

We close this chapter with Dana Scott's construction of a CCR in mathematical practice: The D $\infty$ -models.

**Definition 26:** A poset  $(P, \leq)$  is **complete** if  $(P, \leq)$  - considered as a posetal category - has  $(\omega-)$  filtered colimits (i.e. finitely filtered unions) and an initial object  $\phi$ .

The **Scott topology**  $\mathcal{O} \subseteq \mathcal{P}(P)$  on a complete poset (cpo in short)  $(P, \leq)$  consists of the upwards closed sets  $X \subseteq P$  which are dense for filtered diagrams:

$X \in \mathcal{O}$ ,  $F \subseteq P$  filtered n.th.  $\cup F \in X$ , then  $F \cap X \neq \emptyset$ .

The category **CPO** consists of complete posets and continuous maps for the resp. Scott-topologies.

**Exercise 27:** 1. A cpo  $(P, \leq)$  equipped with  $\mathcal{O}$  is a  $T_0$ -space, which generally is not  $T_1$ .

2. Given cpo's  $(P_i, \leq_i)$ , for a function  $f: P_1 \rightarrow P_2$   $\forall x \in P_1$ .

-  $f: (P_1, \mathcal{O}_1) \rightarrow (P_2, \mathcal{O}_2)$  is continuous.

-  $f$  preserves filtered colimits.

3. Continuous maps  $(P_1, \mathcal{O}_1) \rightarrow (P_2, \mathcal{O}_2)$  are order-preserving.

**Proposition 28:** The category **CPO** is cartesian closed.

**Proof:** Straight-forward. In fact, the category of (small) accessible categories is cartesian closed, and the acc. structure on the full subcategory **CPO** is induced.  $\square$



**Proposition 29:** The category  $CPO$  has  $\omega$ -sequential limits.

**Proof:** Given a functor  $P_\bullet: \mathbb{N}^{op} \rightarrow CPO$  via a sequence

$$\dots \rightarrow P_n \xrightarrow{f_n} \dots \rightarrow P_3 \xrightarrow{f_2} P_2 \xrightarrow{f_1} P_1,$$

the limit  $P_\infty := \lim_{n < \infty} P_n$  is given by the standard construction

$$P_\infty := \left\{ (P_n)_{n < \infty} \in \prod_{n < \infty} P_n \mid f_{n+1}(p_{n+1}) = p_n \text{ f.a. } n \geq 0 \right\} \text{ with componentwise order.}$$

It is straight-forward to compute that  $P_\infty \in CPO$ .  $\square$

**Theorem 30: (Scott)** Every  $P \in CPO$  can be embedded into a  $P_\infty \in CPO$

s.t.h.  $P \cong P_\infty^{P_\infty} \in CPO$ . In particular, every  $cpo$  gives rise to an

extensional  $\lambda$ -model.

Note that every  $(P, \leq) \in CPO$  has enough points since  $CPO(*, (P, \leq)) \cong P$ , and two

arrows  $P \xrightarrow{f, g} P$  in  $CPO$  coincide iff  $\forall p \in P: f(p) = g(p)$ .

This theorem is most popular for the complete lattice  $P = P(\mathbb{N})$ .

To prove the theorem, we make the following definitions and observations.

**Lemma 31:** 1. Let  $P \xrightleftharpoons[R]{L} Q$  be a reflective localization of  $cpo$ 's, i.e.  $L, R$

are continuous maps,  $L \circ R = \text{id}_P$ ,  $R \circ L \leq \text{id}_Q$ . Then conjugation with  $L$  and  $R$

yields a reflective localization  $P^P \xrightleftharpoons[R \circ L^*]{L^* \circ R^*} Q^Q$  in  $CPO$ .

2. Every  $cpo P$  gives rise to a refl. localization  $P \xrightleftharpoons[\pi_1^*]{\text{id}} P^P$ .



Proof: Exercise.

□

Aim: We'd like to find a fixed point of the endofunctor  $P \mapsto P^P =: P_1$

→ Consider the diagram  $P_0 = \mathbb{N}^{op} \rightarrow \text{CPO}$  obtained from Lemma 29:

$$P \xleftarrow[i_0]{p_0} P_1 \xleftarrow[i_1]{p_1} P_2 \xleftarrow[i_2]{p_2} \dots \xleftarrow[i_{n-1}]{p_{n-1}} P_n \xleftarrow[i_n]{p_n} \dots \quad \lim P_n =: P_\infty$$

for  $P_{n+1} := P_n^{P_n}$ .

**Definition 32:** For  $P \in \text{CPO}$ , and  $0 \leq n \leq m < \infty$  we obtain compound maps

-  $i_{nm}: P_n \rightarrow P_m$  defined as  $i_{nm} := i_{n-1} \circ \dots \circ i_n$  if  $m > n$ , and  $i_{nm} = \text{id}_{P_n}$  else.

-  $p_{mn}: P_m \rightarrow P_n$  " "  $p_{mn} := p_n \circ \dots \circ p_{m-1}$  if  $m > n$ , and  $p_{mn} = \text{id}_{P_m}$  else.

Furthermore, for  $n \in \mathbb{N}$  we get

-  $p_{n\infty}: P_\infty \rightarrow P_n$  the  $n$ -th projection,

-  $i_{n\infty}: P_n \rightarrow P_\infty$  via  $x \mapsto (p_{n0}(x), \dots, p_{n(n-1)}(x), x, i_{n(n+1)}(x), \dots) \in P_\infty$ .

**Lemma 33:** For all  $0 \leq n \leq m \leq \infty$ , the pair  $(P_n \xleftarrow[i_{nm}]{p_{mn}} P_m)$  is a reflective localization.

Proof: Refl. localizations are closed under composition, so the statement holds for

$0 \leq n \leq m < \infty$ . For  $m = \infty$ , we clearly obtain a retract. Furthermore,

$i_{n\infty}(p_{n\infty}(\vec{x})) = i_{n\infty}(x_n) \leq \vec{x}$ , since the order is defined componentwise,

and each  $P_n \rightarrow i_n$  is a refl. localization. □



Exercise 34: 1. The cocone  $\{ \text{In} < \infty \} \rightarrow \text{CPO}$  given by

$$P_0 \xrightarrow{i_0} P_1 \xrightarrow{i_1} \dots \xrightarrow{i_n} P_n \xrightarrow{i_{n+1}} \dots \xrightarrow{i_\infty} P_\infty$$

is a colimit cocone.

2. If  $f_n: P \rightarrow Q$  for  $n < \infty$  is a family of continuous maps in CPO s.th.

$\forall n \geq 0: f_n \leq f_{n+1}$ , then  $f: P \rightarrow Q$  is a cont. map as well.  

$$p \mapsto \bigvee_{n < \infty} f_n(p)$$

Proof of Theorem 30: The pair  $P_\infty \xrightleftharpoons[f]{G} P_\infty$  given by

$$- f(q)(r) := \bigvee_{n < \infty} i_{n+1}(f_{n+1}(r_n))$$

$$- G(f) := \bigvee_{n < \infty} \underbrace{i_{n+1} \circ (p_{n+1} \circ f \circ i_n)}_{\in P_n \circ P_n = P_{n+1}}$$

is easily computed to be a pair of continuous maps, since they both are compositions of elementary operations in the cartesian closed category CPO. Furthermore,

Exercise 34.2 applies to  $f$ , since for all  $n \geq 0$ ,

$$\begin{aligned} - i_{n+1}(f_{n+1}(r_n)) &= i_{n+1}(p_{n+1}(q_{n+2})(p_n(r_{n+1}))) \\ &= i_{n+1}(p_n \circ q_{n+2} \circ i_n(p_n(r_{n+1}))) \\ &= i_{n+1}(p_n \circ q_{n+2}(\underbrace{i_n \circ p_n}_{\leq p_{n+1}}(r_{n+1}))) \\ &\leq i_{n+1}(p_n(q_{n+2}(r_{n+1}))) \quad \underbrace{\leq}_{\text{since } i_{n+1} \circ i_n \leq i_{n+1} \circ i_n \text{ and } i_n \circ p_n \leq p_{n+1}} i_{n+1}(q_{n+2}(r_{n+1}))) \end{aligned}$$

Similarly for  $G$ . The maps  $f$  and  $G$  are mutually inverse by a series of elementary computations (see Barendregt's book, 18.2.7-18.2.16).  $\square$



## Chapter II: SIMPLY TYPED $\lambda$ -CALCULI

Oddities like the Fixed Point Theorems or the divergence of  $\beta(\eta)$ -reductions in the untyped  $\lambda$ -calculus are removed by stratifying  $\lambda$ -terms via a formal and intrinsic domain+codomain assignment. An introduction of according sorts defines simply typed  $\lambda$ -calculi.

Idea: \* If  $t$  is a term of a type  $B$ , and  $x$  is a variable of type  $A$ , then  $\lambda x.t$  is of type  $A \rightarrow B$ .

\* If  $f$  is of type  $A \rightarrow B$  and  $a$  is of type  $A$ , then  $\text{App}(f, a)$  is of type  $B$ .

Conditionals of this form effectively prohibit "self-referential" terms such as  $\text{App}(x, x)$ , but still allow intuitive constructions such as  $I_A \equiv \lambda x.x$  for all types  $A$ , and variables  $x$  of type  $A$ .

$\leadsto$  We therefore introduce a pair of calculi of type constructors and type equalities, on top of which we then will build the typed term-calculi of term-constructors and term equalities.

Here, we are confronted with various choices.

First, concerning the calculus of type constructors, we certainly want to



allow consideration of some constant types  $A$ , and the compound type  $A \rightarrow B$  whenever  $A, B$  are types. But we have seen that the  $\lambda$ -calculus allows for the internal constructions of all sorts of other mathematical compound structures such as pairs, numerals, Boolean truth values, ...

One may therefore consider to introduce according types  $A \times B$  of finite tuples,  $\mathbb{N}$  of numerals,  $\Omega$  of truth values, ...

For the sake of simplicity, we will add product types, and mention the management of the others peripherically at the end only.

**Notation 1:** Consider the language  $L_{\overline{\mathcal{T}}} := \langle \rightarrow, \times, \perp, (, ) \rangle \cup \overline{\mathcal{T}}$  where  $\overline{\mathcal{T}}$  is an arbitrary set of constant type symbols.

**Definition 2:** The **calculus of type constructors** over  $L_{\overline{\mathcal{T}}}$  is given by the following rules.

1. (Cnst.-Intro.) $\frac{}{\overline{T}}$ for all $T \in \overline{\mathcal{T}}$	3. ( $\rightarrow$ -Intro.) $\frac{A \quad B}{A \rightarrow B}$	"Introduction-rules"
2. ( $\perp$ -Intro.) $\frac{}{\perp}$	4. ( $\times$ -Intro.) $\frac{A \quad B}{A \times B}$	

The associated product is denoted by  $\overline{\mathcal{T}}$ . Brackets will be omitted if not necessary.

The calculus of **type formulas** over the language  $\langle \overline{\mathcal{T}}, =_{\overline{\mathcal{T}}} \rangle$  is given by the rule

$$\frac{}{A =_{\overline{\mathcal{T}}} B} \text{ for } A, B \in \overline{\mathcal{T}}.$$

Its product is  $\Phi_{\overline{\mathcal{T}}}$ . A theory of types is a subset  $\overline{\mathcal{T}} \subseteq \Phi_{\overline{\mathcal{T}}}$ .



The according structural rules over  $(\Phi_{T_1}^{\bar{\tau}})^{\infty}$  are the following. The meta-variable  $\Gamma$  ranges over  $(\Phi_{T_1}^{\bar{\tau}})^{\infty}$ .

$$1. \frac{\Gamma \vdash_{T_1} A \equiv_{T_1} A' \quad \Gamma \vdash_{T_1} B \equiv_{T_1} B'}{\Gamma \vdash_{T_1} A \times B \equiv_{T_1} A' \times B'}$$

$$2. \frac{\Gamma \vdash_{T_1} A \equiv_{T_1} A' \quad \Gamma \vdash_{T_1} B \equiv_{T_1} B'}{\Gamma \vdash_{T_1} A \rightarrow B \equiv_{T_1} A' \rightarrow B'}$$

$$3. \frac{}{\Gamma \vdash_{T_1} A \equiv_{T_1} A}$$

$$4. \frac{\Gamma \vdash_{T_1} A \equiv_{T_1} B}{\Gamma \vdash_{T_1} B \equiv_{T_1} A}$$

$$5. \frac{\Gamma \vdash_{T_1} A \equiv_{T_1} B \quad \Gamma \vdash_{T_1} B \equiv_{T_1} C}{\Gamma \vdash_{T_1} A \equiv_{T_1} C}$$

(Type-Congruence rules)

$$6. \frac{}{\Gamma, A \equiv_{T_1} B \vdash_{T_1} A \equiv_{T_1} B} \text{ (Monotonicity)}$$

$$7. \frac{\Gamma \vdash_{T_1} A \equiv_{T_1} B}{\Gamma, C \equiv_{T_1} D \vdash_{T_1} A \equiv_{T_1} B} \text{ (Weakening)}$$

Let  $\vdash_{T_1}$  denote the pre-order. For a theory  $\hat{\Gamma}_{T_1} \subseteq \Phi_{T_1}^{\bar{\tau}}$ , let

$$\overline{\hat{\Gamma}_{T_1}} := \{ A \equiv_{T_1} B \in \Phi_{T_1}^{\bar{\tau}} \mid \exists \Gamma \in \hat{\Gamma}_{T_1} \text{ finite: } \Gamma \vdash_{T_1} A \equiv_{T_1} B \}$$

Second, to construct terms, there are two major conventions:

a. The Church-version: Type-assignment of terms is intrinsic, i.e. terms are introduced as terms of a given type from the get-go. This applies in particular to variables. Thus, for every type  $A$ , there is a distinguished countably infinite set  $\text{Var}_A$  of variables of type  $A$ .

b. The Curry-de Bruijn version: Terms are introduced as such in a calculus of pre-terms; type-assignments are imposed as part of the logical rules afterwards. In particular, there is only one set  $\text{Var}$  of variables, and type-assignment of variables is a relative/local judgement formulated







7.  $\frac{}{* : 1}$  ( $\lambda$ -Construction)

8.  $\frac{a:A}{a:B}$  whenever  $\top_{T_y} A \equiv_{T_y} B$  (Term-Conversion)

The product of this calculus is the disjoint union  $\bigsqcup_{A:T_y^\top} \Lambda_A^\omega$  of well-formed terms of type  $A$  for  $A:T_y^\top$ , i.e.

$\Lambda_A = \{t \in (\mathcal{L}_{\text{tm}}^{\top, \omega})^\omega \mid \text{There is a derivation } \vec{\omega} \text{ in the } \lambda\text{-term calculus s.t. } \frac{\omega_1 \dots \omega_n}{t:A}\}$

Example 5:  $* I_A := \lambda x. x : A \rightarrow A$  for all  $A:T_y^\top$ ,  $x \in \text{Var } A$

$\stackrel{?}{=} \lambda y. y$  for  $y \in \text{Var } A$ ?

$* K_{AB} := \lambda x. \lambda y. x : A \rightarrow (B \rightarrow A)$  f.o.  $A, B \in T_y^\top$ ,  $x \in \text{Var } A$ ,  $y \in \text{Var } B$ .

||?

$* \lambda x. \text{pr}_1(x) : A \times B \rightarrow A$  for  $A, B \in T_y^\top$ ,  $x \in \text{Var }_{A \times B}$ .

Definition 6: The set  $\text{FV}(t)$  of **free variables** of a term  $t \in \Lambda_A$  is defined recursively in analogy to the untyped case (Definition II.1.5), i.e.

the only variable-binding operator is  $\lambda$ -abstraction.

Accordingly, a term  $t$  is **closed** if  $\text{FV}(t) = \emptyset$ .



**Definition 7:** The **substitution**  $s[t/x]$  of a term  $t \in \Lambda_A$  in a term  $s \in \Lambda_B$  for a variable  $x \in \text{Var}_A$  is defined again by recursion, just as in the untyped case (Definition II.1.6), only additionally respecting type-assignments conditionally. All steps are straight-forward except  $\lambda$ -abstraction, which is handled like in the untyped case.

**Definition 8:** The calculus of **typed  $\lambda$ -term formulas** over the language  $(\frac{\parallel}{A: T_A^T} \Lambda_A^C) \cup \{ \equiv_{Tm} \}$  is given by the rule

$$\frac{}{s \equiv_{Tm} t} \text{ f.o. } s, t \in \Lambda_A^C, A: T_A^T.$$

Its product will be denoted by  $\Phi_{Tm}^{\Pi, C}$ .

**Remark 9:** Lambek in his original work (see [LS86]) considers  $\lambda$ -term formulas in context of possibly over-determined contexts of variable declarations:

$$\frac{}{(X, s \equiv_{Tm} t)} \text{ f.o. } s, t \in \Lambda_A^C, A: T_A^T, \text{FV}(s) \cup \text{FV}(t) \subseteq X \in (\frac{\parallel \text{Var}_A}{A: T_A^T / \tau})^{\omega}.$$

While this may be worthwhile for the study of theory-extensions, it is rather redundant for the development of basic theory.

Furthermore, the calculus of types in [LS86, Chapter 10] is not a formal calculus, but rather a family of sets underlying the formal calculus of terms.