

## lecture 2

### Abelian categories

- What is correct categorical context for homological algebra from a categorical perspective?
- Must talk about zero map, add and subtract morphisms & need to form kernels, images & quotients & these should behave as in  $\text{Mod } R$ .
- Resulting notion: abelian category

Def<sup>n</sup>) A pre-additive category (or Ab-enriched cat)

$\mathcal{C}$  is a cat. in which hom-set  $\mathcal{C}(a, b)$  has the structure of an abelian group -  
(ie. we have  $a \xrightarrow{f} b \mapsto a \xrightarrow{f+g} b, a \xrightarrow{-f} b, a \xrightarrow{0} b$ )

and moreover pre & postcomposition preserve the abelian group structure:

$$\left( \begin{array}{l} \text{given } x \xrightarrow{r} a \xrightarrow{f} b \xrightarrow{s} y \text{ we have} \\ (f+g)r = fr + gr \quad \& \quad s(f+g) = sf + sg. \\ 0 \cdot r = 0 \quad \& \quad s \cdot 0 = 0 \end{array} \right)$$

### Example

-  $\text{Mod } R$  is pre-additive:

Given  $M \xrightarrow{f} N$ ,  $f+g: M \rightarrow N$  is def by  $(f+g)x = fx + gx$  which is an abelian gp. hom. has commut. obj  $\&$   $(f+g)(rx) = f(rx) + g(rx)$

$$\begin{aligned}
 &= rfx + rgx \\
 &= r \cdot (fx + gx) \\
 &= r \cdot (f+g)(x)
 \end{aligned}$$

-  $M \xrightarrow{0} N$  is constant at 0

-  $M \xrightarrow{-f} N : x \mapsto -fx$

-  $s(f+g) = sf + sg$  holds as  $s$  a homo. whilst  $(f+g)r = fr + gr$  is trivial.

## Remark

$\mathcal{C}$  is preadditive  $\Leftrightarrow \mathcal{C}^{\text{op}}$  is -  
hence we can apply duality to pre-additive cats.

## Proposition

Let  $\mathcal{C}$  be a pre-additive category.

- ① Then  $\mathcal{C}$  has a term. obj  $\Leftrightarrow$  it has an init. obj
- ②  $\mathcal{C}$  has binary prods  $\Leftrightarrow$   $\mathcal{C}$  has bin coprods.

## Proof

① let  $t$  be terminal.

- Then  $t \xrightarrow{0} t = \text{id}$ .

- Now given  $x$ , we have  $0 : t \rightarrow x$  & must show it is unique, so consider

$t \xrightarrow{f} x$ . Then  $f = f \circ \text{id}_t = f \circ 0 = 0$ .

- Converse is dual.

(2) let  $\begin{array}{c} p_1 \rightarrow a \\ c \\ p_2 \rightarrow b \end{array}$  be a product diagram.

- We have

$$\begin{array}{c} a \xrightarrow{id} a \\ 0 \rightarrow b \end{array} \text{ ind } a \xrightarrow{i_1 = \langle id, 0 \rangle} c \quad \& \text{ sim } \quad \begin{array}{c} b \xrightarrow{0} a \\ id \rightarrow b \end{array} \text{ ind } b \xrightarrow{i_2 = \langle 0, id \rangle} c$$

& so  $\begin{array}{c} a \xrightarrow{i_1} c \\ b \xrightarrow{i_2} c \end{array}$ , which we must show is a coprod. diagram.

- The key point is to observe that the diagram  $\begin{array}{c} a \xleftarrow{i_1} c \xleftarrow{i_2} b \\ p_1 \xleftarrow{\quad} c \xleftarrow{\quad} p_2 \end{array}$  satisfies

- $p_1 i_1 = 1_A, p_2 i_1 = 0$
- $p_2 i_2 = 1_B, p_1 i_2 = 0$
- $i_1 p_1 + i_2 p_2 = 1_c$

Such a diagram is called a biproduct diagram.

To see the last use the u.p. of the prod:

$$\begin{aligned} p_1(i_1 p_1 + i_2 p_2) &= p_1 i_1 p_1 + p_1 i_2 p_2 \\ &= 1_{p_1} + 0 = p_1 \quad \& \end{aligned}$$

sim for  $p_2$ .

- Any biproduct diagram is both a prod. & coproduct - let's show the latter.

- Indeed, given  $\begin{array}{c} a \xrightarrow{f} d \\ b \xrightarrow{g} d \end{array}$  must find  $K$  st

$$\begin{array}{c} a \xrightarrow{i_1} c \xrightarrow{f} d \\ b \xrightarrow{i_2} c \xrightarrow{g} d \end{array}$$

But then  $t = t.1 = t(i_1 p_1 + i_2 p_2) = f p_1 + g p_2$ .  
 let's check  $f p_1 + g p_2$  has required props:  
 $(f p_1 + g p_2) i_1 = f p_1 i_1 + g p_2 i_1 = f.1 + g.0 = f$   
 & sim.  $(f p_1 + g p_2) i_2 = g$ , as required.  
 The converse is dual.  $\square$

Def<sup>n</sup> A preadditive cat is additive  
 if it has finite products (equivalently  
 by above, finite coproducts).

Example  $\text{Mod } R$  is additive. Prop 3  
 generalises fact from Algebra 3 that  
 in  $\text{Mod } R$  finite products & coproducts  
 coincide.

## Kernels & quotients

- In an additive category  $\mathcal{C}$  consider  $f: a \rightarrow b$ .
- The kernel of  $f$  is an obj  $\text{ker } f \xrightarrow{i} a$  such  
 that  $\text{ker } f \xrightarrow{i} a \xrightarrow{f} b = 0$  & it is universal  
 with this property.
- The cokernel of  $f$  is dual: we have  
 $a \xrightarrow{f} b \xrightarrow{p} \text{coker } f$  &  $pf = 0$  &  
 universal with this prop.

Remark: - Equivalently,  $\ker f$  &  $\operatorname{coker} f$  are the equaliser & coequaliser of  $a \xrightarrow{f} b$ .

- Note this implies that  $i: \ker f \rightarrow a$  is mono &  $p: \operatorname{coker} f \rightarrow b$  is epi.

### Example

-  $\operatorname{Mod} R$  has kernels & cokernels

- Given  $F: A \rightarrow B$ ,

$$\ker f = \{x: fx = 0\}$$

$$\operatorname{coker} f = B / \operatorname{im} f.$$

- If  $a \xrightarrow{i} b$  is mono in  $\mathcal{C}$ , one often writes  $b/a := \operatorname{coker}(i)$

lemma

In an additive cat,  $A \xrightarrow{f} B$  is mono  $\Leftrightarrow \ker f = 0$   
 $A \xrightarrow{f} B$  is epi  $\Leftrightarrow \operatorname{coker} f = 0$ ,  
where  $0$  is the term/init obj.

Proof

By duality, it suffices to prove the first.

- let  $A \xrightarrow{f} B$  be mono & consider  $0 \xrightarrow{o} A \xrightarrow{f} B$ .

- Given  $c \xrightarrow{g} A$  if  $f \circ g = 0 = f \circ 0$  so  $g = 0_{c,A}$

but  $c \xrightarrow{o} A$ , giving the factorisation,  
 $c \xrightarrow{o} A \xrightarrow{f} B$  which is clearly unique.

Conversely let  $0 \xrightarrow{o} A \xrightarrow{f} B$  be kernel.

$c \xrightarrow{g} A$   
If  $f g = f h$ , then  $f(g-h) = 0$  so  $\exists! c \xrightarrow{t} 0$  st  
 $g-h = 0 \circ t = 0$  so  $g = h$ .  $\square$

• In an additive category  $\mathcal{C}$  with kernels & cokernels, we can factor each arrow in

2 ways:

①  $\ker f \xrightarrow{i} a \xrightarrow{F} b$  where  $t$  is unique  
 $\begin{array}{ccc} & a & \xrightarrow{F} b \\ p \searrow & & \nearrow t \\ & \text{ckf} & \end{array}$   
 maps ind. by  $f_i = 0$

②  $a \xrightarrow{f} b \xrightarrow{q} cf$   
 $\begin{array}{ccc} & a & \xrightarrow{f} b \\ l \searrow & & \nearrow m \\ & \text{ckf} & \end{array}$

where  $l$  is ! map ind. by  $qf = 0$ . As  $0 = qf = qt p$  &  $p$  is epi,  $qt = 0$  so

we get ! map  $\text{ckf} \xrightarrow{\alpha} \text{ckf}$   
 such that

$$\begin{array}{ccc} a & \xrightarrow{f} & b \\ p \searrow & & \nearrow m \\ & \text{ckf} \xrightarrow{\alpha} & \text{ckf} \end{array}$$

Def<sup>n</sup> An add. cat w' kernels & cokernels  
 is abelian if  $\alpha: \text{ckf} \rightarrow \text{ckf}$  is an  
iso.

### Example

- Mod  $R$  has kernels & cokernels
- Given  $f: A \rightarrow B$ ,

$$\ker f = \{x: fx = 0\}$$

$$\text{coker } f = B / \text{im } f.$$

Thus  $\text{coker } f = A/\ker f$  &  
 $\text{ker } cf = \text{im } f$  & the induced  
 map  $A/\ker f \rightarrow \text{im } f$   
 $[x] \mapsto fx$  is indeed an  
 iso (first iso theorem).

Hence  $\text{Mod } R$  is abelian.

- In an abelian category, the two ways of factorising ① & ②, also coincide (up to unique iso) & we write

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 e \searrow & & \nearrow m \\
 & \text{im } f & \\
 & \text{ii} & \\
 & \text{ker } c \text{oker } f & \\
 & \text{wker } \text{ker } f &
 \end{array}$$

for this common fact

In particular  $e$  is epi &  $m$  is mono.  
 There is another characterisation of abelian cats. Note that ② shows that epi  $\Leftrightarrow$  regular epi.

In particular, in  $\text{Mod } R$ .

Prop<sup>n</sup> Let  $\mathcal{C}$  be additive w' kernels & cokernels.  
Then  $\mathcal{C}$  is abelian

- $\Leftrightarrow$  (1) Each mono is the kernel of its cokernel.  
& (2) Each epi is the cokernel of its kernel.

Proof ) We will only show  $\Rightarrow$  ) .

- Let  $A \xrightarrow{f} B$  be mono, so  $\ker f = 0$

- Then

$$\begin{array}{ccccccc}
 \ker f = 0 & \rightarrow & A & \xrightarrow{f} & B & \rightarrow & \operatorname{coker} f \\
 & & \downarrow & \nearrow f & \nearrow m & & \\
 \operatorname{coker} f & & A & \xrightarrow[\cong]{\simeq} & \operatorname{coker} f & & 
 \end{array}$$

- This shows that  $f$  is iso to the kernel of its cokernel, as required.
- To show each epi is cokernel of kernel is dual.

I leave  $\Leftarrow$  to interested reader.  $\square$

## Examples

①  $\text{Mod } R$ .

② - If  $A$  is an abelian cat then so is  $A^{\text{op}}$ ! This allows us to apply duality to abelian cats.

③ If  $A$  is abelian, we can consider chain complexes in  $A$ :

$$\cdots A_{n+1} \xrightarrow{d_{n+1}} A_n \xrightarrow{d_n} A_{n-1} \rightarrow \cdots$$

We have  $\begin{array}{ccc} \text{im}(d_{n+1}) & \hookrightarrow & A_n \\ & \searrow & \downarrow \\ & & \text{ker}(d_n) \end{array}$  & so can form

$$\underline{H_n(A)} := \text{ker}(d_n) / \text{im}(d_{n+1}). \text{ Thus}$$

can speak of homology in an ab. cat.

We can also speak of exact sequences, chain maps, homotopies in

any abelian category & the results of last week all hold in this setting:

- We can form the cat  $\text{Ch}(A)$  of chain complexes in  $A$  & it is again abelian:

indeed  $(f+g)_n = f_n + g_n$  for chain maps & kernels & cokernels are also constructed componentwise.

- Homology is a functor

$H_n: \text{Ch}(A) \longrightarrow A$  as before.

④ If  $C$  is a small cat &  $A$  abelian, the functor cat  $[C, A]$  is abelian with componentwise structure.

⑤ If  $X$  is a top. space we can look at the poset  $\mathcal{O}(X)$  of open

sets of  $X$ . A presheaf of  $R$ -modules  
is a functor  $\mathcal{O}(X)^{\text{op}} \longrightarrow \text{Mod}_R$ .

By the above the cat

$[\mathcal{O}(X)^{\text{op}}, \text{Mod}_R]$  is abelian.

A sheaf is a presheaf

$$F: \mathcal{O}(X)^{\text{op}} \longrightarrow \text{Mod}_R$$