

Gröbner basis

- Motivations:
- decide whether a poly $f \in I = (g_1, \dots, g_s)$
 - decide whether $(g_1, \dots, g_s) = (h_1, \dots, h_t)$

In 1-var case, we can solve by computing f/g and inspect the remainder.

This requires an ordering of terms by powers.

We first fix an order for the multi-variable case:

Def. (lexicographical order)

We say $x_1^{\alpha_1} \dots x_n^{\alpha_n} > x_1^{\beta_1} \dots x_n^{\beta_n}$

\Leftrightarrow for some $i \geq 1$, $\alpha_1 = \beta_1, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i > \beta_i$.

$$x > y \\ x^0 y^2 \text{ v.s. } x^1 y^0 \\ x^1 y^0 > x^0 y^2$$

Notations. When $f = a_\alpha x^\alpha + \sum_{\beta < \alpha} a_\beta x^\beta$ where $a_\alpha \neq 0$,
we call a_α the leading coeff & write $LCf = a_\alpha$;
 x^α the leading monomial & write $LMf = x^\alpha$;
 $a_\alpha x^\alpha$ the leading term & write $LTf = a_\alpha x^\alpha$.

th. this is a total order.

Def. Let $I \subseteq k[X]$ be an ideal in a (multi-variable) poly ring.
We say that $g_1, \dots, g_s \in I$ form a Gröbner basis for $I \Leftrightarrow$
 $\forall g \in I$, LMg is divisible by LMg_i for some g_i ,
i.e., $(LMg) = (LMg_1, \dots, LMg_s)$.

Buchberger algorithm

Let $I = (f_1, \dots, f_r)$.

Step I. For each $f_i, f_j \in I$, find $x^\alpha = \text{lcm}(LTf_i, LTf_j)$
Compute $S(f_i, f_j) := \frac{x^\alpha}{LTf_i} f_i - \frac{x^\alpha}{LTf_j} f_j$

Step II. Subtract monomial multiples of f_k 's from $S(f_i, f_j)$
in Step I to cancel as many leading terms as possible
Warning: S is red by $g \Leftrightarrow LTg \mid \text{term of } S$

Step III. If the end product $\bar{S}(f_i, f_j) \neq 0$, set
 $f_{r+n} := \bar{S}(f_i, f_j)$ and include it into $\{f_1, \dots, f_r\}$.

Step IV. Repeat Step I ~ Step III with the new collection
 $\{f_1, \dots, f_{r+n}\}$, until no new member can be added
into this collection.

terminate: well-founded

Def. A Gröbner basis $\{g_1, \dots, g_s\}$ is reduced \Leftrightarrow
for any g_i , $LC g_i = 1$, and no term of g_i is
divisible by any $LM g_j$ for all $j \neq i$.

Rk. This is like the reduced row echelon form of a matrix.

Turning a GrB into a reduced GrB
Let H be a collection, initially empty.

Step I. Replace each g_i in the Gröbner basis by $\frac{g_i}{LC g_i}$,
take all these to form a collection F .

Step II. Pick $g_i \in F$.
If for all g_k in the collection $F \setminus \{g_i\}$, $LT g_k \nmid LT g_i$, and
for all $h \in H$, $LT h \nmid LT g_i$,
then add g_i into H .

Step III. Repeat Step II until all $g_i \in F$ are picked.

Step IV. For each $h \in H$, compute \bar{h} by subtracting
monomial multiples of $H \setminus \{h\}$ to cancel as many
leading terms as possible, then replace h with $\bar{h} \neq 0$.
If $\bar{h} = 0$, discard h . Warning: S is red by $g \Leftrightarrow LT g \mid \text{term of } S$

Step V. Repeat Step IV until all h are replaced / discarded.

1. Compute the reduced Gröbner basis of $I = (f_1, f_2)$, where
 $f_1 = x^3 - 2xy$, $f_2 = x^2y + x - 2y^2$, w.r.t to $x > y$
↑ priority

Ans: $S(f_1, f_2) = \frac{x^3y}{x^3} (x^3 - 2xy) - \frac{x^3y}{x^2y} (x^2y + x - 2y^2)$
 $= -x^2$

Let $f_3 := -x^2$, add f_3 into the collection.

$S(f_1, f_3) = \frac{x^3}{x^3} (x^3 - 2xy) - \frac{x^3}{x^2} (x^2) = -2xy =: f_4$

$S(f_2, f_3) = \frac{x^4y}{x^2y} (x^2y + x - 2y^2) - \frac{x^4y}{x^2} (x^2) = x - 2y^2 =: f_5$

$S(f_1, f_4) = \frac{-2x^3y}{x^3} (x^3 - 2xy) - \frac{-2x^3y}{-2xy} (-2xy)$
 $= -2y(x^3 - 2xy) - x^2(-2xy)$
 $\rightarrow 4xy^2 + 2yf_4 = 0$

$S(f_2, f_4) = \frac{-2x^4y}{x^2y} (x^2y + x - 2y^2) - \frac{-2x^4y}{-2xy} (-2xy)$
 $= -2x^2y - 2x + 4y^2 + 2x^2y$
 $\rightarrow -2x + 4y^2 + 2f_5 = 0$

Similarly $S(f_3, f_4)$, $S(f_1, f_5)$, $S(f_2, f_5)$, $S(f_3, f_5) \rightarrow 0$

$S(f_4, f_5) = \frac{-2xy}{-2xy} (-2xy) - \frac{-2xy}{x} (x - 2y^2)$
 $= -2xy + 2xy - 4y^3$
 $= -4y^3 =: f_6$

$\therefore \{f_1, f_2, f_3, f_4, f_5, f_6\}$ is GB.

Now replace the collection with

$F = \{g_1 = x^3 - 2xy, g_2 = x^2y + x - 2y^2, g_3 = x^2, g_4 = xy, g_5 = x - 2y^2, g_6 = y^3\}$

Pick g_1 :

$LTg_1 = x^3$, but $LTg_3 \mid x^3$.

Pick g_2 :

$LTg_2 = x^2y$, but $LTg_3 \mid x^2y$

Pick g_3 :

$LTg_3 = x^2$, but $LTg_5 \mid x^2$

Pick g_4 :

$LTg_4 = xy$, but $LTg_5 \mid xy$

Pick g_5 :

No LTg_k divides LTg_5
 $\Rightarrow g_5 \in H$.

Pick g_6 :

No LTg_k or LTh divides LTg_6
 $\Rightarrow g_6 \in H$

$\therefore \{g_5, g_6\}$ is a reduced GB.

2. Solve $\begin{cases} x^3 - 2xy = 0 \\ x^2y + x - 2y^2 = 0 \end{cases}$

By Q1, this is equivalent to ask

$$\begin{cases} x - 2y^2 = 0 \\ y = 0 \end{cases}$$

$\Leftrightarrow x = y = 0.$

3. Find the reduced GrB of $I = (f_1, f_2, f_3)$, where

$f_1 = x^2 + y^2 + z^2 - 1, f_2 = x^2 - y + z^2, f_3 = x - z, x > y > z$

$$S(f_1, f_2) = x^2 + y^2 + z^2 - 1 - (x^2 - y + z^2) = y^2 + y - 1 =: f_4$$

$$S(f_1, f_3) = x^2 + y^2 + z^2 - 1 - x(x - z) \rightarrow xz + y^2 + z^2 - 1 - zf_3 - f_4 = z^2 - 1 + z^2 - y + 1 = -y + 2z^2 =: f_5$$

$$S(f_1, f_4) = \frac{x^2y}{x^2}(x^2 + y^2 + z^2 - 1) - \frac{x^2y}{y^2}(y^2 + y - 1) = y^2(x^2 + y^2 + z^2 - 1) - x^2(y^2 + y - 1)$$

$$\rightarrow \frac{x^2(-y + 1)}{x^2(-y + 1)} + y^2(y^2 + z^2 - 1) + (y - 1)f_1 = (y^2 + y - 1)(y^2 + z^2 - 1) - (y^2 + z^2 - 1)f_4 = 0$$

$$S(f_2, f_3) = x^2 - y + z^2 - x(x - z) \rightarrow xz - y + z^2 - zf_3 \rightarrow -y + 2z^2 - f_5 = 0$$

$$S(f_3, f_5) = \frac{-xy}{x}(x - z) - \frac{-xy}{-y}(-y + 2z^2) = -y(x - z) - x(-y + 2z^2) \rightarrow -2xz^2 + yz + zf_5 \rightarrow -2xz^2 + 2z^3 + 2z^2f_3 = 0$$

$$S(f_2, f_5) = \frac{-x^2y}{x^2}(x^2 - y + z^2) - \frac{-x^2y}{-y}(-y + 2z^2) = -y(x^2 - y + z^2) - x^2(-y + 2z^2) \rightarrow y^2 - yz^2 - 2xz^2 - f_4 - z^2f_5 = y^2 - yz^2 - 2xz^2 - y + 1 + yz^2 - 2z^4 \rightarrow -2xz^2 - y - 2z^4 + 1 - f_5 + 2xz^2f_3 = -2xz^2 - y - 2z^4 + 1 + yz^2 - 2z^4 - 2xz^3 \rightarrow -2xz^3 - 2z^4 - 2z^2 + 1 + 2z^3f_3 = -2xz^3 - 2z^4 - 2z^2 + 1 + 2xz^3 - 2z^4 = -4z^4 - 2z^2 + 1 =: f_6$$

Similarly, $S(f_1, f_5)$, $S(f_1, f_6)$, $S(f_2, f_6)$, $S(f_3, f_6)$, $S(f_4, f_6)$,
 $S(f_5, f_6)$, $S(f_2, f_4)$, $S(f_3, f_4)$, $S(f_4, f_5) \rightarrow 0$
 $\therefore \{f_1, f_2, f_3, f_4, f_5, f_6\}$ is a GrB.

Now set

$$g_1 = x^2 + y^2 + z^2 - 1, \quad g_2 = x^2 - y + z^2, \quad g_3 = x - z, \quad g_4 = y^2 + y - 1, \quad g_5 = y - 2z^2, \quad g_6 = z^4 + \frac{1}{2}z^2 - \frac{1}{4}.$$

Pick g_1 :

$$LT g_1 = x^2 \quad \text{but} \quad LT g_2 \mid x^2$$

g_2 :

$$LT g_2 = x^2 \quad \text{but} \quad LT g_3 \mid x^2$$

g_3 :

$$LT g_3 = x \\ \Rightarrow g_3 \in H$$

g_4 :

$$LT g_4 = y^2 \quad \text{but} \quad LT g_5 \mid y^2$$

g_5 :

$$LT g_5 = y \\ \Rightarrow g_5 \in H$$

g_6 :

$$LT g_6 = z^4 \\ \Rightarrow g_6 \in H$$

$\therefore \{g_3, g_5, g_6\}$ is the reduced GrB.