



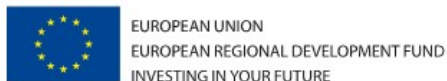
CEITEC

Central European Institute of Technology  
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# *Image analysis II: 3D Reconstruction*

*C9940 3-Dimensional Transmission Electron Microscopy*  
*S1007 Doing structural biology with the electron microscope*

**March 20, 2017**



# Outline

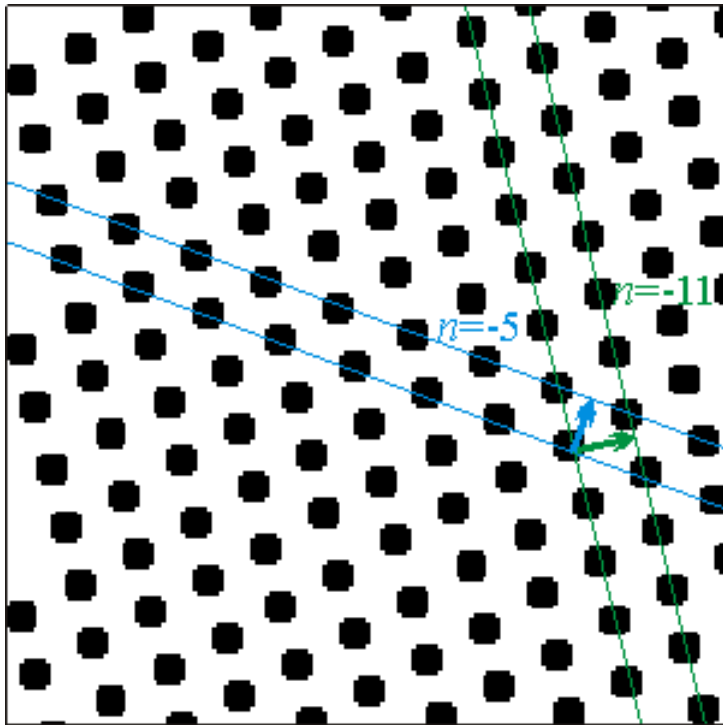
## Image analysis II

- ◆ 2D Fourier transforms

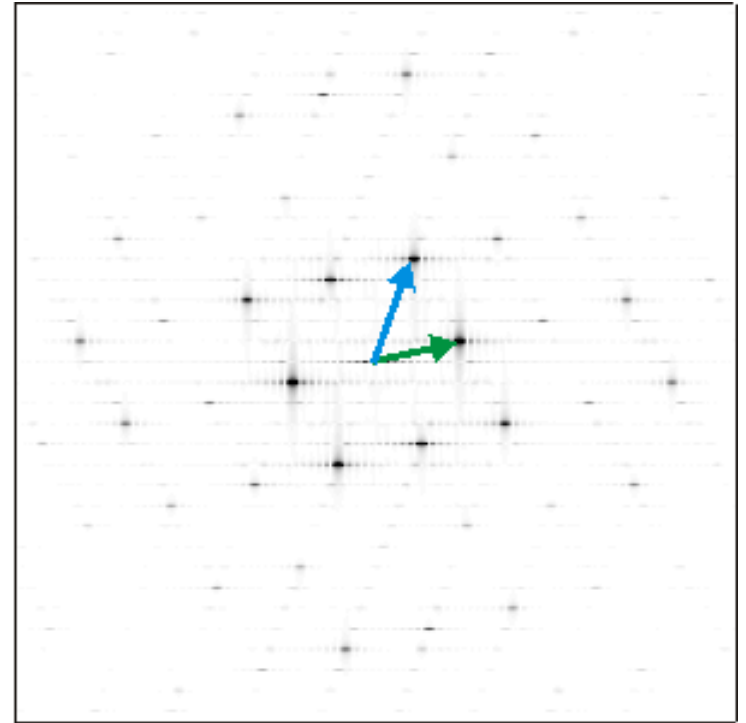
## 3D Reconstruction

- ◆ Principles
- ◆ Tomography
- ◆ Reference-based alignment
- ◆ Common lines
- ◆ RCT
- ◆ CTF-correction
- ◆ 3D classification

# Some simple 2D Fourier transforms: a 2D lattice



FT →



# Outline

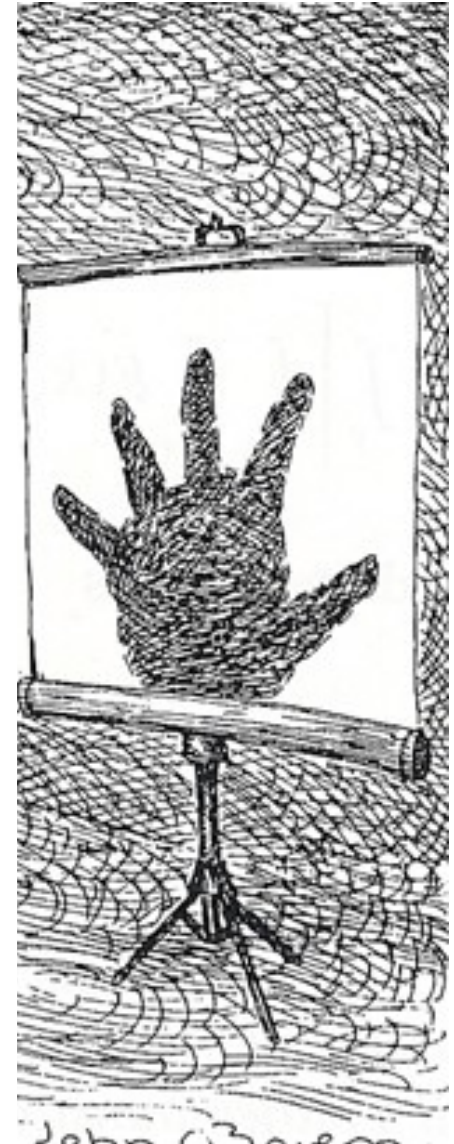
## Image analysis II

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## 3D Reconstruction

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# How do you go from 2D to 3D?



John O'Brien, 1991, *The New Yorker*

# What information do we need for 3D reconstruction?

1. different orientations
2. known orientations
3. many particles

# What happens when we're missing views?



Baumeister et al. (1999), *Trends in Cell Biol.*, **9**: 81-5.

Your sample isn't guaranteed to adopt different orientations, in which case you may need to explicitly tilt the microscope stage.  
(more later...)

# What information do we need for 3D reconstruction?

1. different orientations
2. known orientations
3. many particles

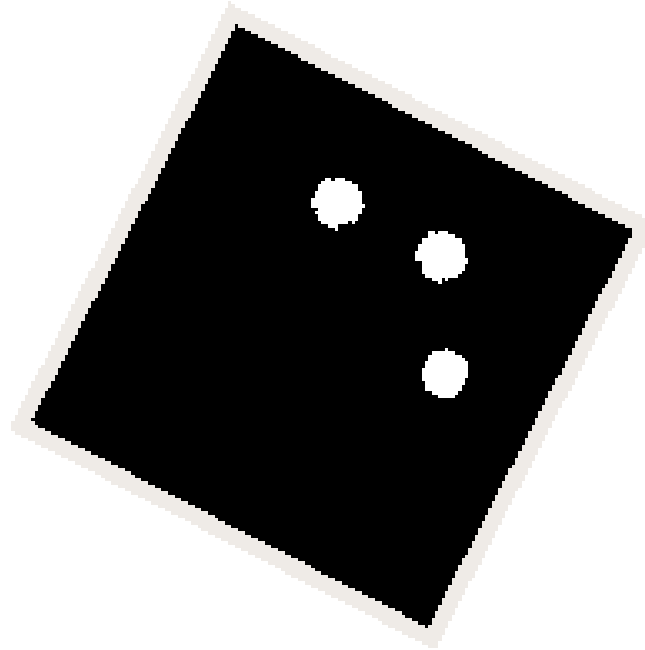
*I have all of this information.  
Now what?*



There are two general categories of 3D reconstruction

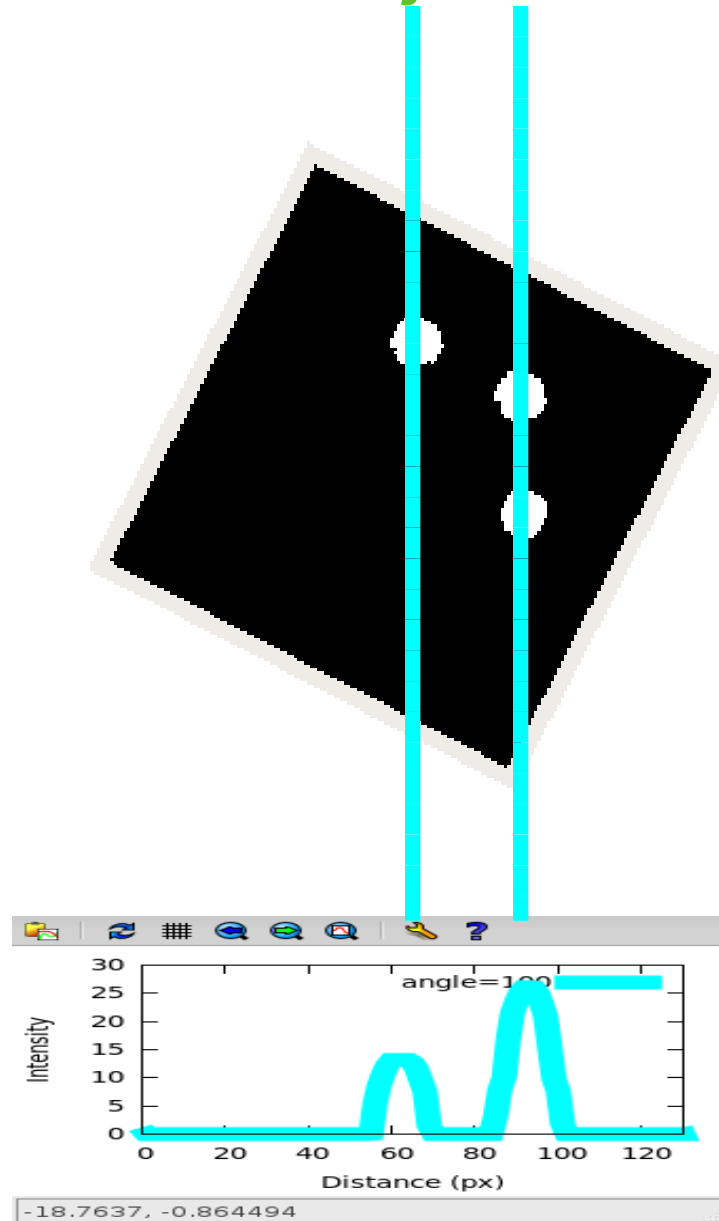
1. Real space
2. Fourier space

# Reconstruction in real space

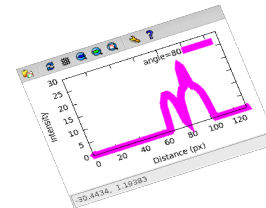
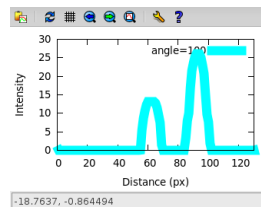
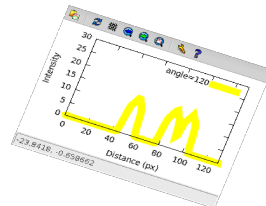
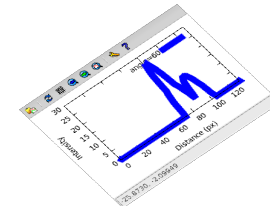
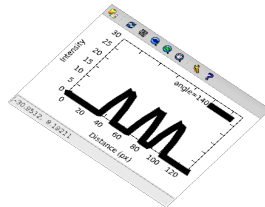
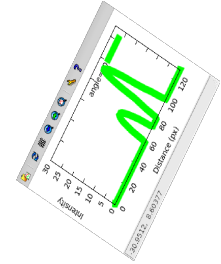
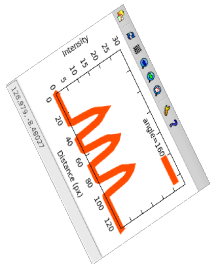
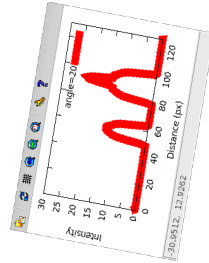
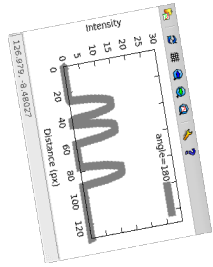
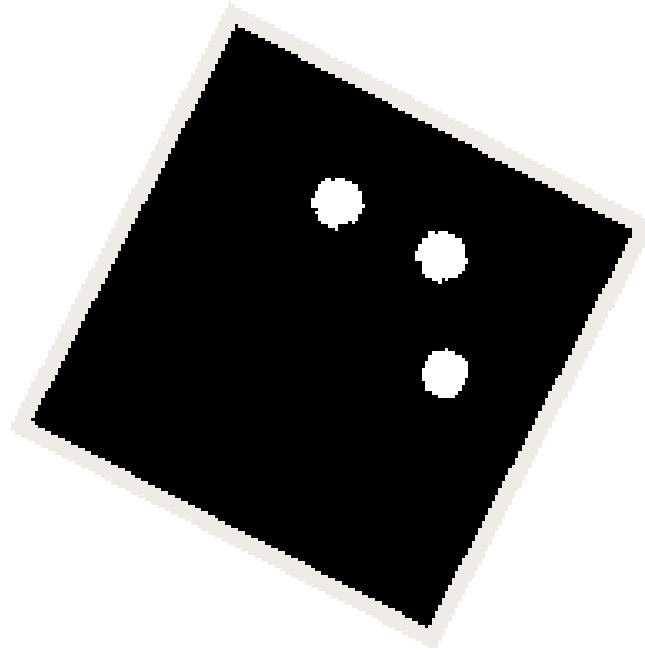


We are going to reconstruct a 2D object from 1D projections. The principle is the similar to, but simpler than, reconstructing a 3D object from 2D projections.

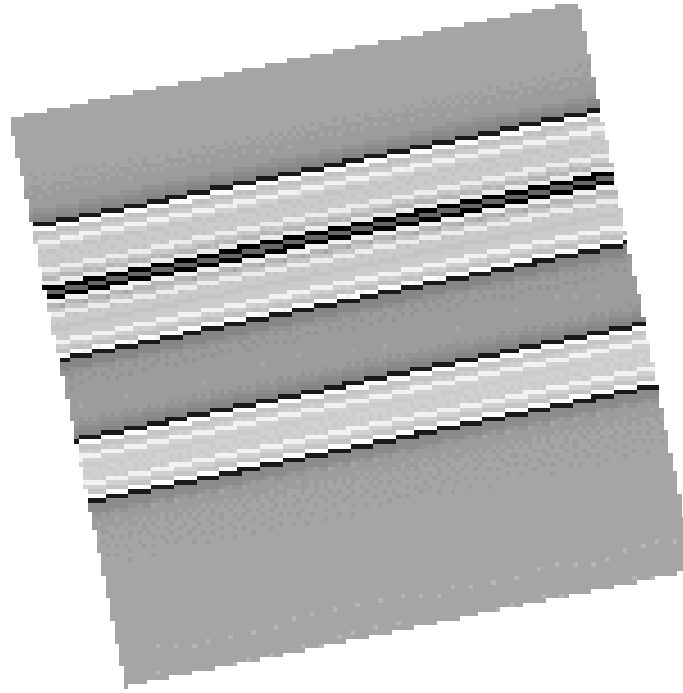
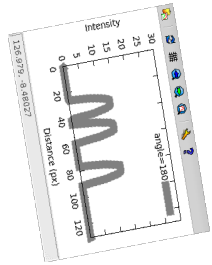
# Projection of our 2D object



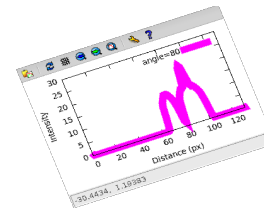
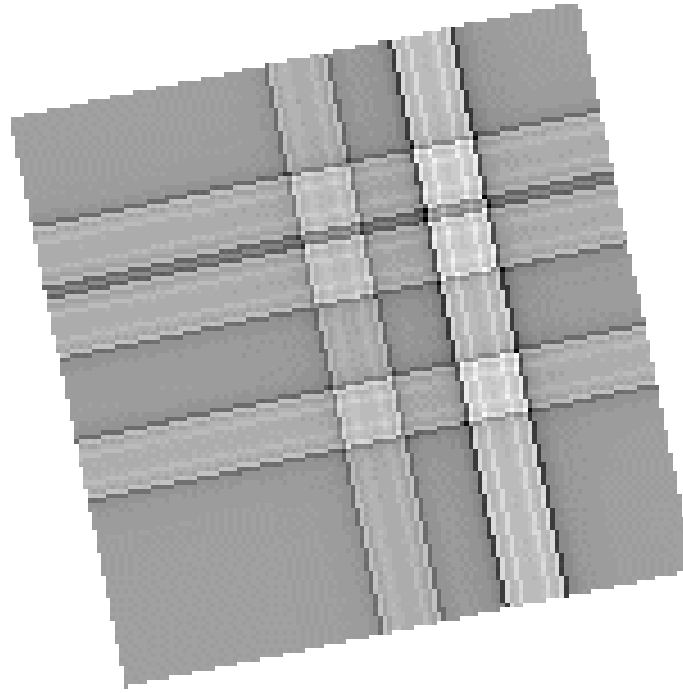
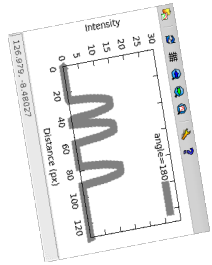
# Now, project in several directions



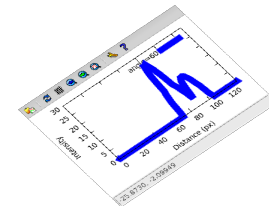
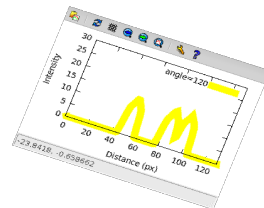
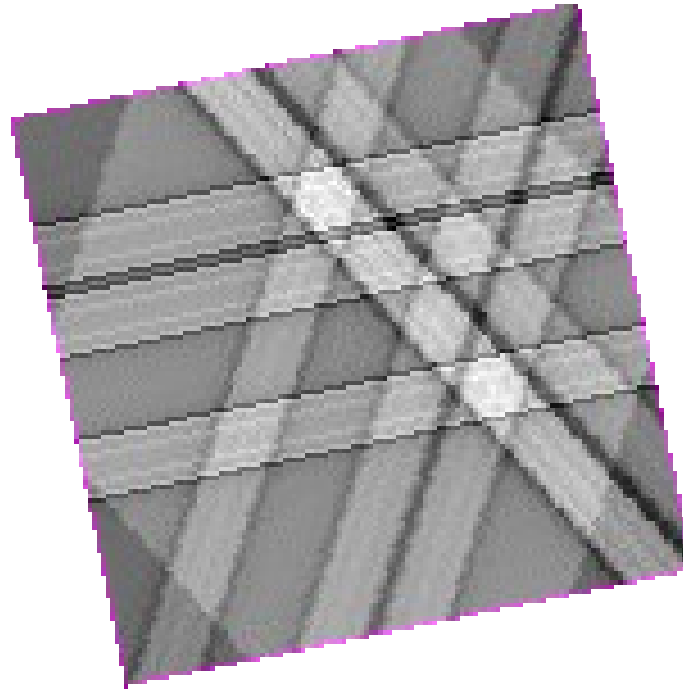
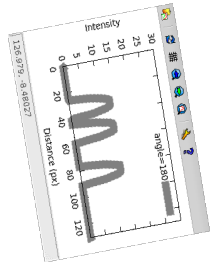
# Reconstruction is the inversion of projection



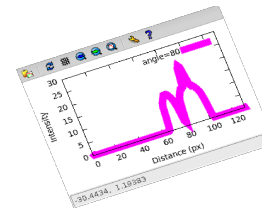
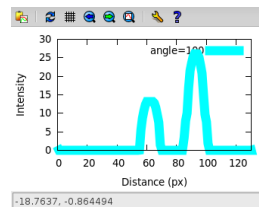
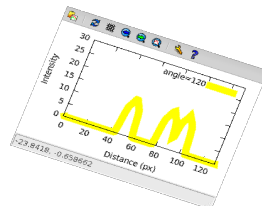
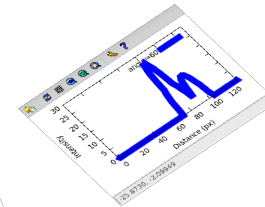
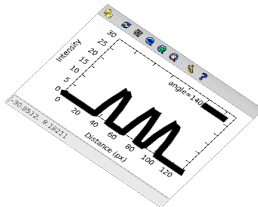
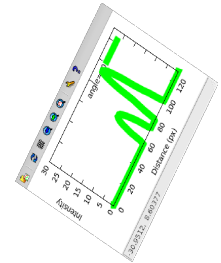
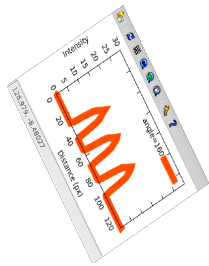
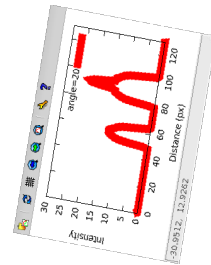
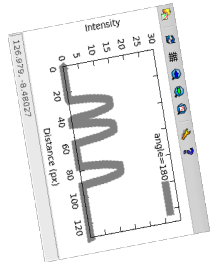
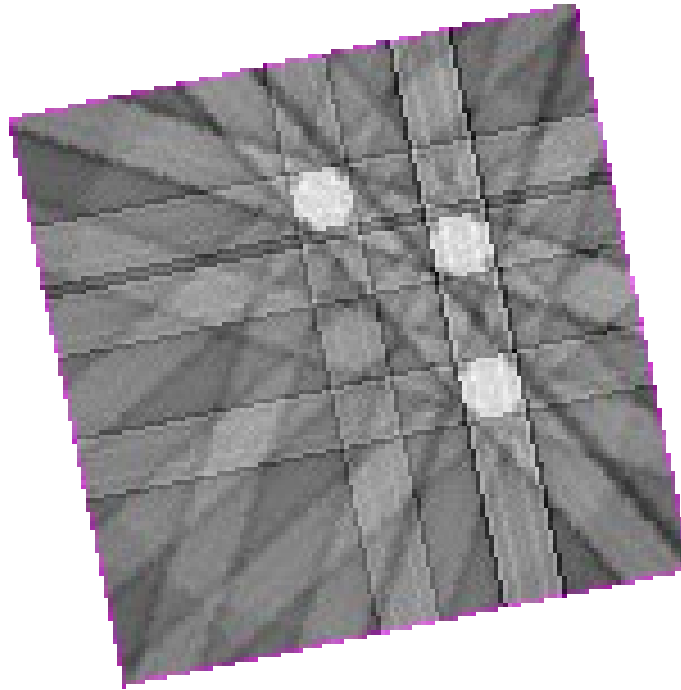
# Reconstruction is the inversion of projection



# Reconstruction is the inversion of projection

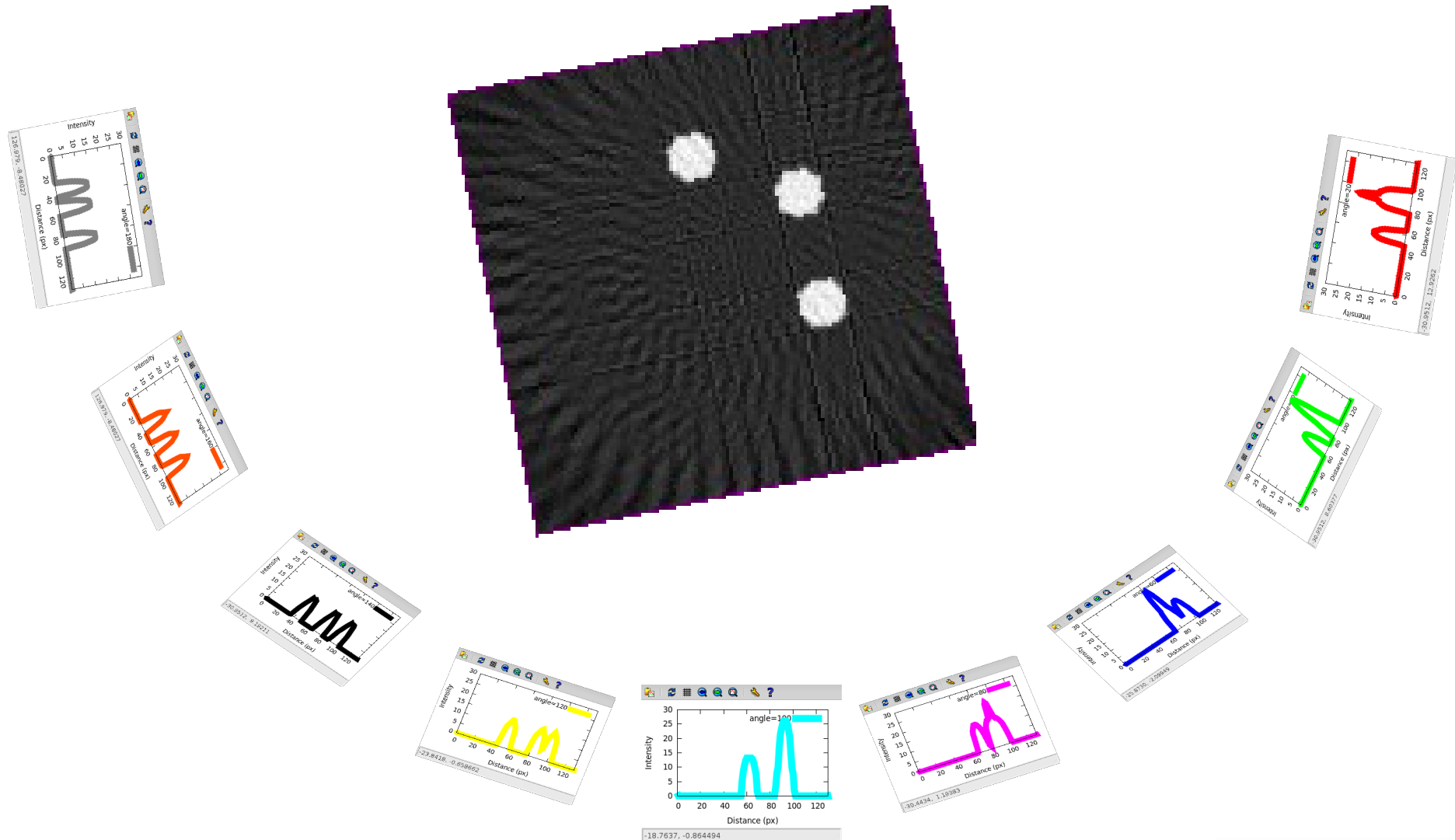


# Reconstruction is the inversion of projection





# Reconstruction is the inversion of projection



*The reconstruction doesn't agree well with the projections.  
What can we do?*

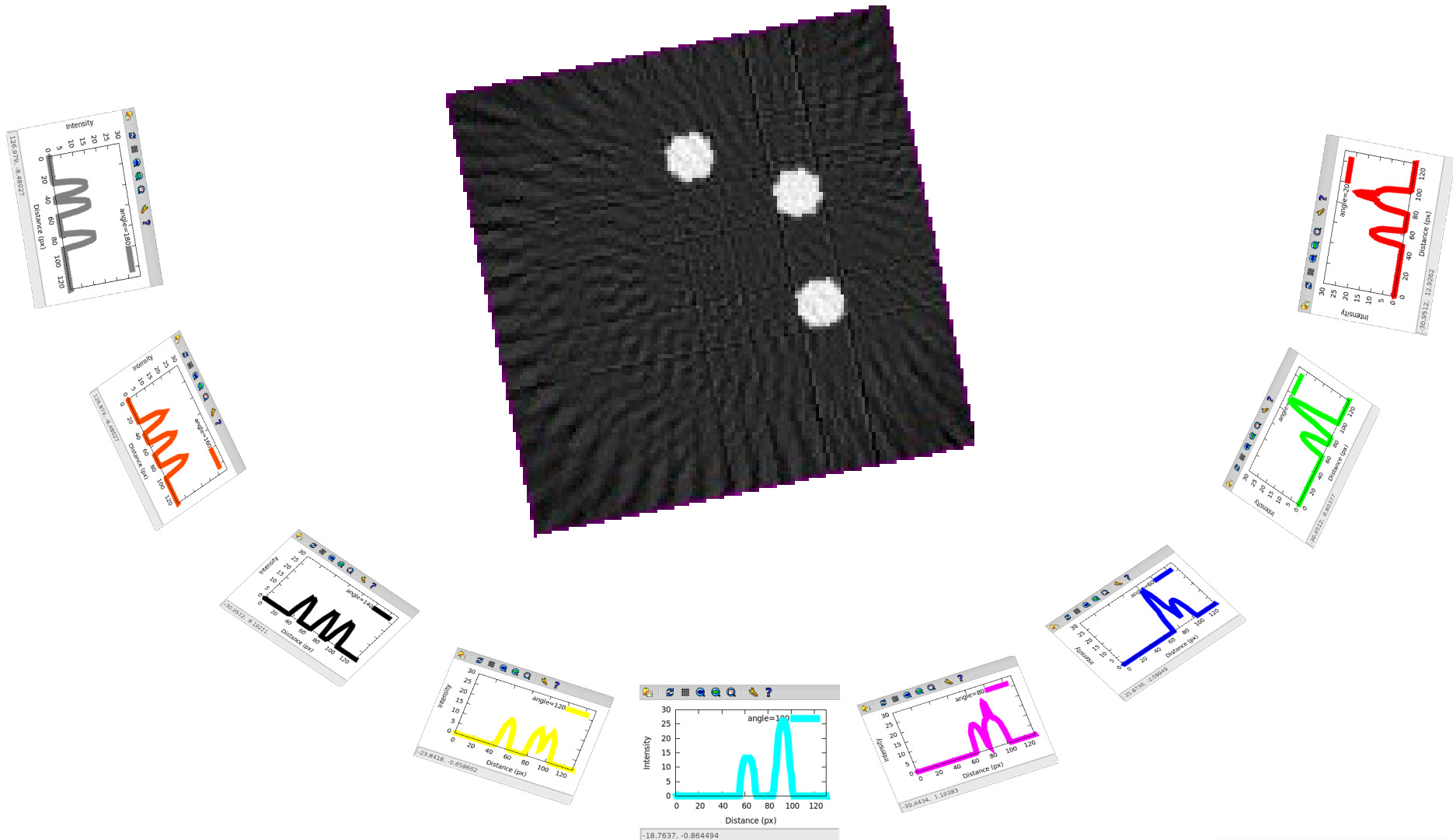
(one) ANSWER:  
Simultaneous Iterative Reconstruction Technique

# Simultaneous Iterative Reconstruction Technique

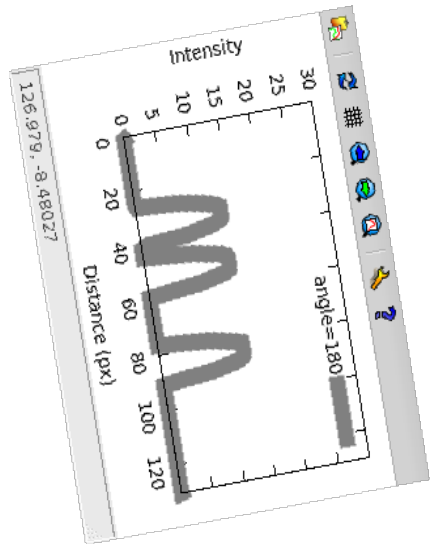
## The idea:

- ◆ You compute re-projections of your model.
- ◆ Compare the re-projections to your experimental data.
  - There will be differences.
- ◆ You weight the differences by a fudge factor,  $\lambda$ .
- ◆ You adjust the model by the difference weighted by  $\lambda$ .
- ◆ Repeat.

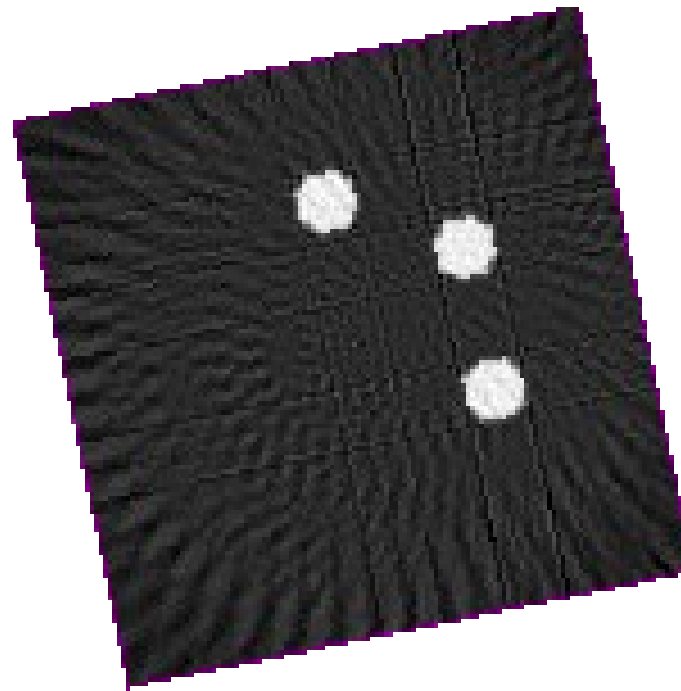
# Simultaneous Iterative Reconstruction Technique



# Simultaneous Iterative Reconstruction Technique



Experimental projection

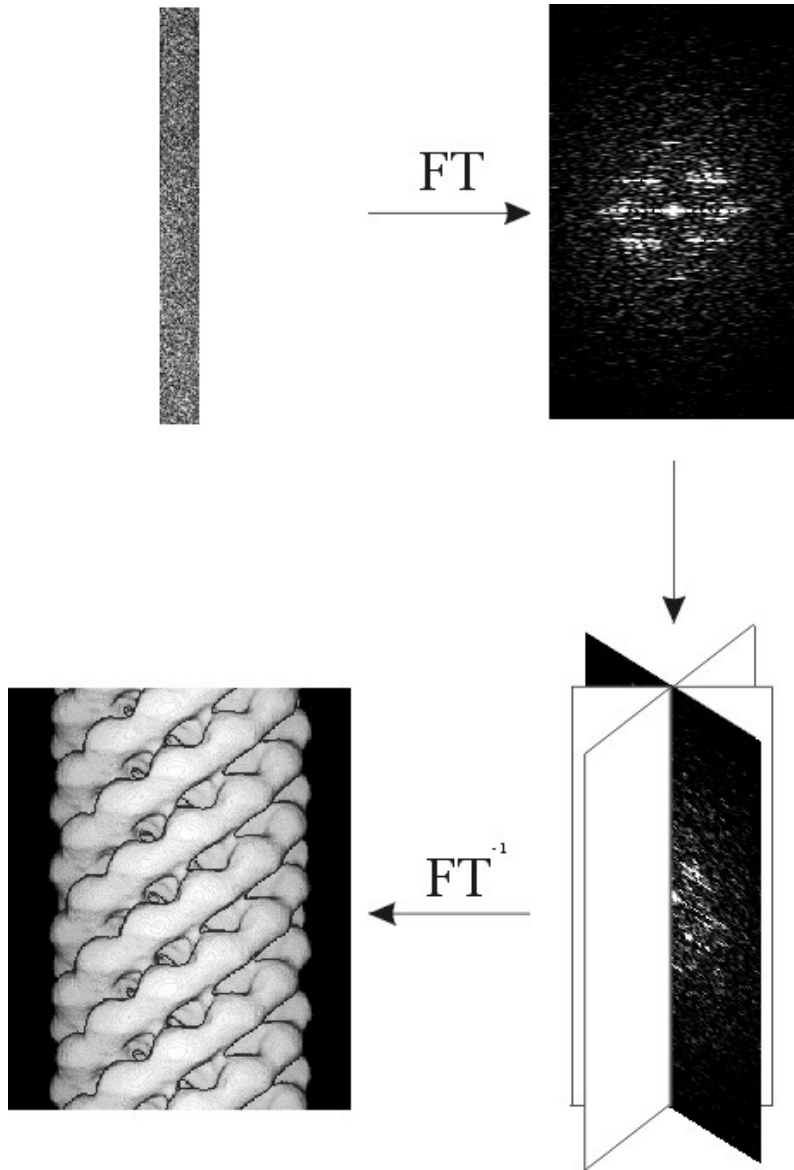


Model

Here, the differences (which will be down-weighted by  $\lambda$ ) are the ripples in the background.

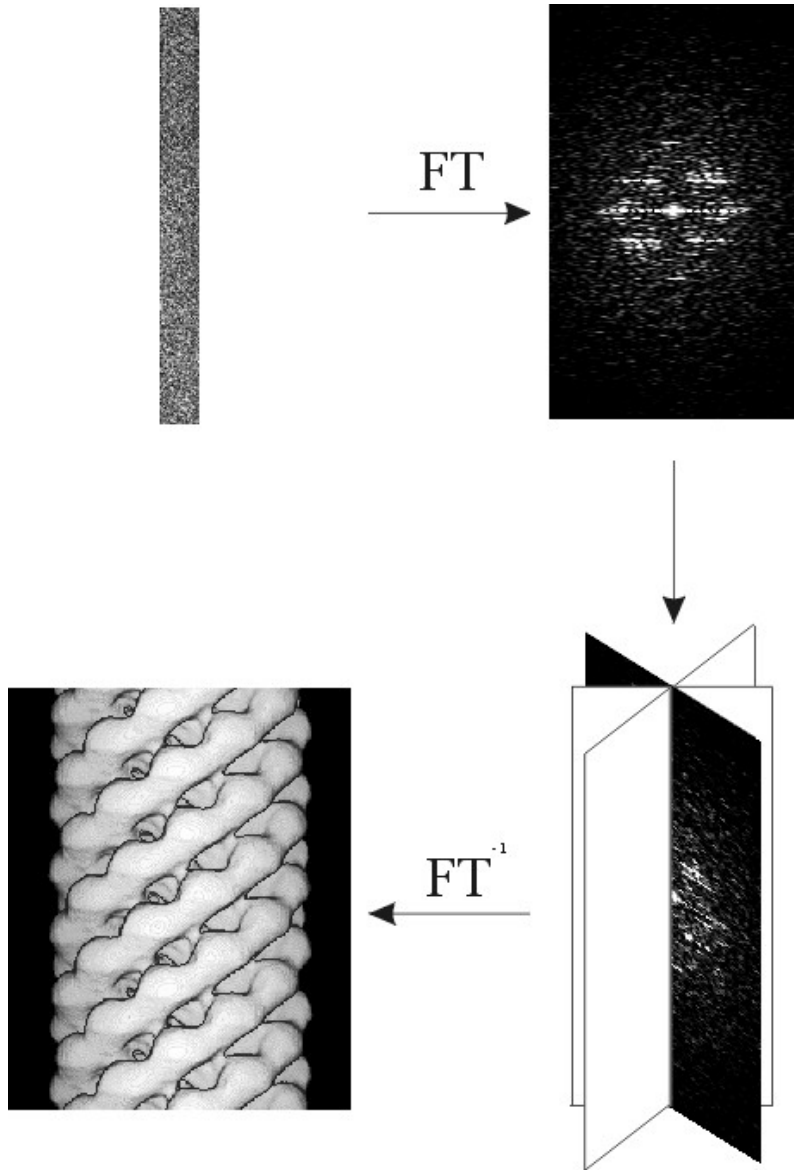
If we didn't down-weight by  $\lambda$ , we would overcompensate, and would amplify noise.

# *Reconstruction in Fourier space*



## Projection theorem (or Central Section Theorem)

A central section through the 3D Fourier transform is the Fourier transform of the projection in that direction.

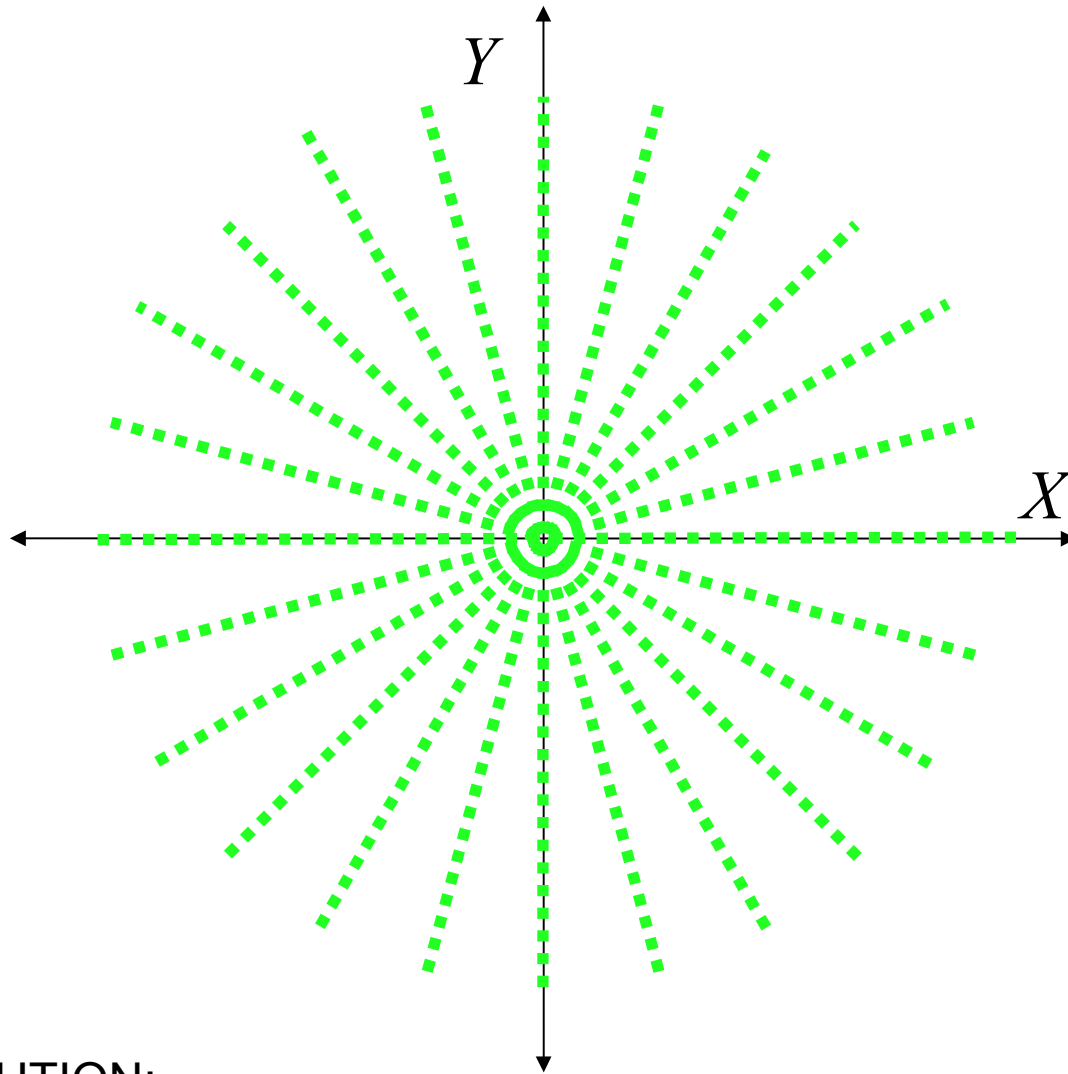


## Projection theorem (or Central Section Theorem)

The disadvantage is that you have to resample your central sections from polar coordinates to Cartesian space, i.e. interpolate. There are new methods to better interpolate in Fourier space.



# Converting from polar to Cartesian coordinates

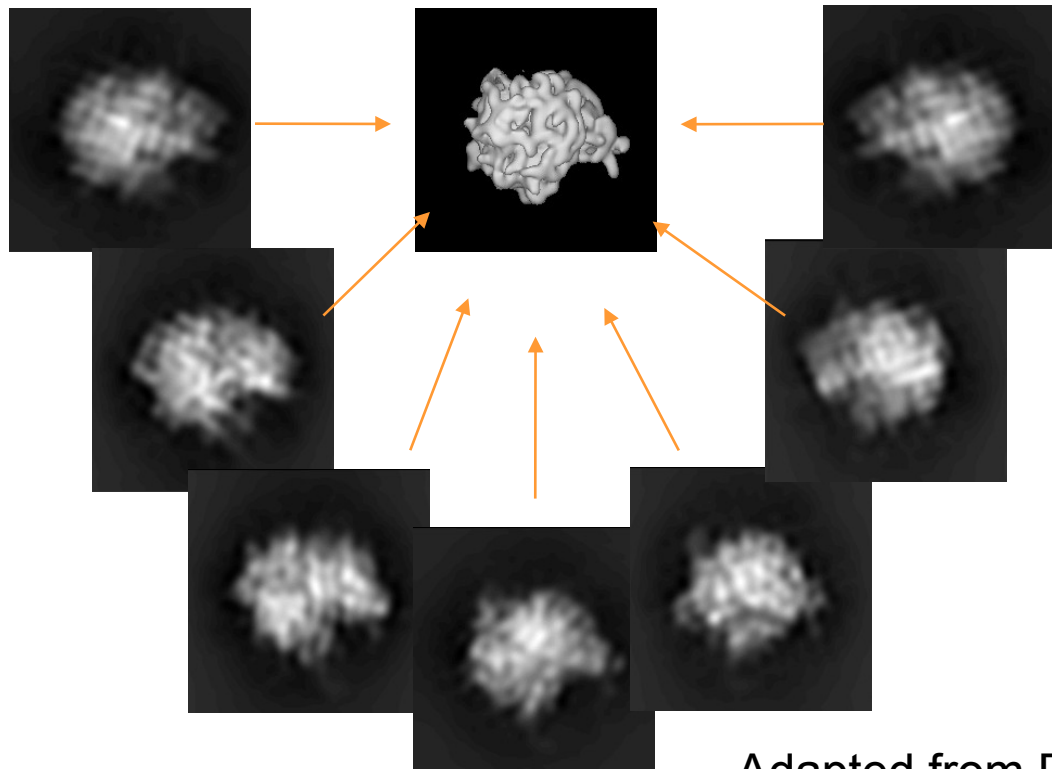


## SOLUTION:

A simple weighting scheme is to divide the weight by the radius:  
 $r^*$  weighting, or “r-weighted backprojection”

# Going from 2D to 3D

If you know the orientation angles for each image, you can compute a back-projection.



Adapted from Pawel Penczek

*How do we determine the last two Euler angles?*

# Parameters required for 3D reconstruction

Two translational:

✓  $\Delta x$

✓  $\Delta y$

Three orientational  
(Euler angles):

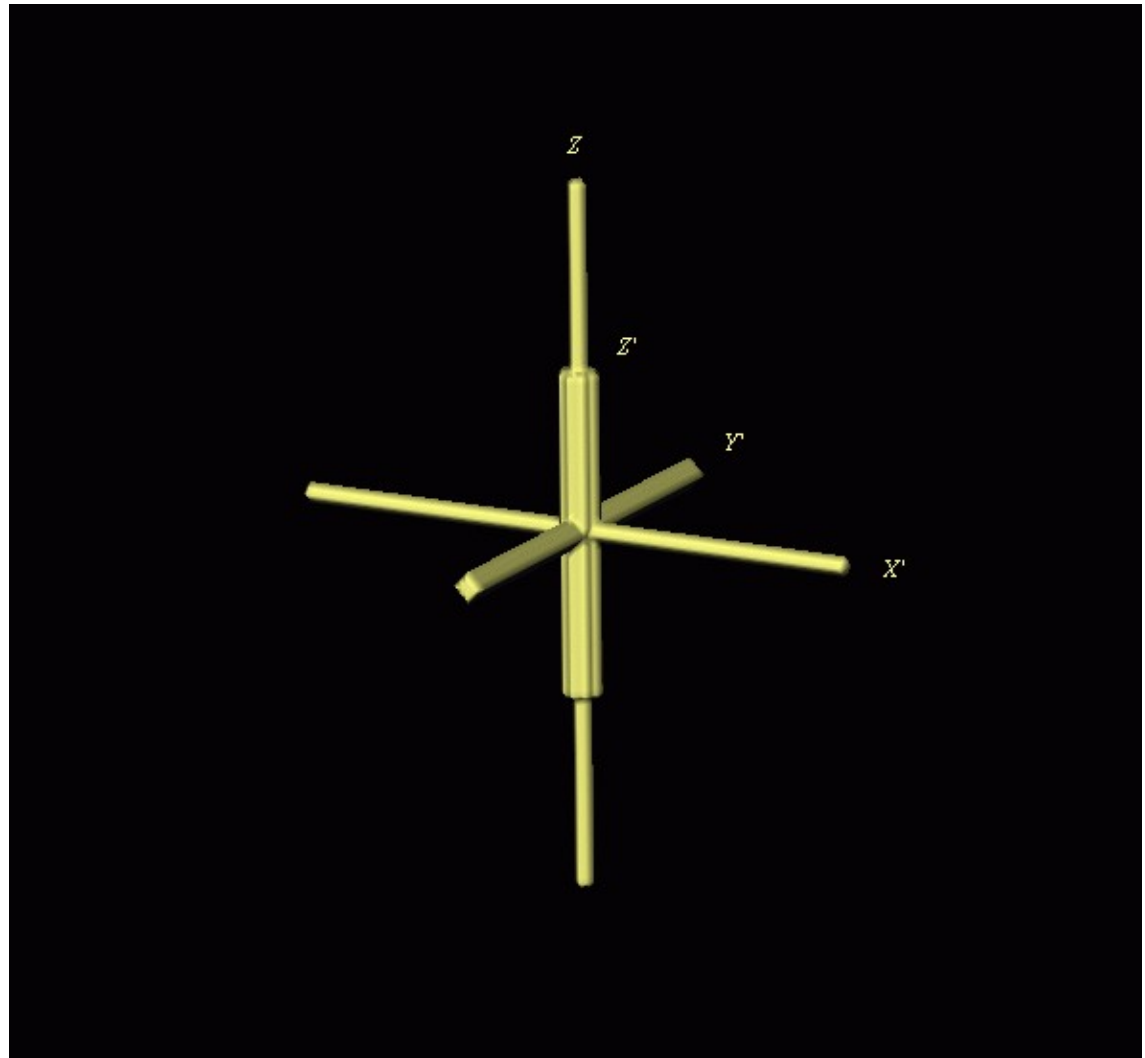
✓  $\phi$  (about z axis)

✓  $\theta$  (about y)

✓  $\psi$  (about new z)

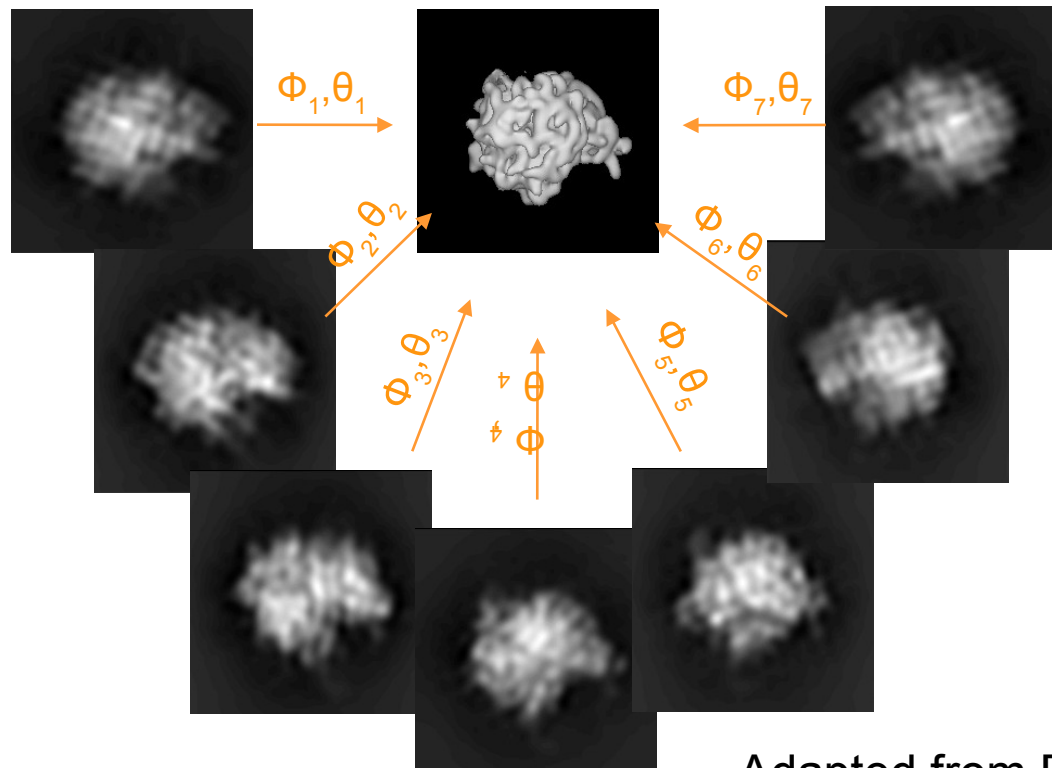
These are determined in 2D.

These are determined in 3D.



# Going from 2D to 3D

If you know the orientation angles for each image, you can compute a back-projection.



Adapted from Pawel Penczek

# Outline

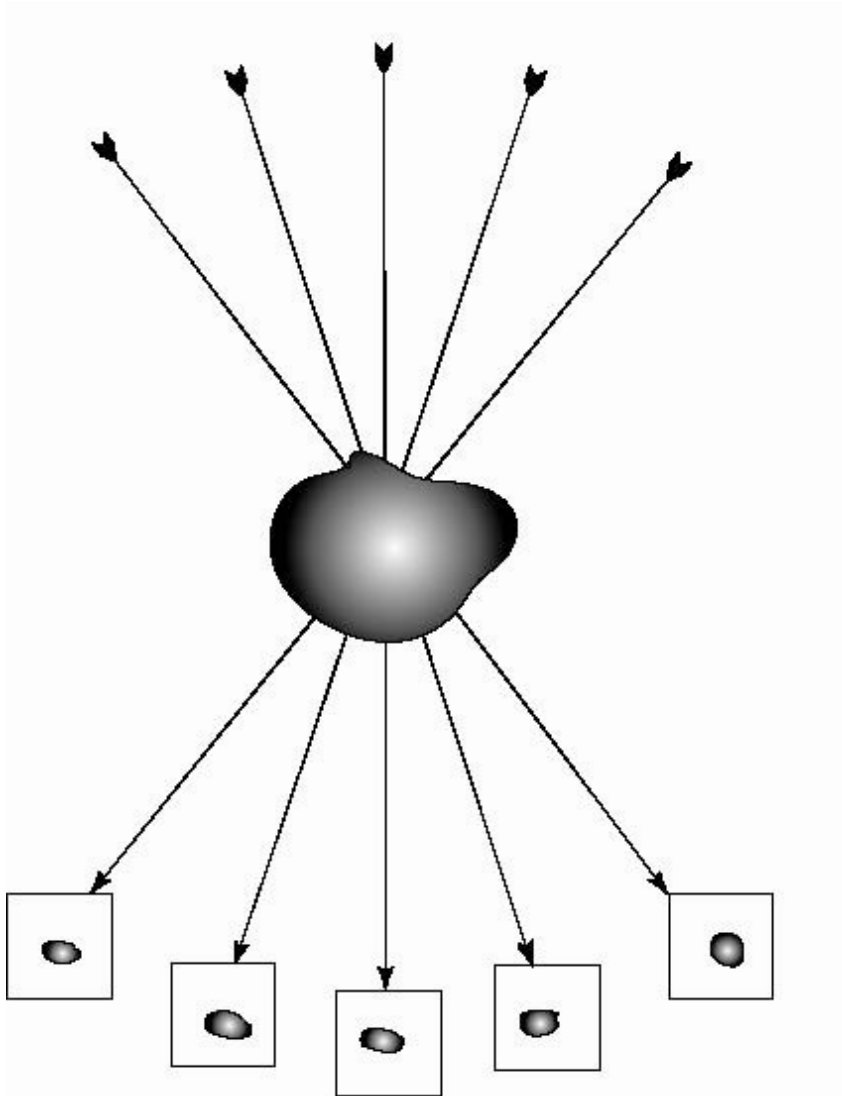
## Image analysis II

- ◆ 2D Fourier transforms

## 3D Reconstruction

- ◆ Principles
- ◆ Tomography
- ◆ Reference-based alignment
- ◆ Common lines
- ◆ RCT
- ◆ CTF-correction
- ◆ 3D classification

# Tomography



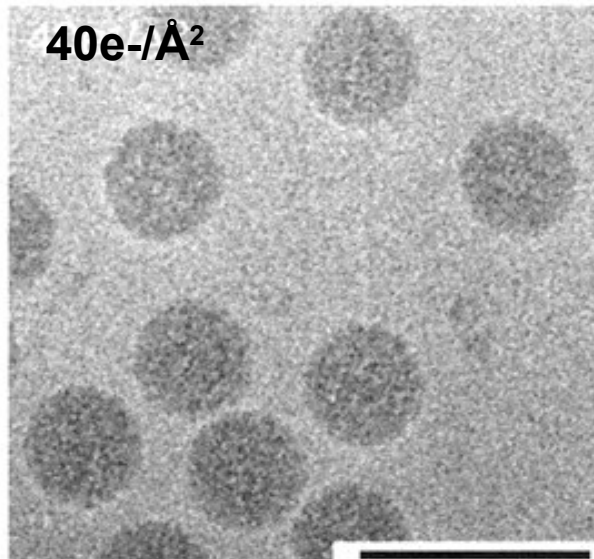
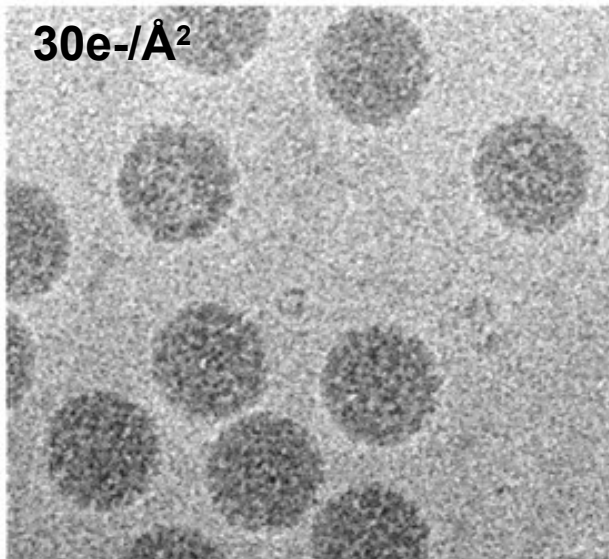
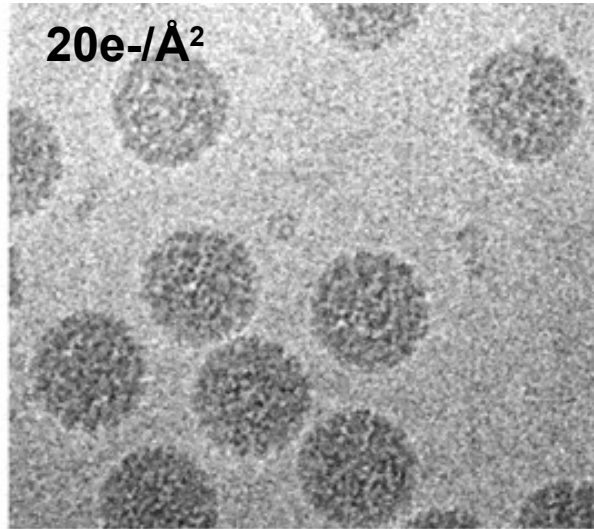
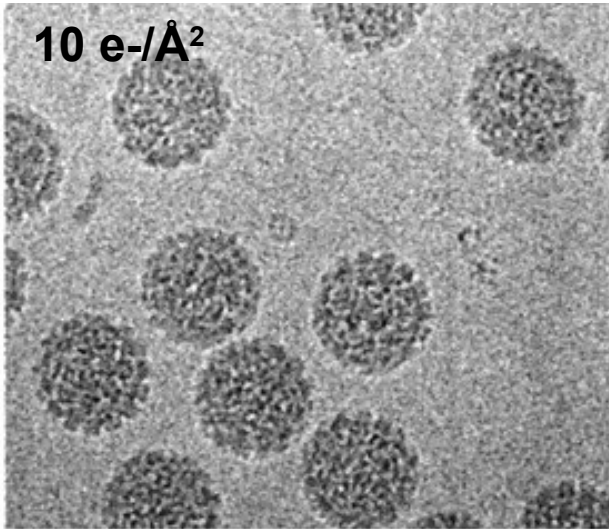
From Ken Downing

We have:

- known orientations
- different views

**BUT...**

# What happens when we image the sample?

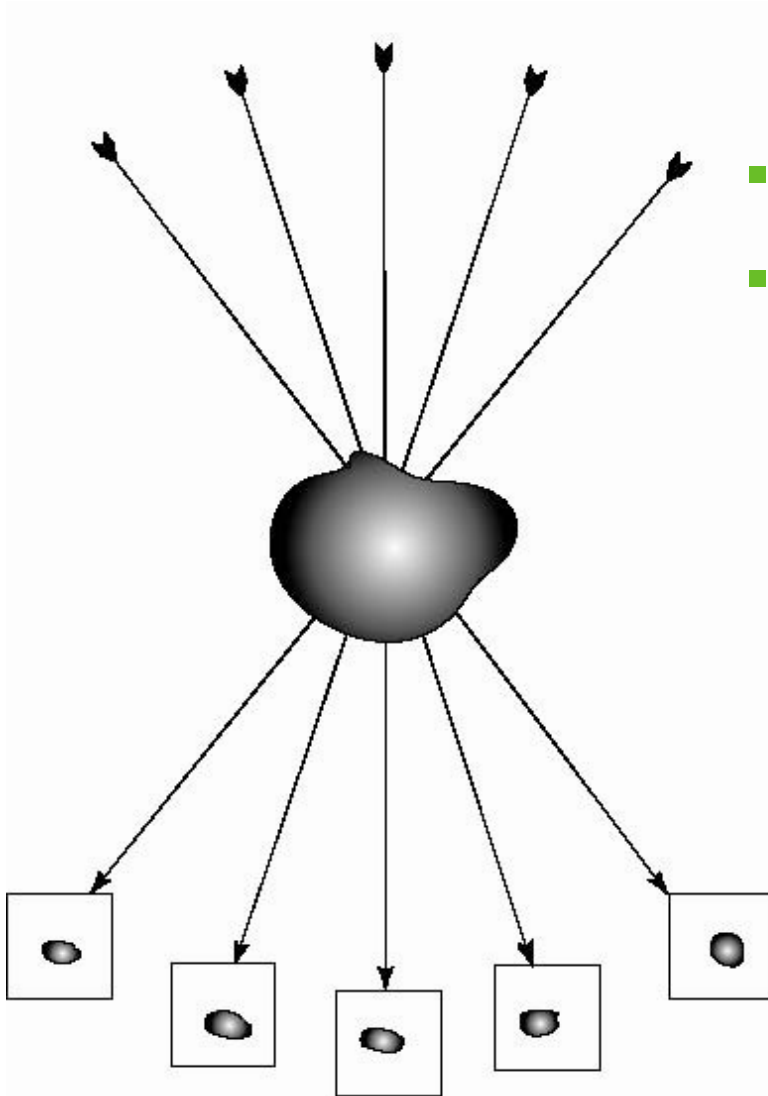


Baker et al. (1999) Microbiol. Mol. Biol. Rev. **63**: 862

We are destroying the sample as we image it.



# Consequences of repeated exposure



- Accumulated beam damage
- If number of views is limited, then distortions

Solution:

If we have many identical molecules, and if we can determine the orientations, we can use one exposure per molecule and use these images in the reconstruction.

“Single-particle reconstruction”

If we have many identical molecules,  
and if we can determine the orientations,  
we can use one exposure per molecule  
and use these images in the reconstruction.

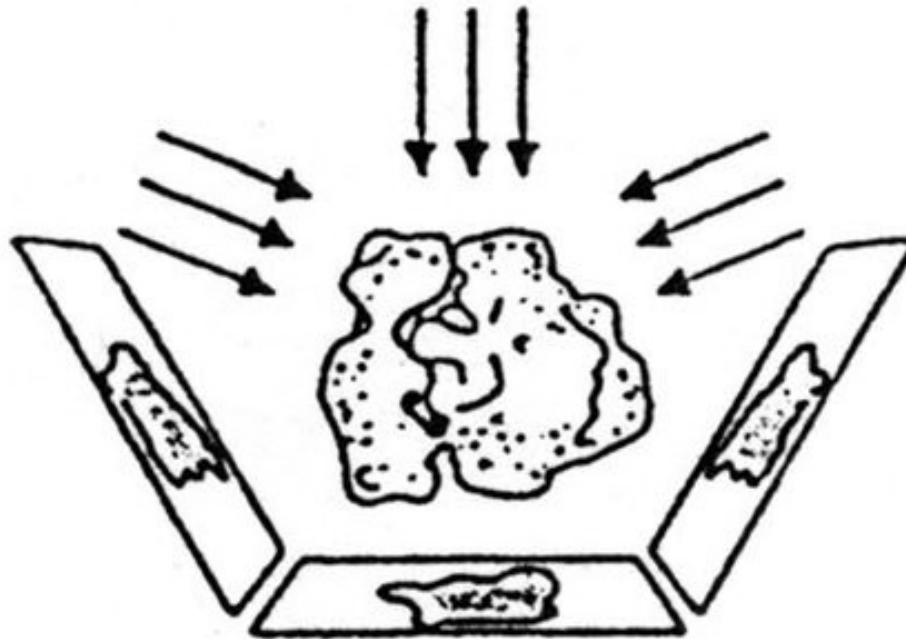
**BUT:**

Unlike in the tomographic case,  
we don't know how the orientations  
between the different images are related.

# Reference-based alignment

You will record the direction of projection (the Euler angles), such that if you encounter an experimental image that resembles a reference projection, you will assign that reference projection's Euler angles to the experimental image.

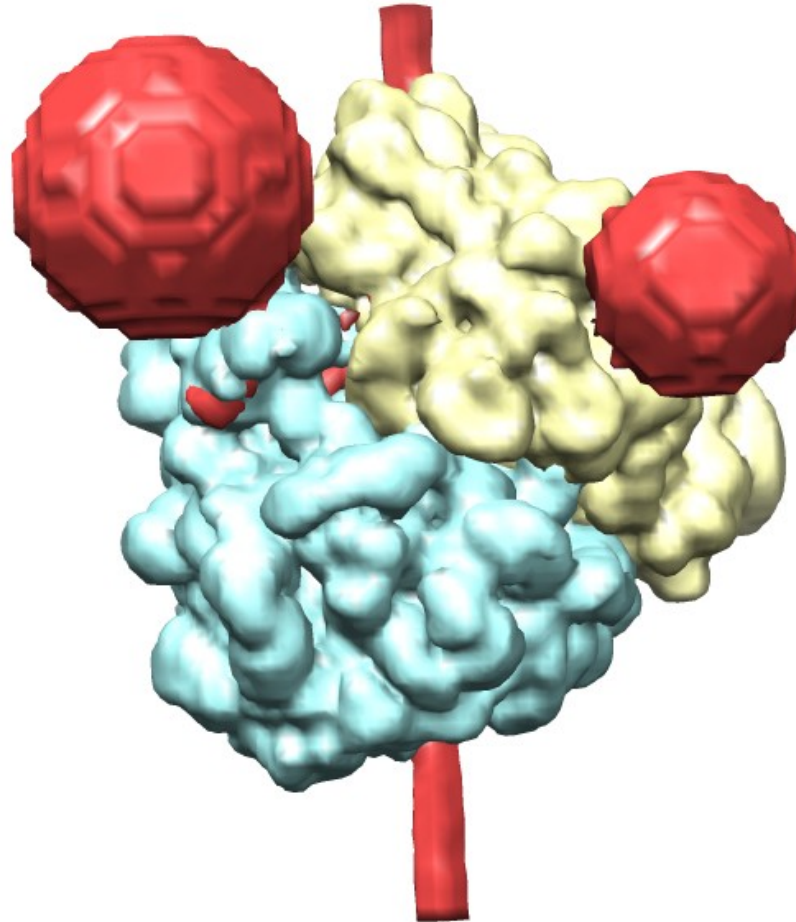
Step 1: Generation of projections of the reference.



From Penczek *et al.* (1994), *Ultramicroscopy* **53**: 251-70.

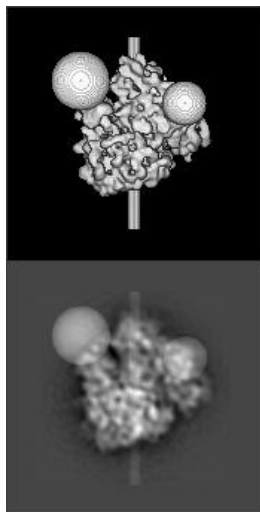
Assumption: reference is similar enough to the sample that it can be used to determine orientation.

# The model



(The extra features helped determine handedness in noisy reconstructions.)

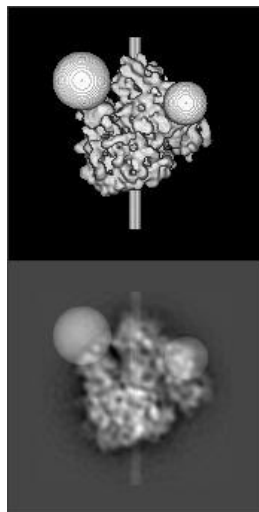




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theta=000

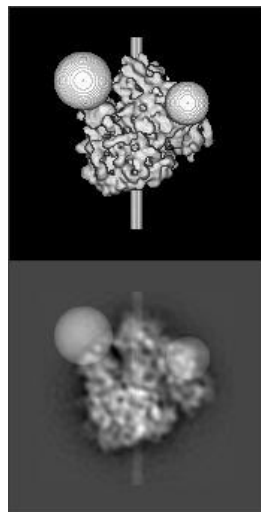
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phi=000

theta=000

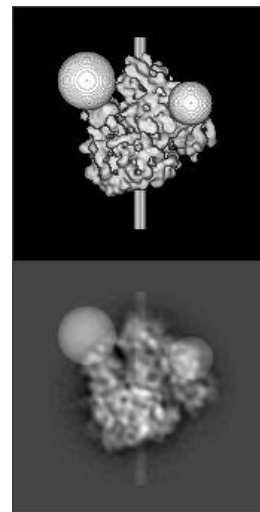
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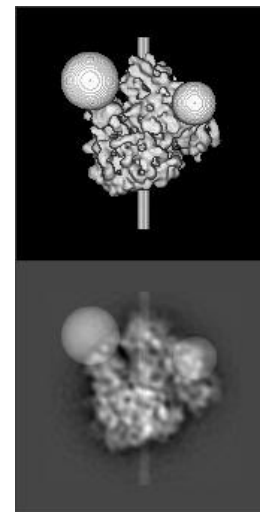
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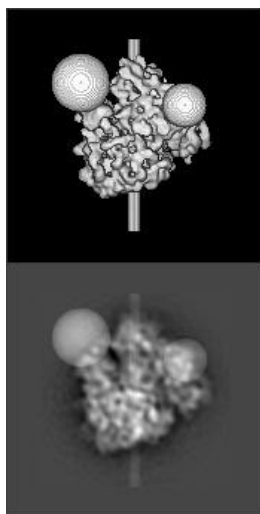
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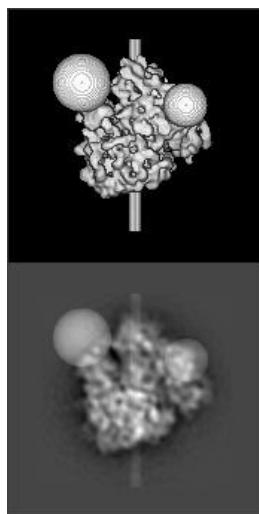
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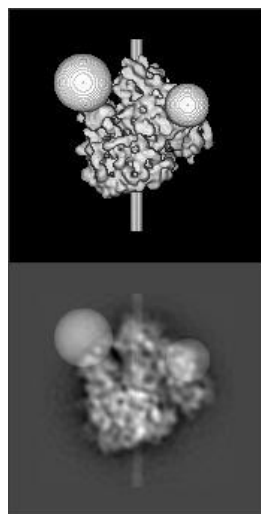
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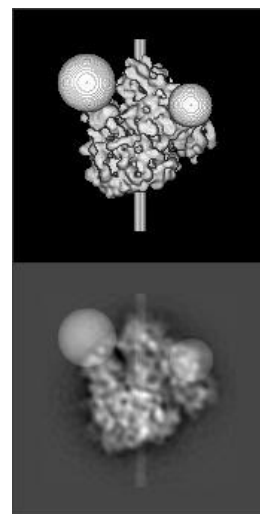
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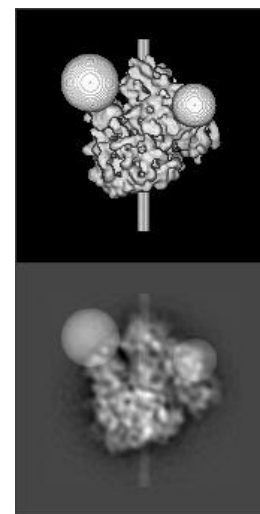
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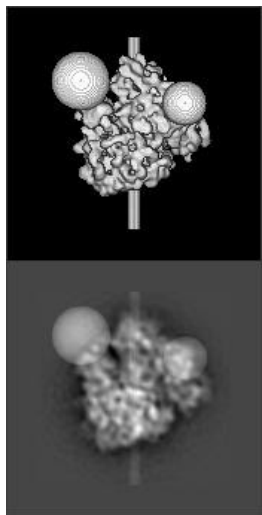
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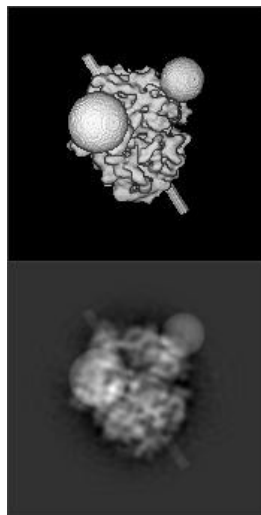
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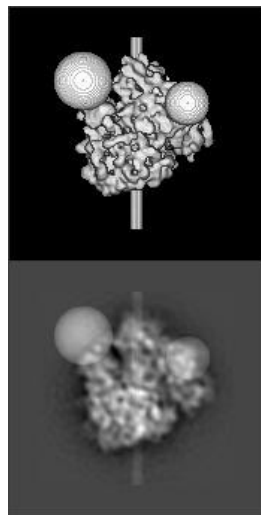
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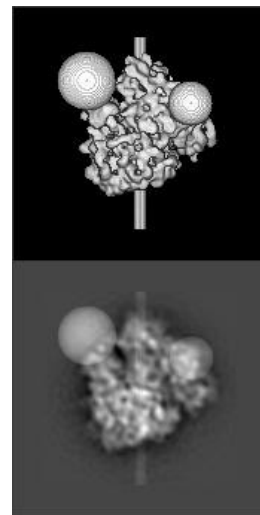
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phi=000

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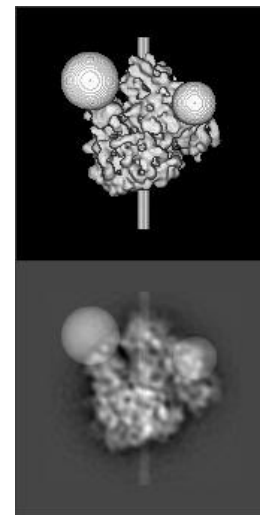
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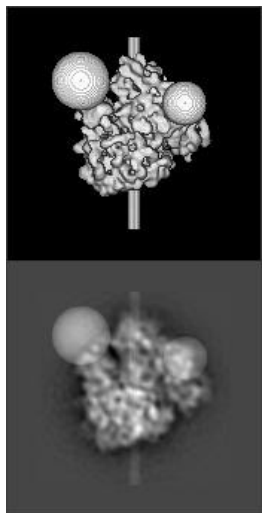
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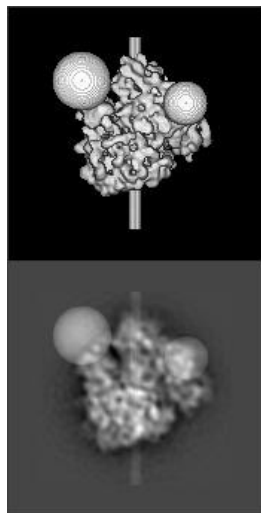
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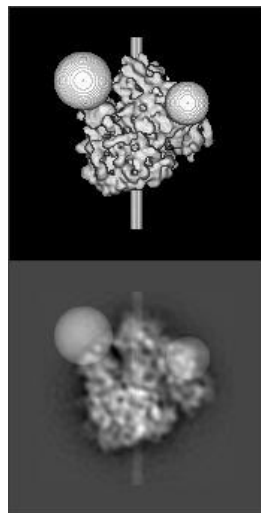
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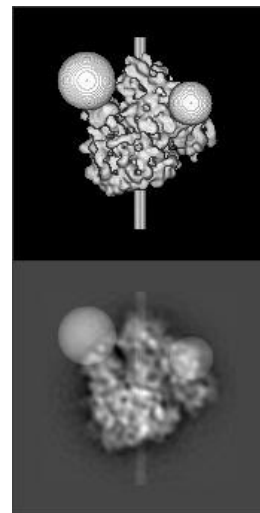
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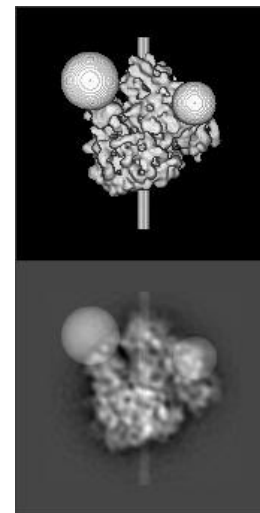
psi=000



phi=000

theta=000

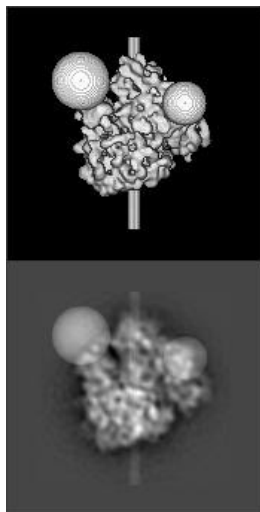
psi=000



phi=000

theta=000

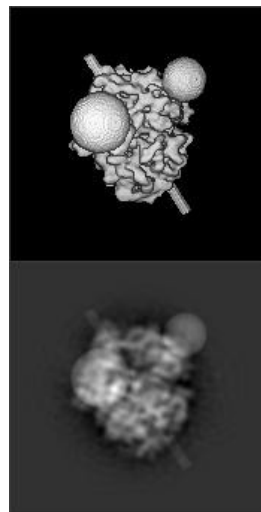
psi=000



phi=000

theta=000

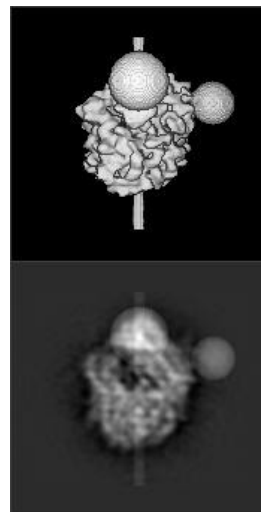
psi=000



phi=036

theta=030

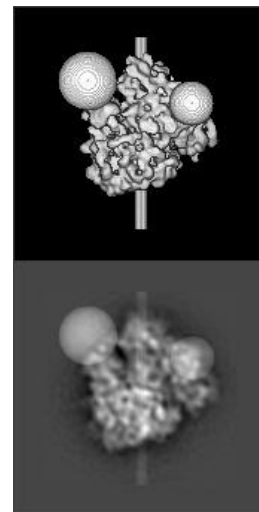
psi=000



phi=000

theta=045

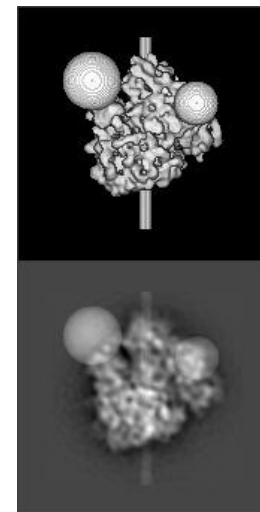
psi=000



phi=000

theta=000

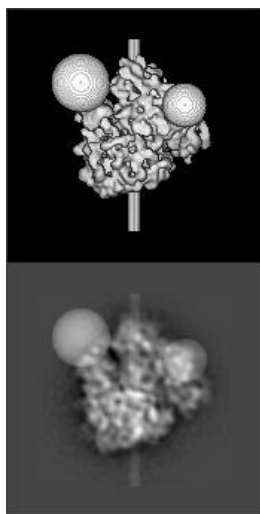
psi=000



phi=000

theta=000

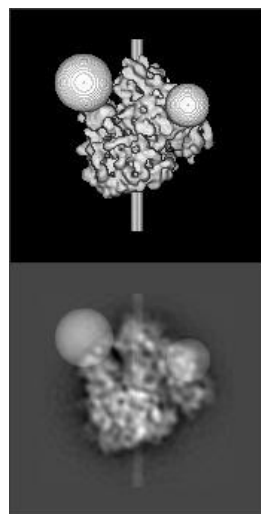
psi=000



phi=000

theta=000

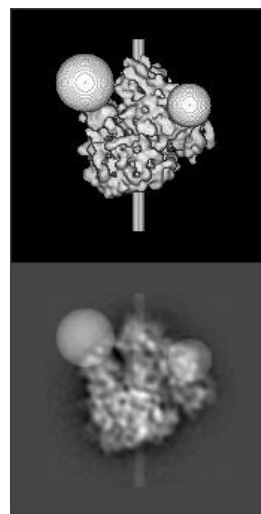
psi=000



phi=000

theta=000

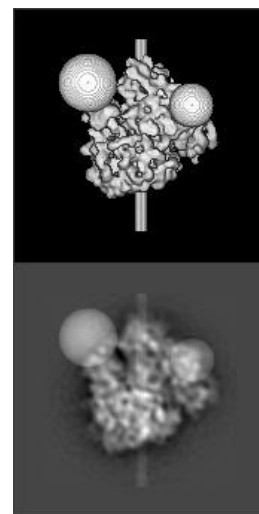
psi=000



phi=000

theta=000

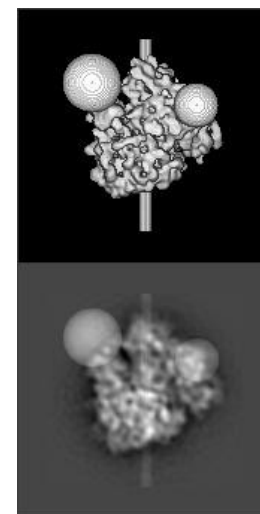
psi=000



phi=000

theta=000

psi=000

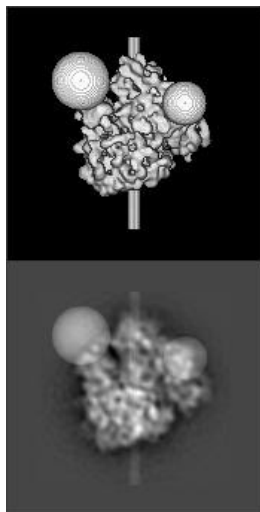


phi=000

theta=000

psi=000

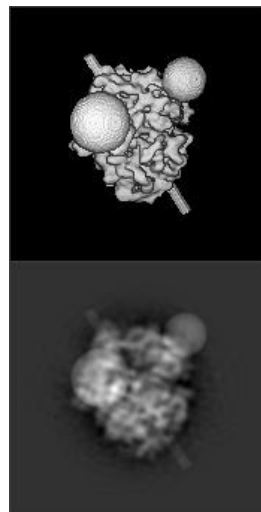




phi=000

theta=000

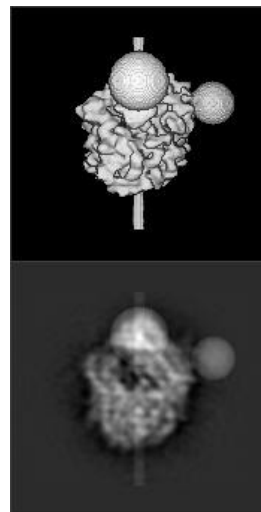
psi=000



phi=036

theta=030

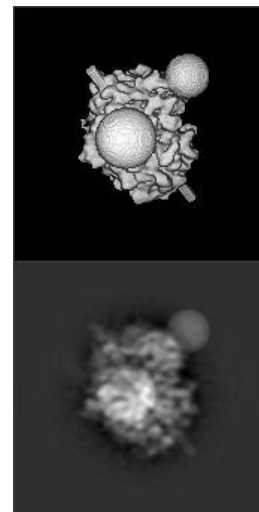
psi=000



phi=000

theta=045

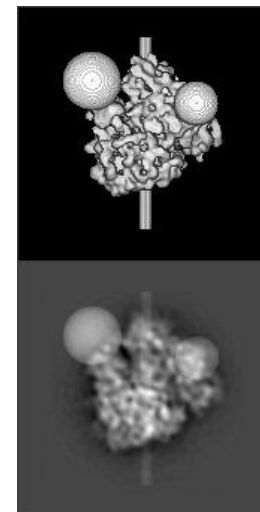
psi=000



phi=048

theta=045

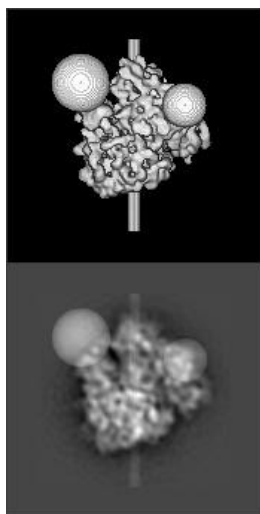
psi=000



phi=000

theta=000

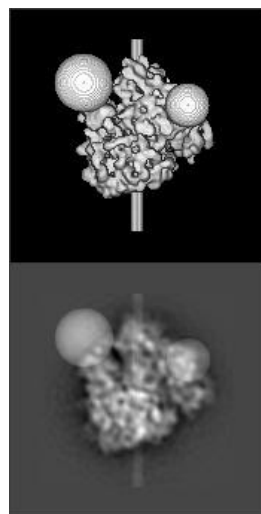
psi=000



phi=000

theta=000

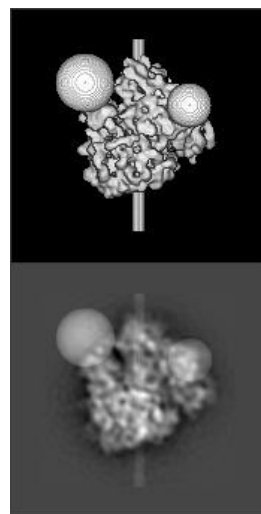
psi=000



phi=000

theta=000

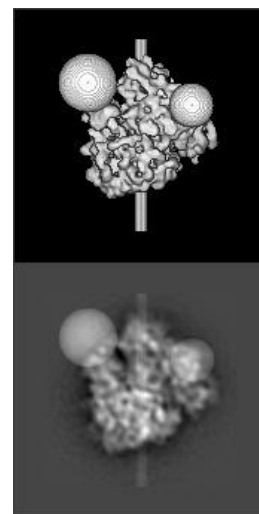
psi=000



phi=000

theta=000

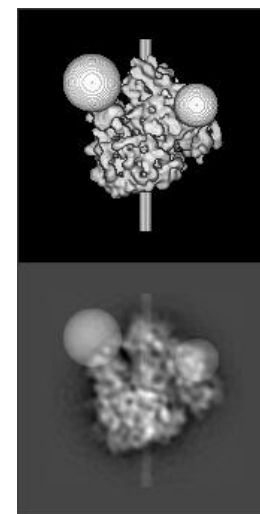
psi=000



phi=000

theta=000

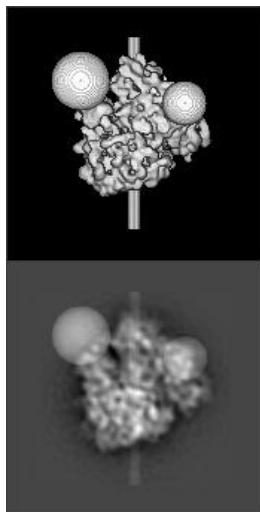
psi=000



phi=000

theta=000

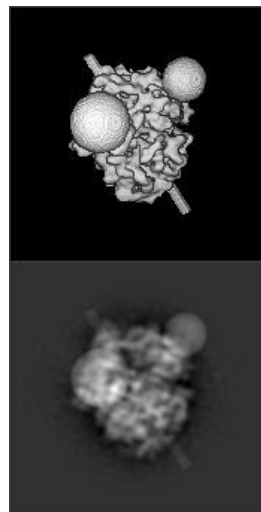
psi=000



phi=000

theta=000

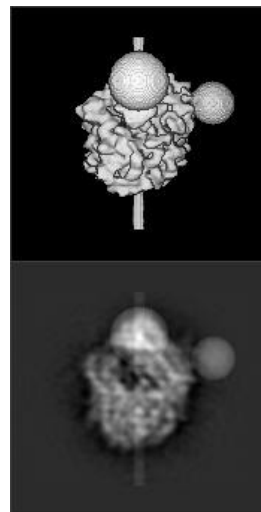
psi=000



phi=036

theta=030

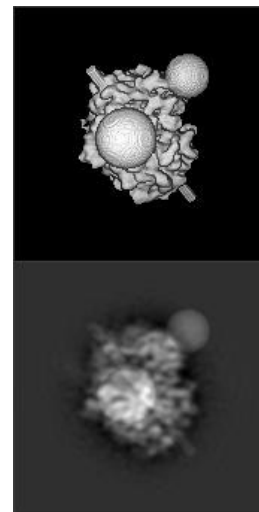
psi=000



phi=000

theta=045

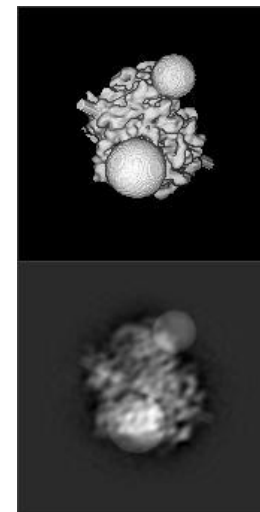
psi=000



phi=048

theta=045

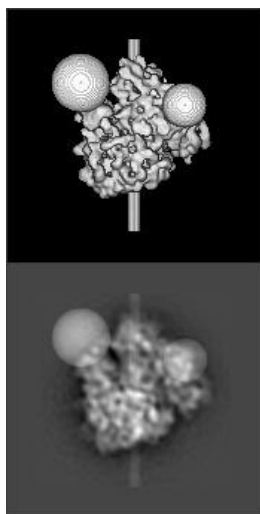
psi=000



phi=072

theta=045

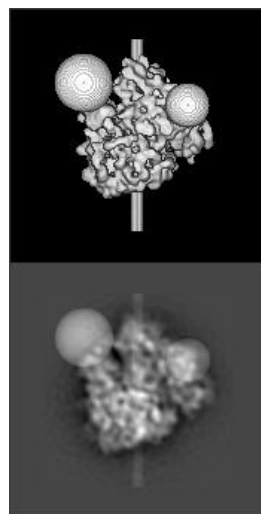
psi=000



phi=000

theta=000

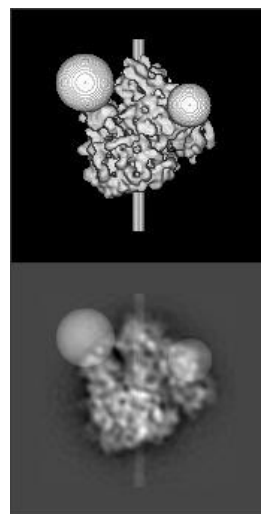
psi=000



phi=000

theta=000

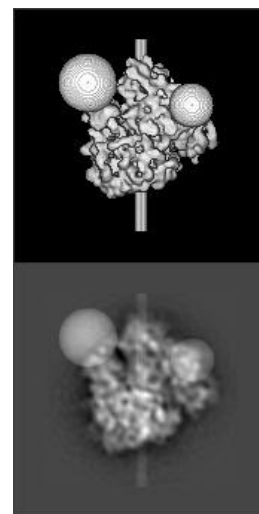
psi=000



phi=000

theta=000

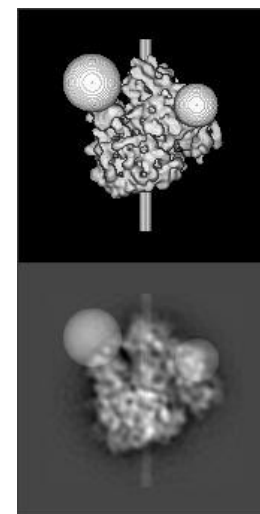
psi=000



phi=000

theta=000

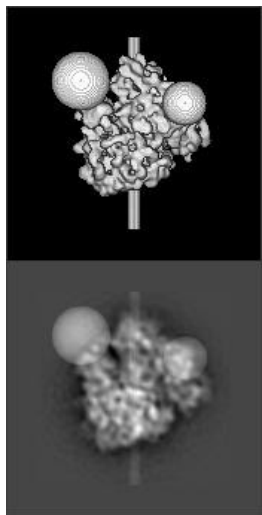
psi=000



phi=000

theta=000

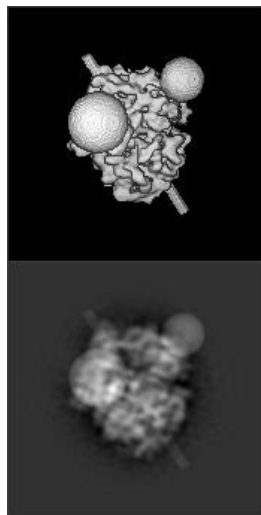
psi=000



phi=000

theta=000

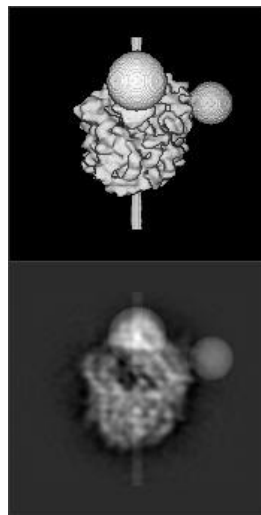
psi=000



phi=036

theta=030

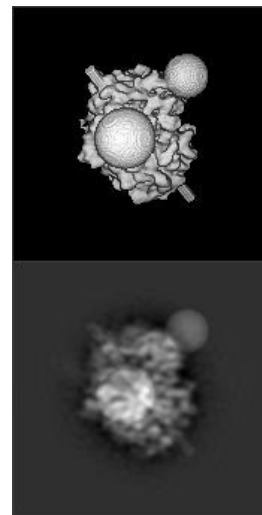
psi=000



phi=000

theta=045

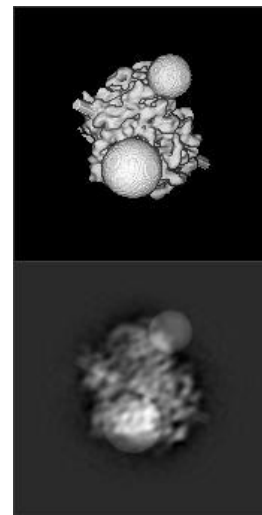
psi=000



phi=048

theta=045

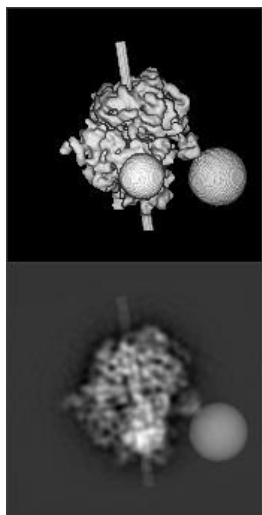
psi=000



phi=072

theta=045

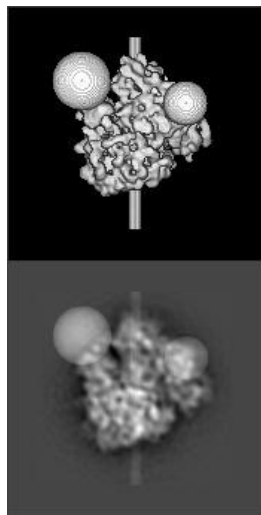
psi=000



phi=192

theta=045

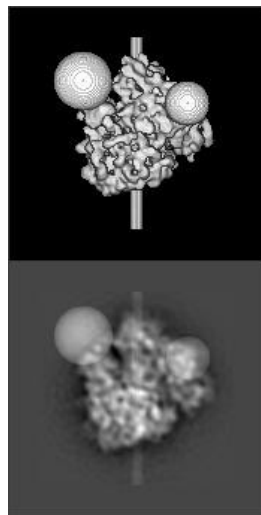
psi=000



phi=000

theta=000

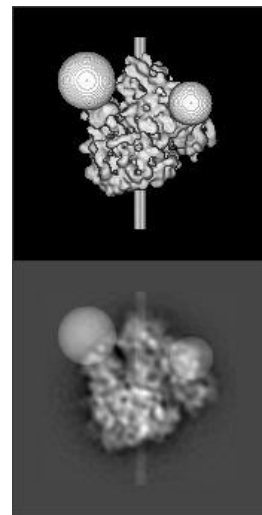
psi=000



phi=000

theta=000

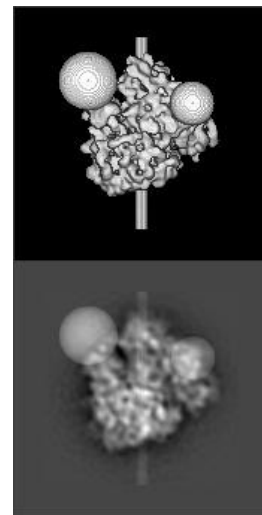
psi=000



phi=000

theta=000

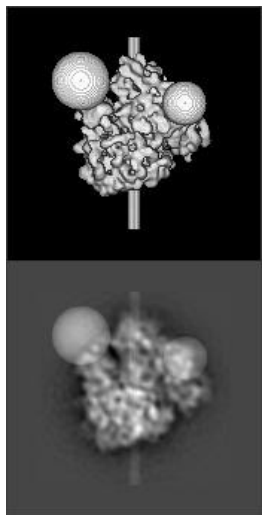
psi=000



phi=000

theta=000

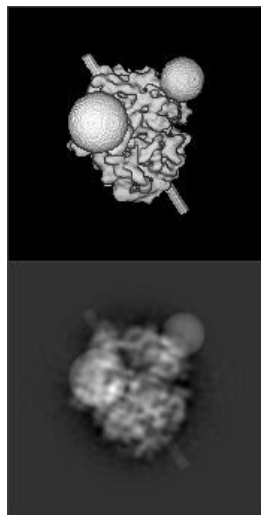
psi=000



phi=000

theta=000

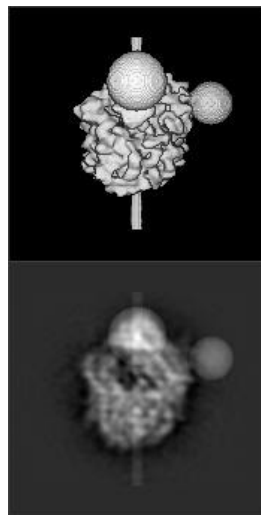
psi=000



phi=036

theta=030

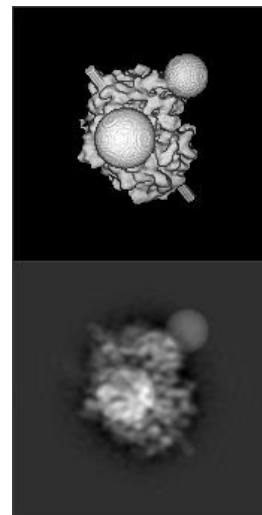
psi=000



phi=000

theta=045

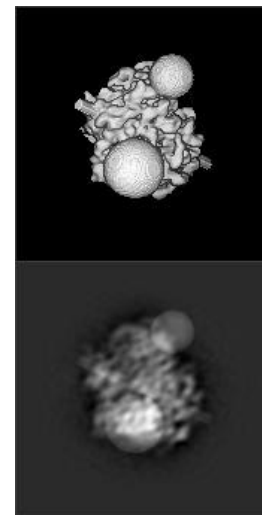
psi=000



phi=048

theta=045

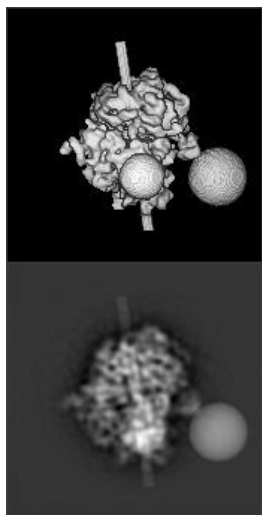
psi=000



phi=072

theta=045

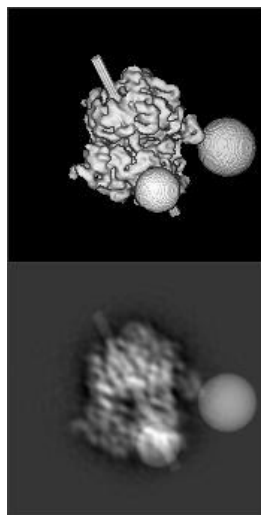
psi=000



phi=192

theta=045

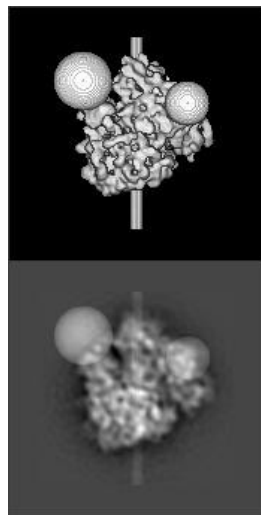
psi=000



phi=216

theta=045

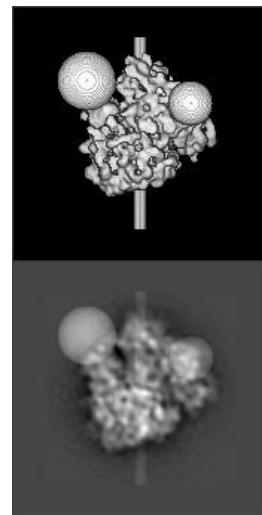
psi=000



phi=000

theta=000

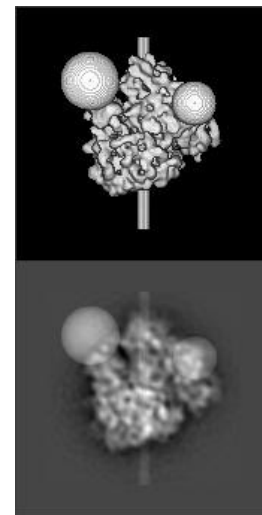
psi=000



phi=000

theta=000

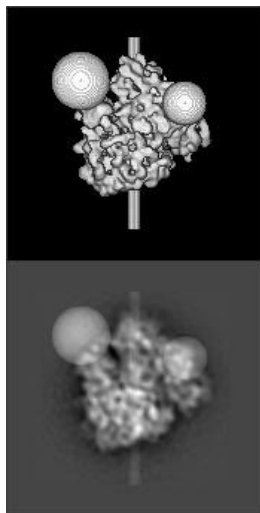
psi=000



phi=000

theta=000

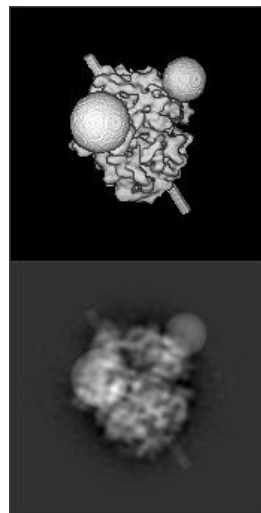
psi=000



phi=000

theta=000

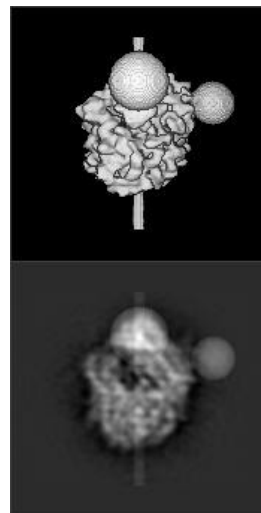
psi=000



phi=036

theta=030

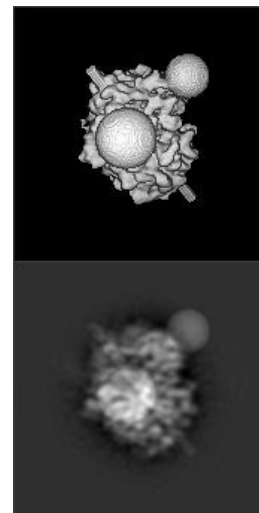
psi=000



phi=000

theta=045

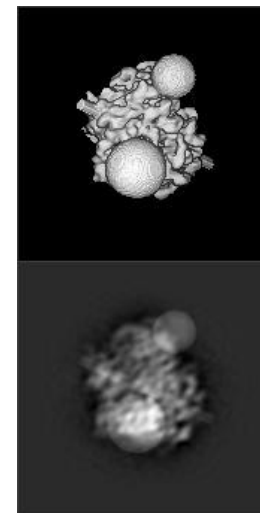
psi=000



phi=048

theta=045

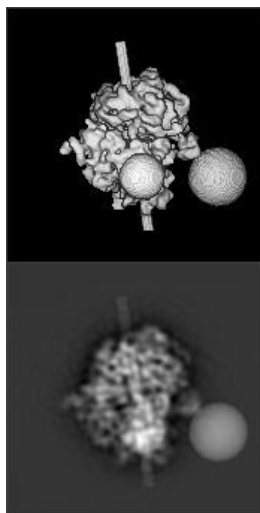
psi=000



phi=072

theta=045

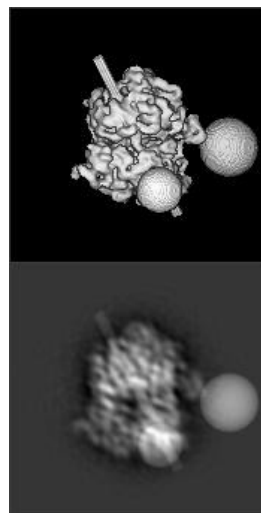
psi=000



phi=192

theta=045

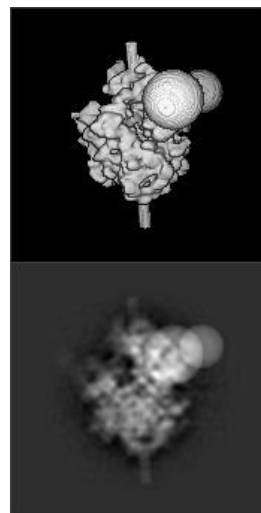
psi=000



phi=216

theta=045

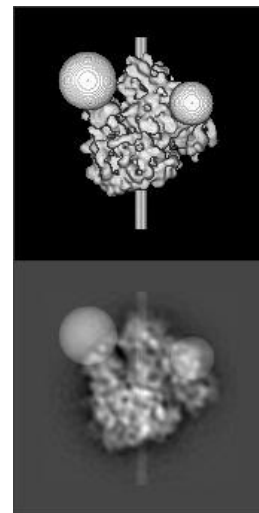
psi=000



phi=016

theta=075

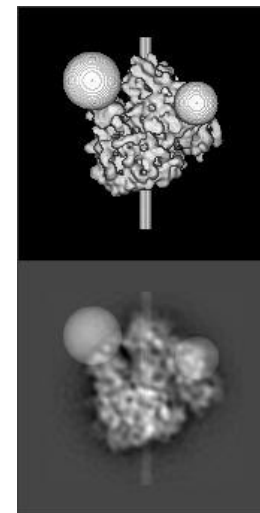
psi=000



phi=000

theta=000

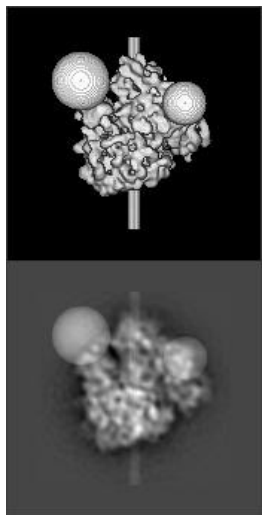
psi=000



phi=000

theta=000

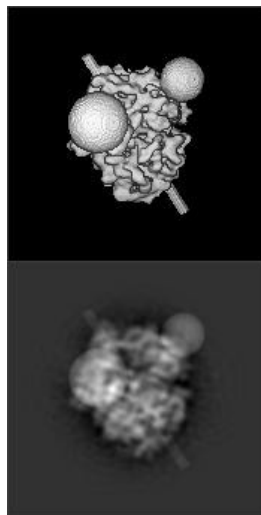
psi=000



phi=000

theta=000

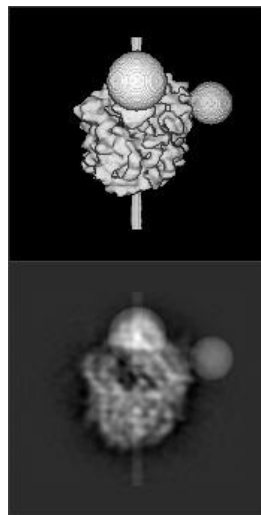
psi=000



phi=036

theta=030

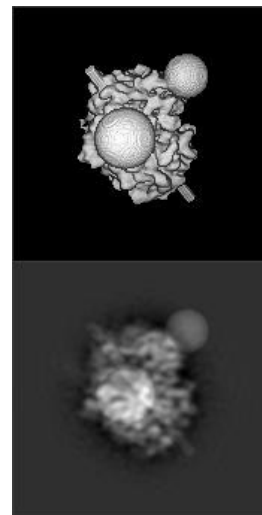
psi=000



phi=000

theta=045

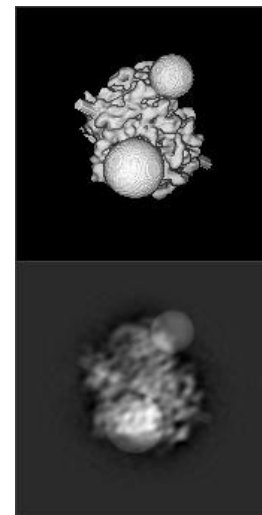
psi=000



phi=048

theta=045

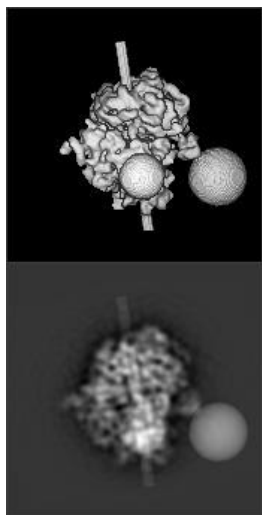
psi=000



phi=072

theta=045

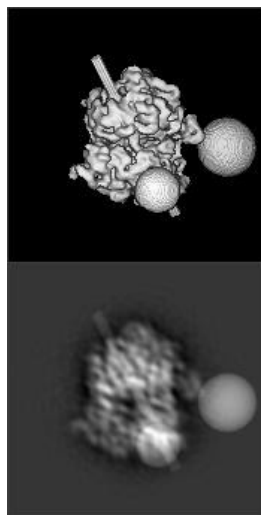
psi=000



phi=192

theta=045

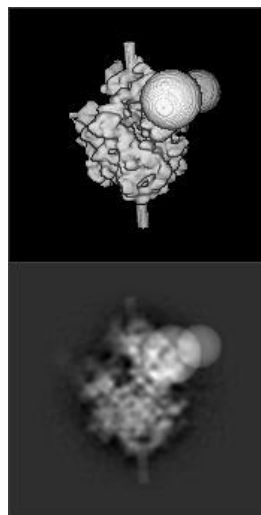
psi=000



phi=216

theta=045

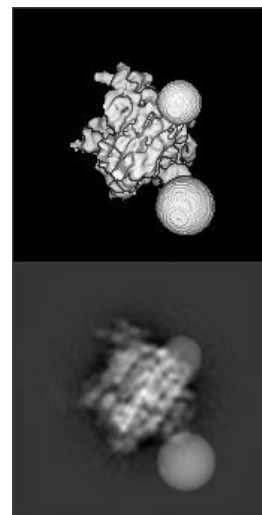
psi=000



phi=016

theta=075

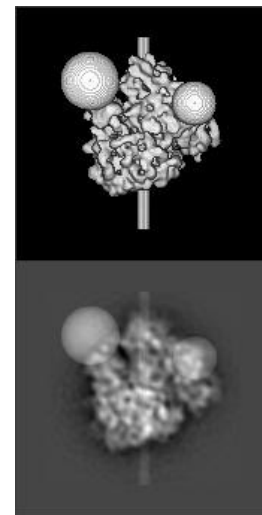
psi=000



phi=115

theta=075

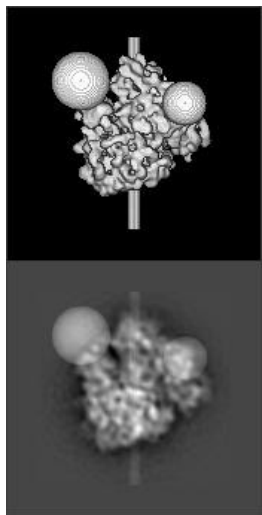
psi=000



phi=000

theta=000

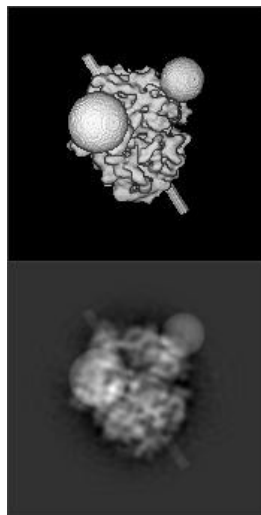
psi=000



phi=000

theta=000

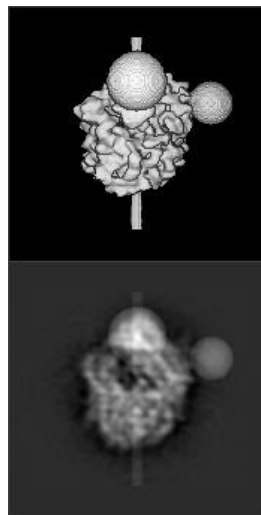
psi=000



phi=036

theta=030

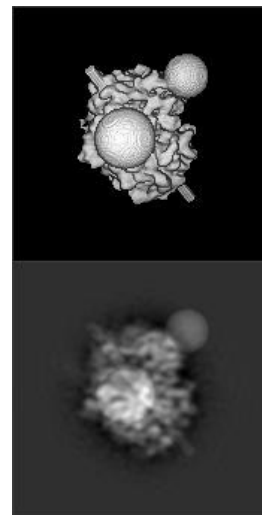
psi=000



phi=000

theta=045

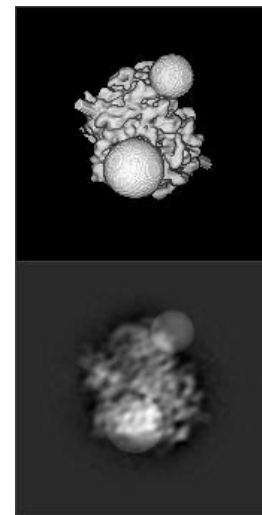
psi=000



phi=048

theta=045

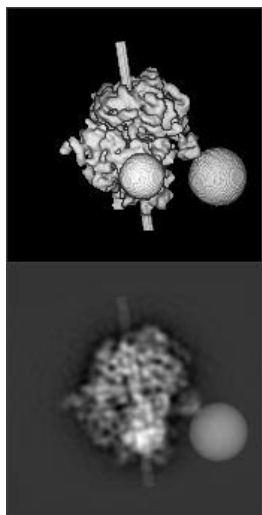
psi=000



phi=072

theta=045

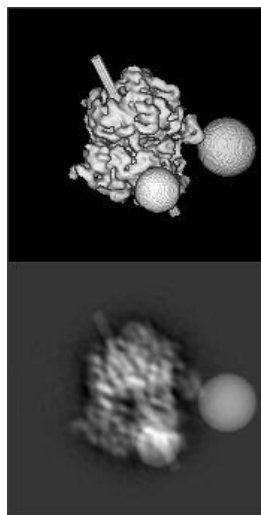
psi=000



phi=192

theta=045

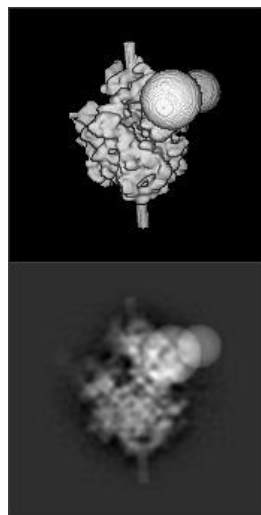
psi=000



phi=216

theta=045

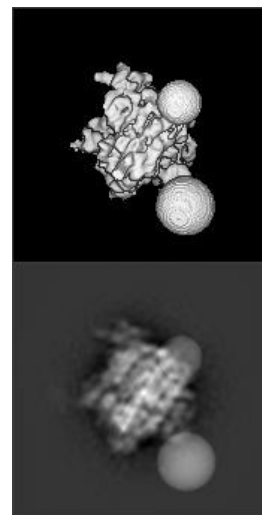
psi=000



phi=016

theta=075

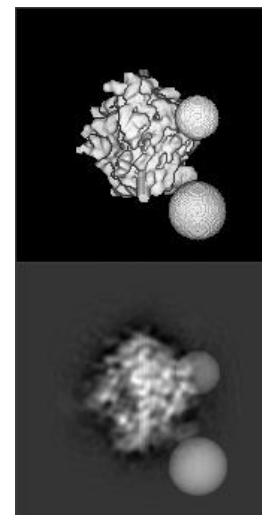
psi=000



phi=115

theta=075

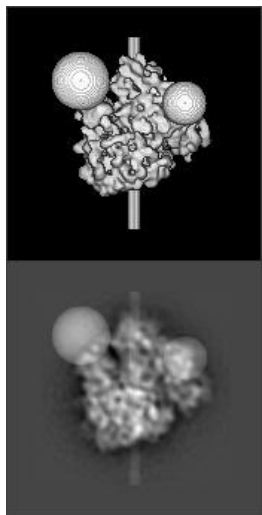
psi=000



phi=131

theta=090

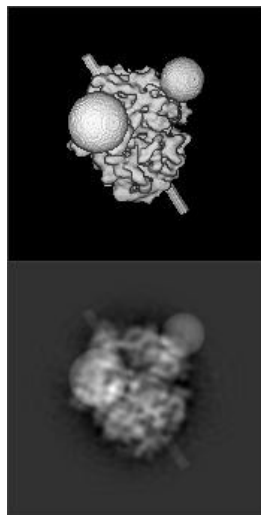
psi=000



phi=000

theta=000

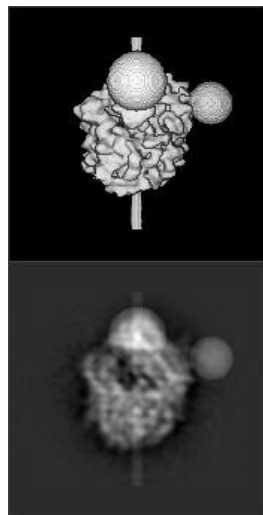
psi=000



phi=036

theta=030

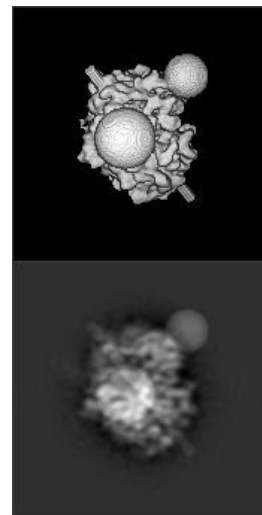
psi=000



phi=000

theta=045

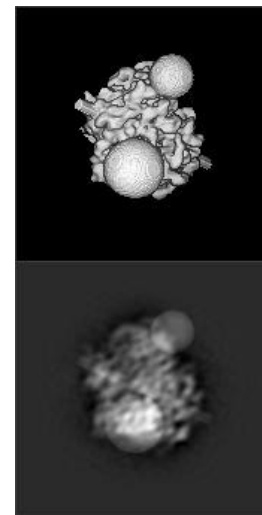
psi=000



phi=048

theta=045

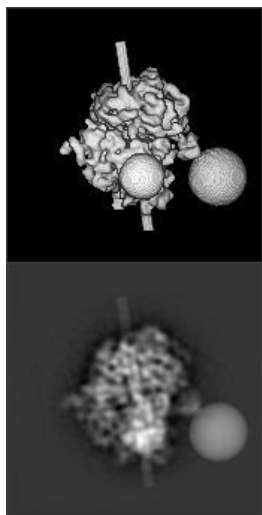
psi=000



phi=072

theta=045

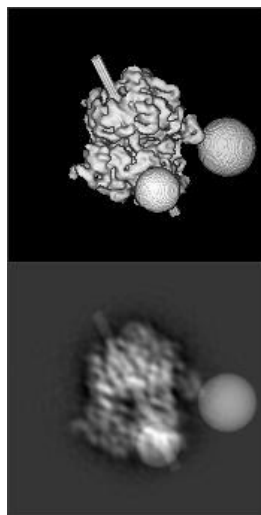
psi=000



phi=192

theta=045

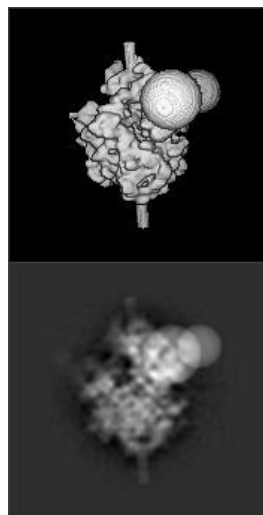
psi=000



phi=216

theta=045

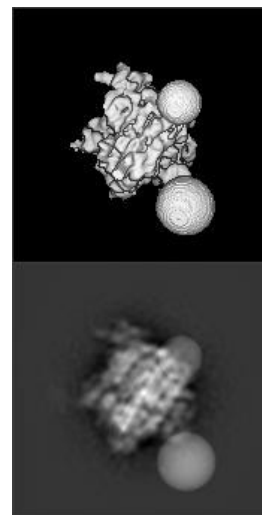
psi=000



phi=016

theta=075

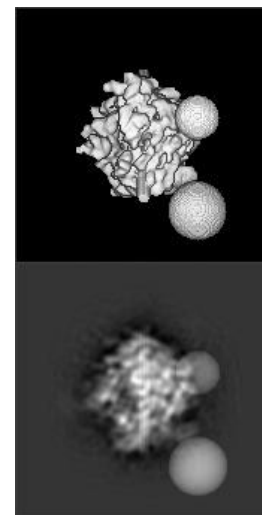
psi=000



phi=115

theta=075

psi=000



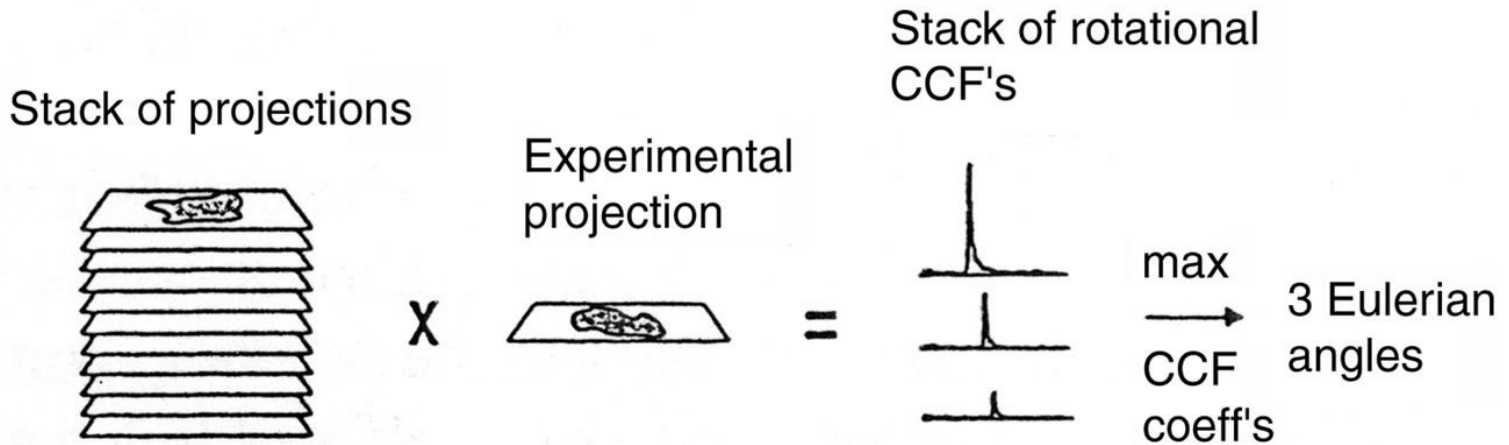
phi=131

theta=090

psi=000



# Reference-based alignment



From Penczek *et al.* (1994), *Ultramicroscopy* **53**: 251-70.

Steps:

1. Compare the experimental image to all of the reference projections.
2. Find the reference projection with which the experimental image matches best.
3. Assign the Euler angles of that reference projection to the experimental image.

# Outline

## Image analysis II

- ◆ 2D Fourier transforms

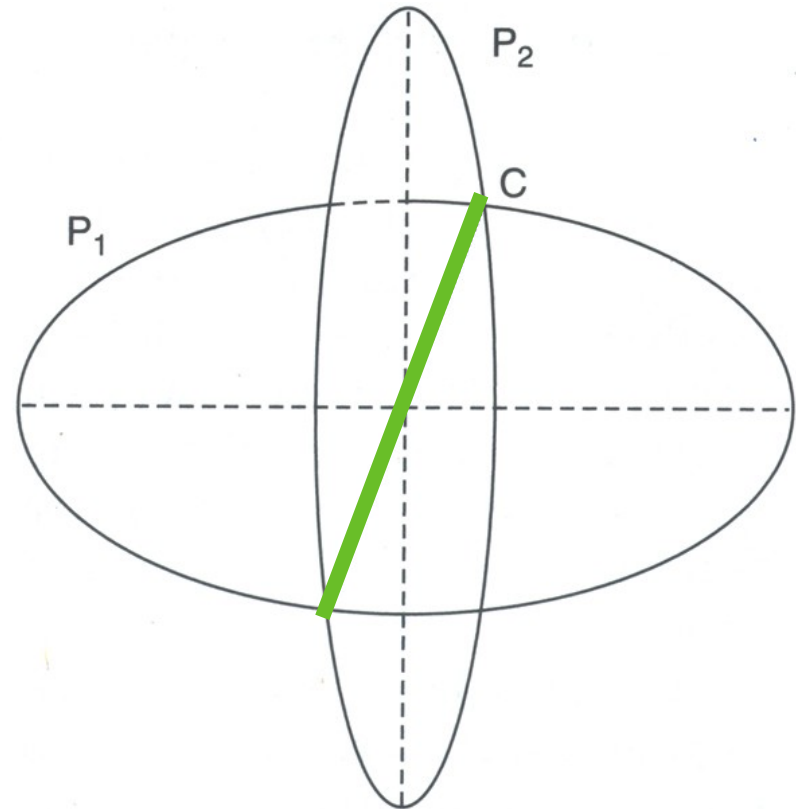
## 3D Reconstruction

- ◆ Principles
- ◆ Tomography
- ◆ Reference-based alignment
- ◆ **Common lines**
- ◆ RCT
- ◆ CTF-correction
- ◆ 3D classification

# Common lines (or Angular Reconstitution)

## Summary:

- A central section through the 3D Fourier transform is the Fourier transform of the projection in that direction
- Two central sections will intersect along a line through the origin of the 3D Fourier transform
- With two central sections, there is still one degree of freedom to relate the orientations, but a third projection (i.e., central section) will fix the relative orientations of all three.

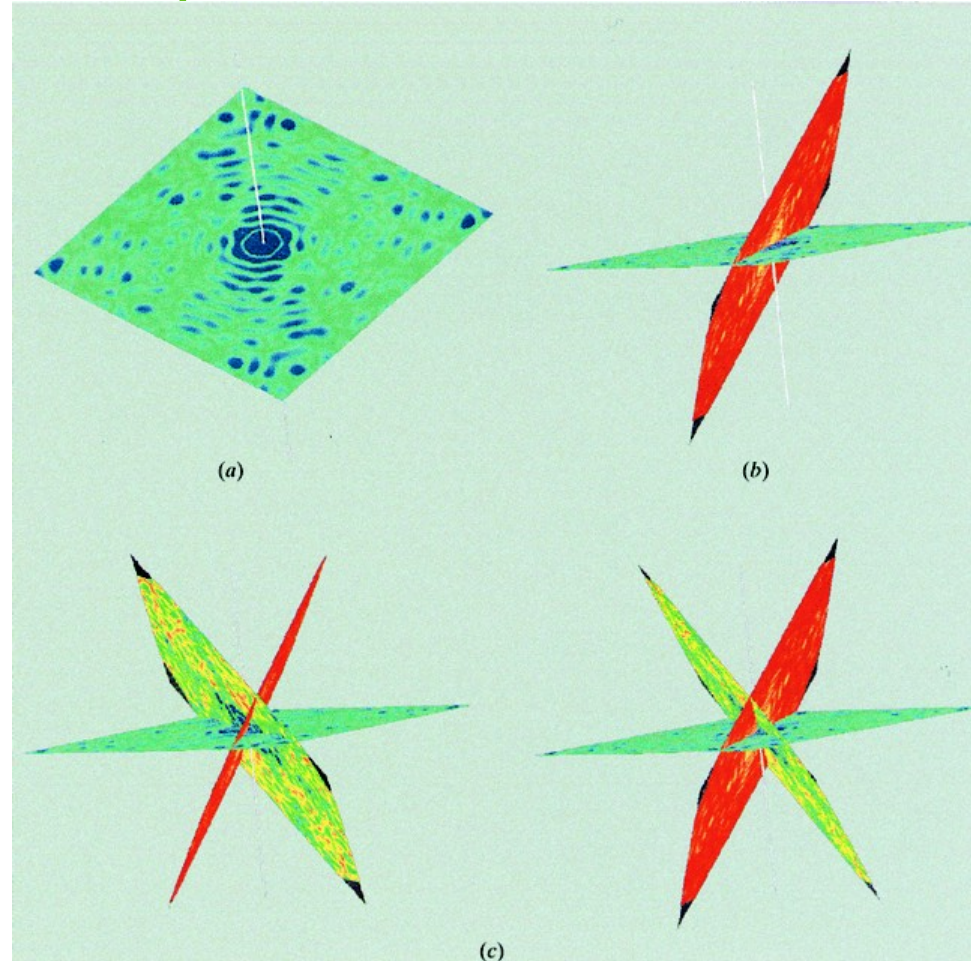


Frank, J. (2006) 3D Electron Microscopy of Macromolecular Assemblies

# Common lines (or Angular Reconstitution)

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From Steve Fuller

# Common lines: Problems

- Noise can lead to incorrect angles
  - Symmetry helps
- Handedness cannot be determined without additional information
  - Tilting
  - $\alpha$ -helices
- Assumes conformational homogeneity

# Outline

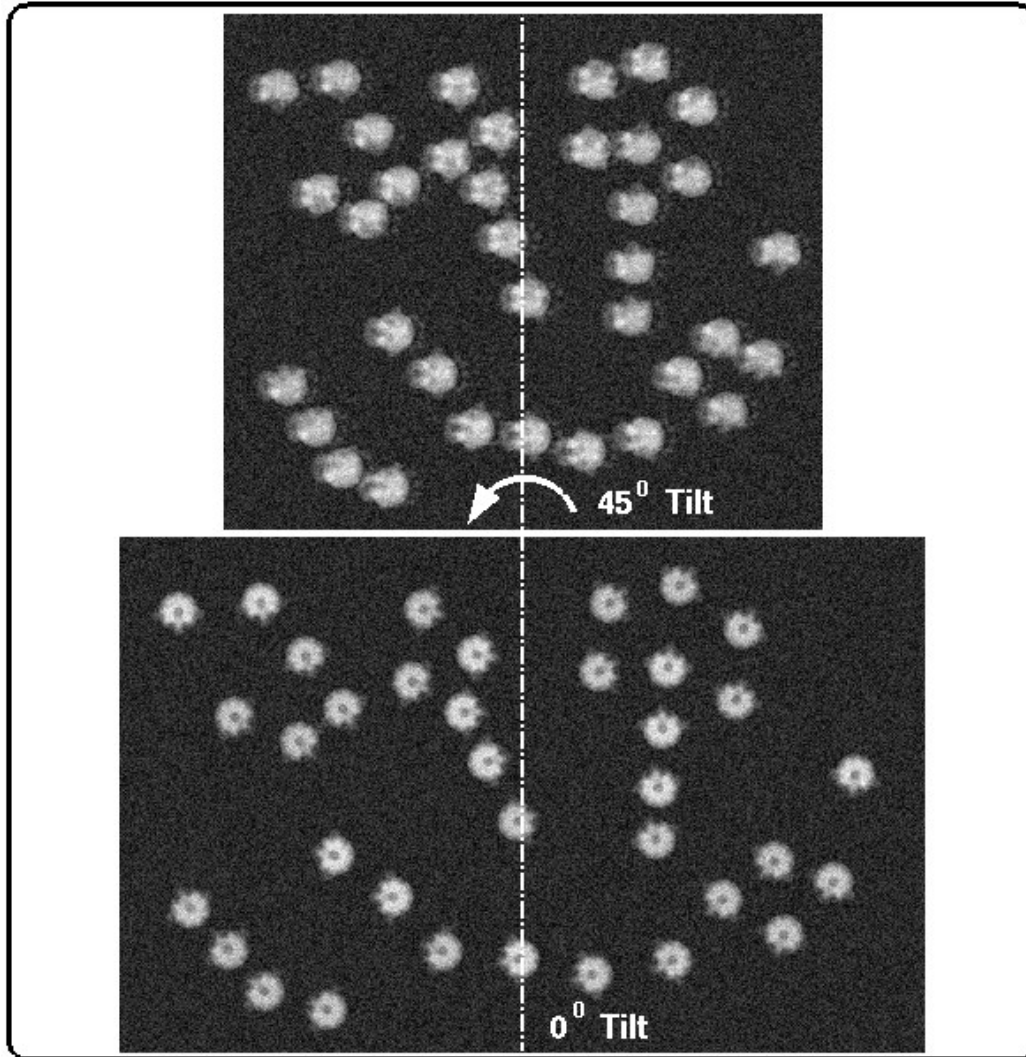
## Image analysis II

- ◆ 2D Fourier transforms

## 3D Reconstruction

- ◆ Principles
- ◆ Tomography
- ◆ Reference-based alignment
- ◆ Common lines
- ◆ **RCT**
- ◆ CTF-correction
- ◆ 3D classification

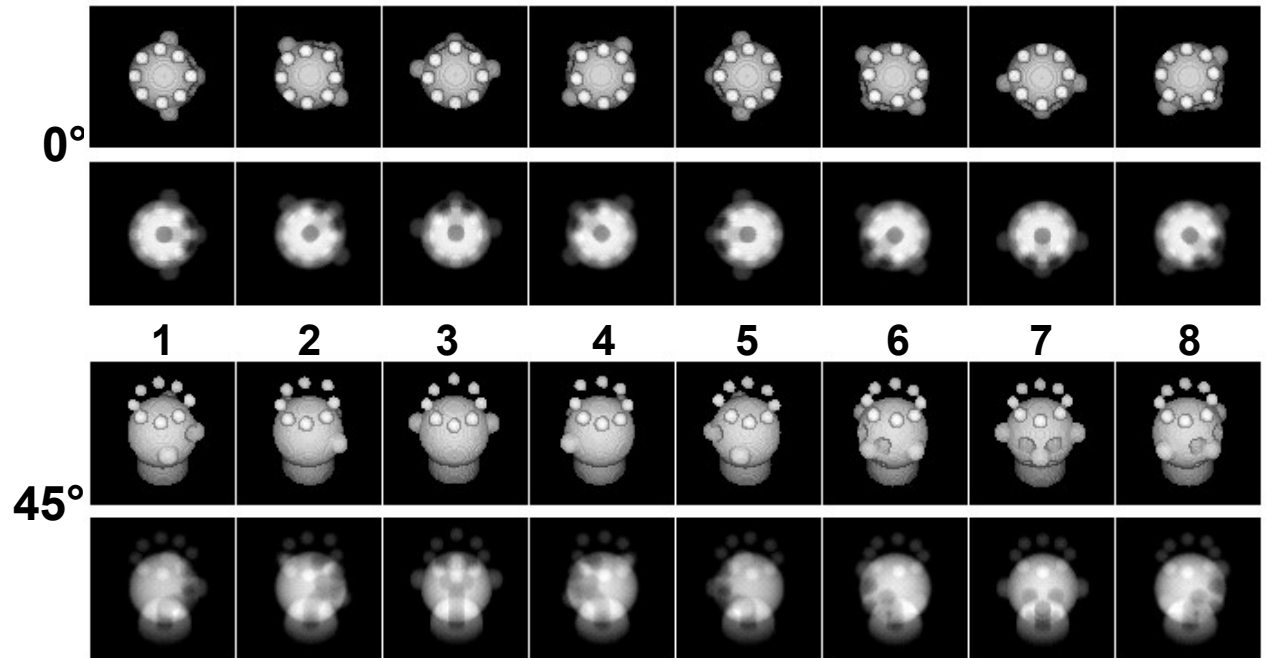
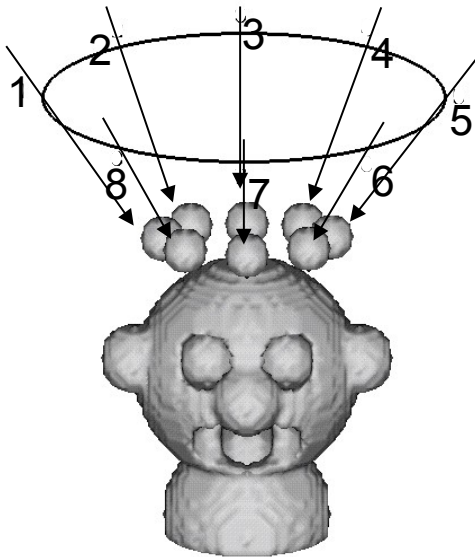
# Random-conical tilt: Determination of Euler angles



This scenario describes a worst case, when there is exactly one orientation in the  $0^\circ$  image. Since the in-plane angle varies, in the tilted image, we have different views available.

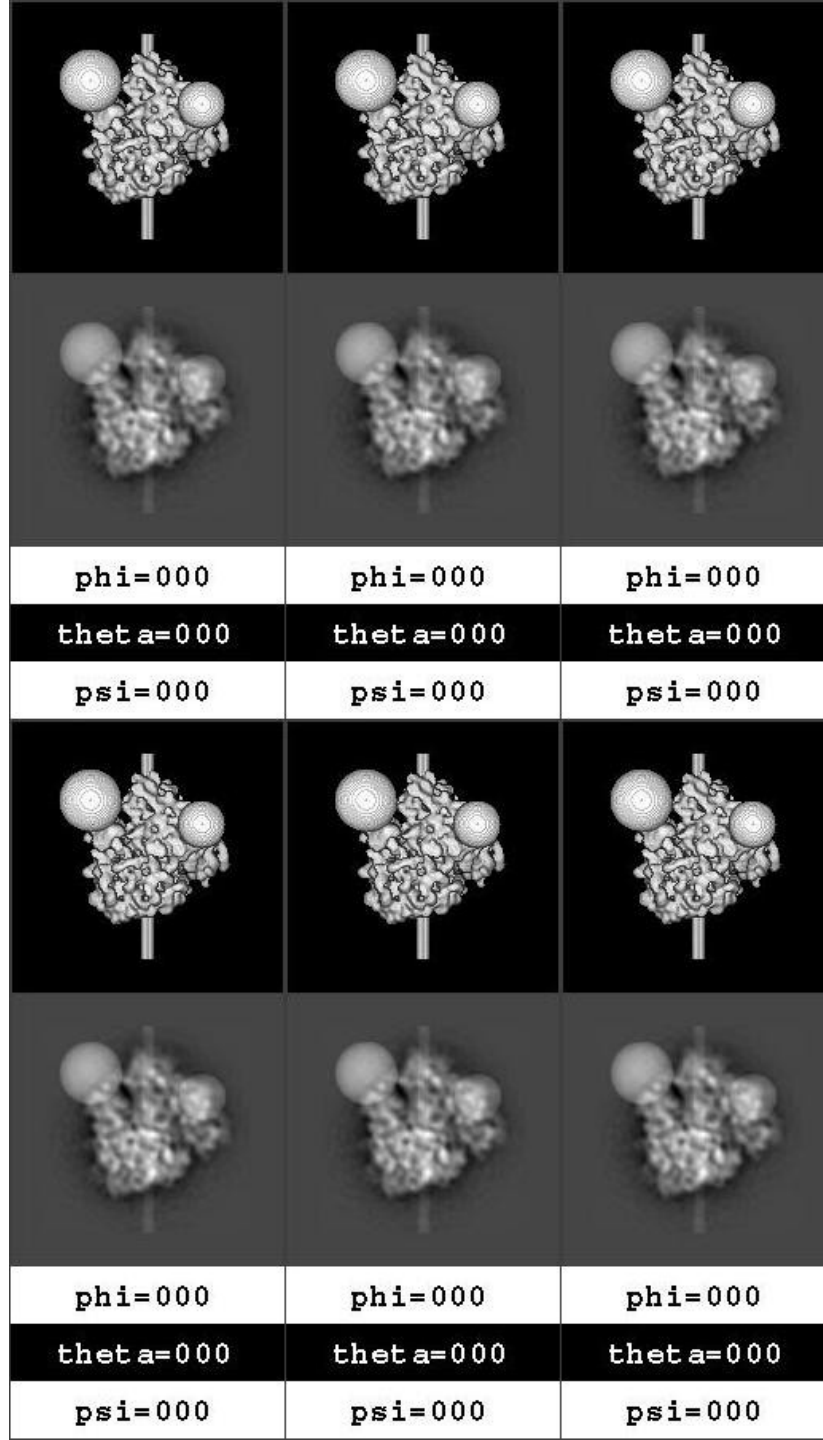
# Random-conical tilt: Geometry

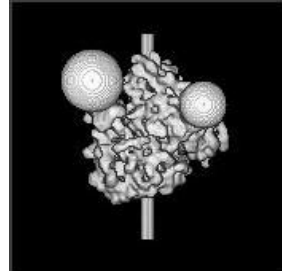
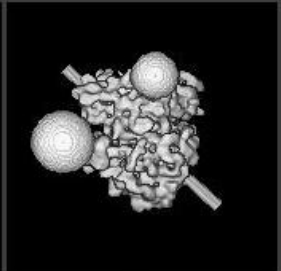
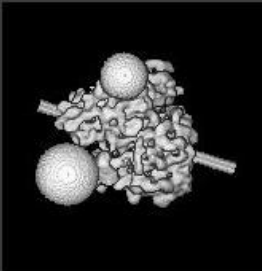
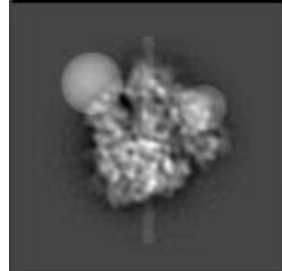
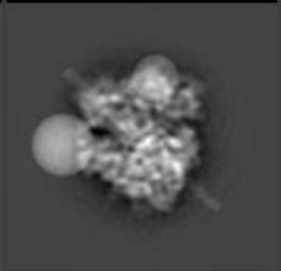
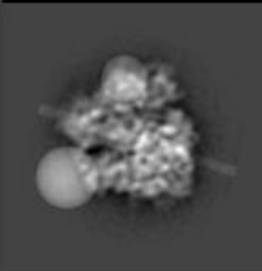
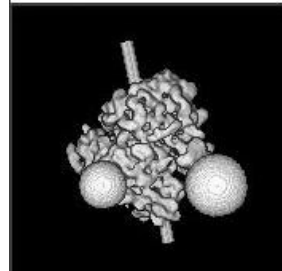
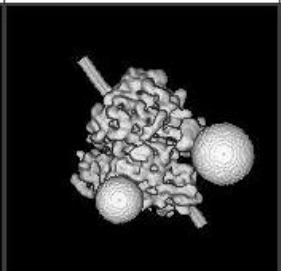
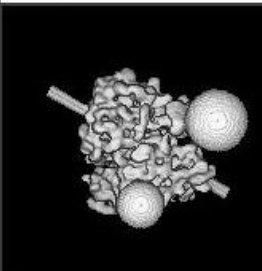
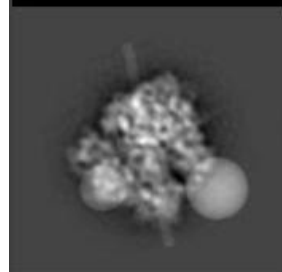
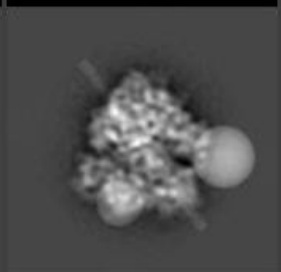
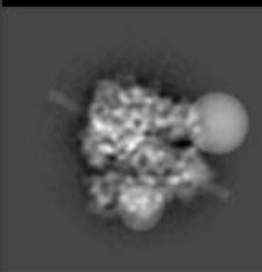
Two images are taken: one at  $0^\circ$  and one tilted at an angle of  $45^\circ$ .

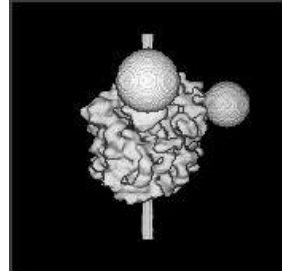
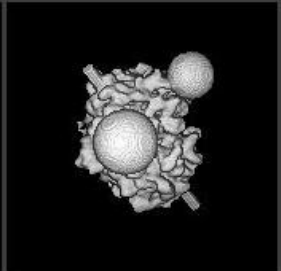
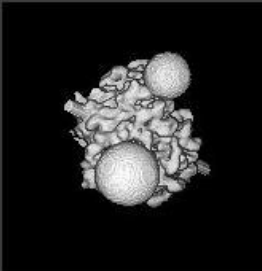
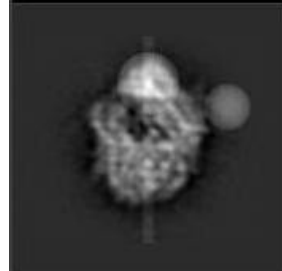
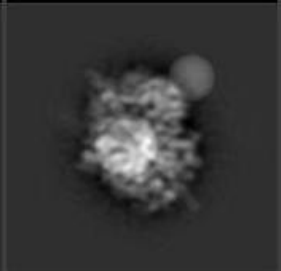
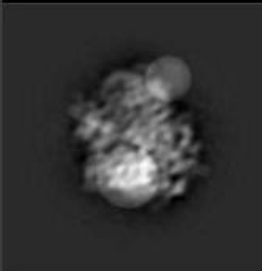
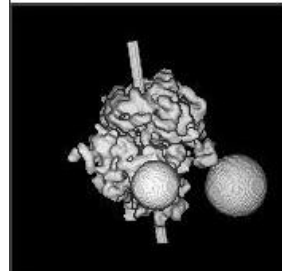
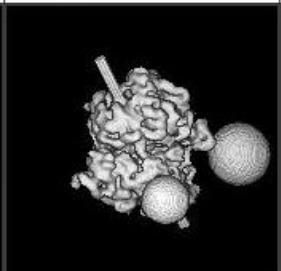
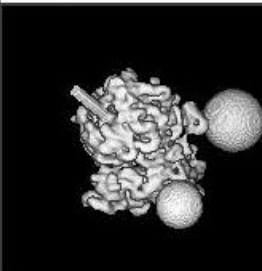
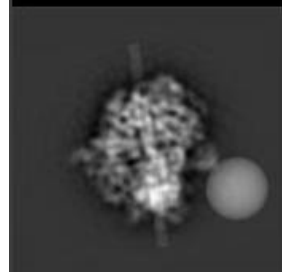
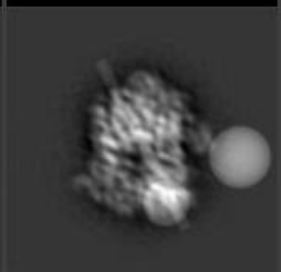
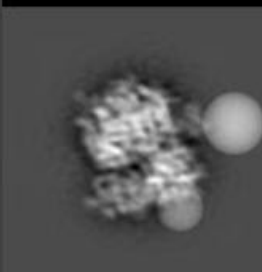


Radermacher, M., Wagenknecht, T., Verschoor, A. & Frank, J. Three-dimensional reconstruction from a single-exposure, random conical tilt series applied to the 50S ribosomal subunit of *Escherichia coli*. *J Microsc* **146**, 113-36 (1987).





		
		
<code>phi=000</code>	<code>phi=048</code>	<code>phi=072</code>
<code>theta=001</code>	<code>theta=001</code>	<code>theta=001</code>
<code>psi=000</code>	<code>psi=000</code>	<code>psi=000</code>
		
		
<code>phi=192</code>	<code>phi=216</code>	<code>phi=240</code>
<code>theta=001</code>	<code>theta=001</code>	<code>theta=001</code>
<code>psi=000</code>	<code>psi=000</code>	<code>psi=000</code>

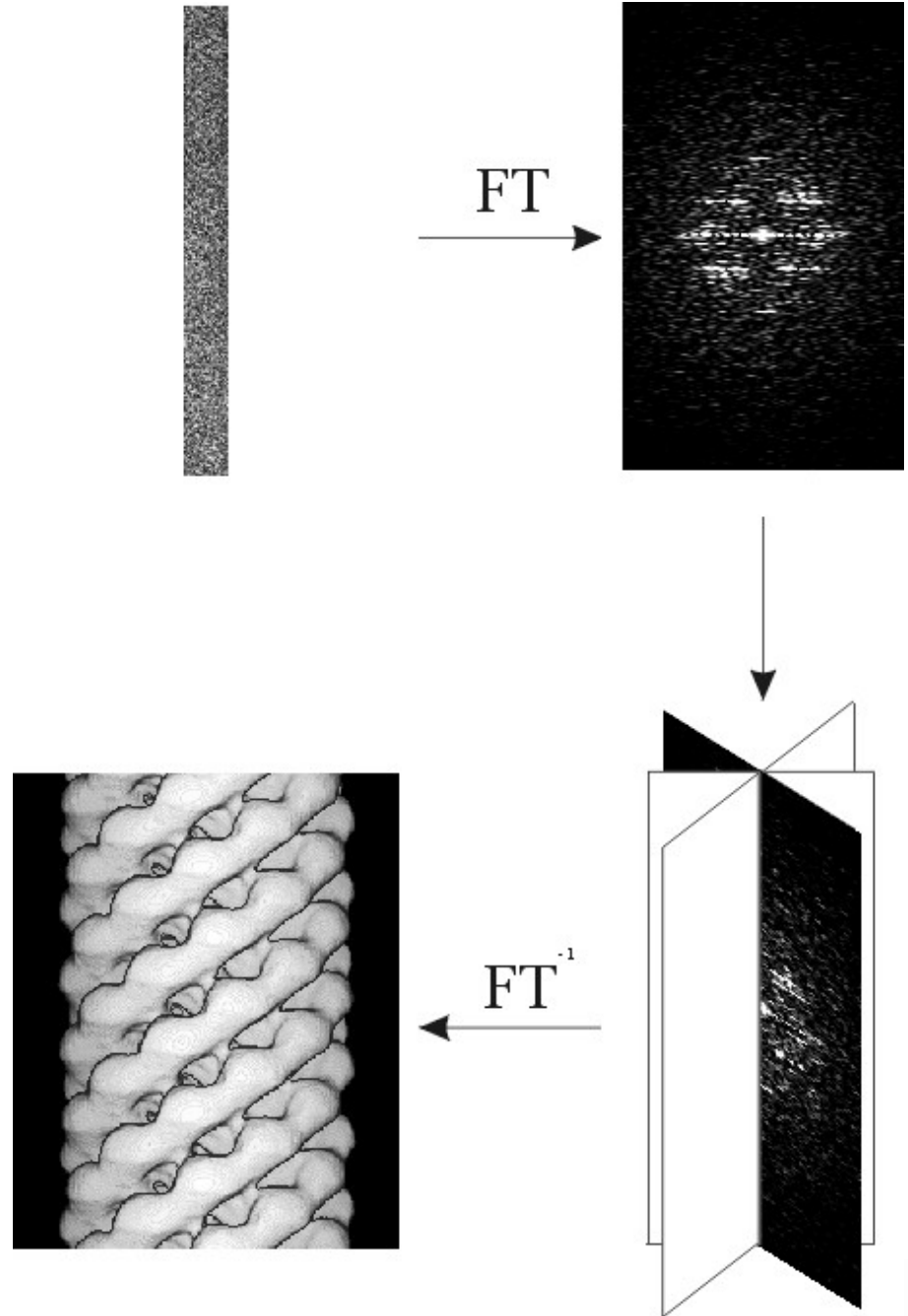
		
		
<code>phi=000</code>	<code>phi=048</code>	<code>phi=072</code>
<code>theta=045</code>	<code>theta=045</code>	<code>theta=045</code>
<code>psi=000</code>	<code>psi=000</code>	<code>psi=000</code>
		
		
<code>phi=192</code>	<code>phi=216</code>	<code>phi=240</code>
<code>theta=045</code>	<code>theta=045</code>	<code>theta=045</code>
<code>psi=000</code>	<code>psi=000</code>	<code>psi=000</code>

One problem though:

We can't tilt the stage all the way to 90 degrees.

Review:

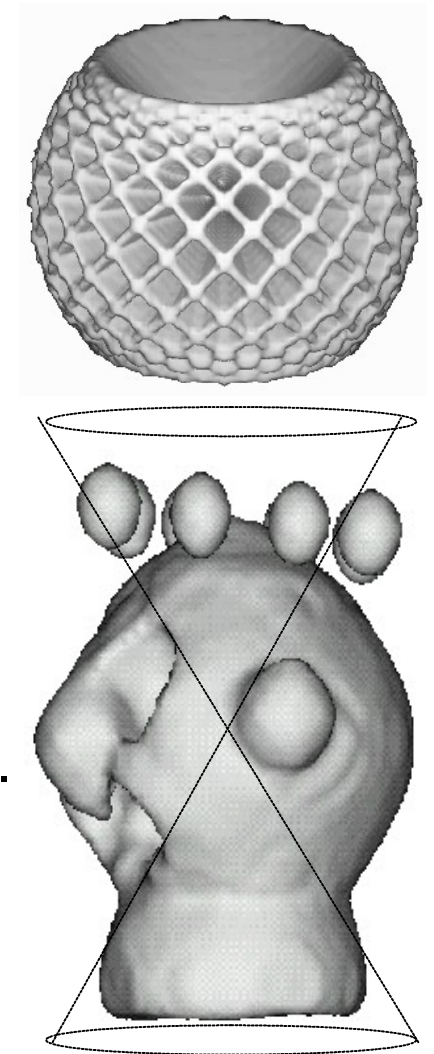
Projection theorem



# Random-conical tilt: The “missing cone”

Representation of the distribution of views, if we display a plane perpendicular to each projection direction

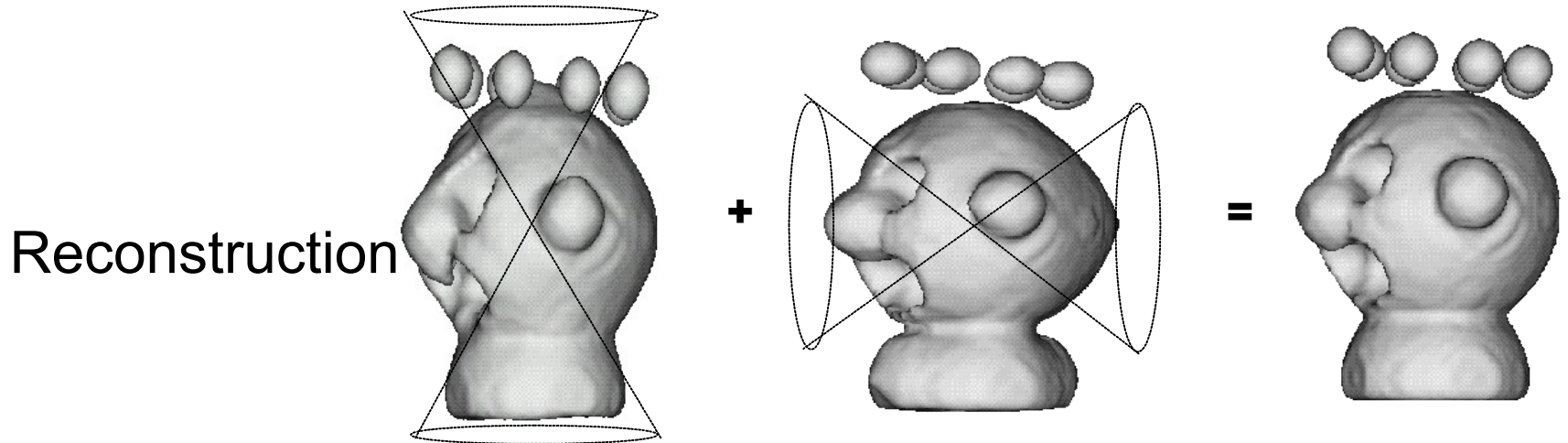
The missing information, in the shape of a cone, elongates features in the direction of the cone's axis.



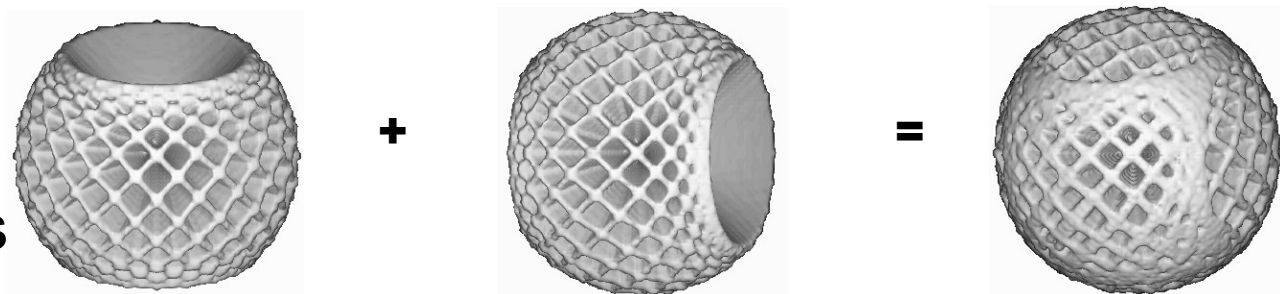
From Nicolas Boisset

# Random-conical tilt: Filling the missing cone

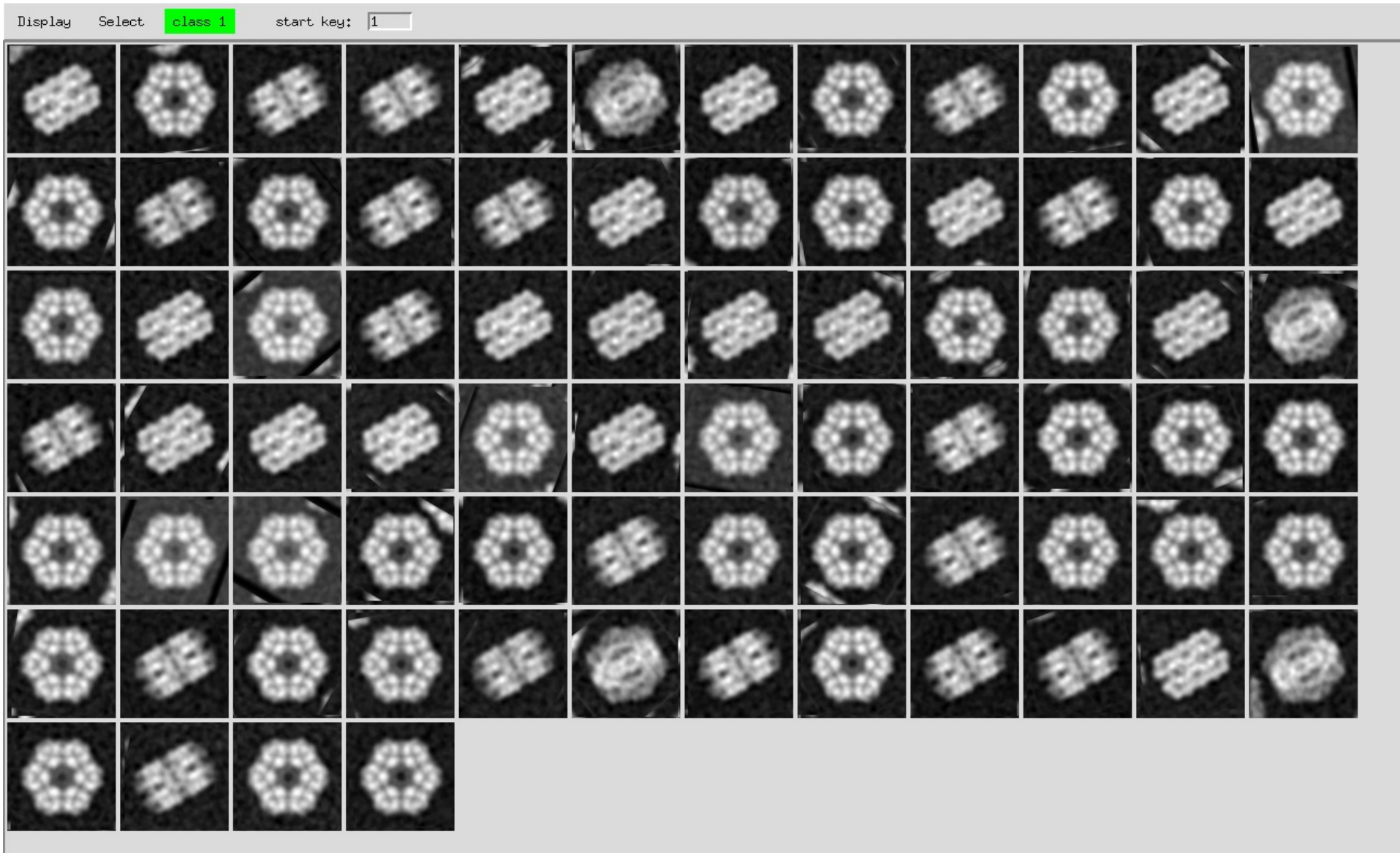
If there are multiple preferred orientations, or if there is symmetry that fills the missing cone, you can cover all orientations.



Distribution  
of orientations



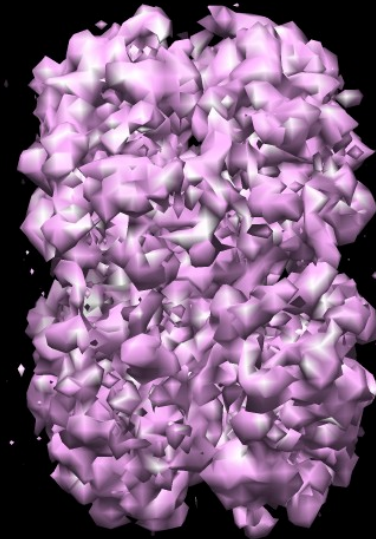
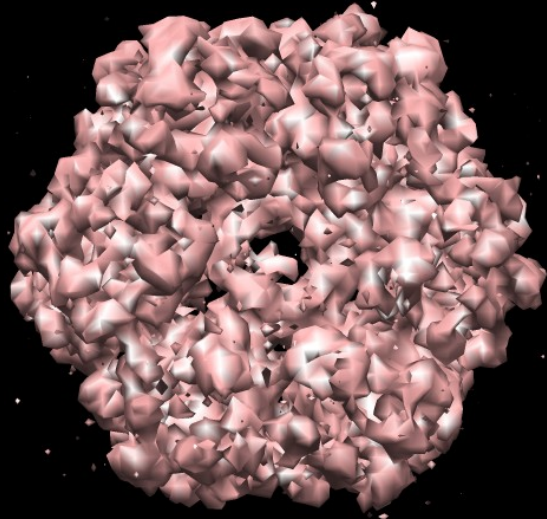
From Nicolas Boisset



Phantom images of worm hemoglobin

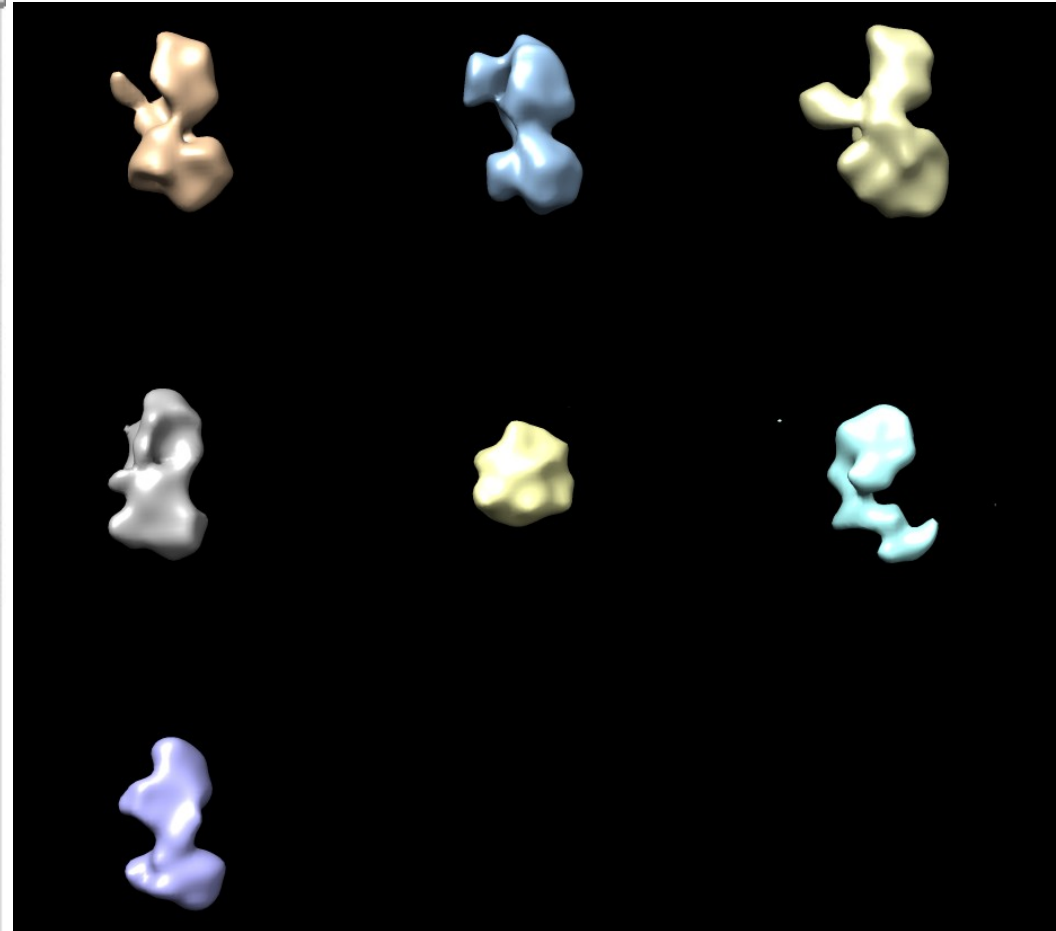
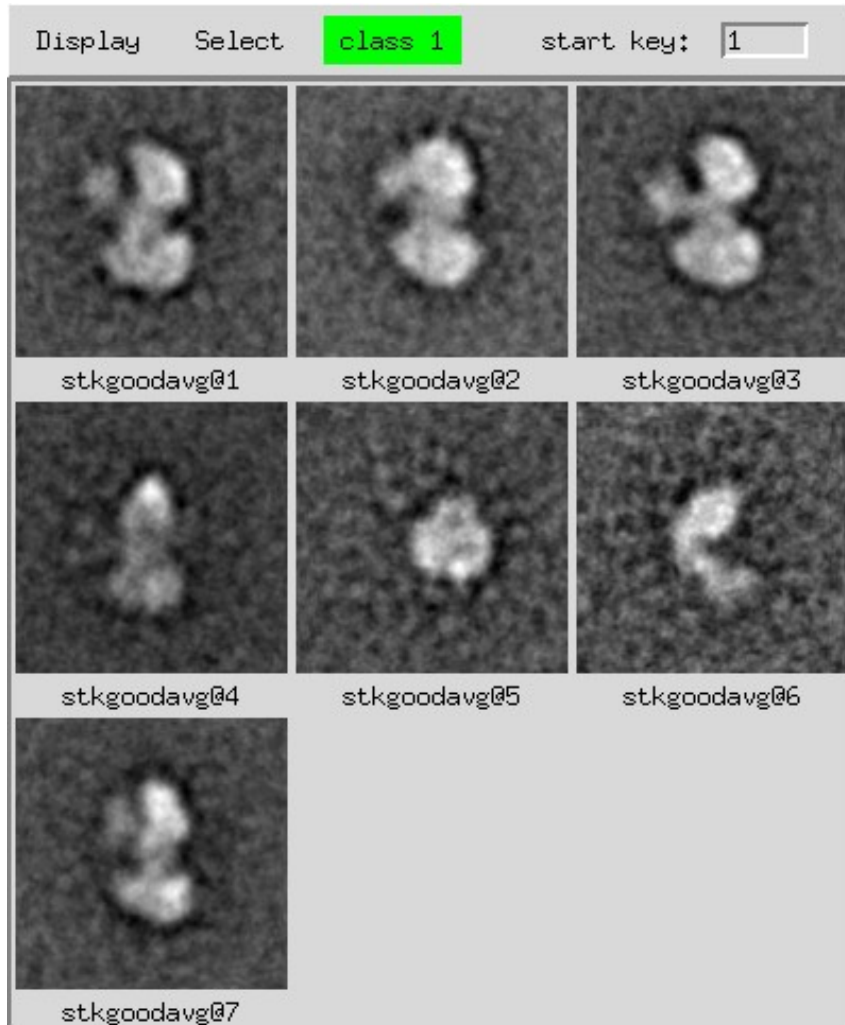


We compute a separate reconstruction for each class



IF the classes simply correspond to different orientations, you can combine them, and boost the signal-to-noise.

# Helicase G40P



If the classes correspond to different conformations, then you have to keep them as separate reconstructions.

# Outline

## Image analysis II

- ◆ 2D Fourier transforms

## 3D Reconstruction

- ◆ Principles
- ◆ Tomography
- ◆ Reference-based alignment
- ◆ Common lines
- ◆ RCT
- ◆ CTF-correction
- ◆ 3D classification

*More properties of Fourier transforms:  
Convolutions*

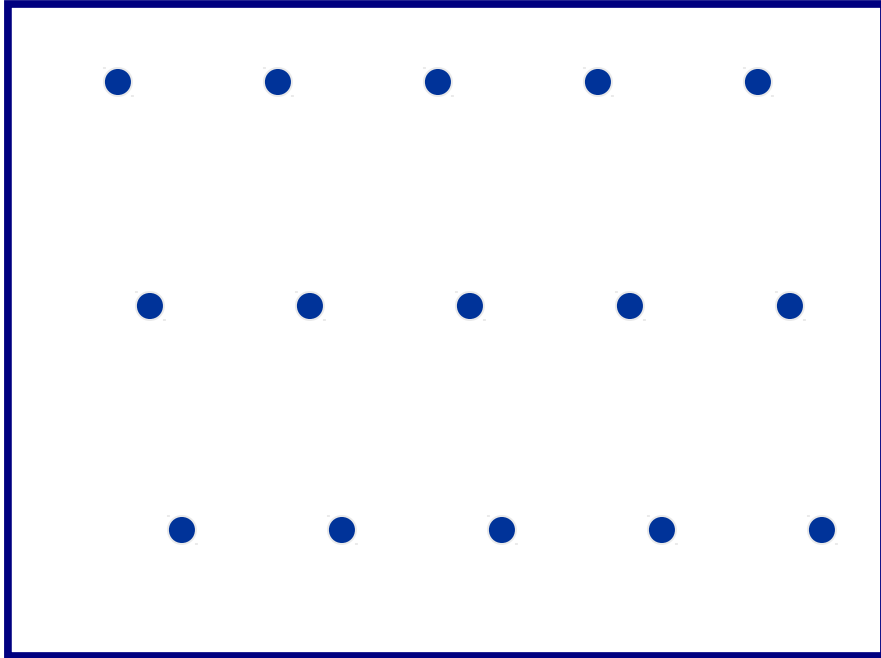
# Why might two images in a data set look different?

- different sample
- different magnification
- different illumination
- different orientations
- different defocus
- different conformations
- better biochemistry
- better microscopy
- normalization
- determine angles
- CTF correction
- Classification

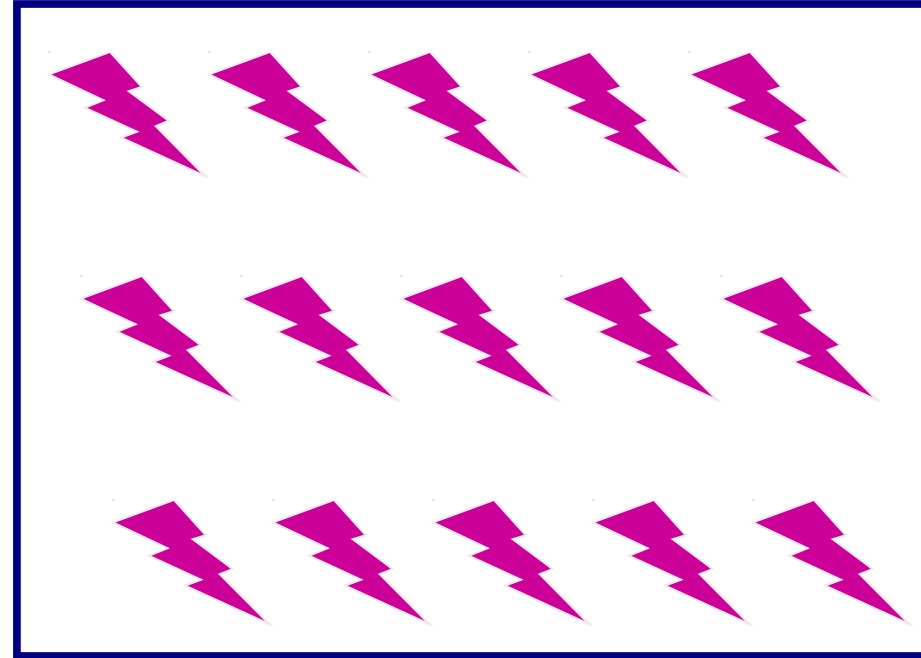
# Convolution of a molecule with a lattice generates a crystal.

Notation:  $f(x) \bullet g(x)$

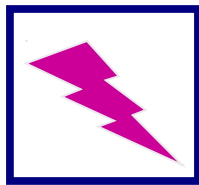
Adapted from David DeRosier



lattice:  $f(x)$



Set a molecule down at every  
lattice point.



Molecule  $g(x)$

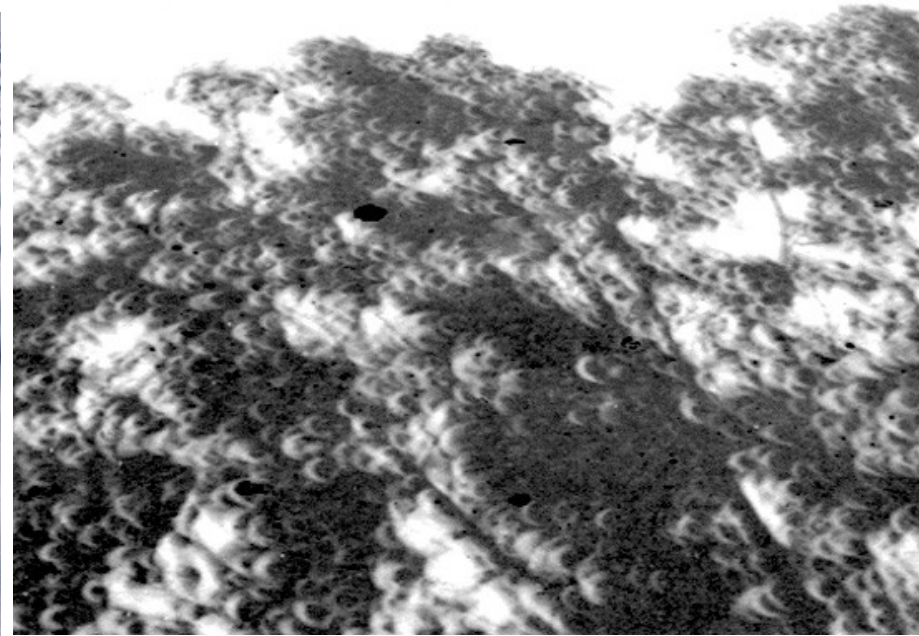
# Convolution in real life

Notation:  $f(x) \bullet g(x)$

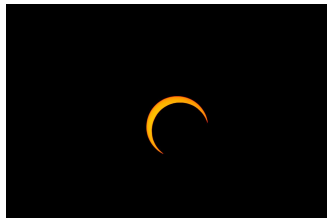


lattice:  $f(x)$

<http://www.photos-public-domain.com>



<http://www.symbolicmessengers.com>



Molecule:  $g(x)$

<http://en.wikipedia.org>

Set a molecule down at every  
lattice point.

# Cross-correlation vs. convolution

Complex conjugate:

If a Fourier coefficient  $F(X)$  has the form:  $a + bi$

The complex conjugate  $F^*(X)$  has the form:  $a - bi$

Cross-correlation:  $F^*(X) G(X)$

Convolution:  $F(X) G(X)$

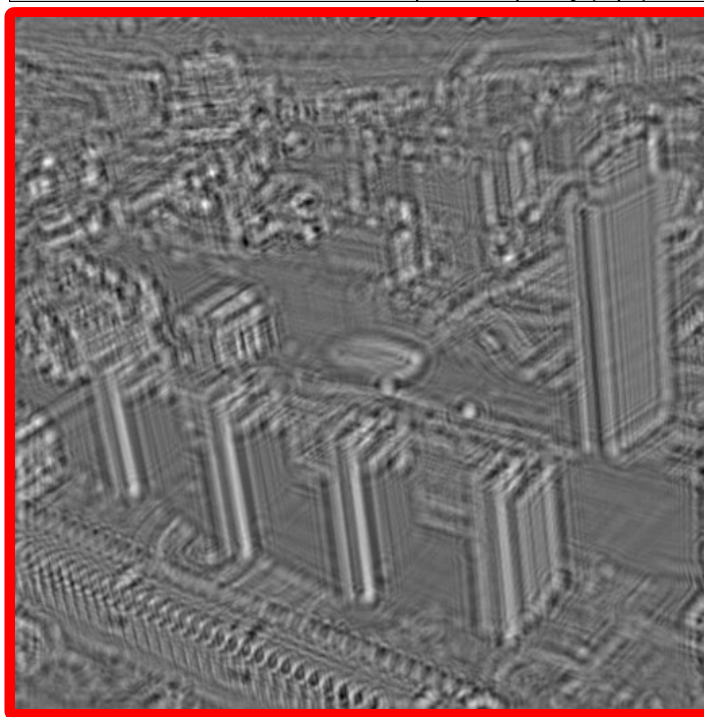
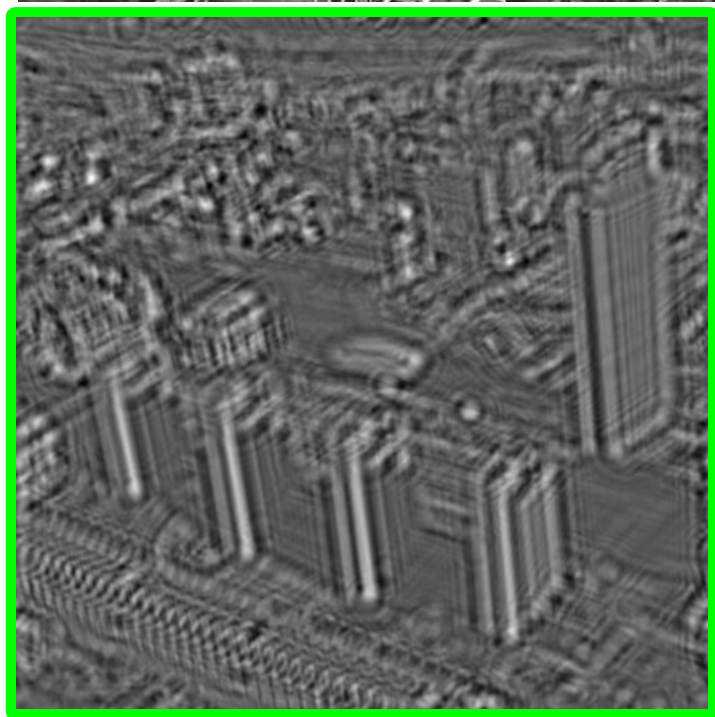
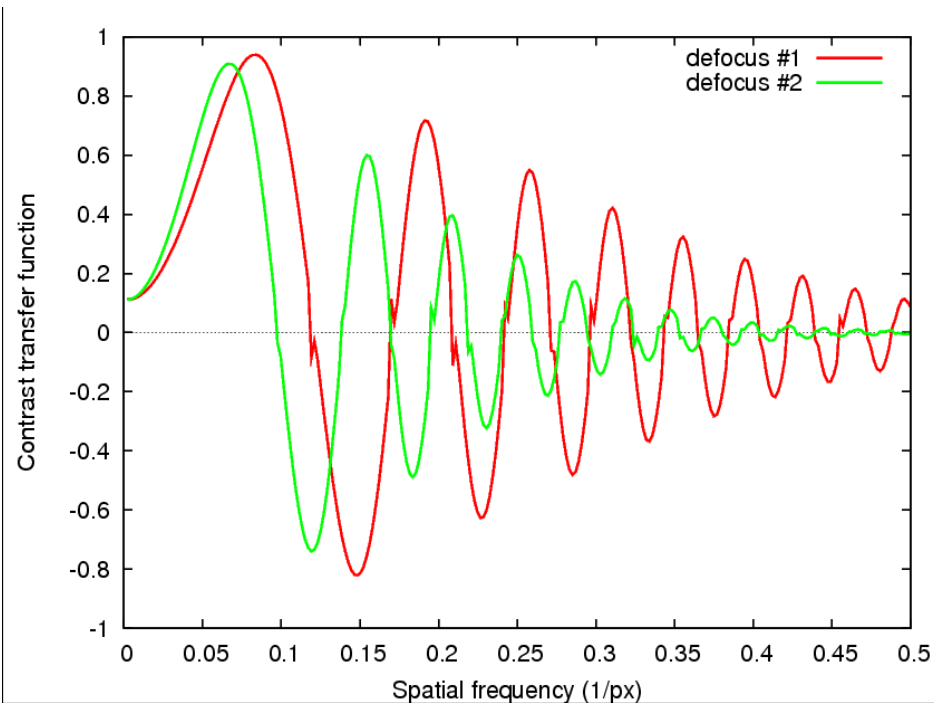
Remember:

$f(x)$ ,  $g(x)$  are real-space functions

$F(X)$ ,  $G(X)$  are Fourier-space functions

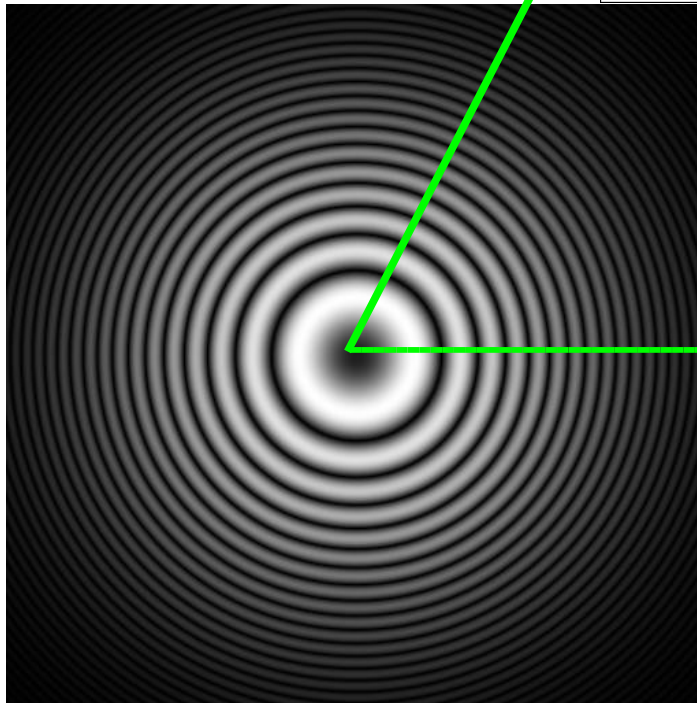
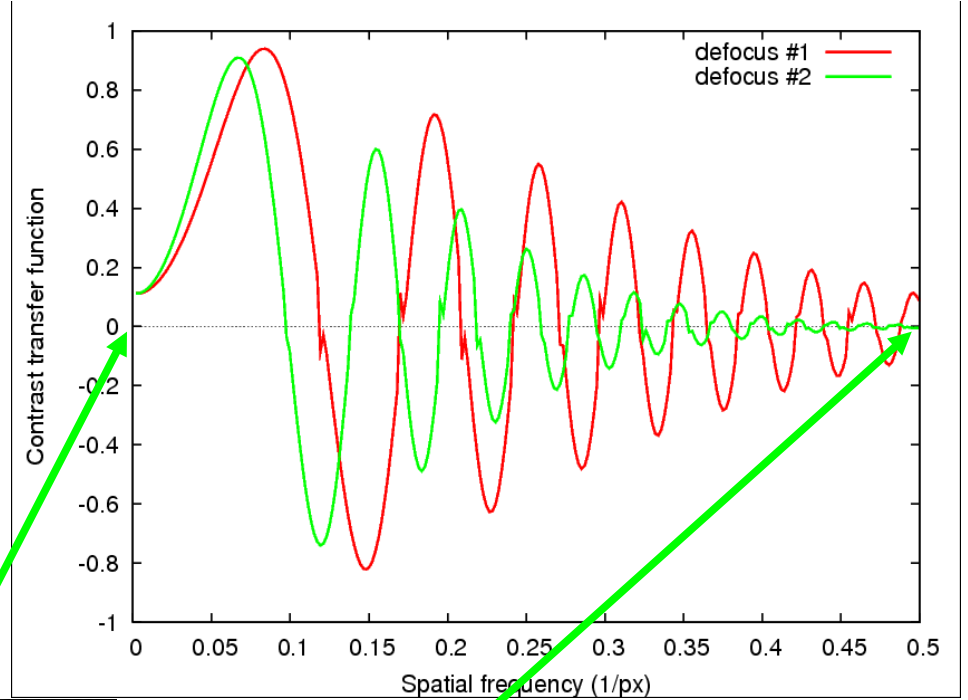


original



# CTF

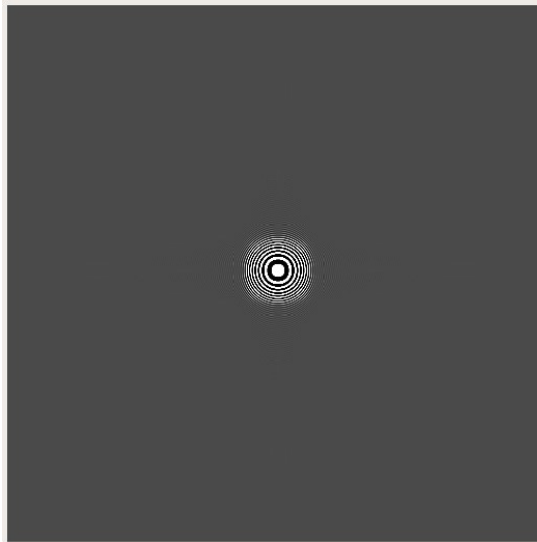
1D profile



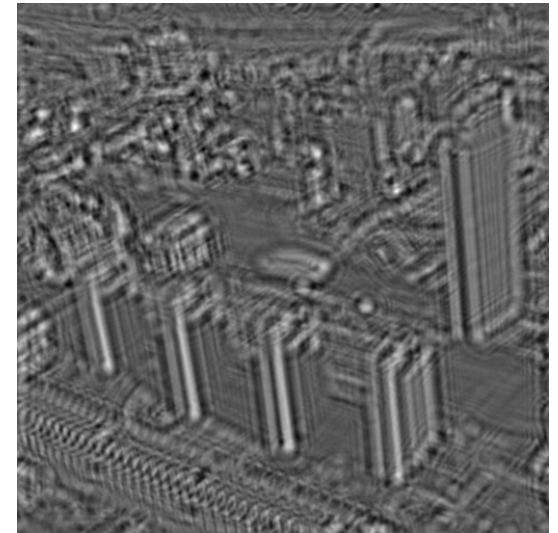
2D power spectrum  
 $G(X)$



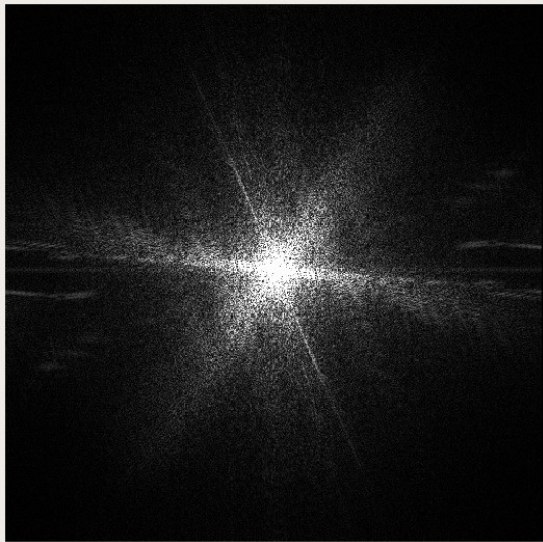
$f(x)$



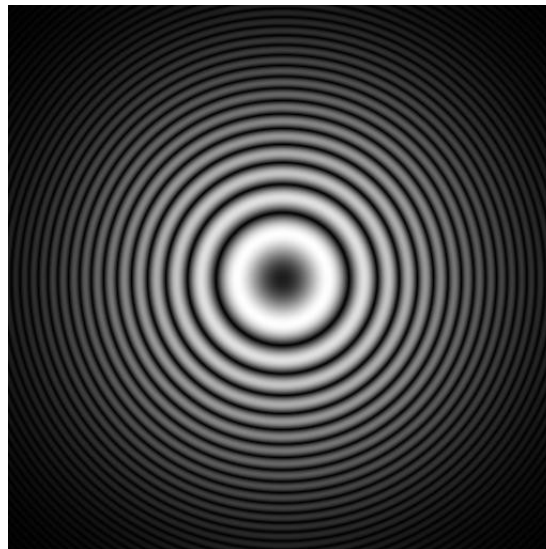
$g(x)$



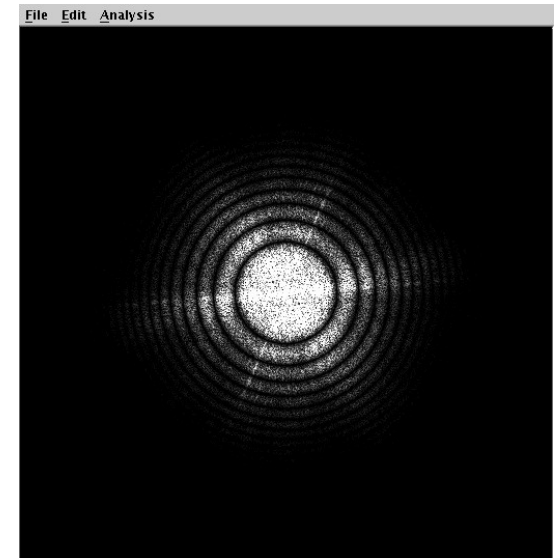
$f(x) \cdot g(x)$



$F(X)$

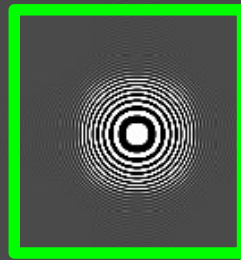


$G(X)$

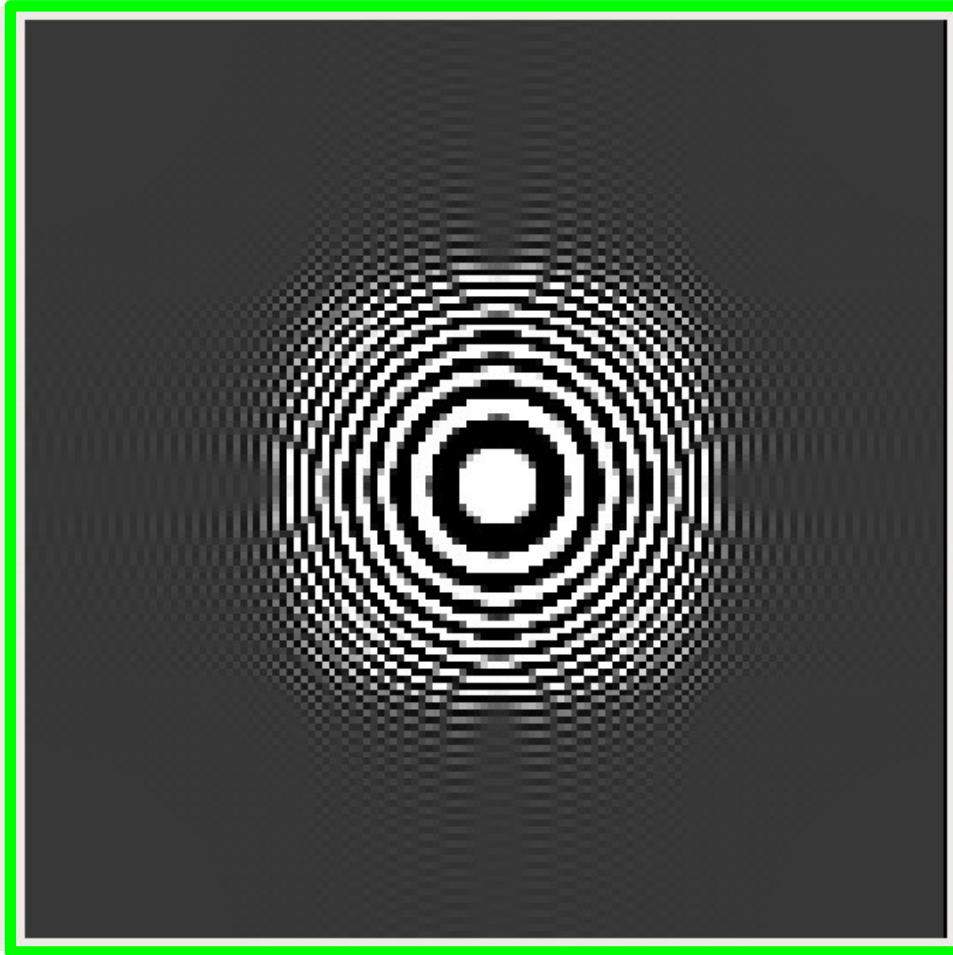


$F(X) G(X)$

# Point spread function

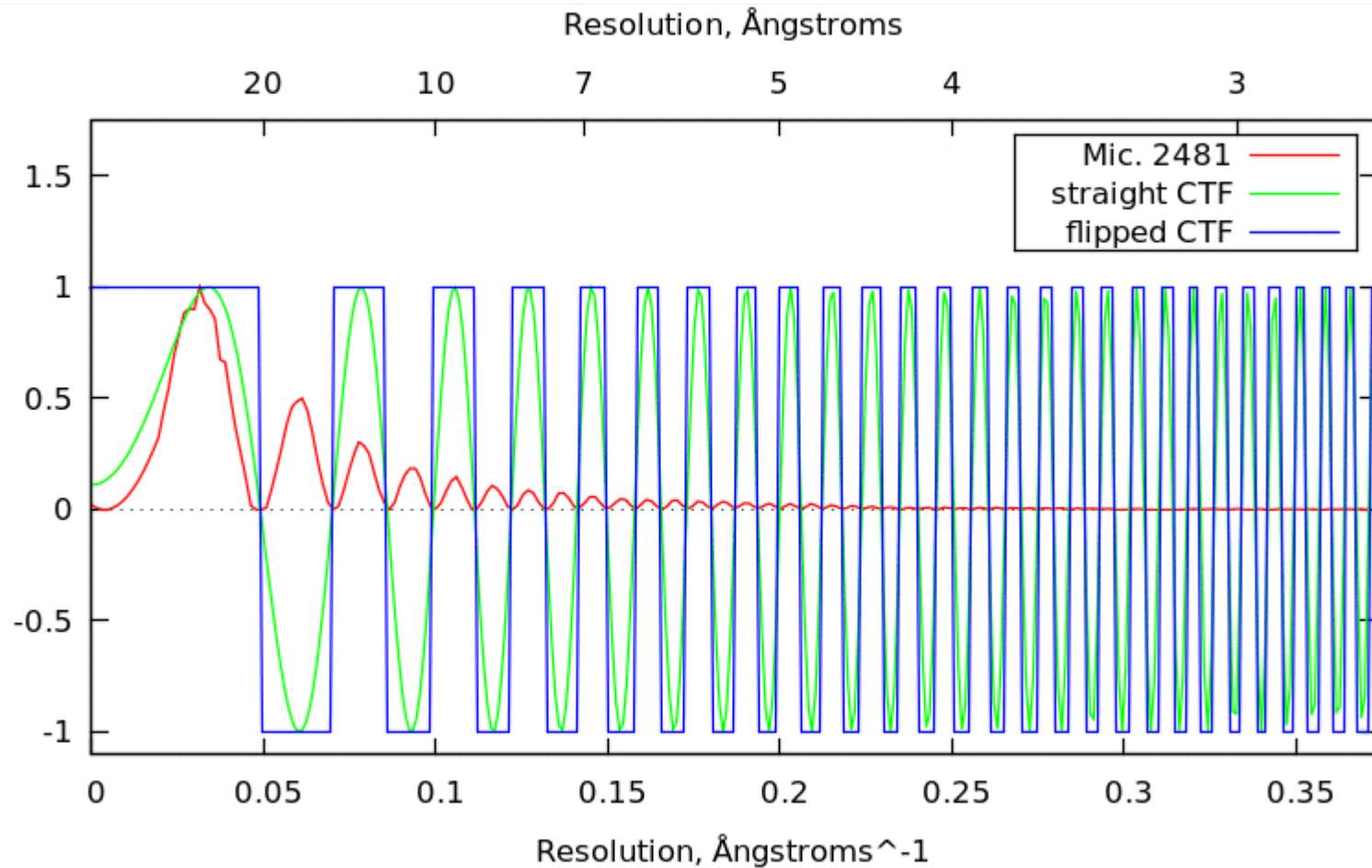


$g(x)$



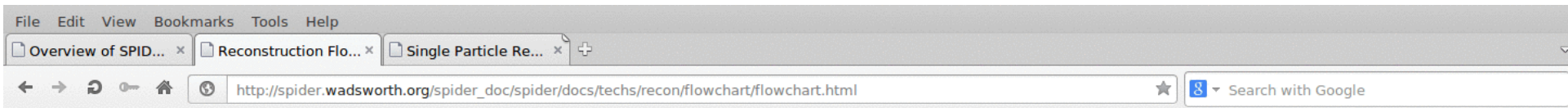
zoomed

An ideal point spread function would be an infinitely-sharp point.

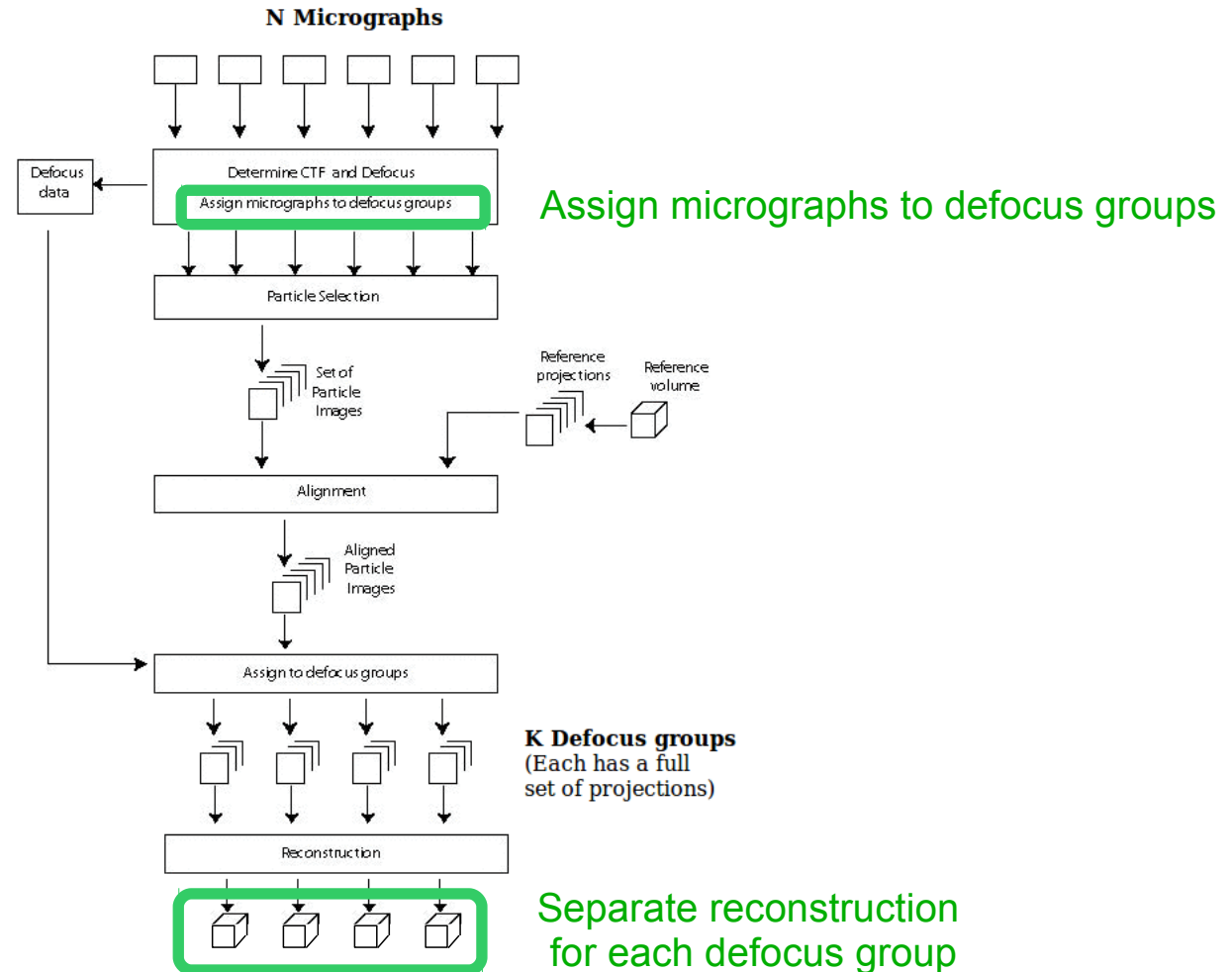


Red: Power-spectrum profile calculated from experimental image  
 Green: Fitted, theoretical power-spectrum profile  
 Blue: Phase-only correction profile

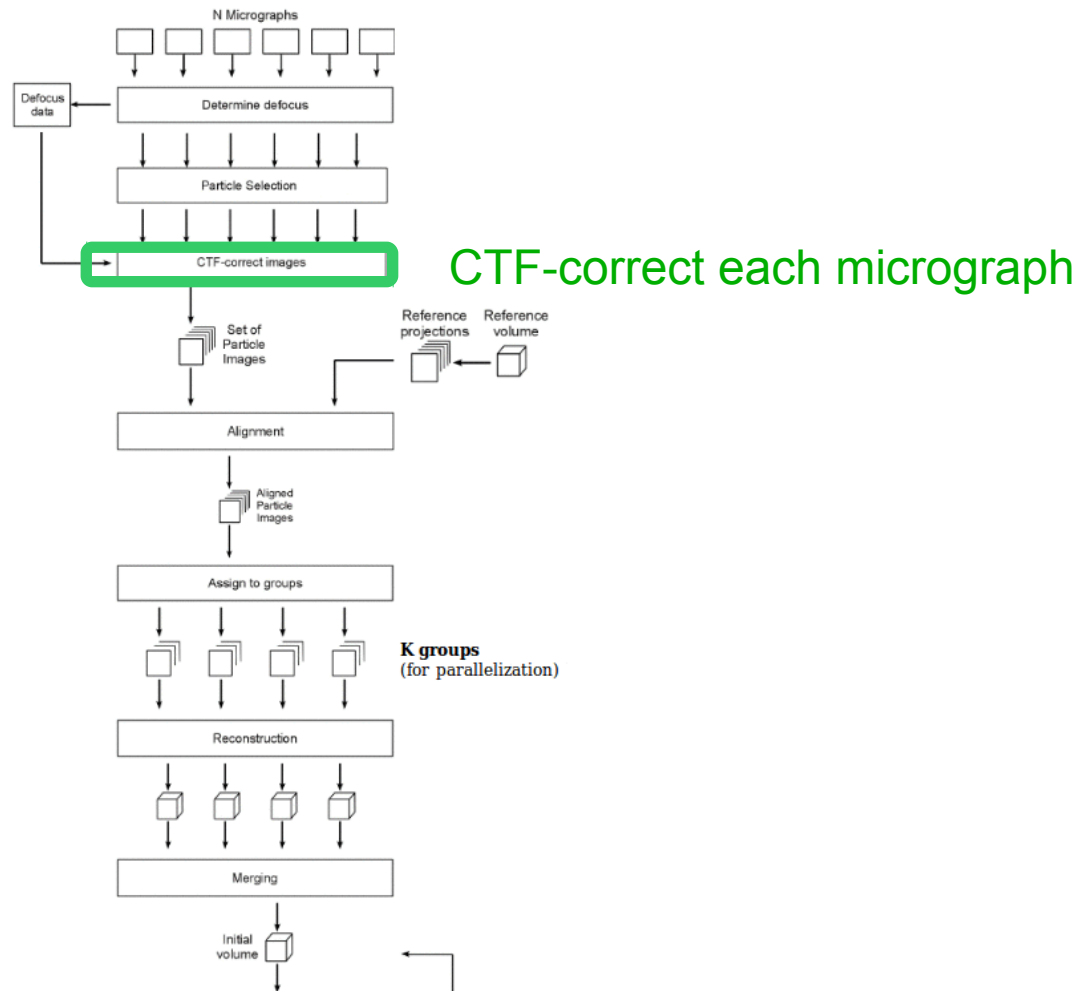
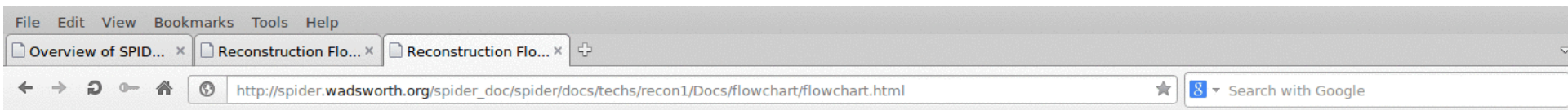
# Defocus groups: CTF correction in 3D



## Reference-based Reconstruction



# CTF-correction of micrographs in 2D



# Why might two images in a data set look different?

- different molecule
- different magnification
- different illumination
- different defocus
- different orientations
- different conformations
- better biochemistry
- better microscopy
- normalization
- CTF correction
- determine angles
- Classification



# Thank you for your attention



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