



CEITEC

Central European Institute of Technology
BRNO | CZECH REPUBLIC

S1007 Doing structural biology with the electron microscope

C9940 3-Dimensional Transmission electron microscopy

Lecture 4: Principles of image formation



EUROPEAN UNION
EUROPEAN REGIONAL DEVELOPMENT FUND
INVESTING IN YOUR FUTURE



OP Research and
Development for Innovation



Task 1: What is the electron wavelength at acceleration voltage of 200kV?

Task 1: What is the electron wavelength at acceleration voltage of 200kV?

$$U = 200 \text{ kV}$$

$$e = 1.61 \cdot 10^{-19} \text{ C}$$

$$m_e = 9.31 \cdot 10^{-31} \text{ kg}$$

$$E_p = Ue \quad E_k = \frac{1}{2} m v^2$$

$$\lambda = \frac{h}{p} = \frac{h}{m v}$$

$$Ue = \frac{1}{2} m v^2$$

$$v = \sqrt{\frac{2Ue}{m}}$$

$$= \frac{h}{\sqrt{2 m_e Ue}}$$

$$m = \frac{m_0}{\sqrt{1 - \frac{v_0^2}{c^2}}} = \frac{m_e}{\sqrt{1 - \frac{2Ue}{mc^2}}}$$

$$m_e \left(1 + \frac{Ue}{mc^2} \right)$$

$$\frac{h}{\sqrt{2 m_e Ue \frac{1+Ue}{mc^2}}} = 2,51 \text{ pm}$$

Task 2: How many electron are there in the microscope at one point? ($U=300\text{kV}$, $I=1\text{nA}$,
column length: 2m)

Task 2: How many electron are there in the microscope at one point? ($U=300\text{kV}$, $I=1\text{nA}$, column length: 2m)

$$U = 300 \text{ kV}$$

$$I = 1 \text{ nA}$$

$$l = 2 \text{ m}$$

$$e = 1.61 \cdot 10^{-19} \text{ C}$$

$$c = 3 \cdot 10^8 \frac{\text{m}}{\text{s}}$$

$$E_p = U \cdot e$$

$$E_k = \frac{1}{2} m v^2$$

$$v = \sqrt{\frac{2Ue}{m}}$$

$$\lambda_0 = \frac{l}{v} = \sqrt{\frac{l^2 m}{2Ue}}$$

$$\lambda = \sqrt{\frac{l^2 m}{2Ue}} \left[1 + \frac{Ue}{mc^2} \right]$$

$$\lambda = \frac{\lambda_0}{1 - \frac{v^2}{c^2}}$$

$$= \frac{\lambda_0}{1 - \frac{2Ue}{mc^2}}$$

$$\cong \lambda_0 \left[1 + \frac{Ue}{mc^2} \right]$$

$$I = \frac{n \cdot e \cdot l}{\lambda}$$

$$n = \frac{I \cdot \lambda}{e} = \frac{I}{e} \sqrt{\frac{l^2 m}{2Ue}} \left[1 + \frac{Ue}{mc^2} \right] = 61,2$$

Task 3: What is the radiation damage (electron dose in e^-/A^2) of the specimen in SEM?
($U=2kV$, $I=5pA$, dwell time: $1\mu s$, spot size: $5nm$)

Task 3: What is the radiation damage (electron dose in e^-/A^2) of the specimen in SEM?
($U=2kV$, $I=5pA$, dwell time: $1\mu s$, spot size: $5nm$)

$U = 2kV$
 $I = 5pA$
 $t = 1\mu s$
 $d = 5nm$

$$e = 1,61 \cdot 10^{-19}$$

$$Q = I \cdot t \Rightarrow Q_e = \frac{I \cdot t}{e}$$

$$S = \frac{\pi d^2}{4}$$

$$D (e^-/A^2) = \frac{Q_e}{S} = \frac{I \cdot t}{e \cdot \frac{\pi d^2}{4}} = \frac{4 I t}{\pi d^2 e} = 0,016$$

Outline

Image analysis I

- ◆ Fourier transforms
 - Why do we care?
 - Theory
 - Examples in 1D
 - Examples in 2D
- ◆ Digitization
- ◆ Fourier filtration
- ◆ Contrast transfer function
- ◆ Resolution

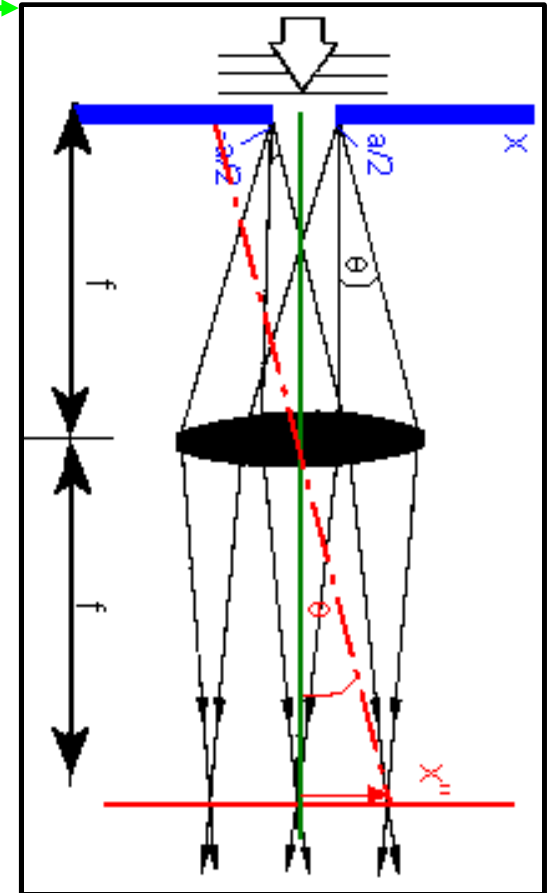
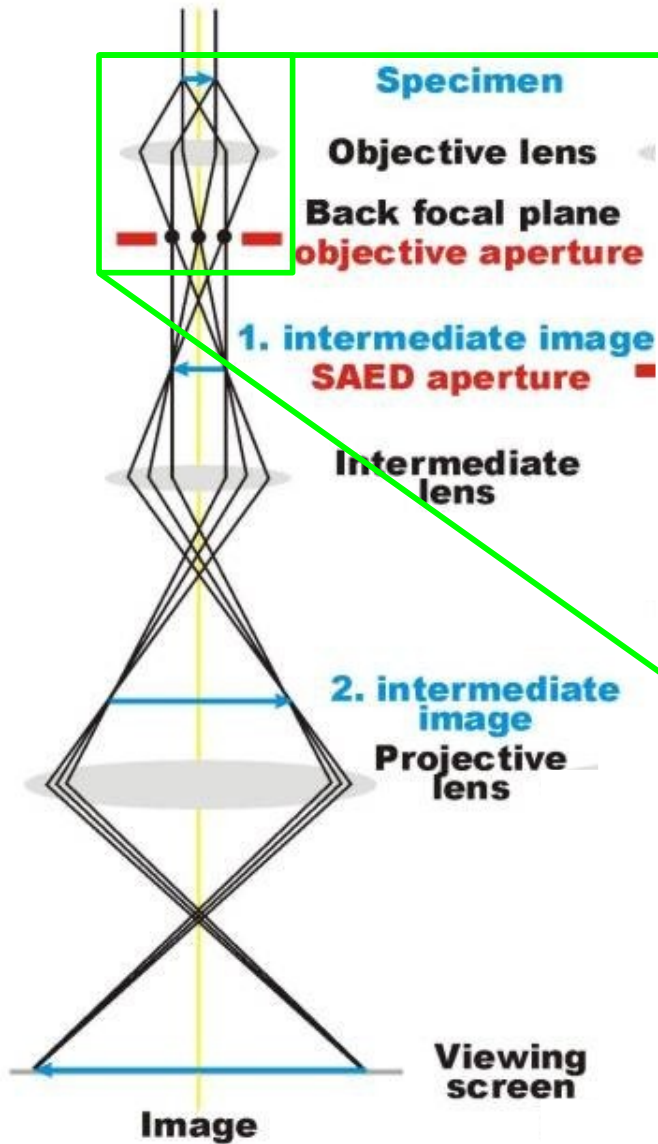
Fourier transforms

Outline

Image analysis I

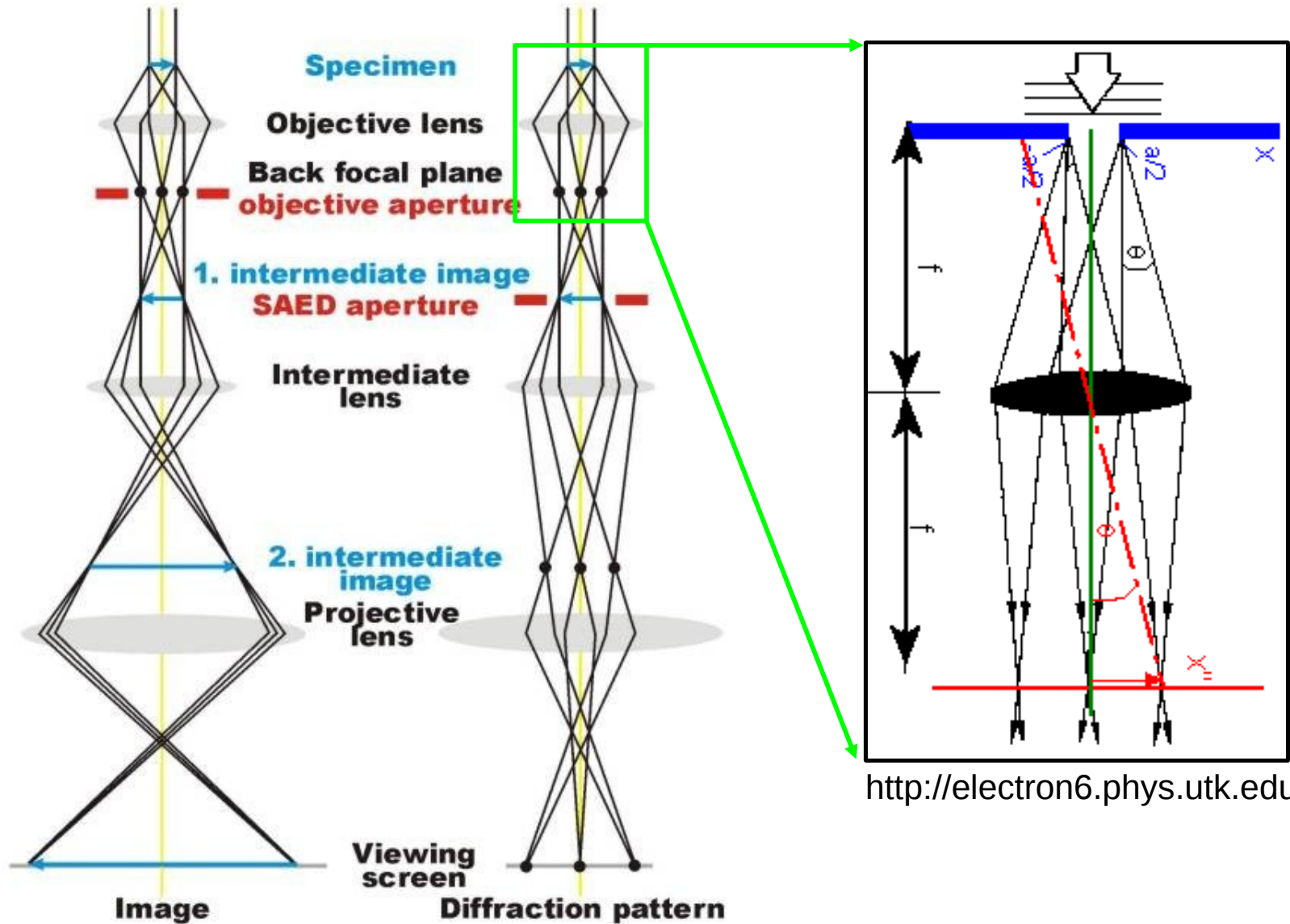
- ◆ Fourier transforms
 - Why do we care?
 - Theory
 - Examples in 1D
 - Examples in 2D
- ◆ Digitization
- ◆ Fourier filtration
- ◆ Contrast transfer function

A quiz



<http://electron6.phys.utk.edu>

Relationship between imaging and diffraction



<http://electron6.phys.utk.edu>

The only difference between microscopy and diffraction is that, in microscopy, you can (easily) focus the scattered radiation into an image.

Outline

Image analysis I

- ◆ Fourier transforms

 - Relationship between imaging and diffraction

 - Theory

 - Examples in 1D

 - Examples in 2D

- ◆ Digitization

- ◆ Fourier filtration

- ◆ Contrast transfer function

Relevance of Fourier transforms to EM

Fourier transform ~ diffraction pattern

see John Rodenburg's site, <http://rodenburg.org>

NOTE: $v = \alpha/\lambda$

Fourier series

A Fourier series is an expansion of a periodic function $f(x)$ in terms of an infinite sum of sines and cosines

$$f(x) = \frac{1}{2}a_0 + \sum_{n=1}^{\infty} a_n \cos(nx) + \sum_{n=1}^{\infty} b_n \sin(nx)$$

Fourier transforms: Exponential form

$$F(k) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i k x} dx$$

f : function which we are transforming (1D)

x : axis coordinate

i : $\sqrt{-1}$

k : spatial frequency


$F(k)$: Fourier coefficient at frequency k

Fourier transforms: Exponential form

$$F(k) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i k x} dx$$

Euler's Formula: $e^{i\phi} = \cos \phi + i \sin \phi$

$$F(k) = \int_{-\infty}^{\infty} f(x) \cos(-2\pi kx) dx + i \int_{-\infty}^{\infty} f(x) \sin(-2\pi kx) dx$$



Fourier transforms: Sines + cosines


$$F(k) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i k x} dx$$

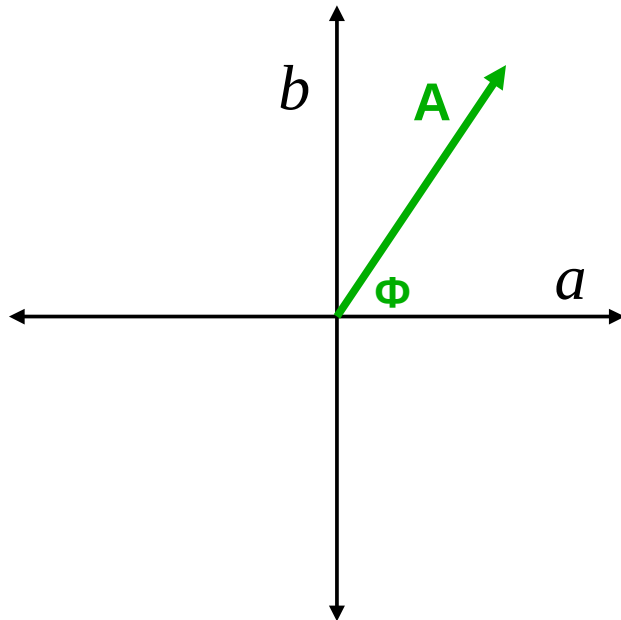
$$F(k) = a \cos(-2\pi k x) + ib \sin(-2\pi k x)$$

(NOTE: This isn't the same a & b from the previous slide.)

Fourier transforms: Definition

$$F(k) = \int_{-\infty}^{\infty} f(x) \cos(-2\pi kx) dx + i \int_{-\infty}^{\infty} f(x) \sin(-2\pi kx) dx$$





Amplitude, A: $\sqrt{a^2 + b^2}$

Phase, Φ : $\arctan \frac{b}{a}$

Fourier coefficients, discrete functions

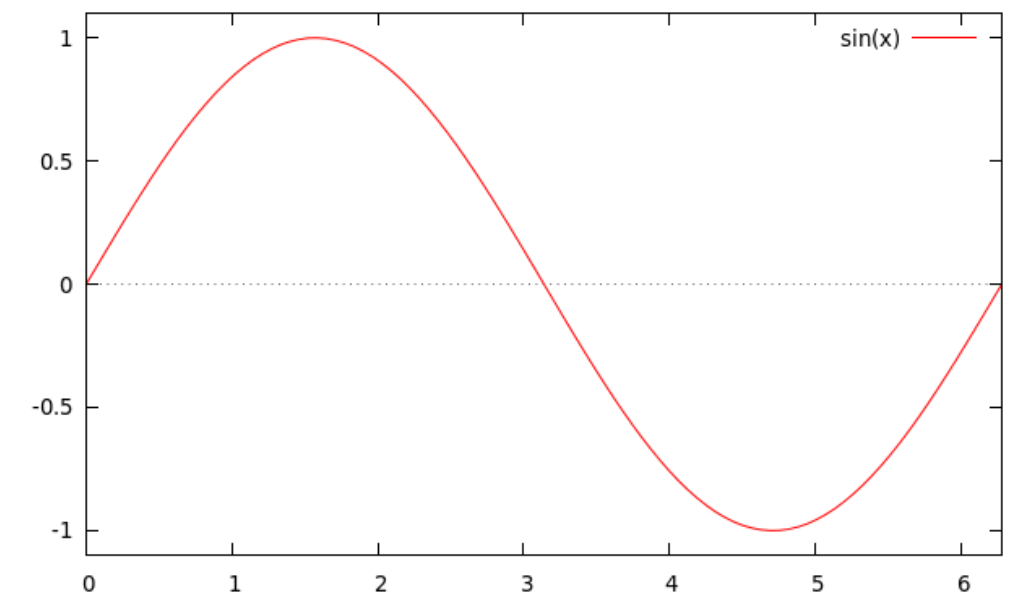
$$f(x) = \frac{1}{2}a_0 + \sum_{n=1}^{\infty} a_n \cos(nx) + \sum_{n=1}^{\infty} b_n \sin(nx)$$

$$a_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \cos(nx) dx$$

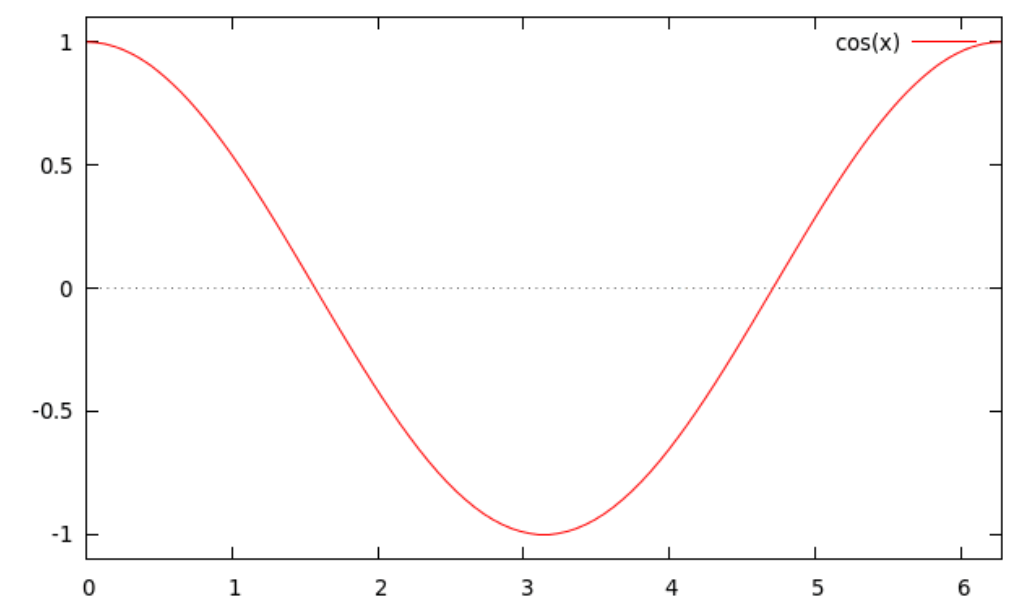
$$b_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \sin(nx) dx$$

Task 1: Calculate Fourier transform coefficients of following signal?

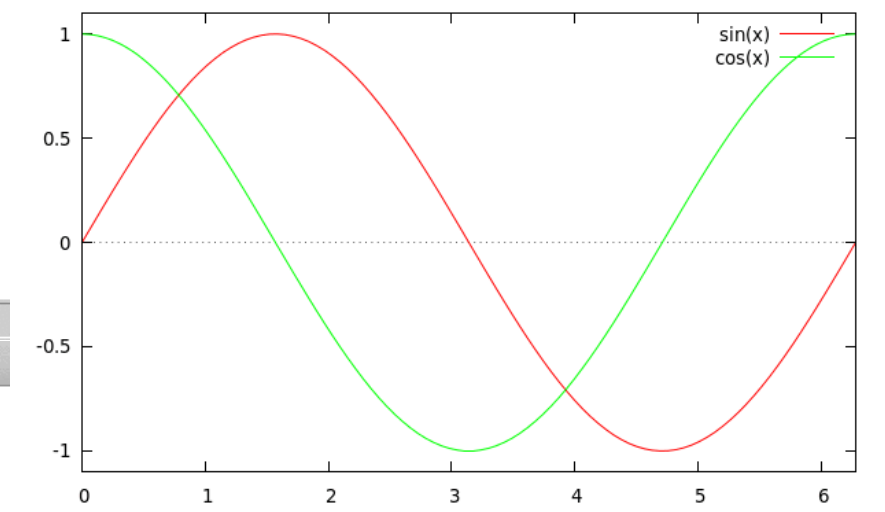
8 5 3 7 0 -1 9 1



3.47611, -1.31667

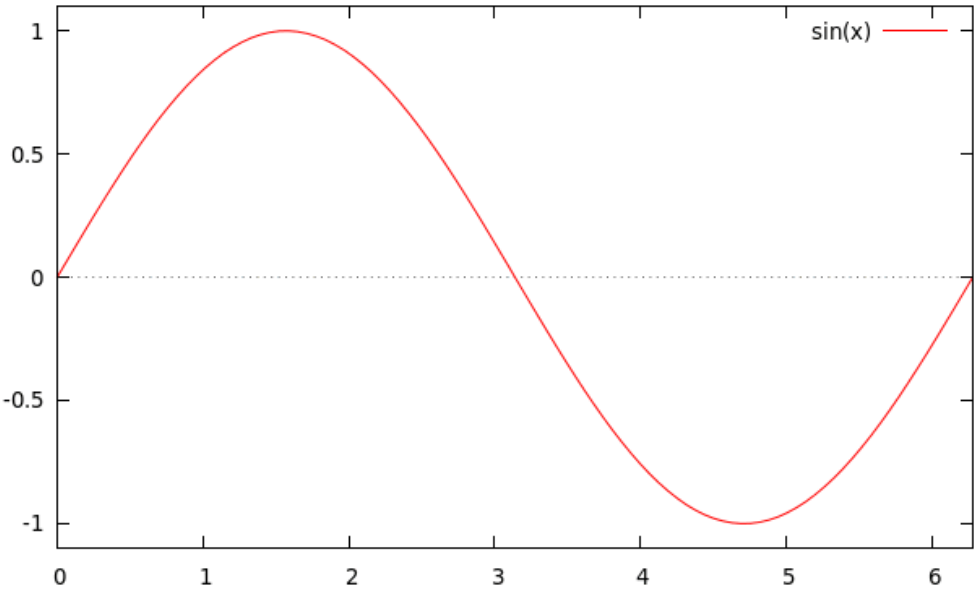


4.80194, -0.0982937

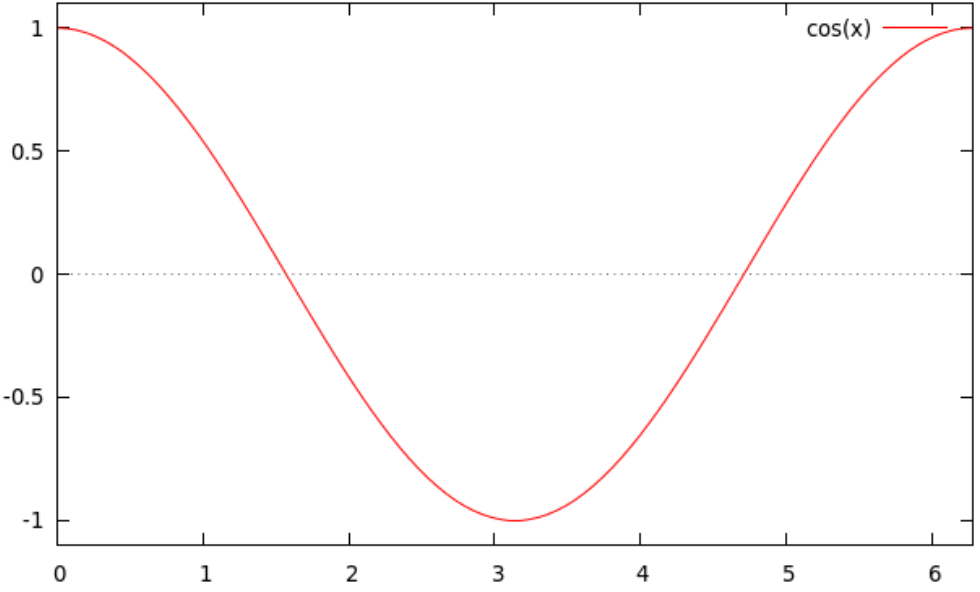


6.09434, -1.30350

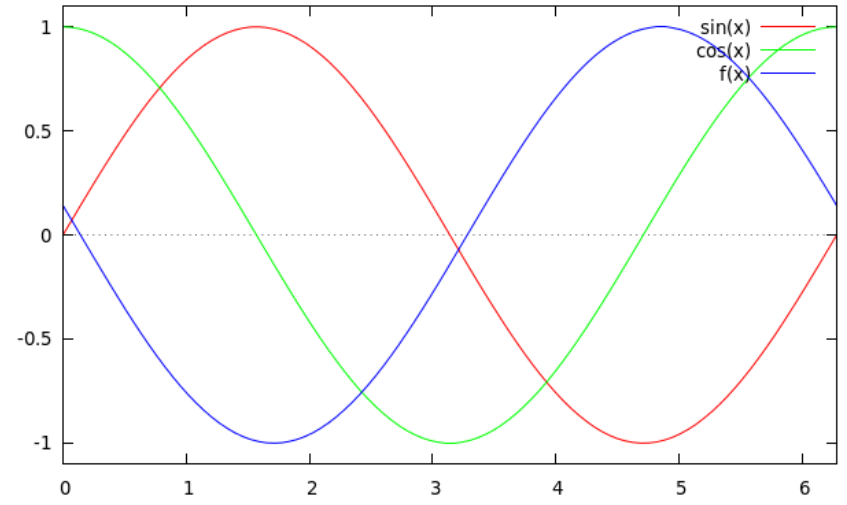
sine and cosine are orthogonal.
The inner product of the two is zero.



3.47611, -1.31667



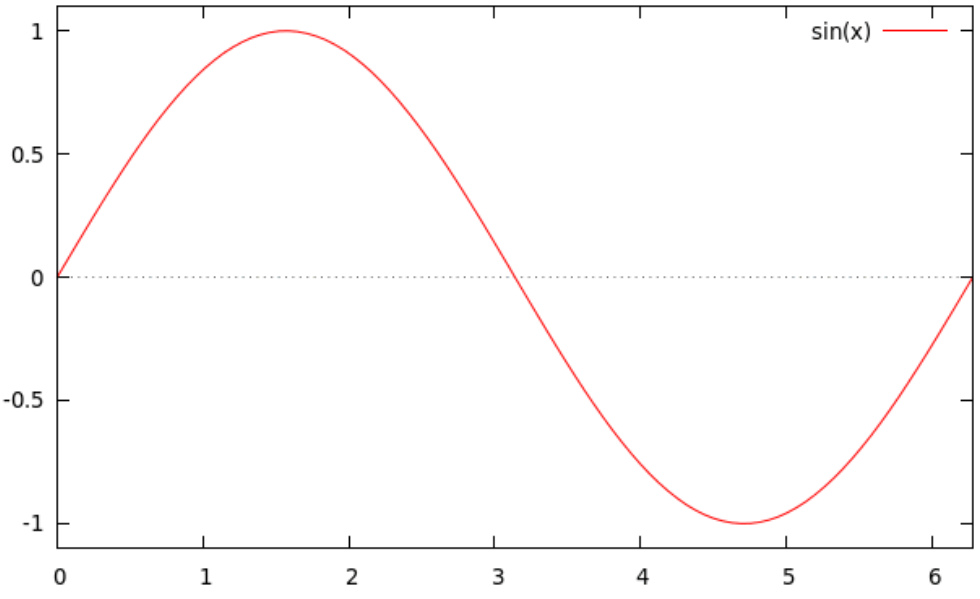
4.80194, -0.0982937



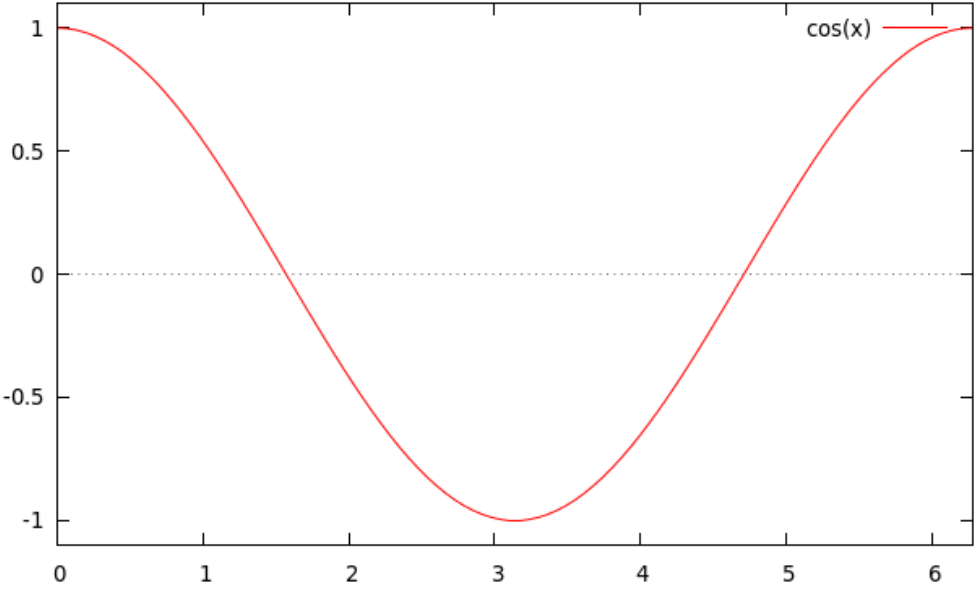
5.34787, -1.31009

A function with the same frequency but with an offset will have some components of both sine and cosine.

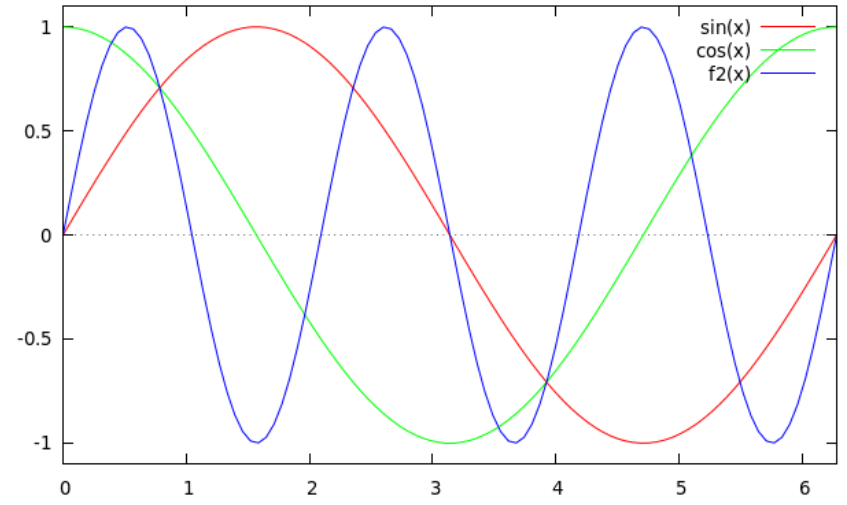
That is, a and b will be non-zero.



3.47611, -1.31667



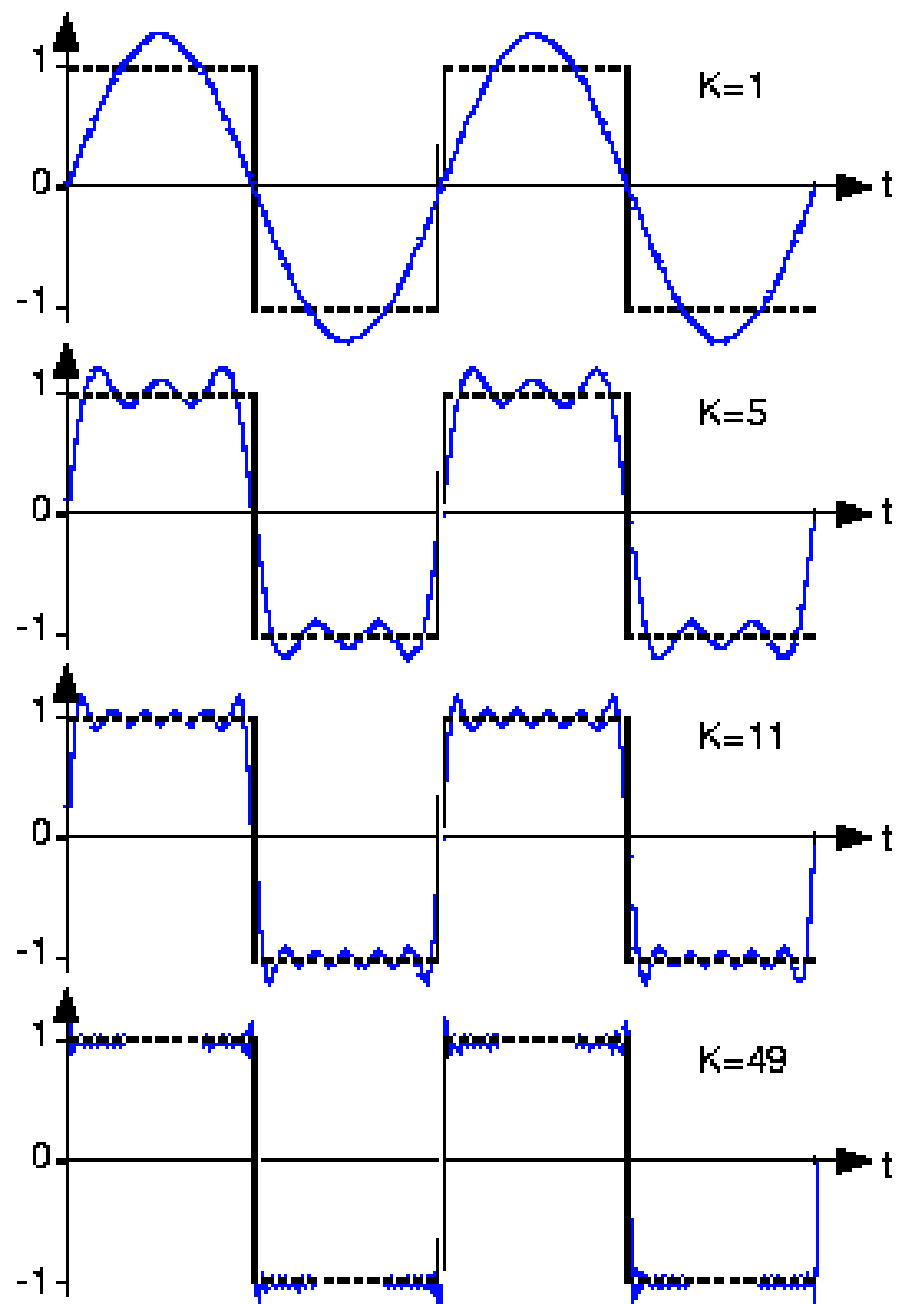
4.80194, -0.0982937



4.08889, -0.697605

A function with a different frequency will have coefficients of zero.

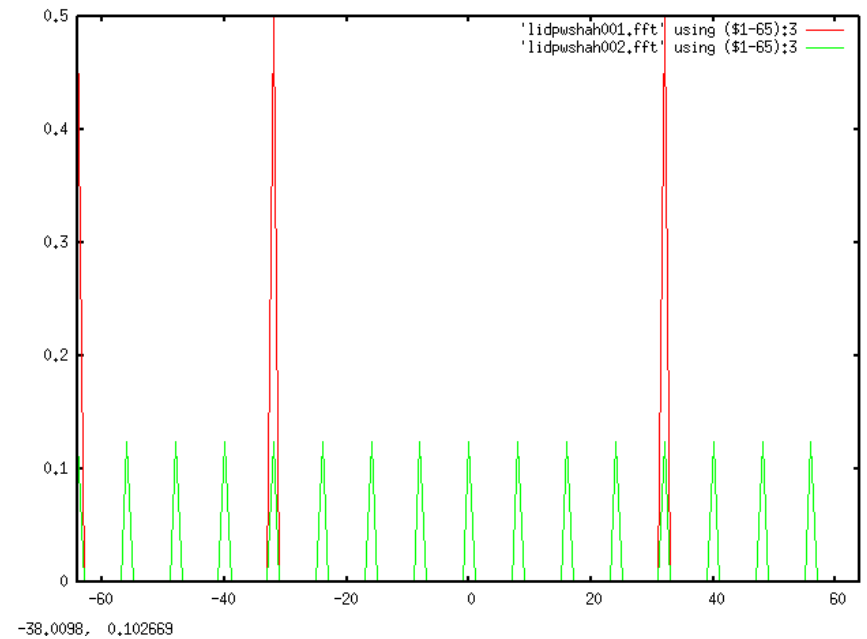
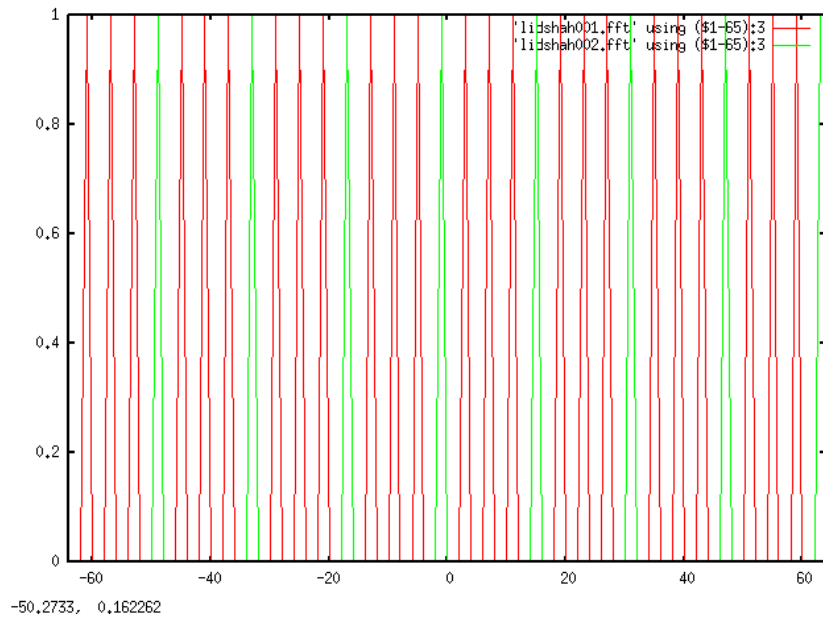
The higher the spatial frequencies (i.e., higher resolution) that are included, the more faithful the representation of the original function will be.



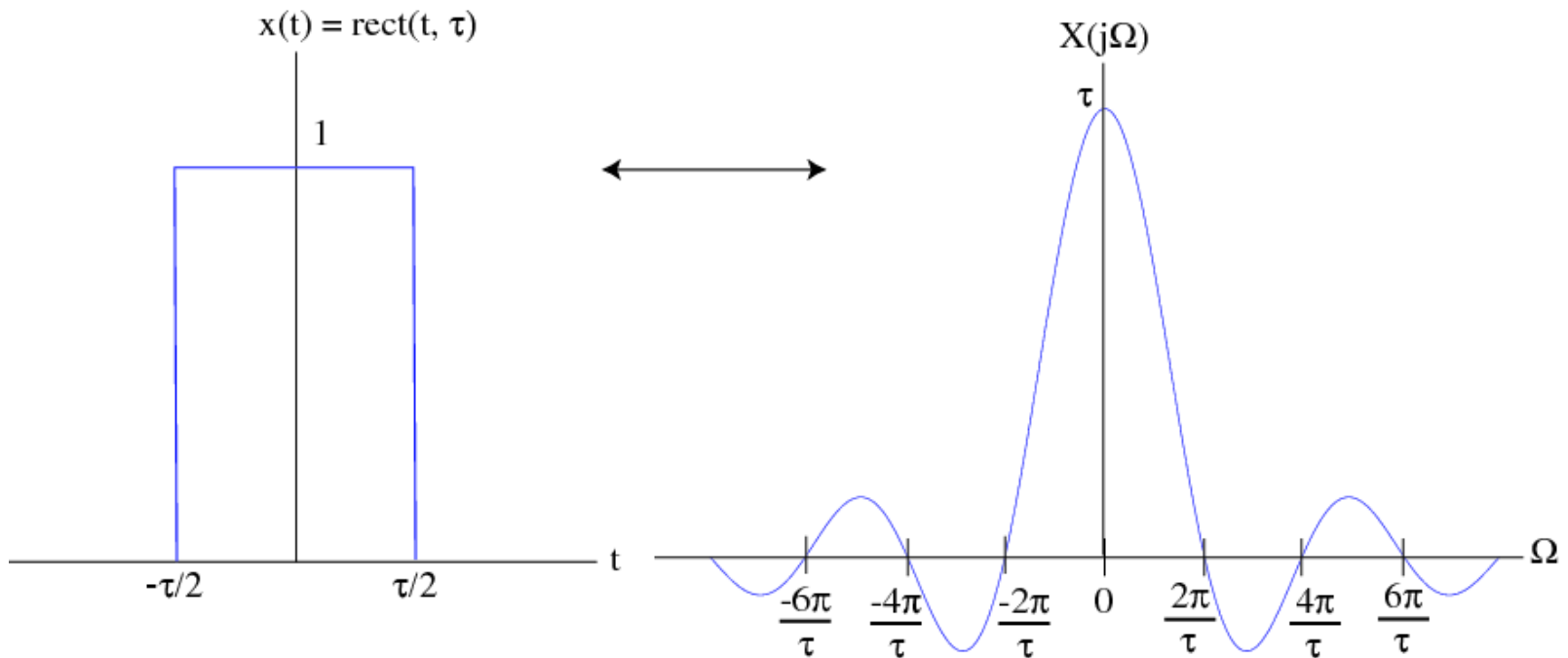
Some properties

- As n increases, so does the spatial frequency, *i.e.*, the “resolution.”
 - For example, $\sin(2x)$ oscillates faster than $\sin(x)$
- Computation of a Fourier transform is a completely reversible operation.
 - There is no loss of information.
- Fourier terms (or coefficients) have amplitude and phase.
- The diffraction pattern is the physical manifestation of the Fourier transform
 - Phase information is lost in a diffraction pattern.
 - An image contains both phase and amplitude information.

Some simple 1D transforms: a 1D lattice



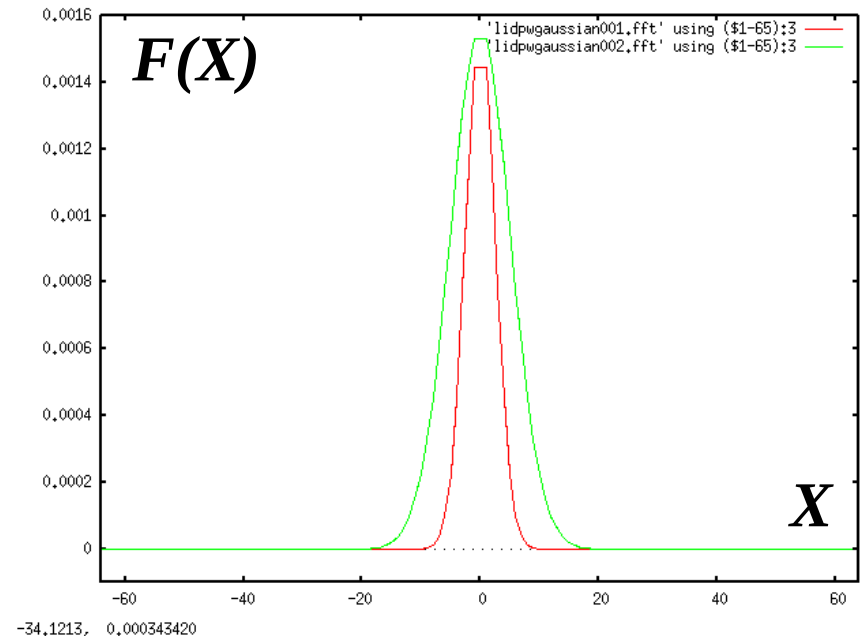
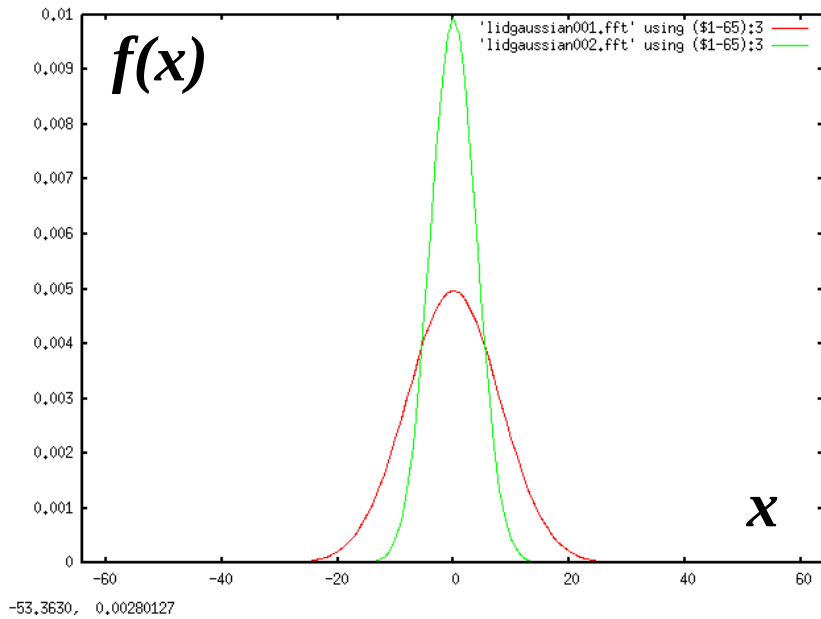
Some simple 1D transforms: a box



<http://cnx.org>

Later, you will learn that multiplying a step function is bad, because of these ripples in Fourier space.

Fourier transforms: plot of a Gaussian

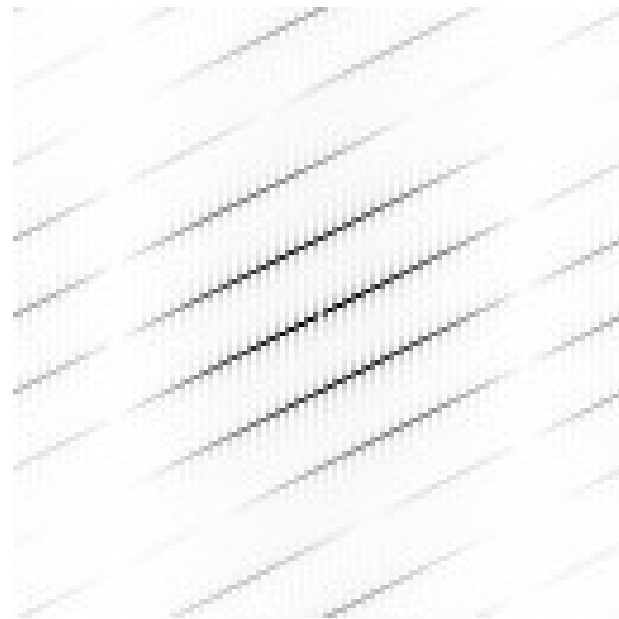
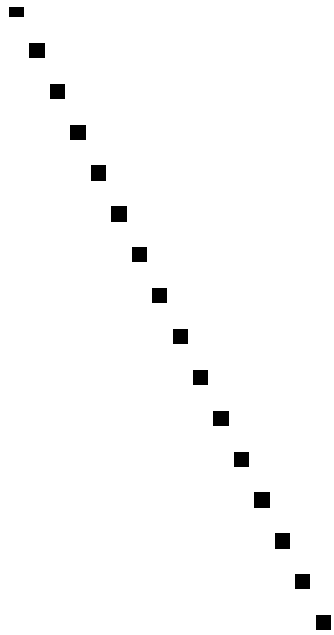


Some simple 1D transforms: a sharp point (Dirac delta function)

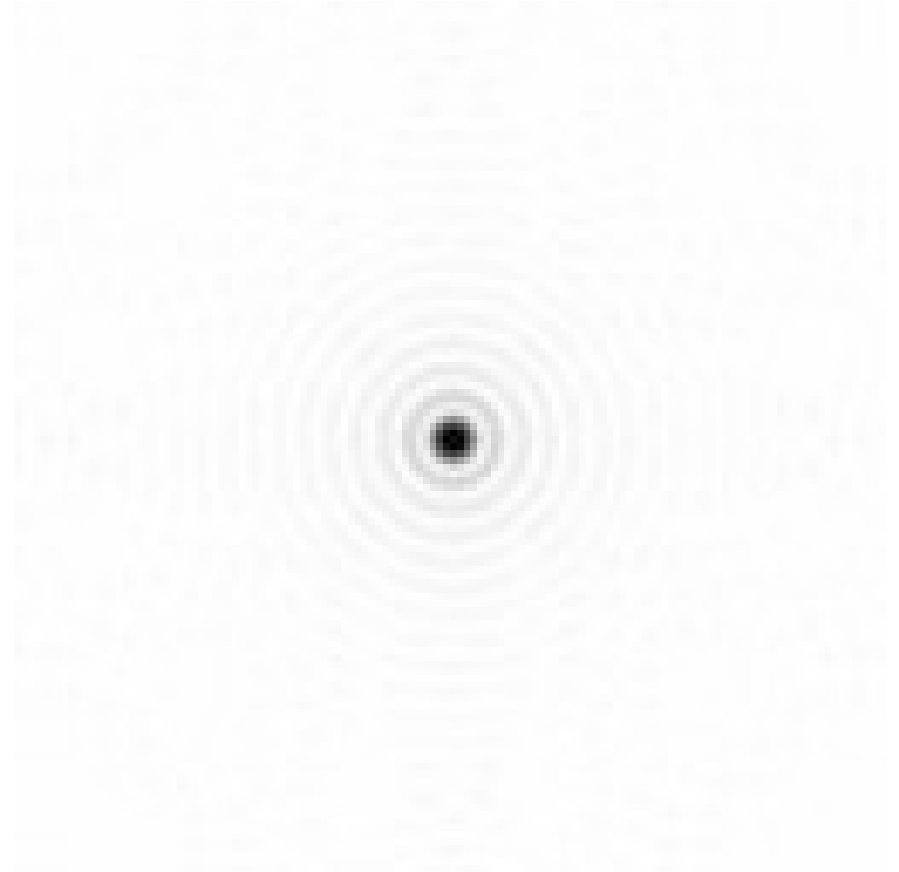
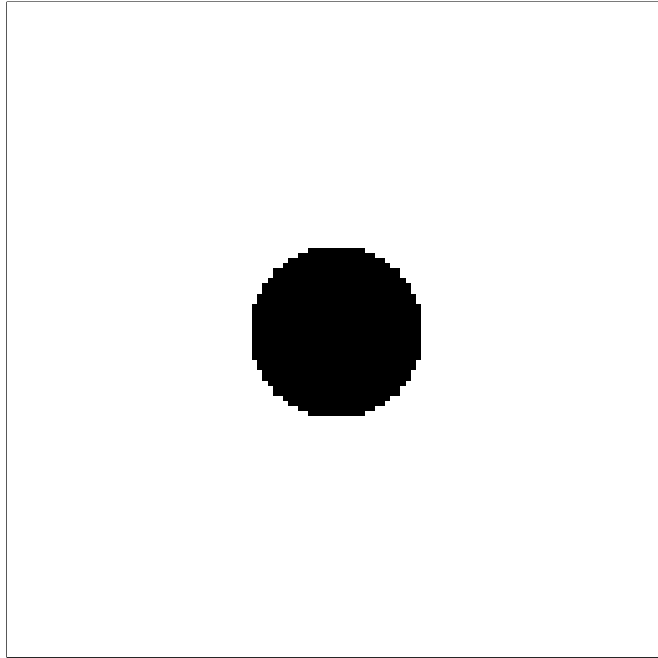


http://en.labs.wikimedia.org/wiki/Basic_Physics_of_Nuclear_Medicine/Fourier_Methods

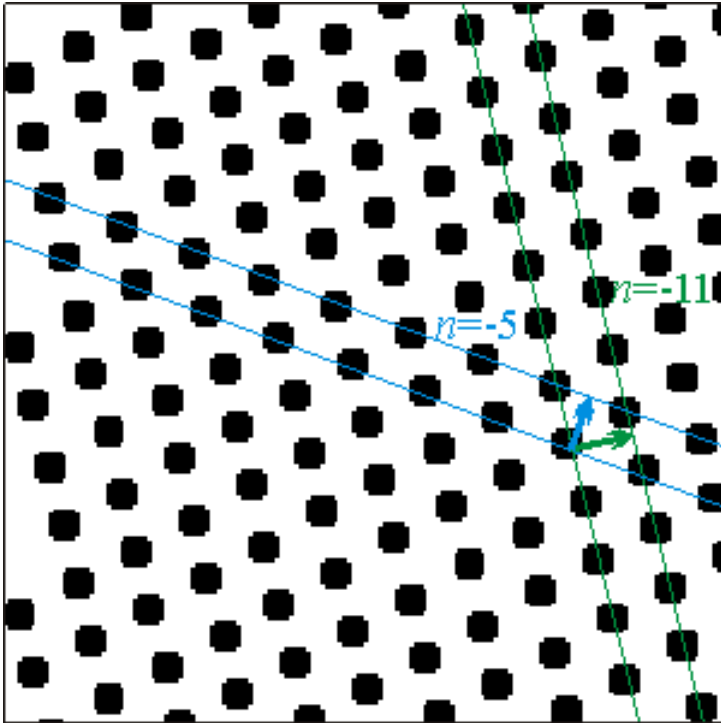
Some simple 2D Fourier transforms: a row of points



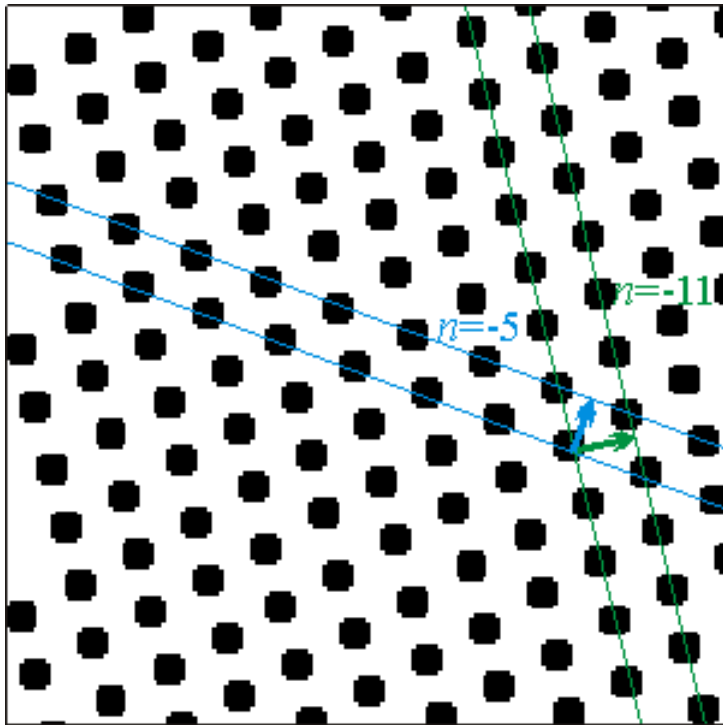
Some simple 2D Fourier transforms: a sharp disc



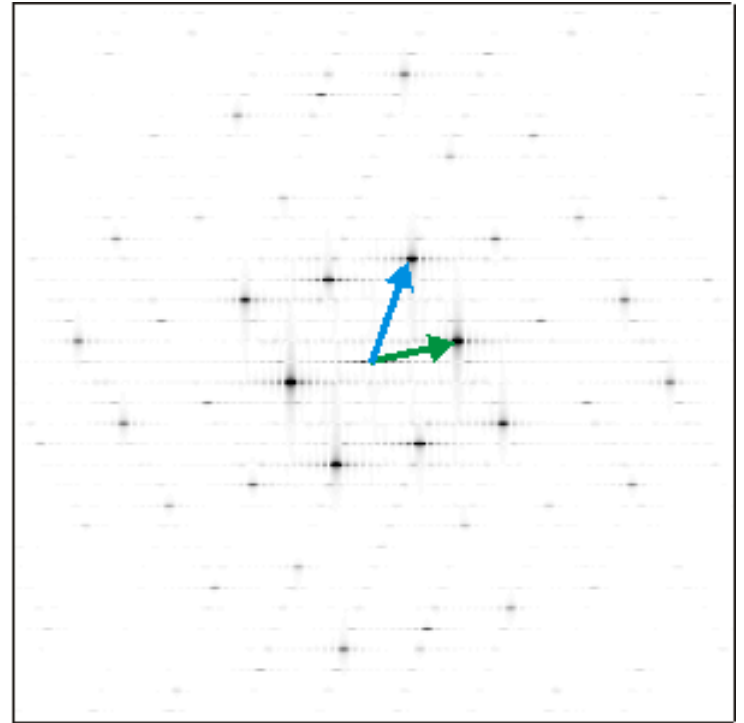
Some simple 2D Fourier transforms: a 2D lattice



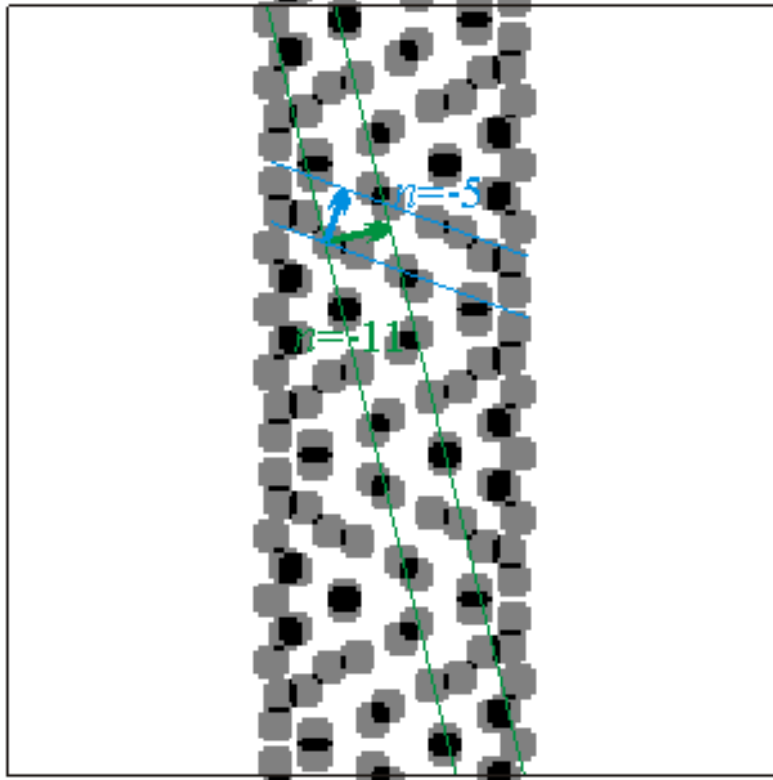
Some simple 2D Fourier transforms: a 2D lattice



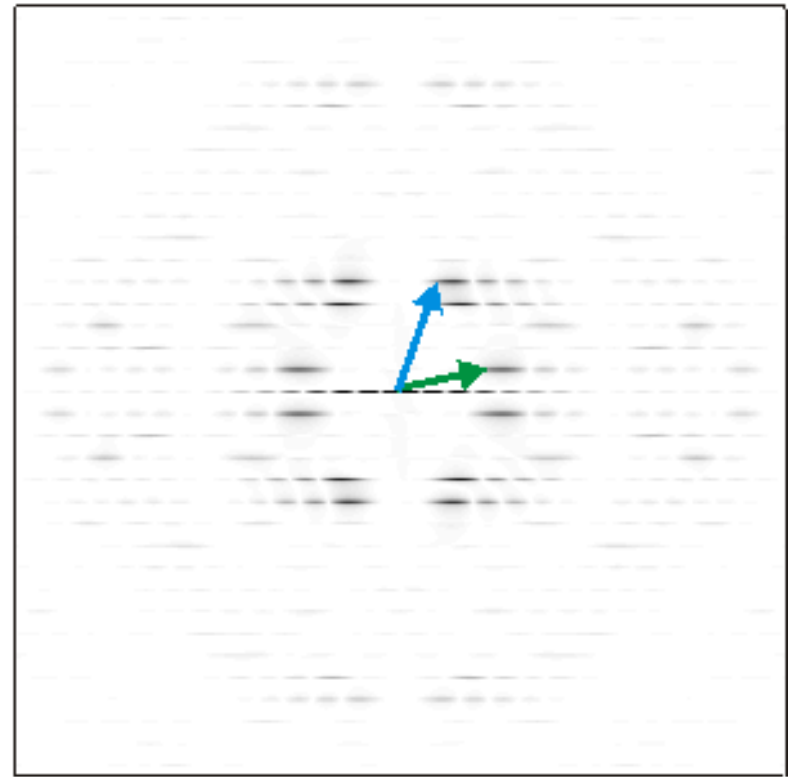
FT →



Some simple 2D Fourier transforms: a helix



FT →

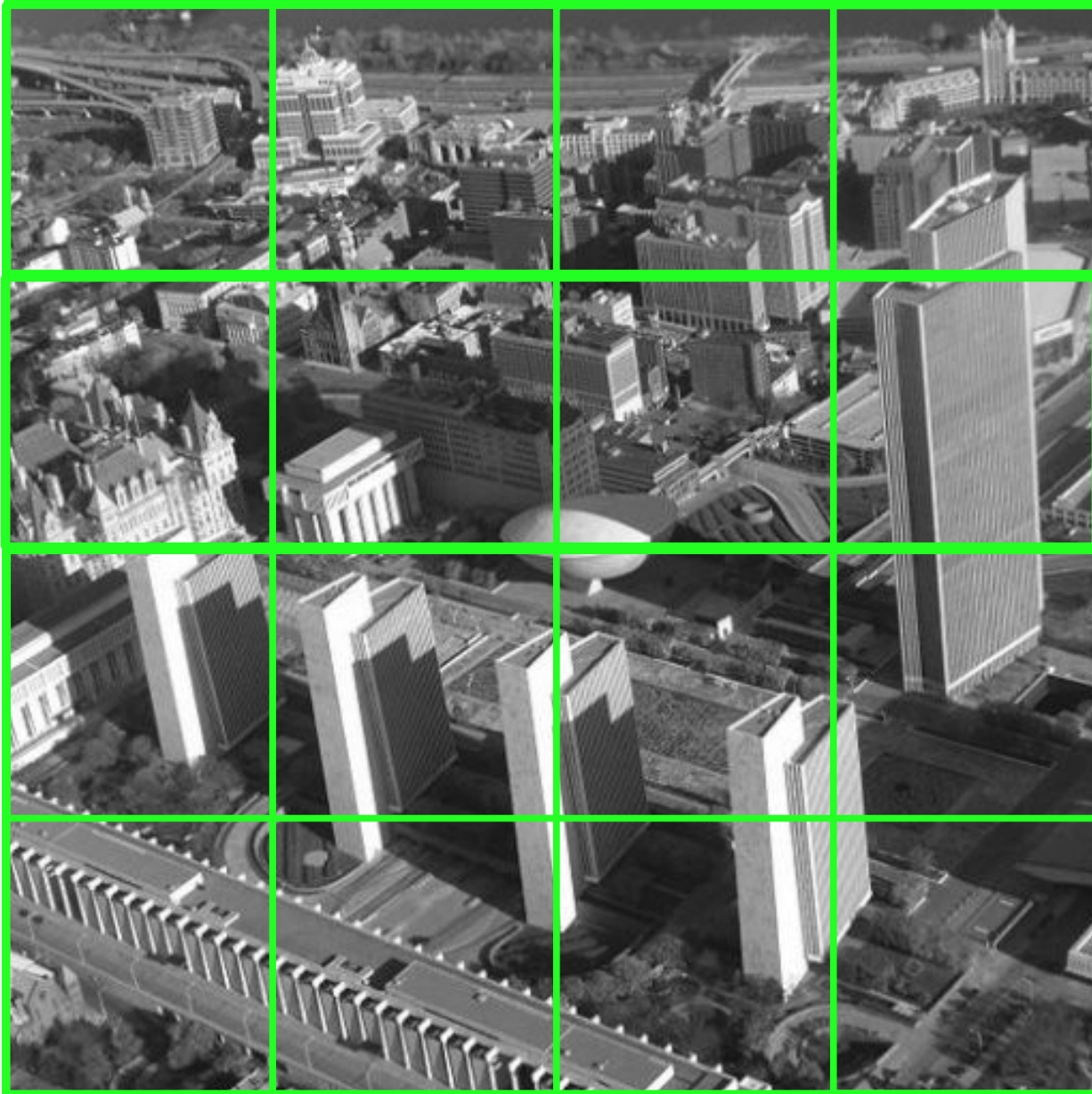


Outline

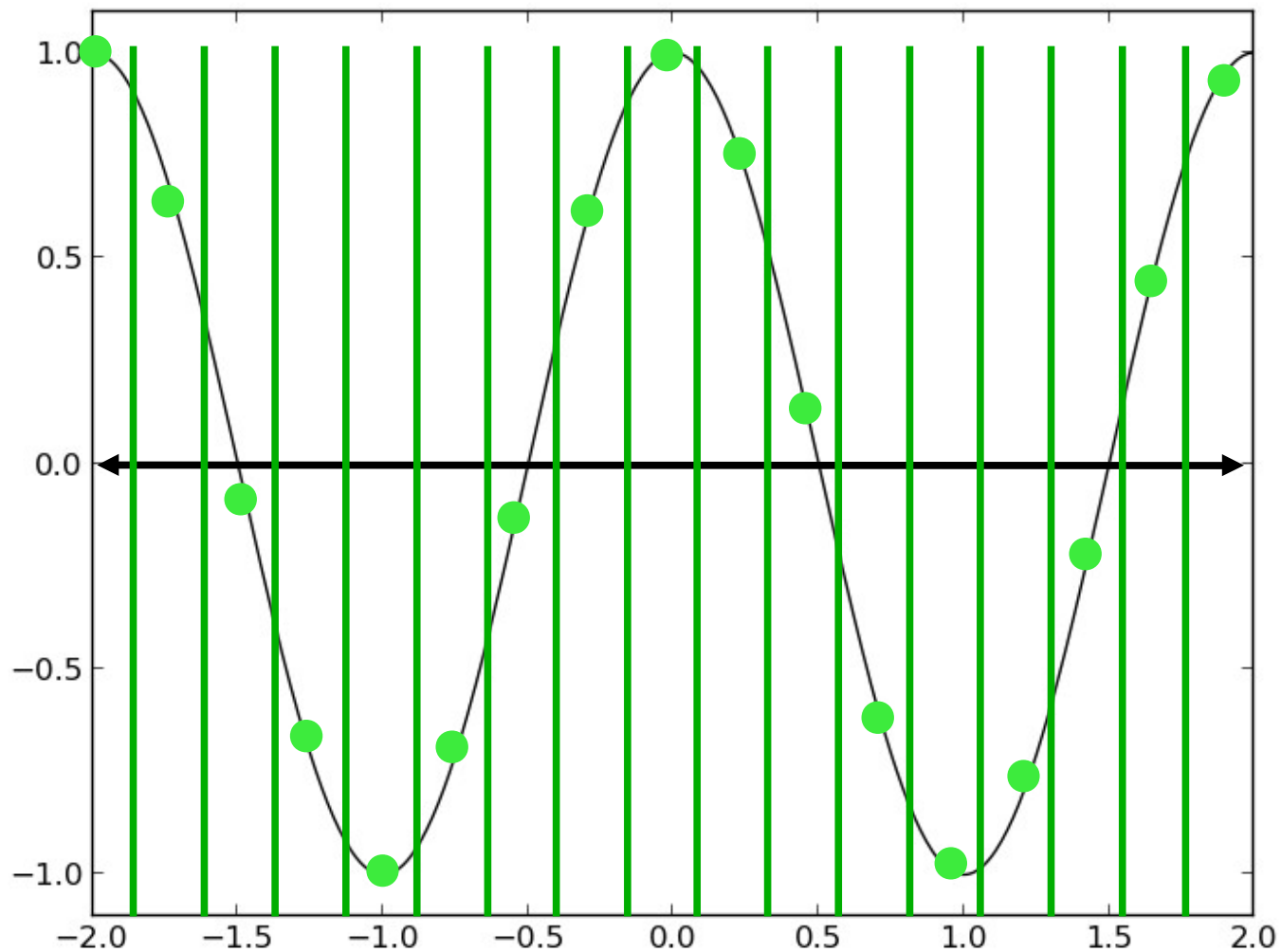
Image analysis I

- ◆ Fourier transforms
 - Relationship between imaging and diffraction
 - Theory
 - Examples in 1D
 - Examples in 2D
- ◆ Digitization
- ◆ Fourier filtration
- ◆ Contrast transfer function

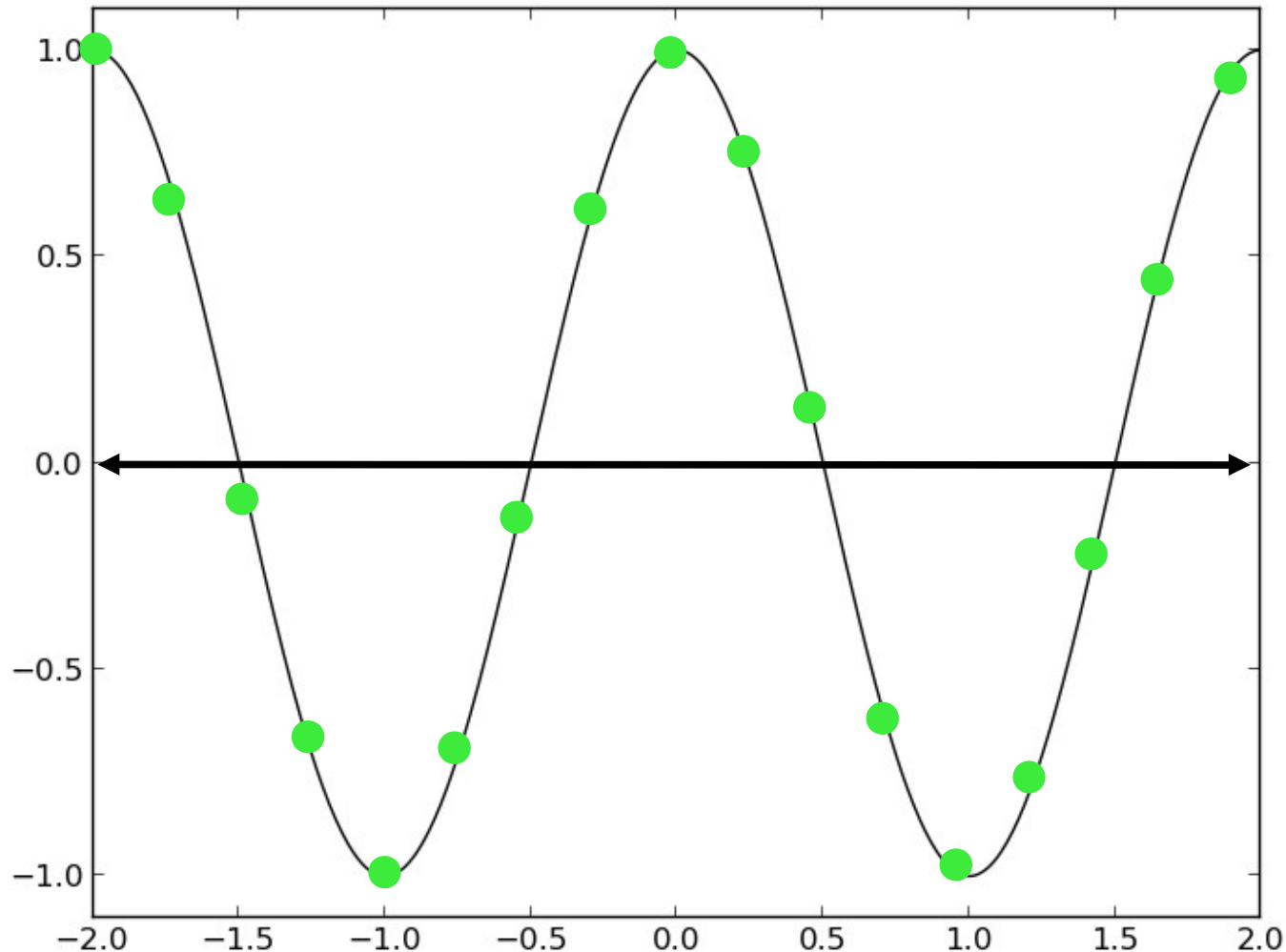
Digitization in 2D



Digitization in 1D: Sampling

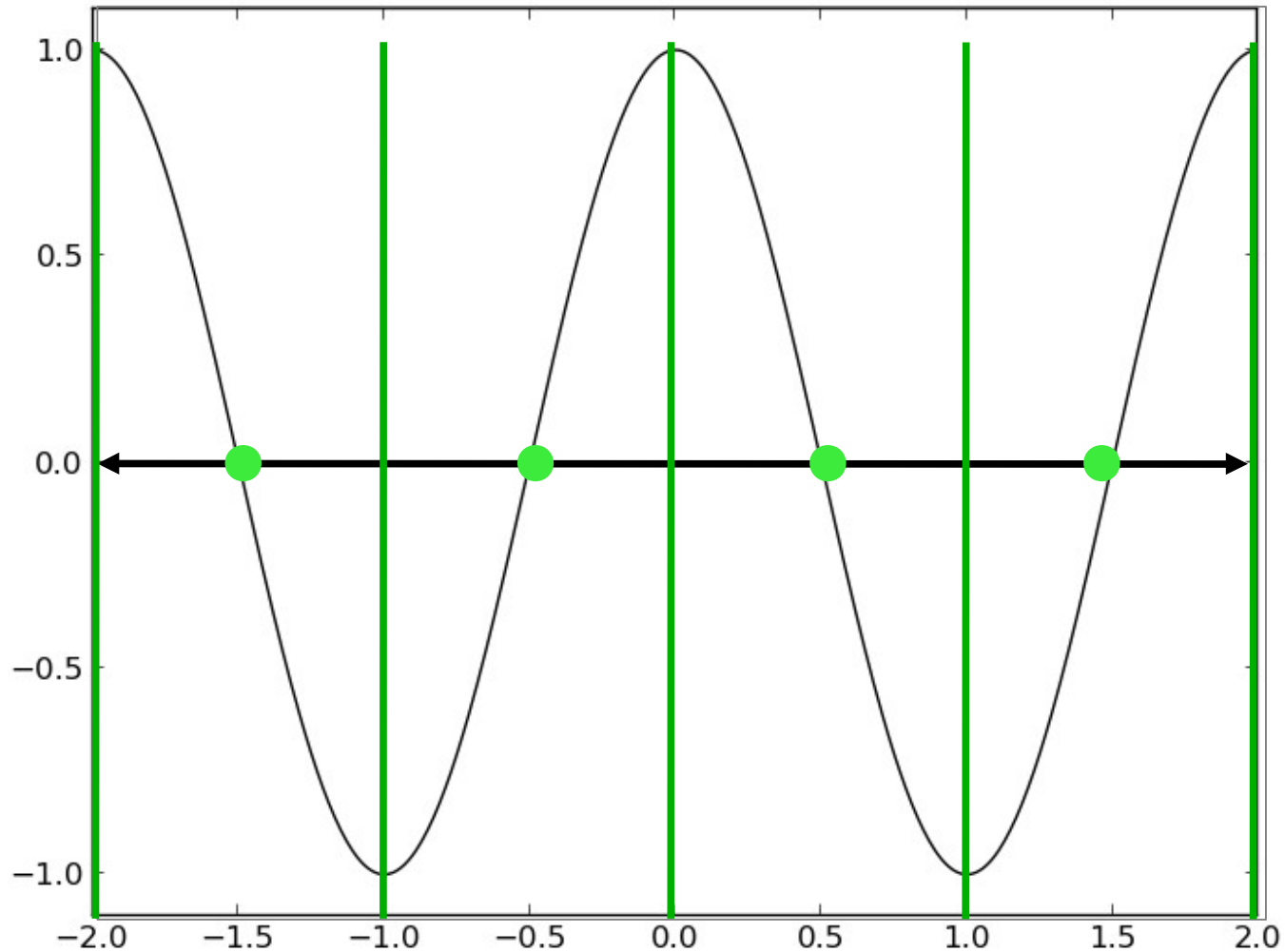


Digitization: Is our sampling good enough?



Here, our sampling is good enough.

Digitization in 1D: Bad sampling



Discrete Fourier Transform

- 1D discrete Fourier transform of function $f(x)$

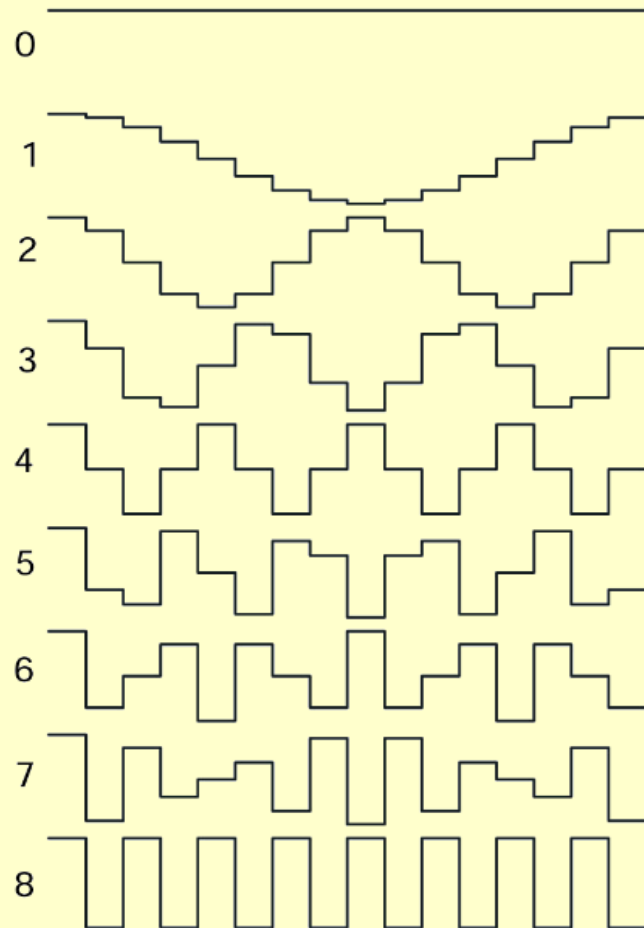
$$\Phi(\omega_x) = \sum_{x=0}^{N-1} f(x) e^{-i\left(\frac{2\pi}{N}\omega_x x\right)}$$

- 1D inverse discrete Fourier transform of function $\Phi(\omega_x)$

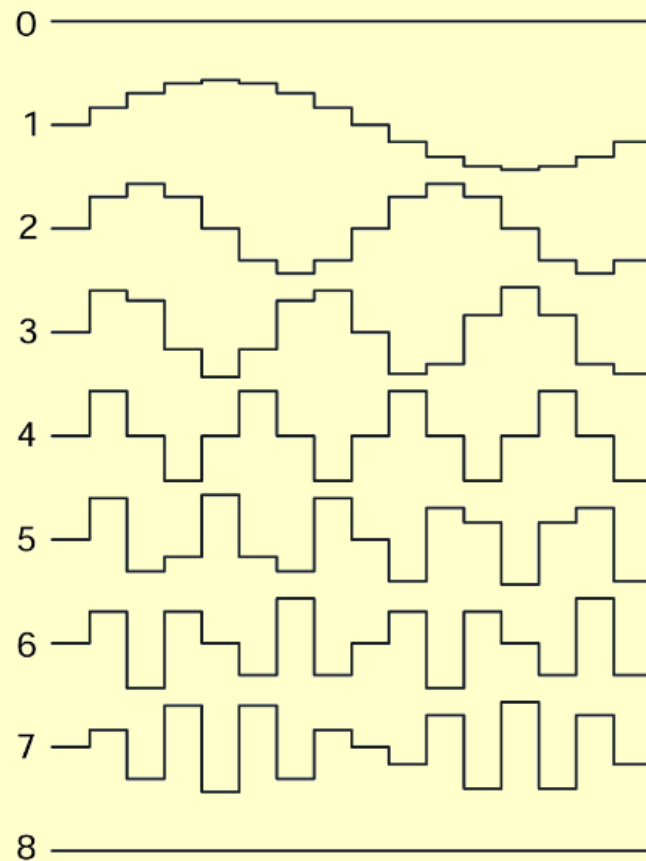
$$f(x) = \frac{1}{N} \sum_{\omega_x=0}^{N-1} \Phi(\omega_x) e^{i\left(\frac{2\pi}{N}\omega_x x\right)}$$

Discrete Fourier Transform

Cosine base functions for N=16

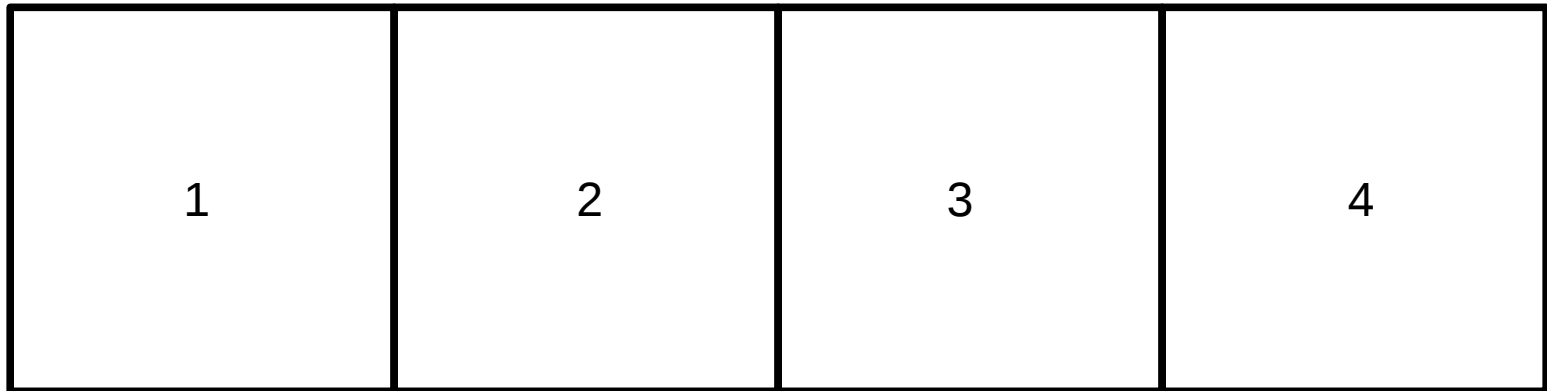


Sine base functions for N=16



Task 2: Show that Discrete Fourier Transform is periodic?

What's the best resolution we can get from a given sampling rate?



A 4-pixel "image"

In other words, what is the most rapid oscillation we can detect?

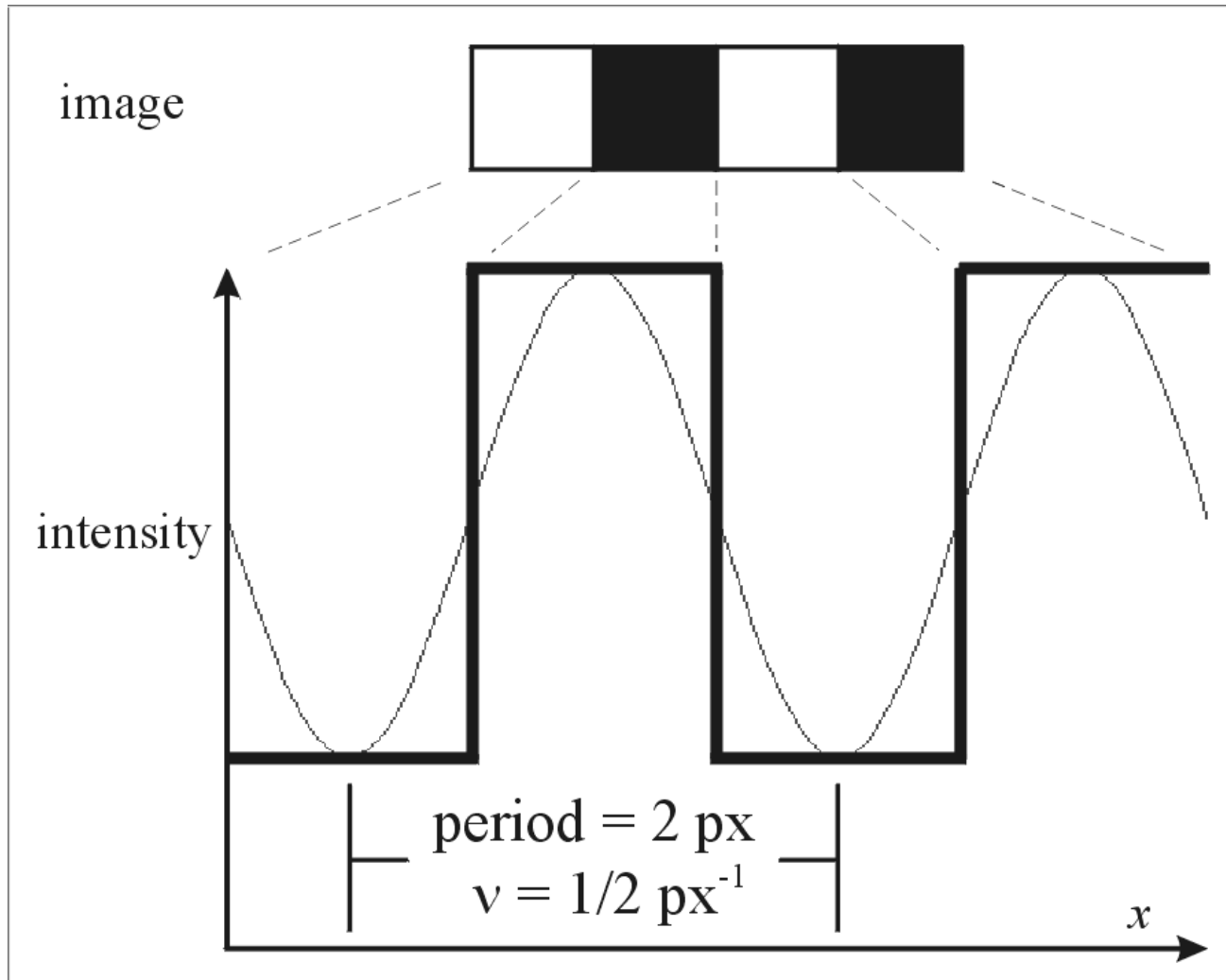
What's the best resolution we can get from a given sampling rate?



A 4-pixel "image"

In other words, what is the most rapid oscillation we can detect?

ANSWER: Alternating light and dark pixels.



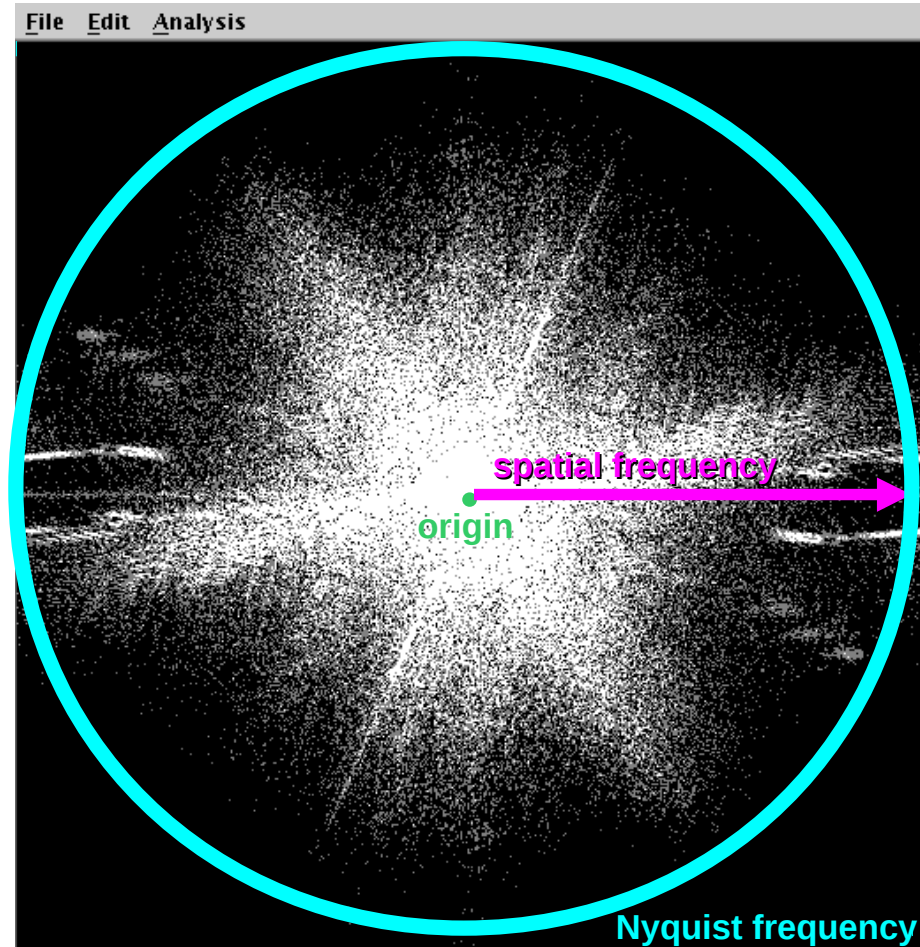
The period of this finest oscillation is 2 pixels.

The spatial frequency of this oscillation is 0.5 px^{-1} .

The finest detectable oscillation is what is known as “Nyquist frequency.”

The edge of the Fourier transform corresponds to Nyquist frequency.

Nyquist frequency



The period of this finest oscillation is 2 pixels.
The spatial frequency of this oscillation is 0.5 px^{-1} .
The finest detectable oscillation is what is known as “Nyquist frequency.”
The edge of the Fourier transform corresponds to Nyquist frequency.

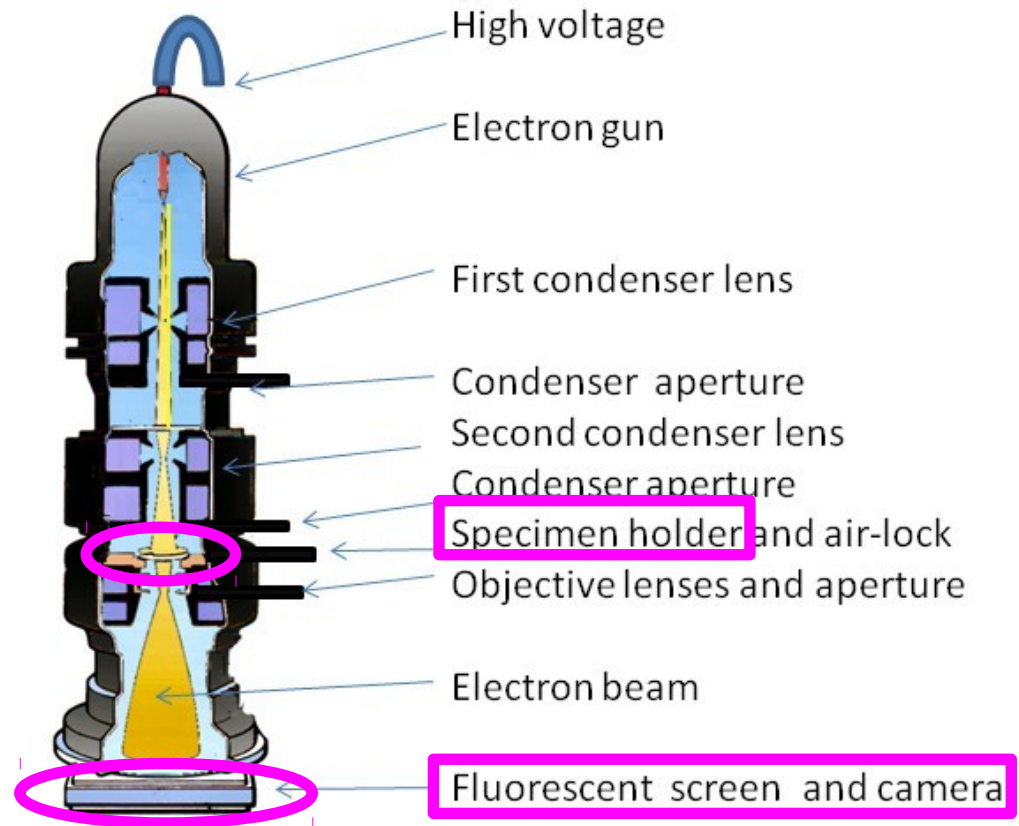
What do we mean by pixel size?

Typical magnification: 50,000X
Typical detector element: 15 μ m
(pixel size on the camera scale)

Pixel size on the specimen scale:
 $15 \times 10^{-6} \text{ m/px} / 50000 =$
 $3.0 \times 10^{-10} \text{ m/px} = \mathbf{3.0 \text{ \AA/px}}$

In other words,
the best resolution we
can achieve (or, the
finest oscillation we
can detect) at 3.0 \AA/px
is **6.0 \AA** .

It will be worse due to interpolation,
so to be safe, a pixel should be 3X
smaller than your target resolution.



Transmission Electron Microscope

<http://www.en.wikipedia.org>

*What happens if you're not
oversampled enough?*

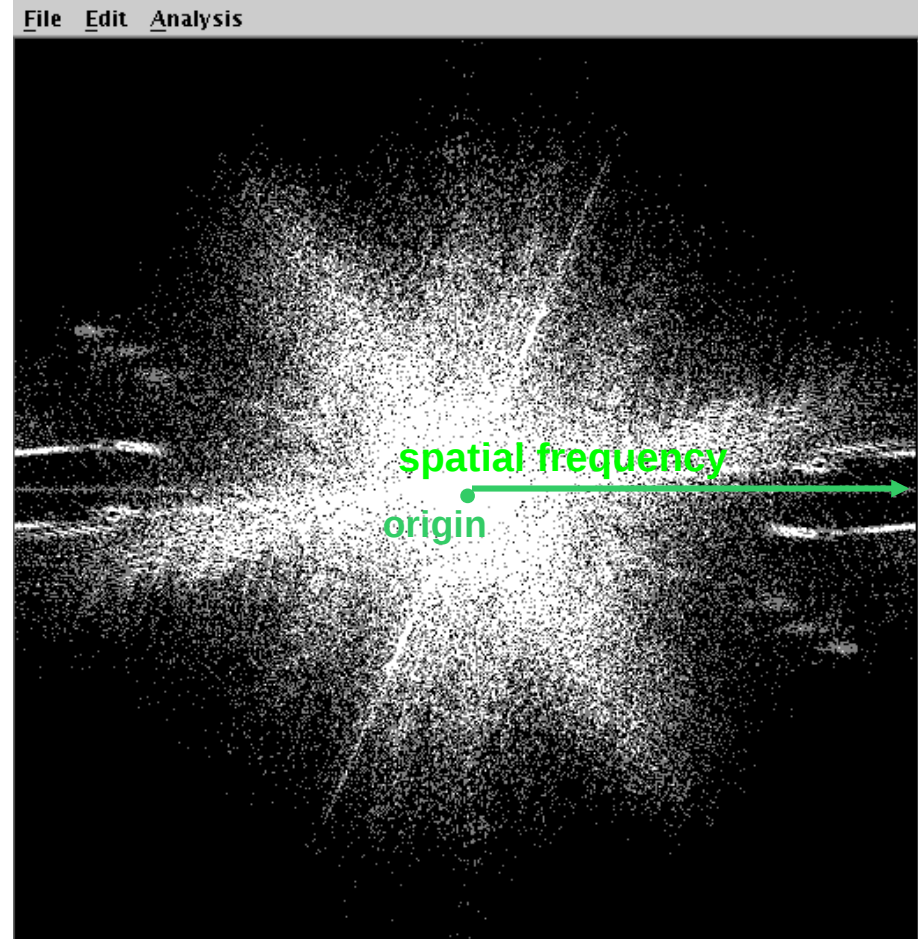
Aliasing

<https://www.youtube.com/watch?v=6LzaPARy3uA>

What do we mean by spatial frequency?

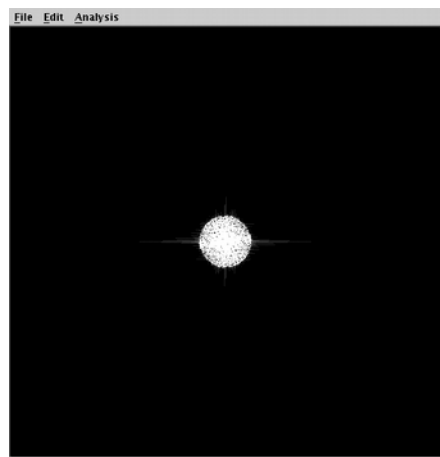
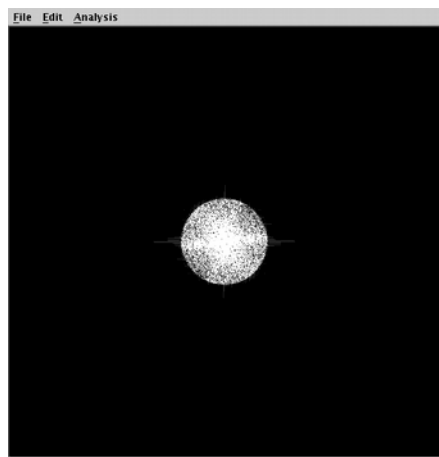
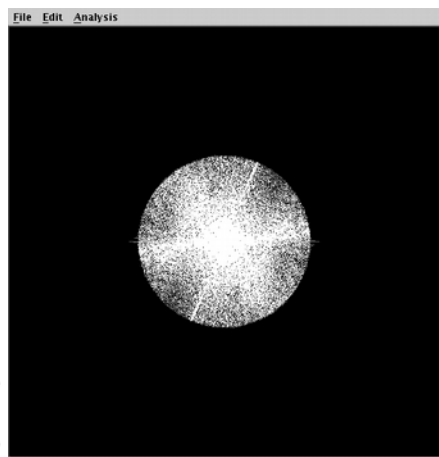
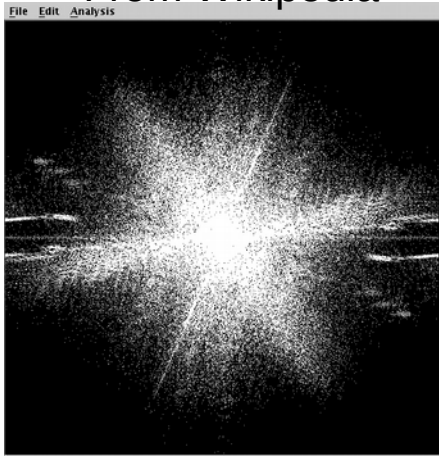


From Wikipedia



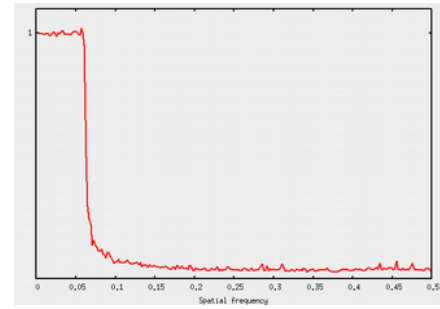
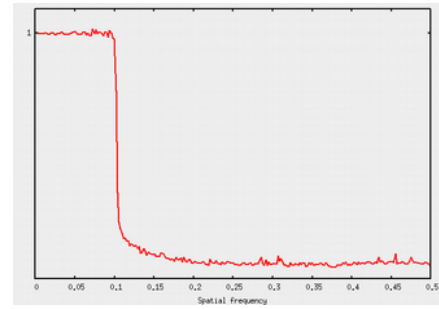
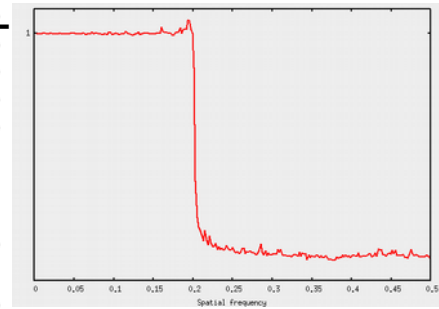


From Wikipedia



diff α P mut cepr ewo P

Fourier filtration

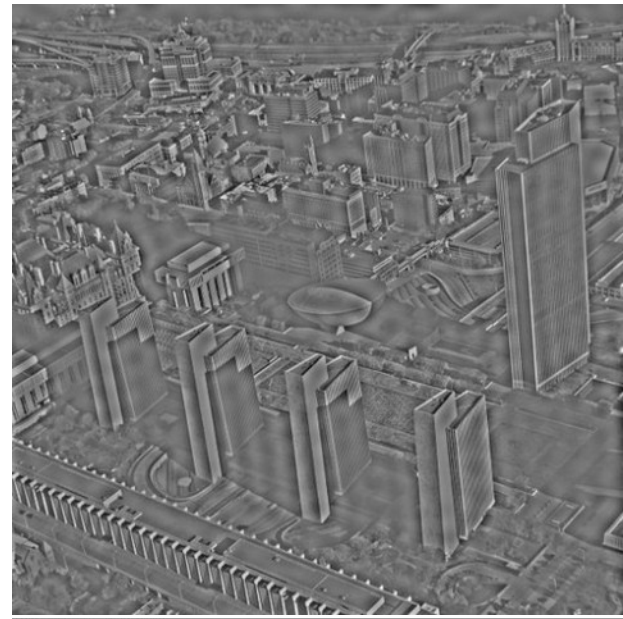




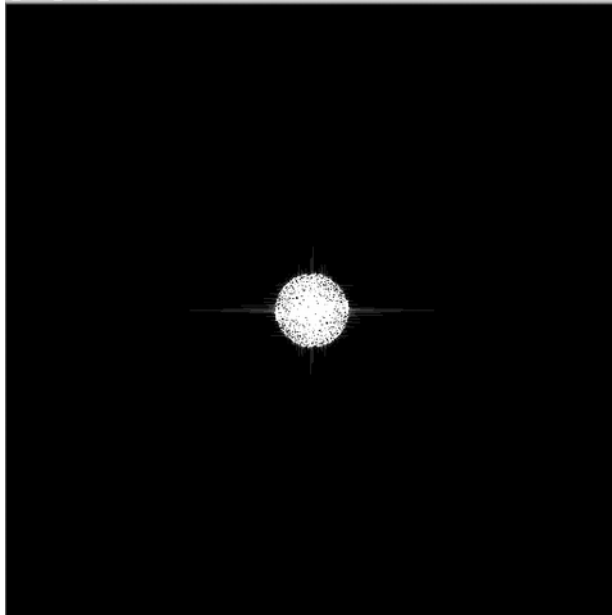
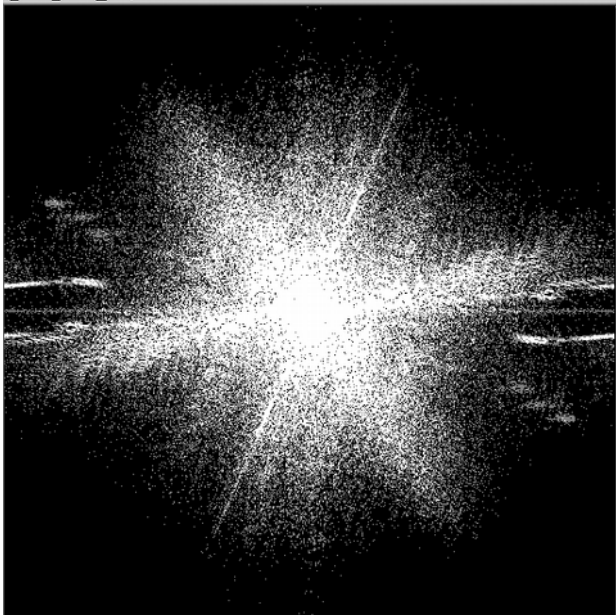
File Edit Analysis



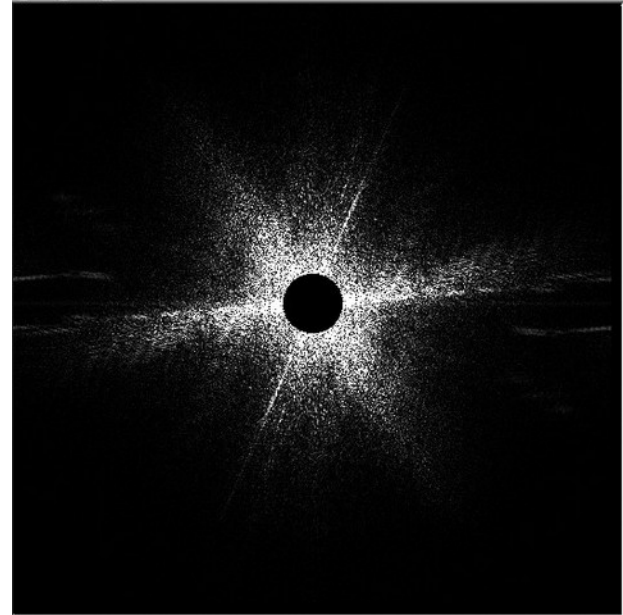
File Edit Analysis



File Edit Analysis



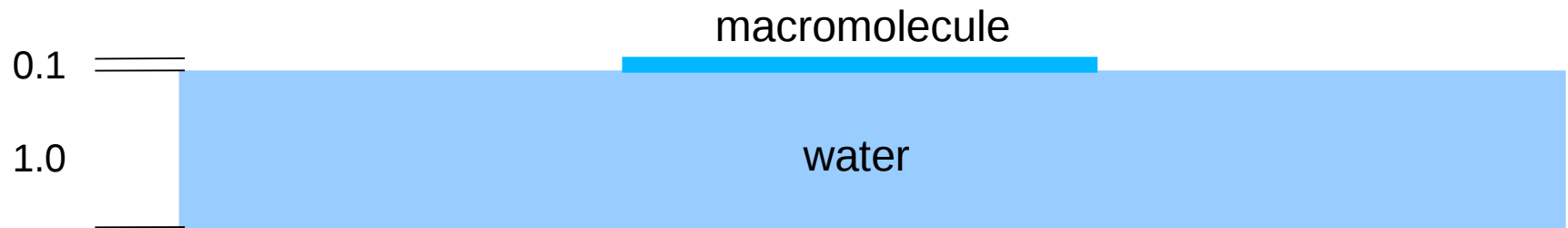
A "low-pass" filter



A "high-pass" filter

Contrast transfer function

Why do we defocus?



Typical amplitude contrast is estimated a 0.08-0.12
(minus noise)

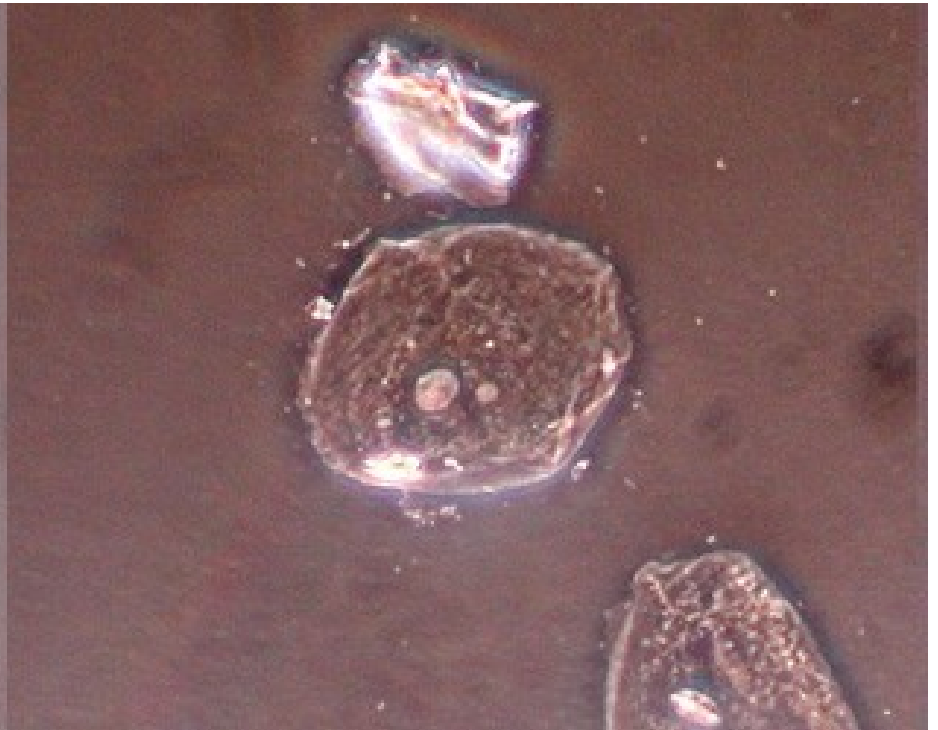
*Instead of amplitude contrast,
we'll use phase contrast.*

Phase contrast in light microscopy

Bright-field image



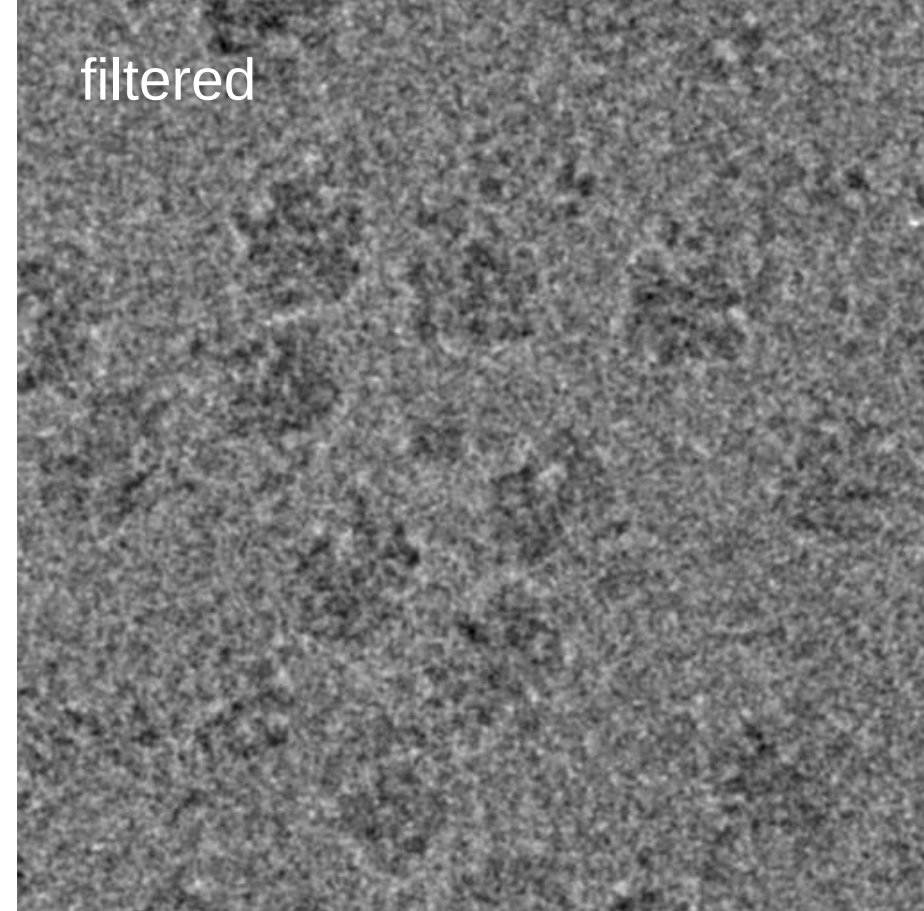
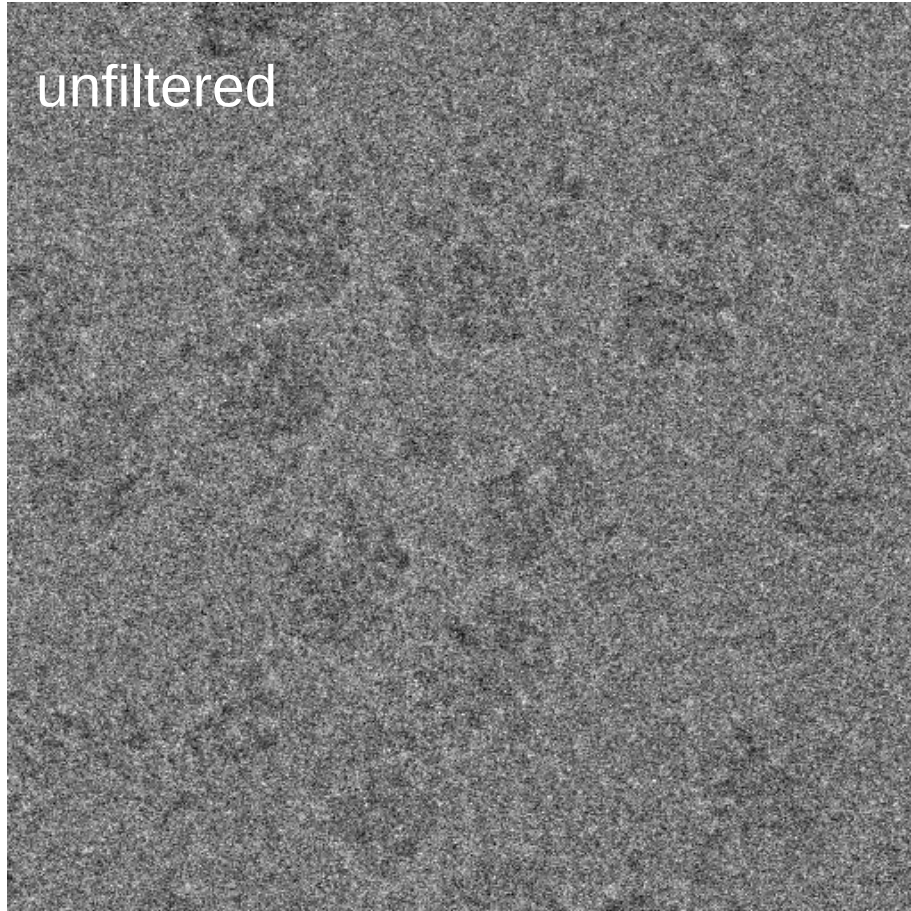
Phase-contrast image



<http://www.microbehunter.com>

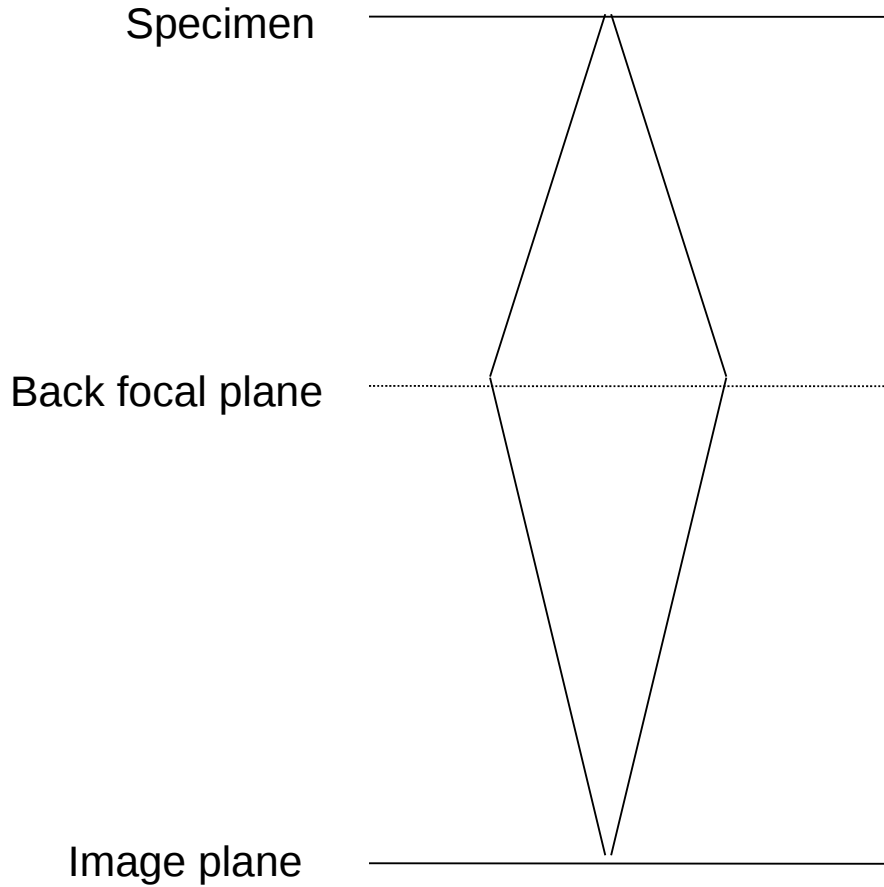
In EM, even with defocus, the contrast is poor.

E. coli 70S ribosomes, field width $\sim 1440\text{\AA}$.



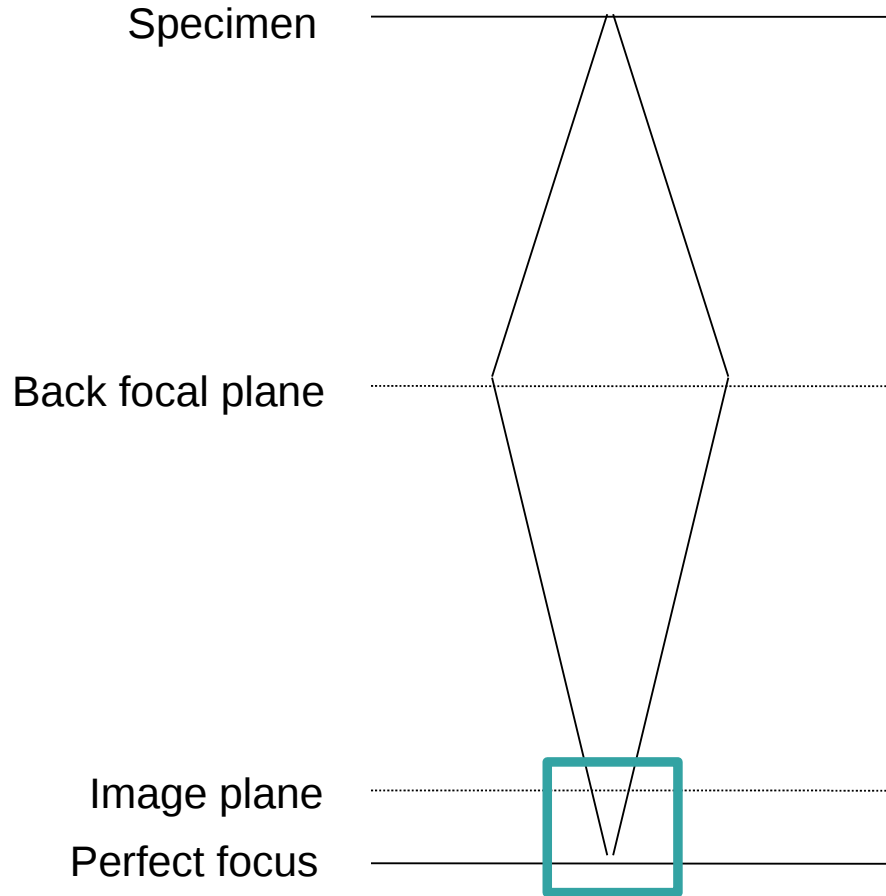
Signal-to-noise ratio for cryoEM typically given to be between 0.07 and 0.10.

Optical path

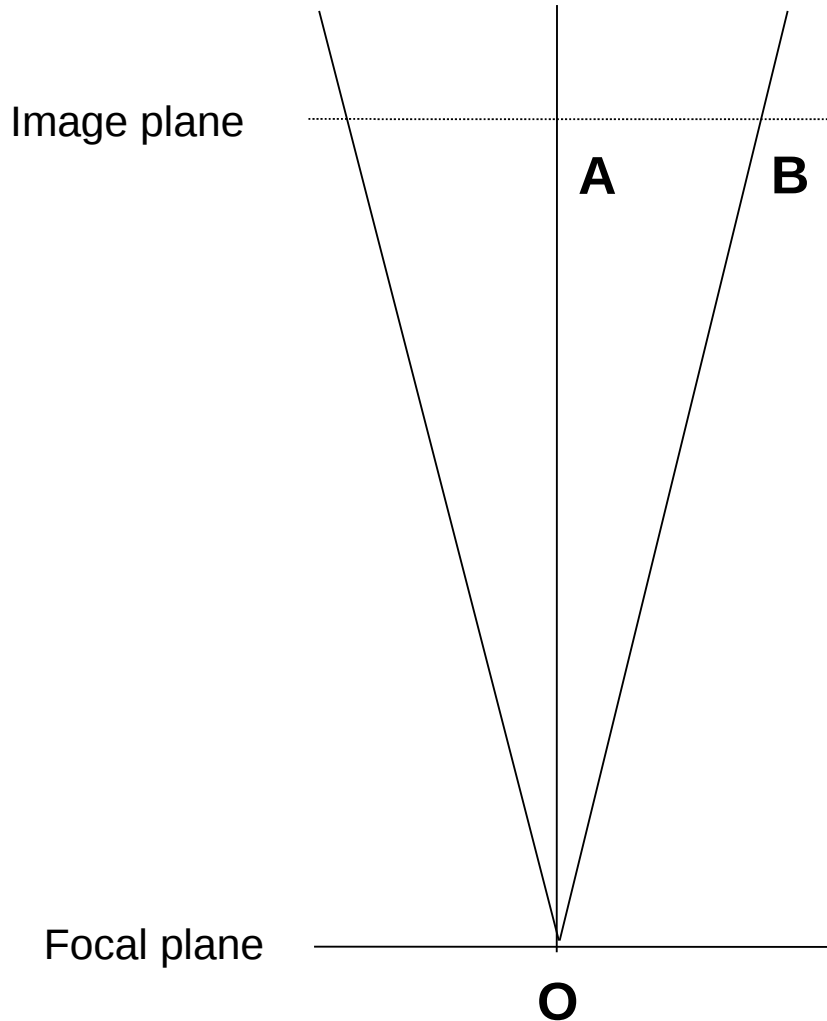


At focus, all we would see is amplitude contrast.

Optical path with defocus



Optical path with defocus



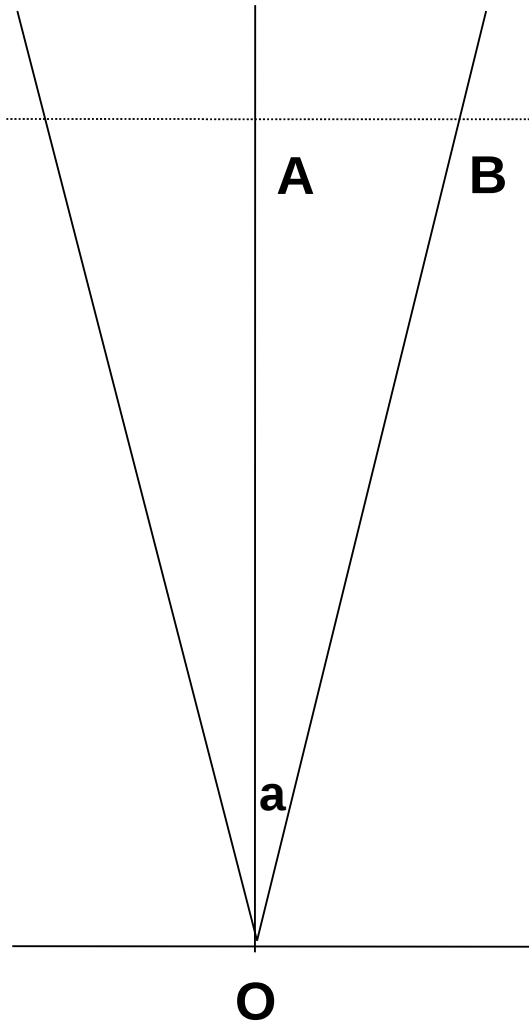
OA path of unscattered beam

OB path of scattered beam

The length **OA** is
also the amount of defocus Δf

What is the path difference between the scattered and unscattered beams?

Path difference as a function of Δf



$$OB - OA$$

$$OB = OA / \cos(a)$$

$$\frac{OA}{\cos(a)} - OA$$

$$OA \times \left(\frac{1}{\cos(a)} - 1 \right)$$

Expressed in the number of wavelengths λ

$$OA \times \left(\frac{\frac{1}{\cos(a)} - 1}{\lambda} \right)$$

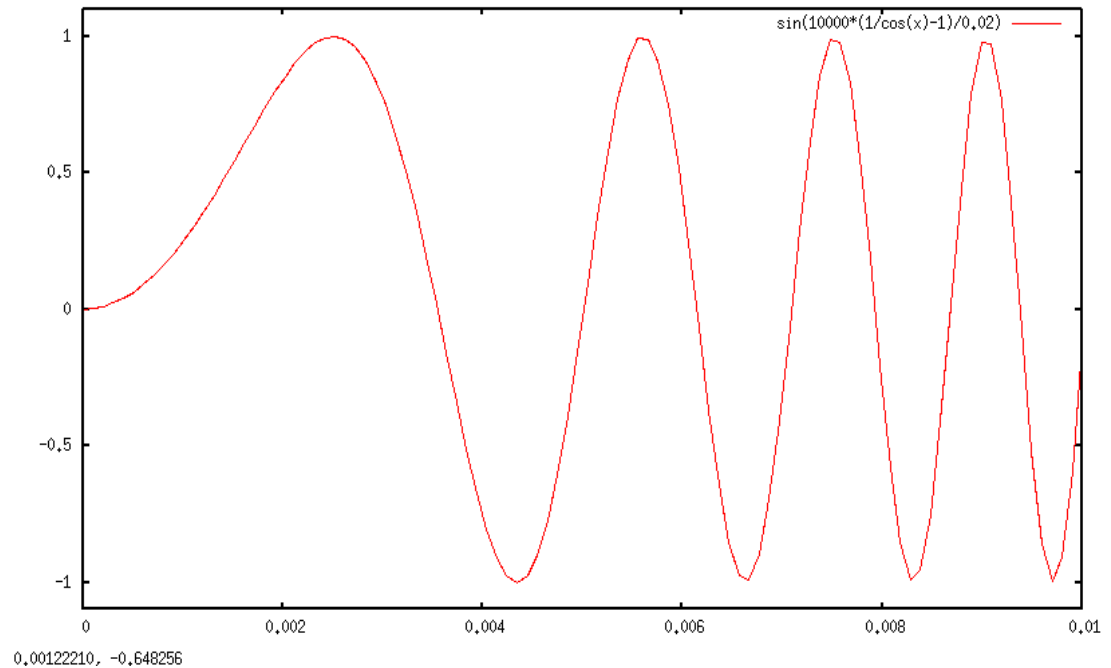
Phase difference is the sine

$$\sin \left(\frac{OA \times \left(\frac{1}{\cos(a)} - 1 \right)}{\lambda} \right)$$

Some typical values

$$\sin\left(\frac{OA \times \left(\frac{1}{\cos(a)} - 1\right)}{\lambda}\right)$$

$$\begin{aligned} OA &= \Delta f = 10,000 \text{ \AA} \\ \lambda &= 0.02 \text{ \AA} \\ a &< 0.01 \end{aligned}$$

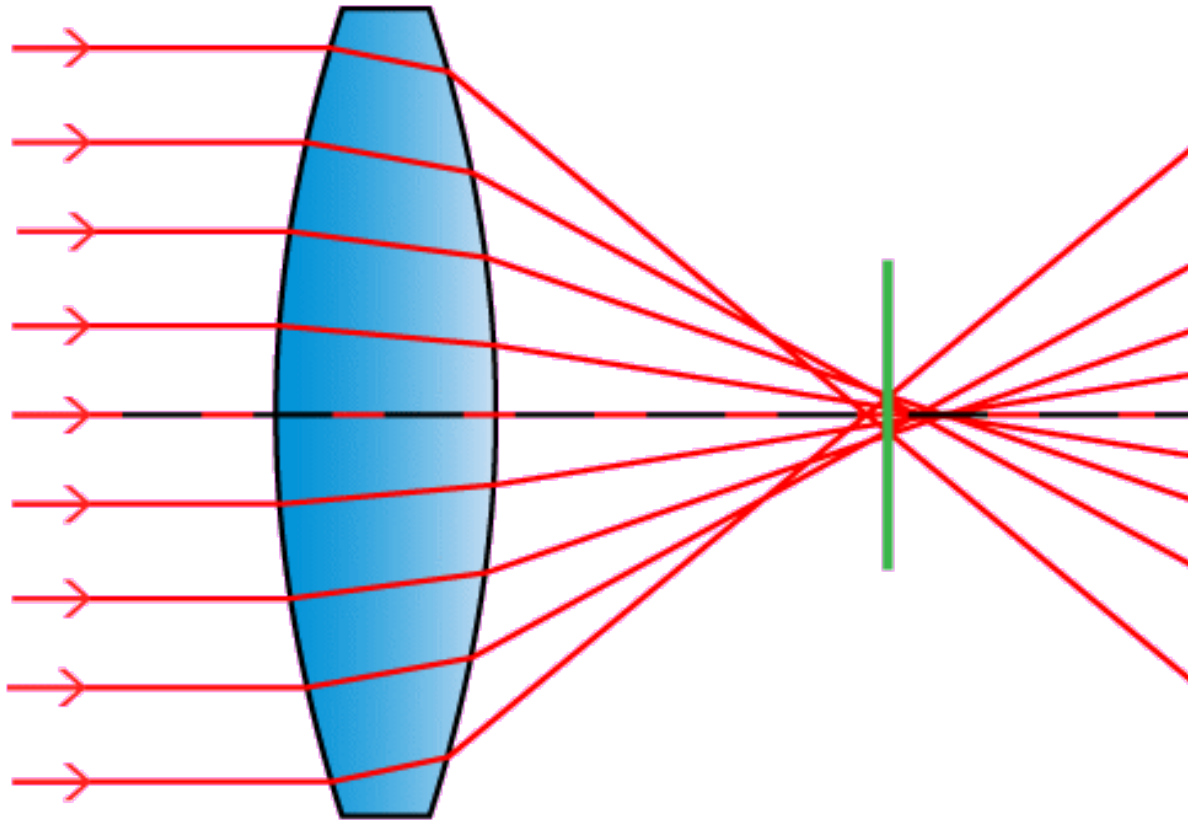


A more precise formulation of the CTF can be found in
Erickson & Klug A (1970). Philosophical Transactions of the Royal Society B. 261:105.

QUICK QUIZ:

What other example did we discuss where rays scattered at different angles experienced different path lengths?

Lens with Spherical Aberration



Legend

- Light Rays
- Optical Axis
- Best Focus Point

Proper form the CTF

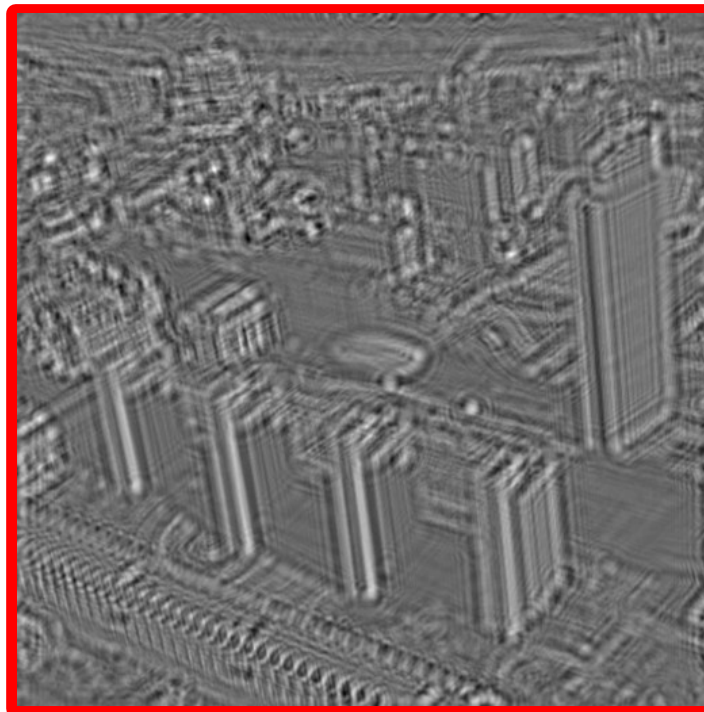
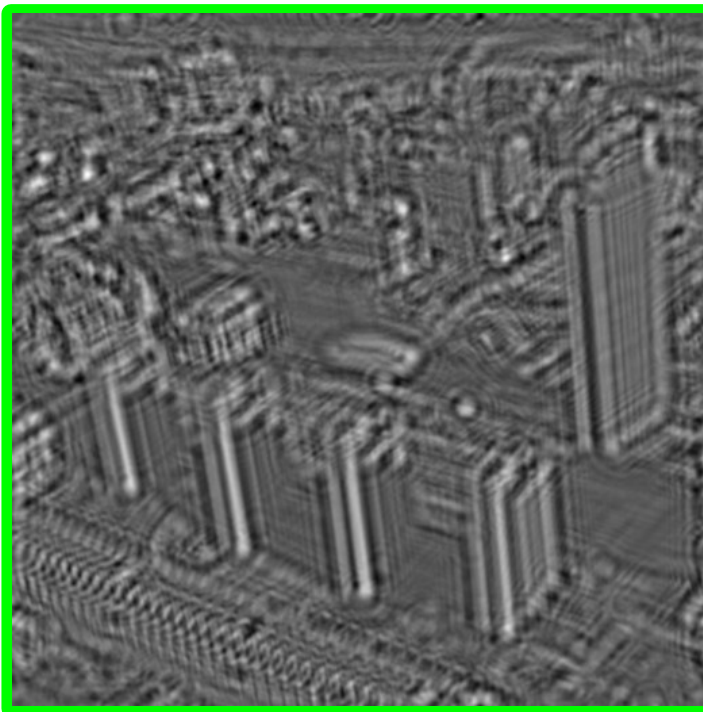
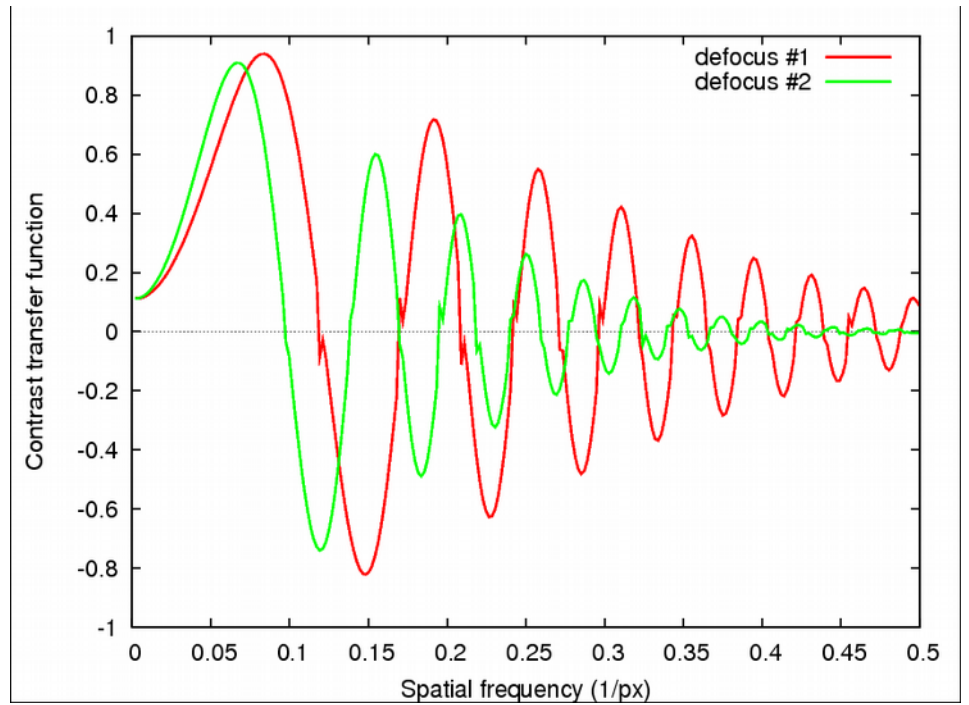
$$-\sin\left(\frac{\pi}{2}C_s k^4 + \pi\Delta f\lambda k^2\right)$$

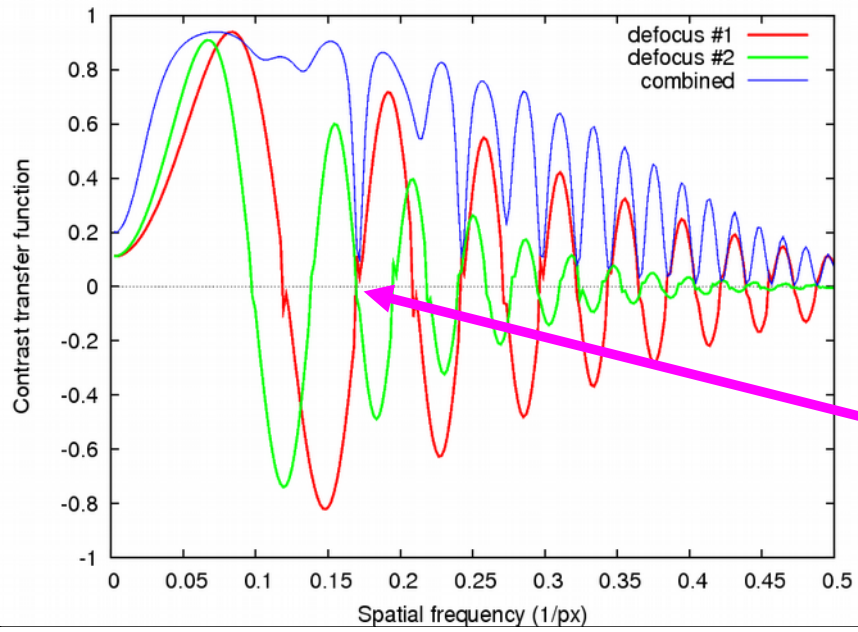
where:

- ◆ C_s : spherical aberration
- ◆ k : spatial frequency (resolution)

How does the CTF affect an image?

original





Still a zero present

combined



original



QUICK QUIZ:

What would happen if you collected all of your images at the same defocus?

Thank you for your attention



Central European Institute of Technology
Masaryk University
Kamenice 753/5
625 00 Brno, Czech Republic

www.ceitec.muni.cz | info@ceitec.muni.cz



EUROPEAN UNION
EUROPEAN REGIONAL DEVELOPMENT FUND
INVESTING IN YOUR FUTURE



OP Research and
Development for Innovation

