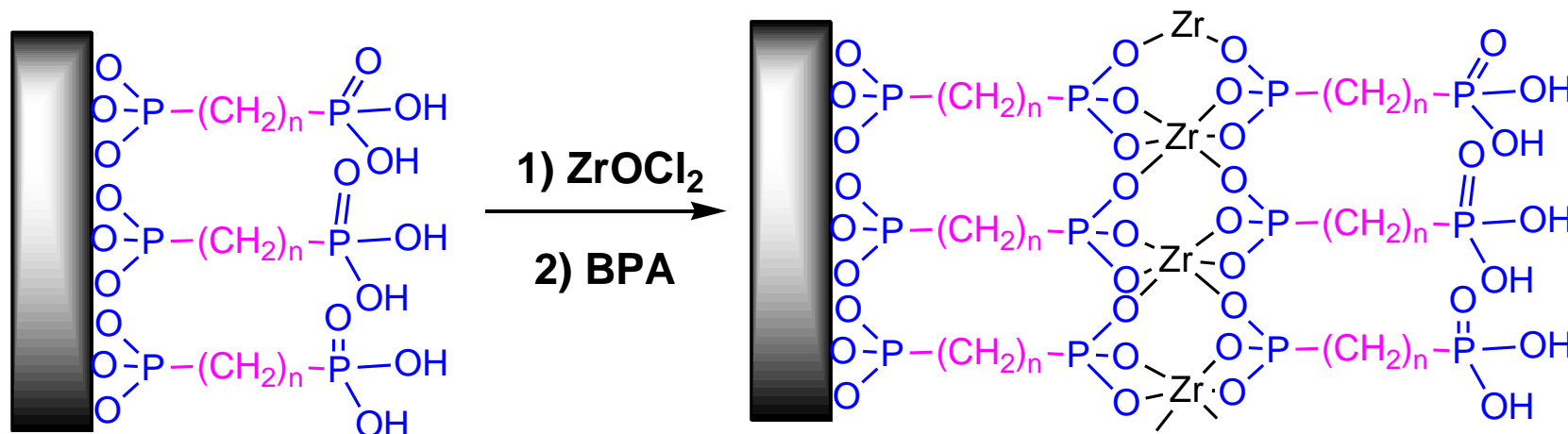
# Bis-phosphonate multilayers

bis-phosphonic acids (BPA)



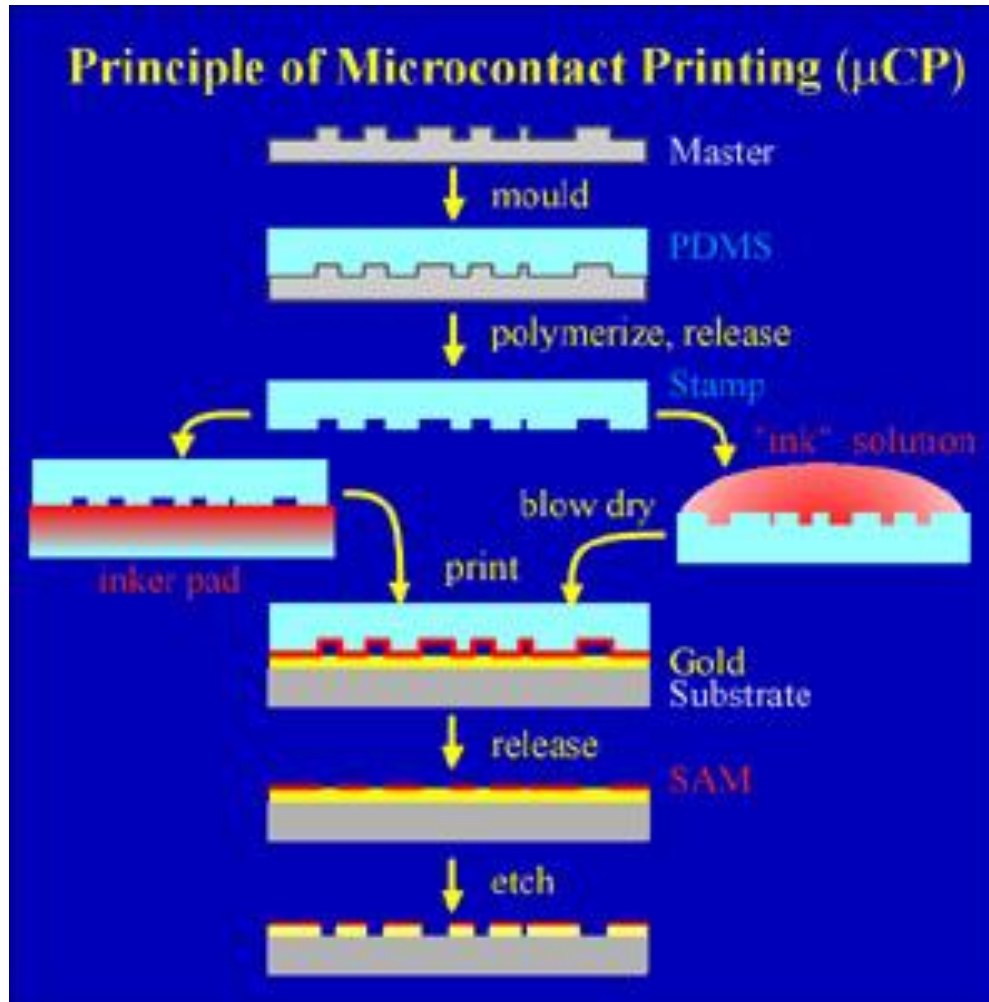
Controlled deposition of multilayers

- Ex.: BPA / Zr Mallouk et al., JACS 1993



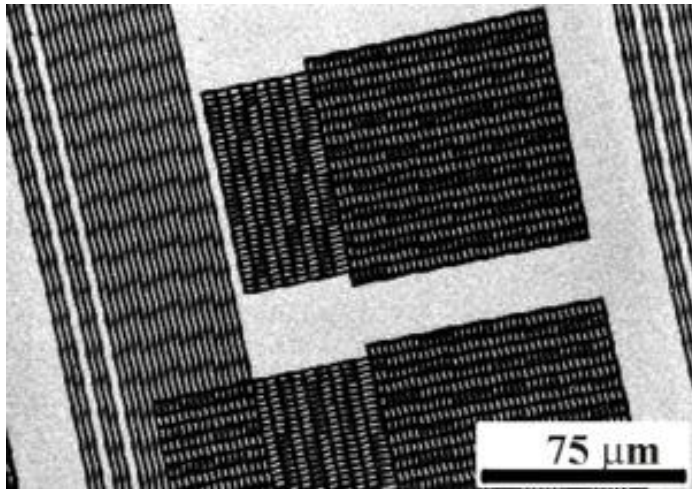
# Microcontact printing

Chemical patterning of surfaces and high-resolution lithographies

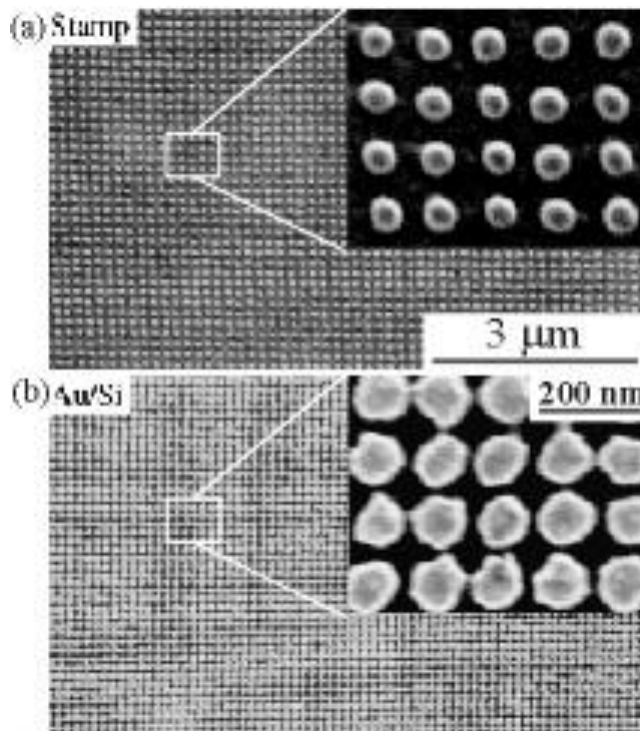


- alkanethiols on gold
- stamps made from polydimethylsiloxane (PDMS).

# Microcontact printing



Scanning electron micrograph of a gold structures on silicon fabricated by  $\mu$ CP and a subsequent etch. Hydrophobic SAM protects the modified surface from etching



High-resolution  $\mu$ CP:

(a) SEM of a stamp with 60 nm dots.

(b) gold dots fabricated by printing and etching were slightly broadened due to ink diffusion and substrate roughness.

<http://www.zurich.ibm.com/>

# Microcontact printing

## Universal Ink for Microcontact Printing

Burdinski et al. Angew. Chem. 2006, 45, 4355

Surfaces routinely used in  $\mu$ CP: Au, Ag, Cu, Pd,  $\text{SiO}_x$ ,  $\text{AlO}_x$

Patterning of metal as well as metal oxide surfaces with a single ink composition:  
octadecanethiol (ODT) + octadecylphosphonic acid (ODPA) (PDMS stamps)

Conditions optimum: ODT (2 mm) and ODPA (10 mm)

[ODPA] sur le tampon PDMS  $\ll$  [ODPA] dans l'encre (hydrophilie)

Temps de contact: 15 s (Au), 300 s (Al)

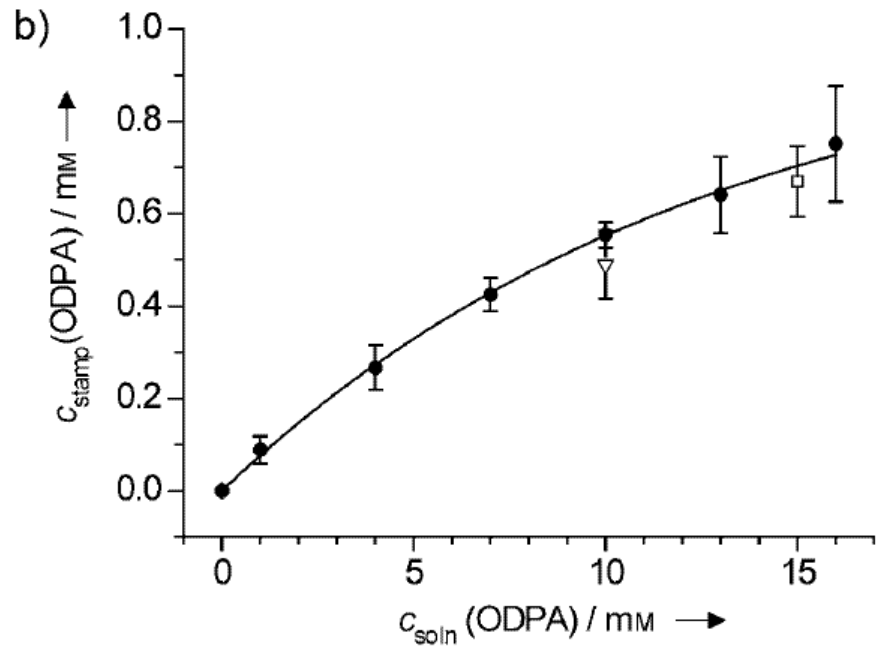
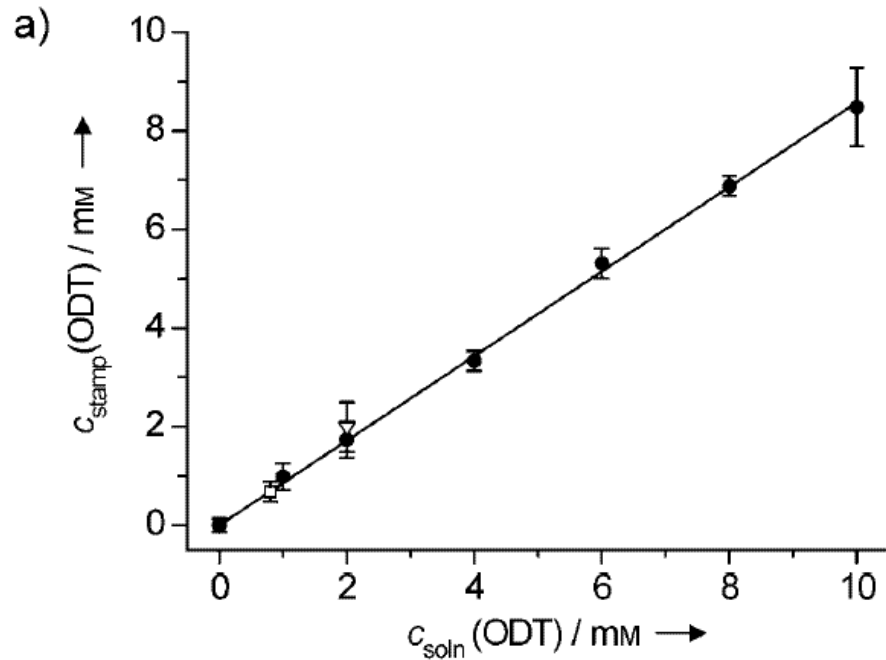
XPS:

sur Au: 3.6 S/nm<sup>2</sup> 0.8 P/nm<sup>2</sup>

sur Al: 0 S/nm<sup>2</sup> 4.6 P/nm<sup>2</sup>

# Microcontact printing

## Universal Ink for Microcontact Printing



Concentrations of ODT (a) and ODPA (b) in PDMS stamps after inking as a function of their concentration in:

● pure ODT or ODPA solutions in ethanol

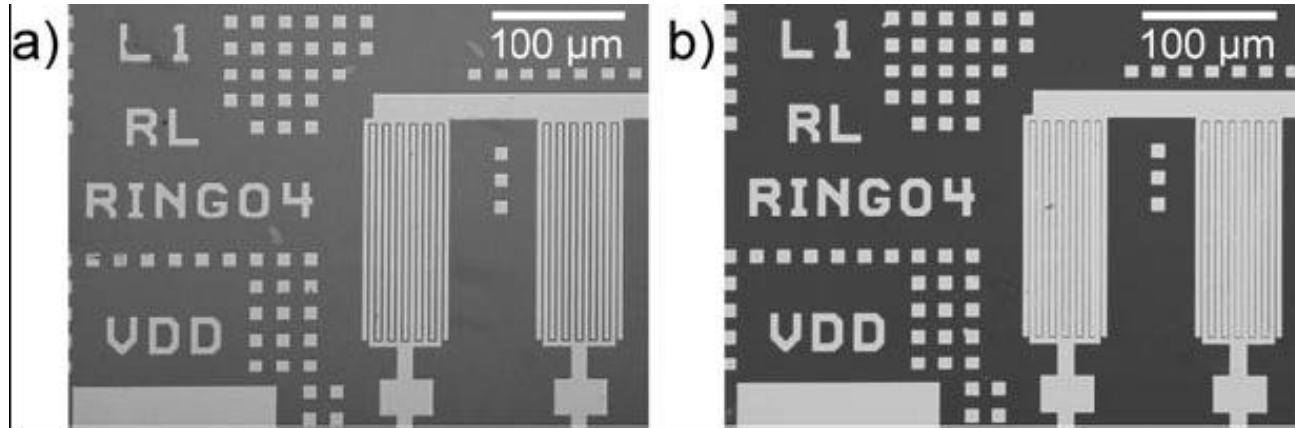
▽:  $c_{\text{soln}}(\text{ODT})=2.0 \text{ mm}$  and  $c_{\text{soln}}(\text{ODPA})=10 \text{ mm}$ ,

□:  $c_{\text{soln}}(\text{ODT})=0.8 \text{ mm}$  and  $c_{\text{soln}}(\text{ODPA})=15 \text{ mm}$ .



# Microcontact printing

## Universal Ink for Microcontact Printing



Optical micrographs of gold (a) and aluminum substrates (b) after  $\mu$ CP with a solution of ODT (2 mM) and ODPA (10 mM) in ethanol followed by wet chemical etching.

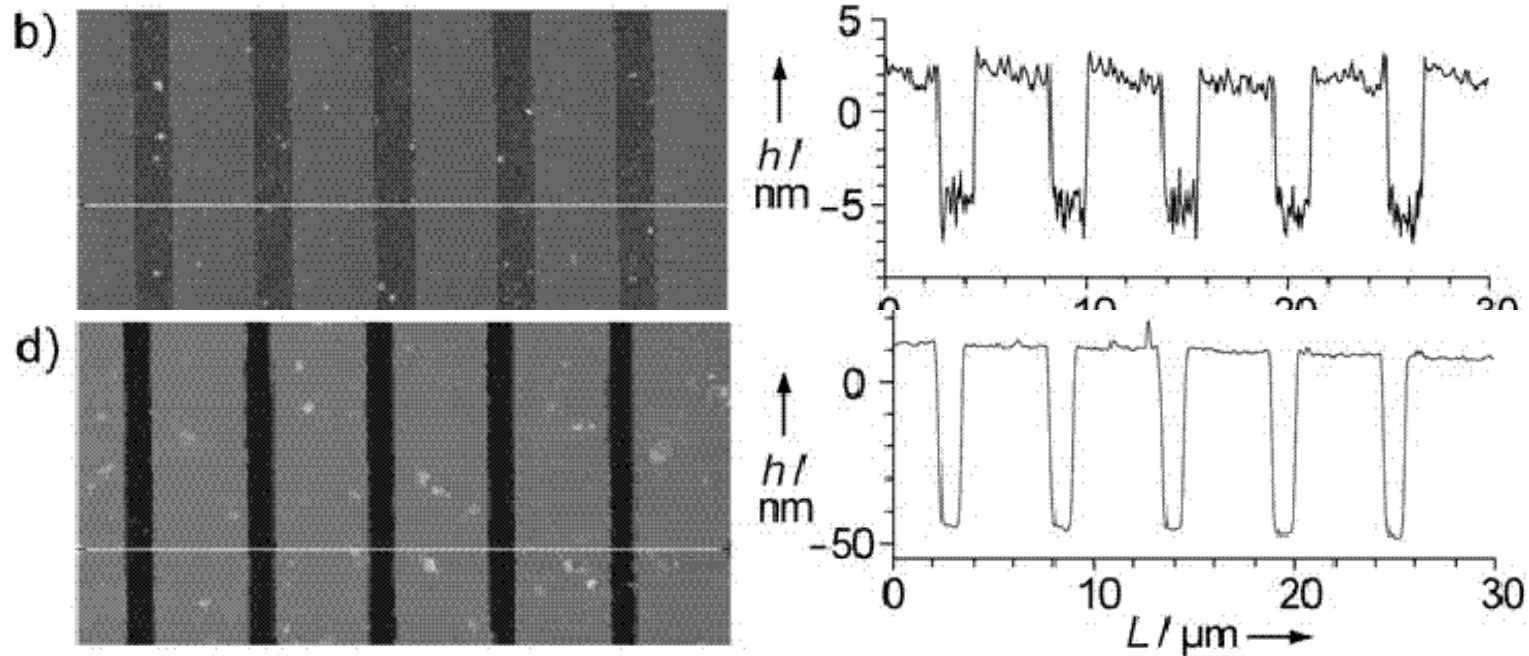
Etching bath:

Al : alkaline hydrogen peroxide

Au : alkaline thiosulfate

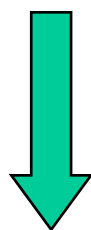
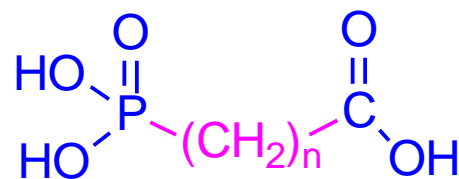
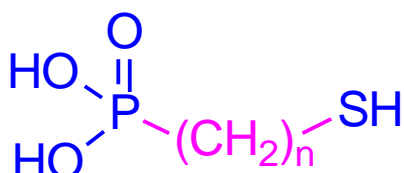
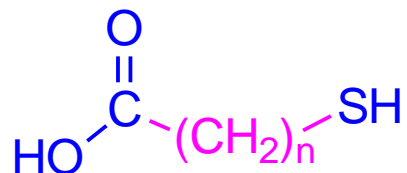
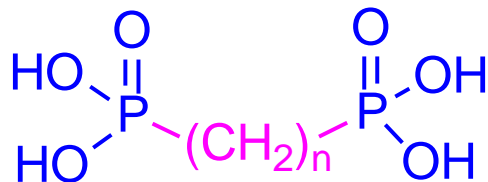
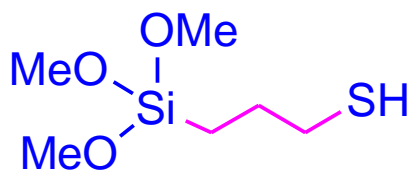
# Microcontact printing

## Universal Ink for Microcontact Printing



Tapping mode AFM micrographs and height profiles of gold (b, 10 nm Au) and aluminum substrates (d, 50 nm Al) patterned by  $\mu\text{CP}$  with mixed ODT/ODPA inks and subsequent wet etching.

# Bifunctional coupling agents

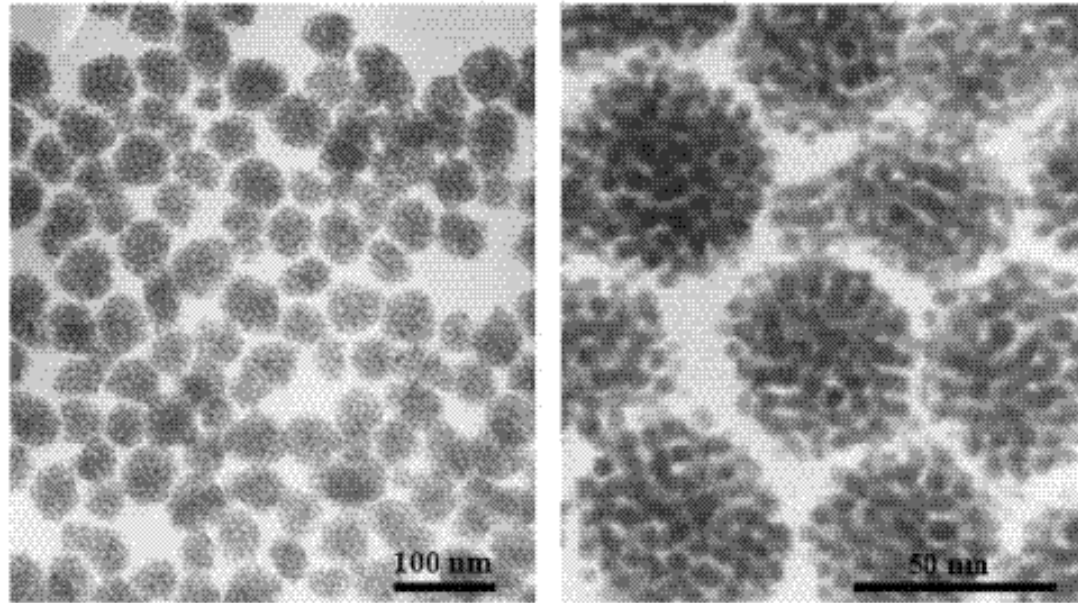


Inorganic-inorganic assemblies, interface modification

# Bifunctional coupling agents

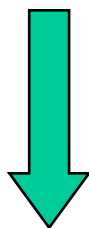
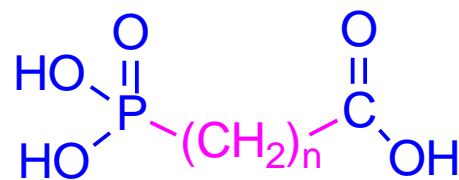
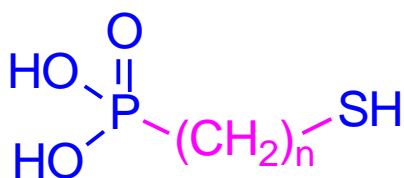
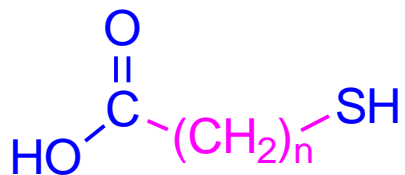
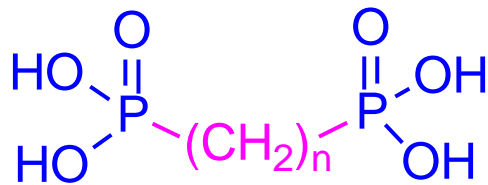
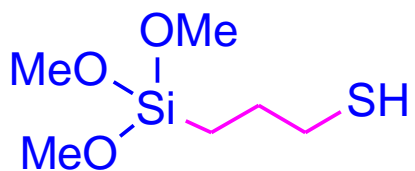
Tetraoctylammonium bromide-stabilized gold nanoparticles in toluene of ca. 5-8 nm diameter

+ dilute solution of 1,9-nonanedithiol in toluene (0.5 mL)



Transmission electron micrographs of solution-stable spherical assemblies formed at a molar ratio of 1,9-nonanedithiol to gold particles of 100.

# Bifunctional coupling agents

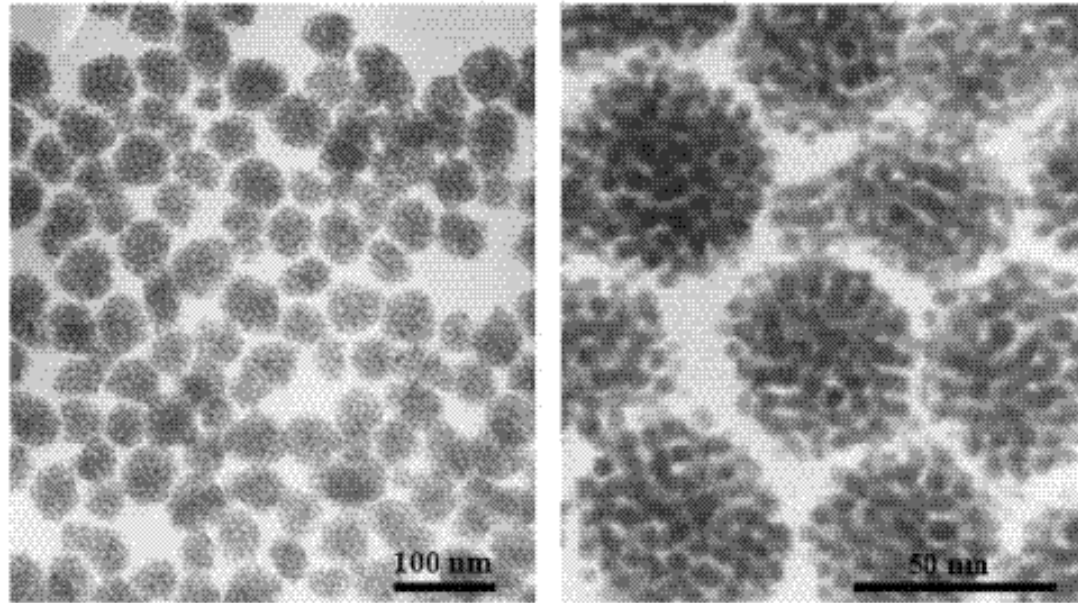


**Assemblies inorganic-inorganic**

# Agents de couplage bi-fonctionnels

Tetraoctylammonium bromide-stabilized gold nanoparticles in toluene of ca. 5-8 nm diameter

+ dilute solution of 1,9-nonanedithiol in toluene (0.5 mL)

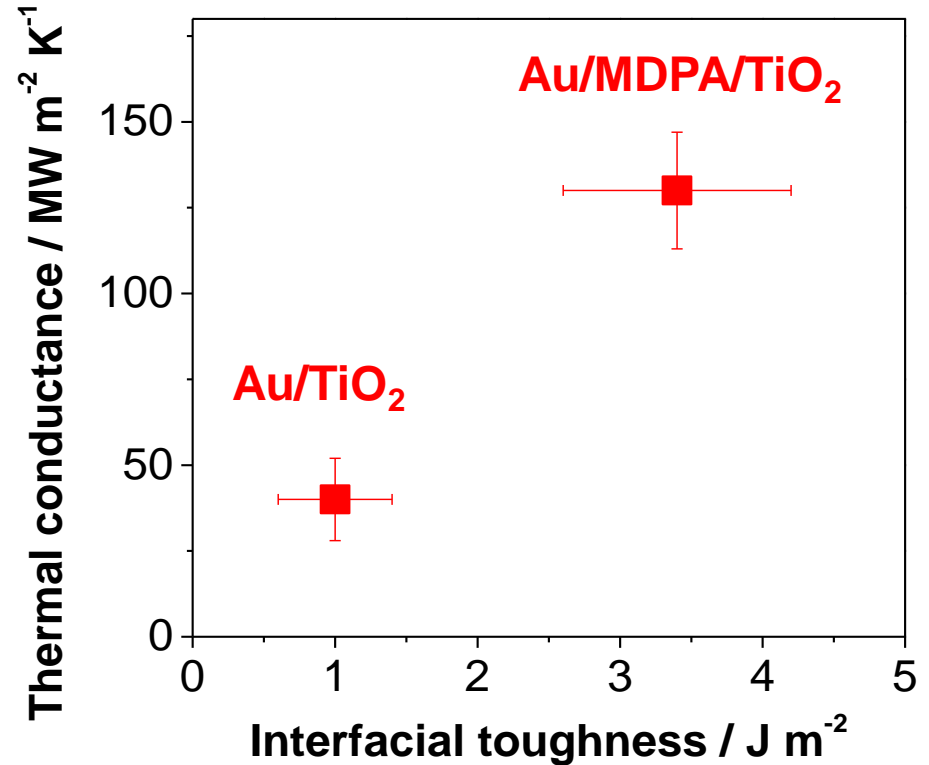
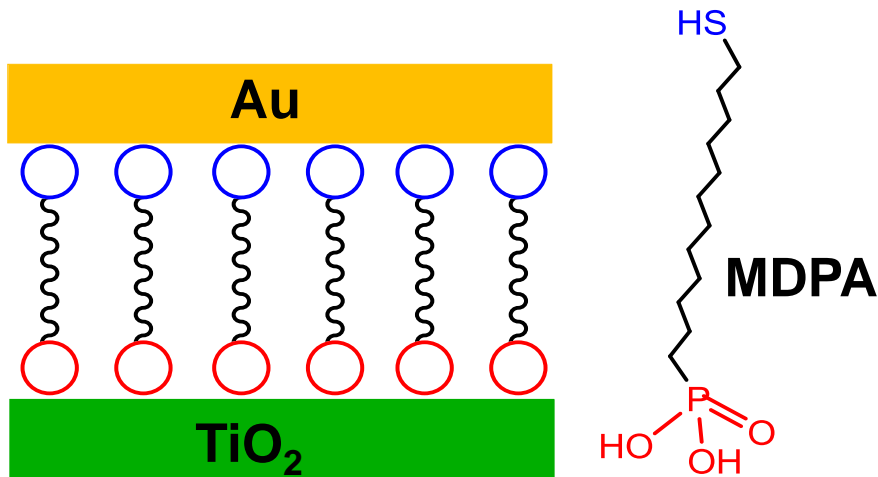


Transmission electron micrographs of solution-stable spherical assemblies formed at a molar ratio of 1,9-nonanedithiol to gold particles of 100.

# Dielectric / metal interfaces

collaboration Prof. Ramanath RPI

## Tuning mechanical and thermal properties

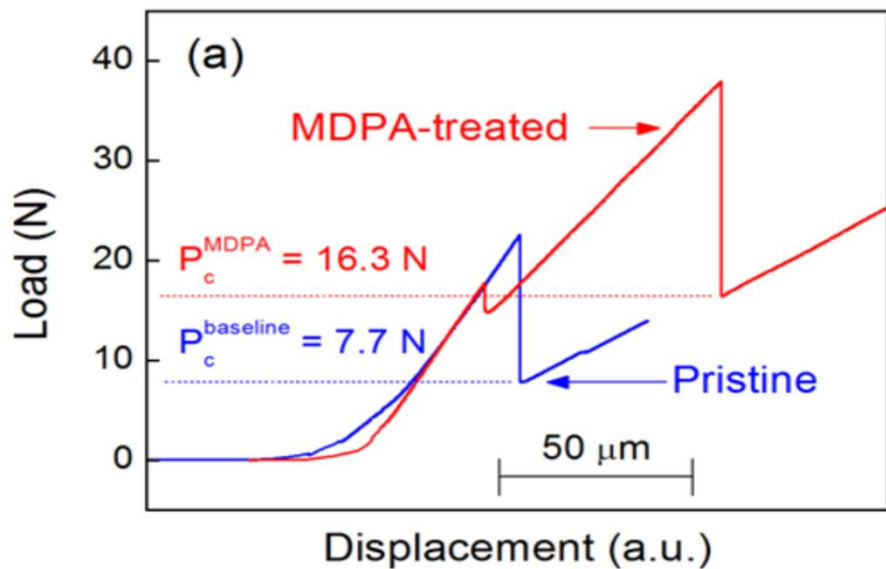
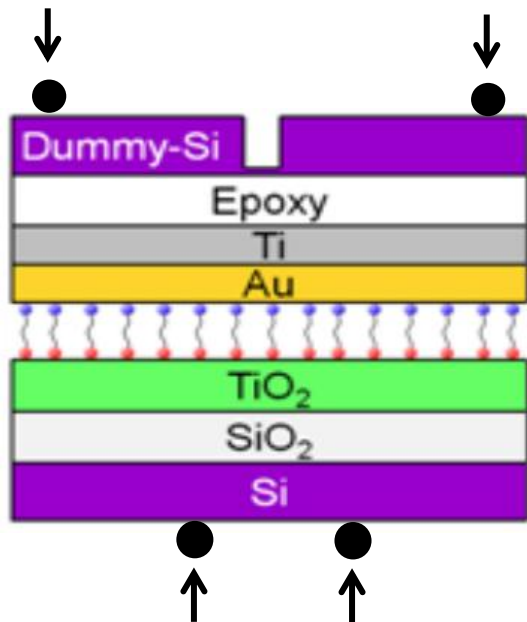


**Modification of the interface by a MDPA monolayer:**

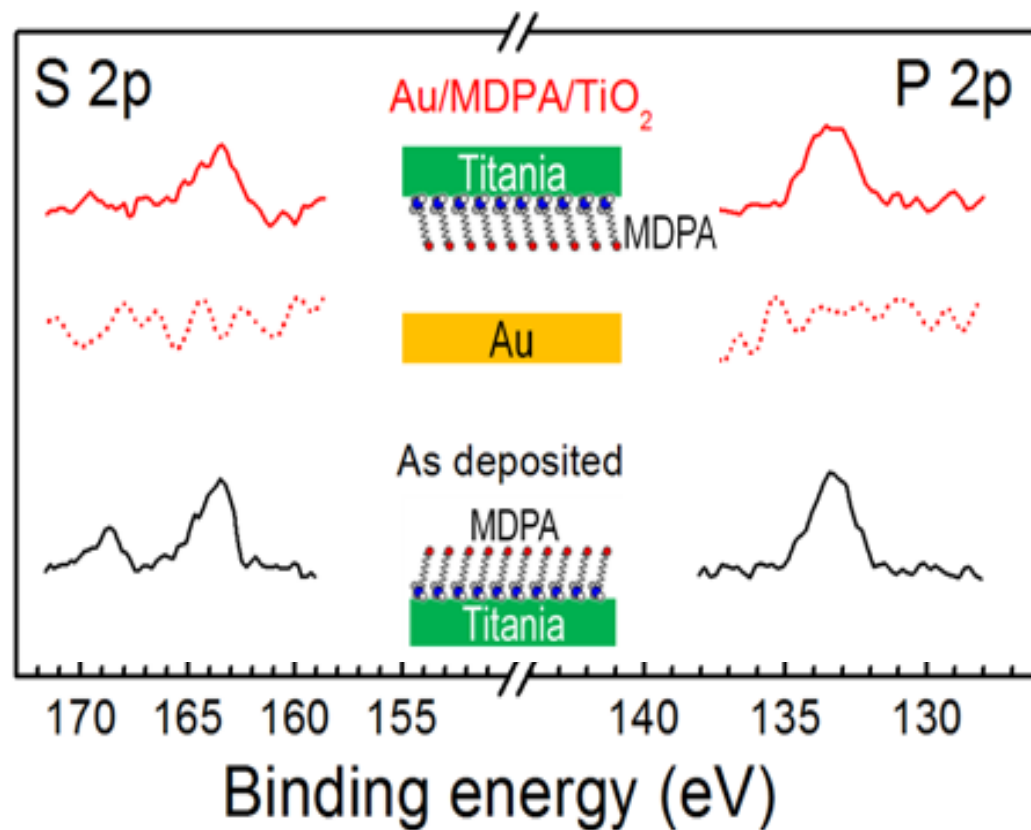
- interfacial toughness: x3
- thermal conductance: x3

*Nature Mater.* 2013

# Dielectric / metal interfaces



## XPS of delaminated surfaces



⇒ Scission of Au-S bonds



# Conclusions

## Organic monolayers :

**Different anchoring groups: SH, COOH, SiCl<sub>3</sub>, PO<sub>3</sub>H<sub>2</sub>...**

**⇒ complementary**

➤ **Modification/functionalization of inorganic surfaces**

➤ **Interface modification / assemblies**

- **organic-inorganic**
- **inorganic-inorganic**