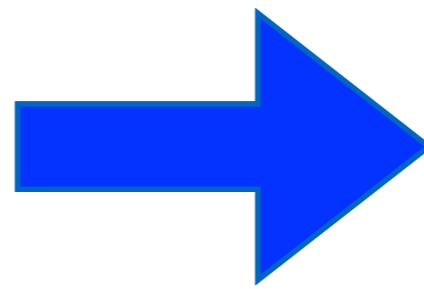
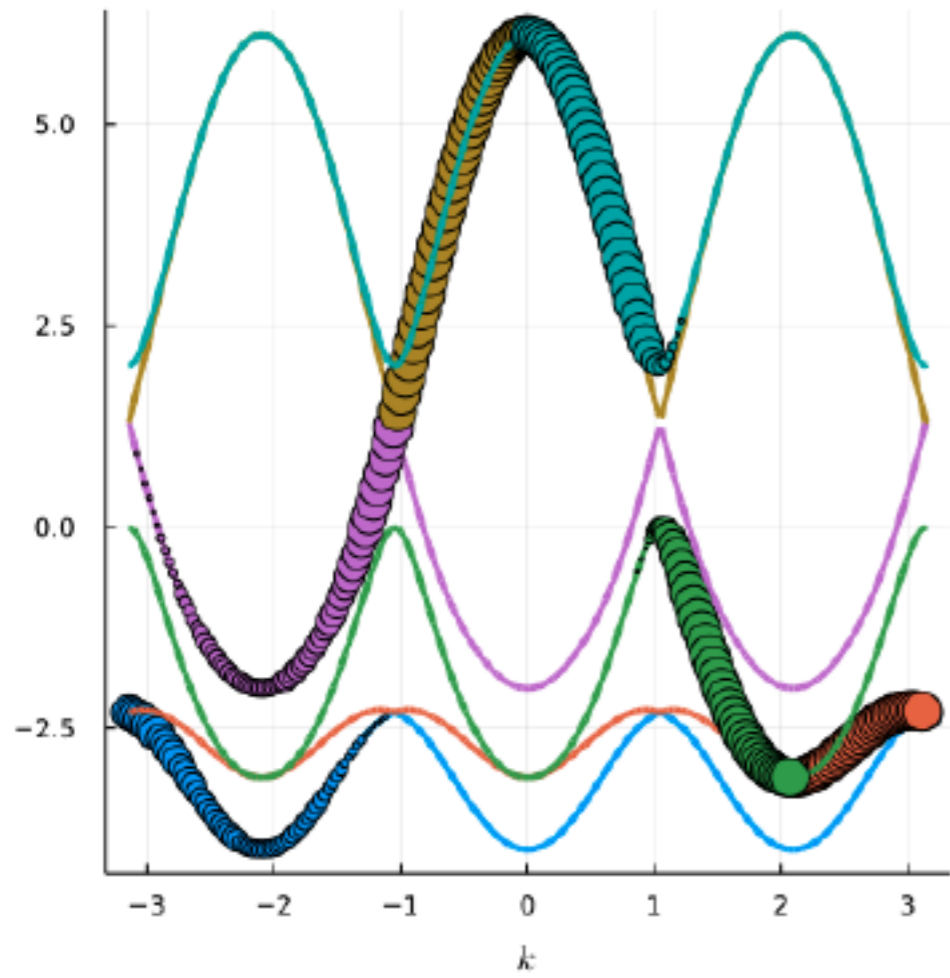
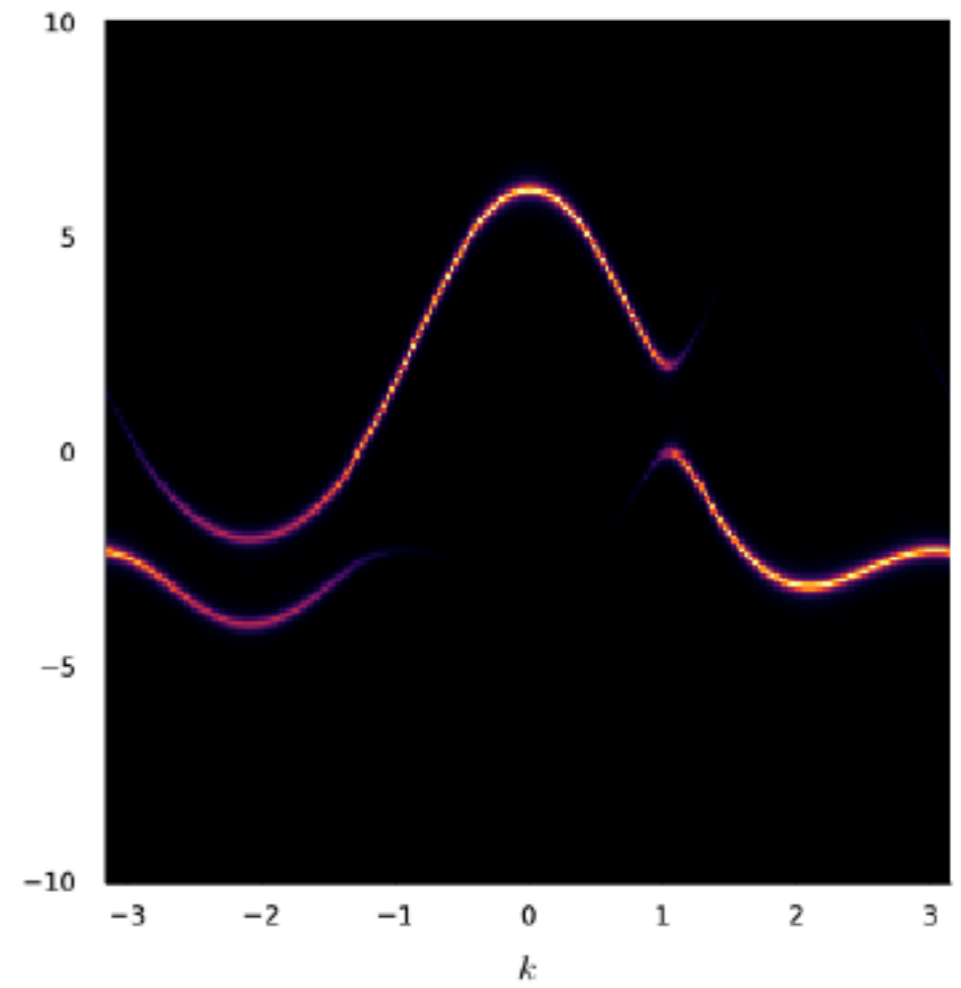


Unfolding of bandstructures

Bandstructure

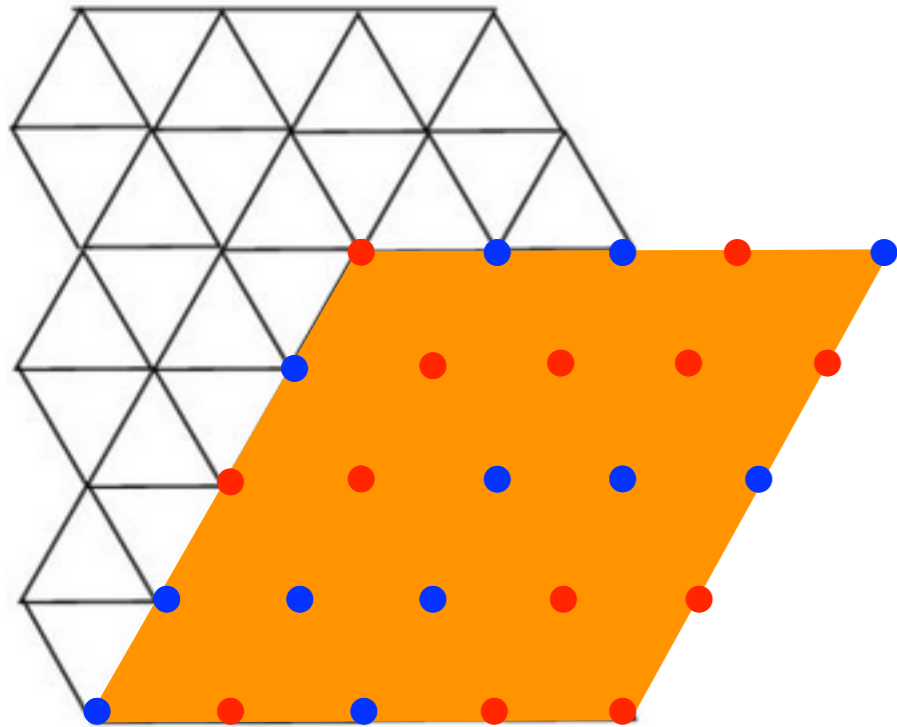


Frequencygrid



An alloy on triangular lattice

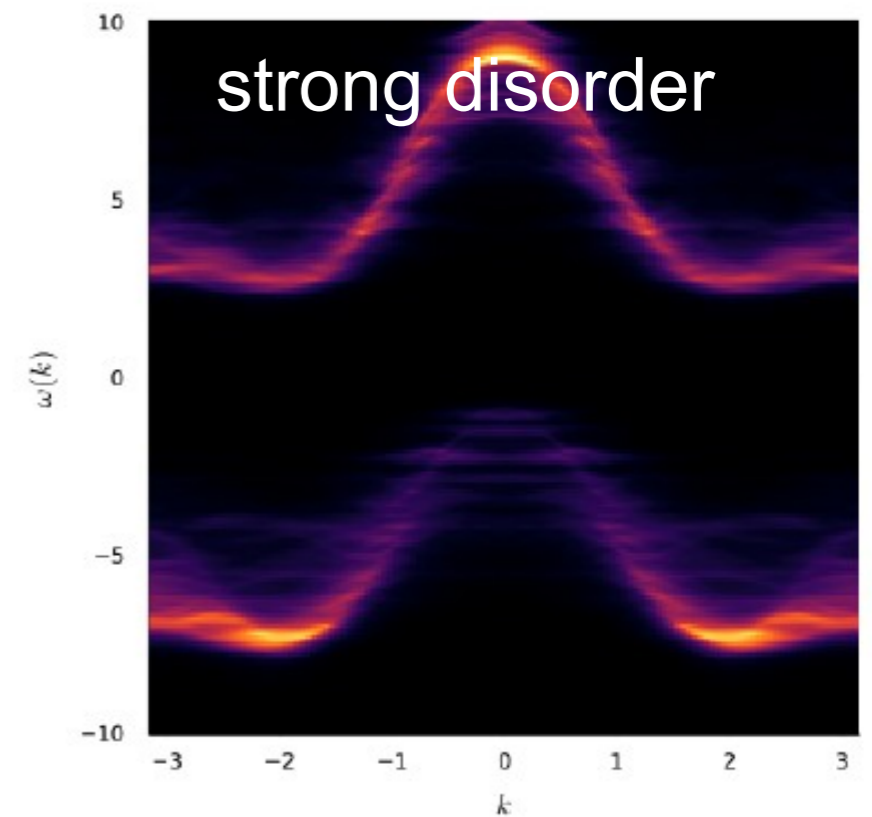
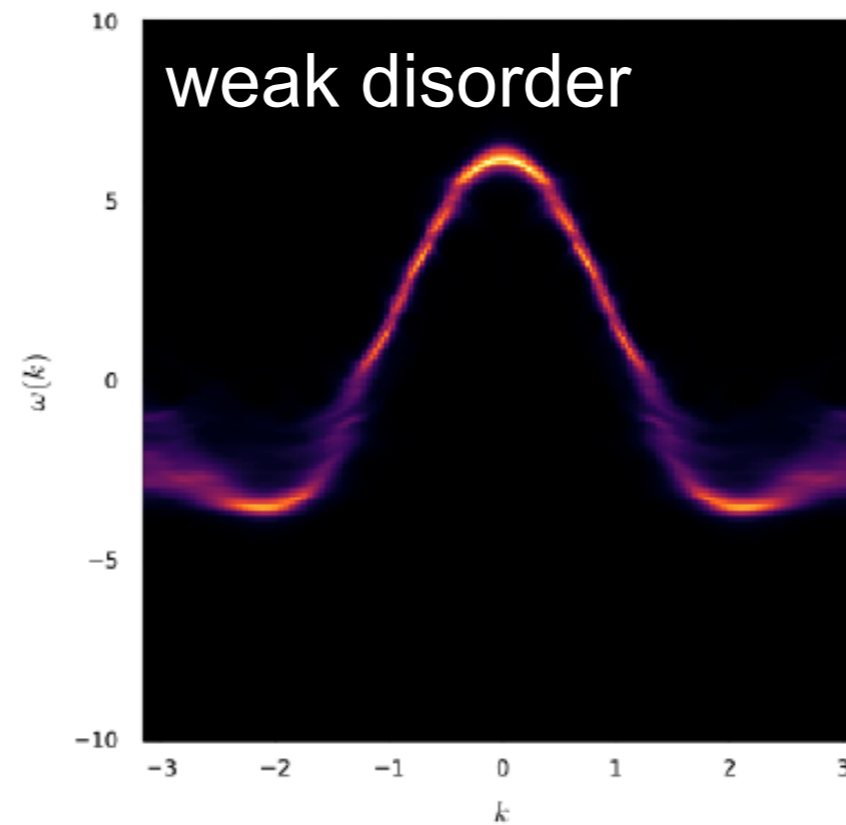
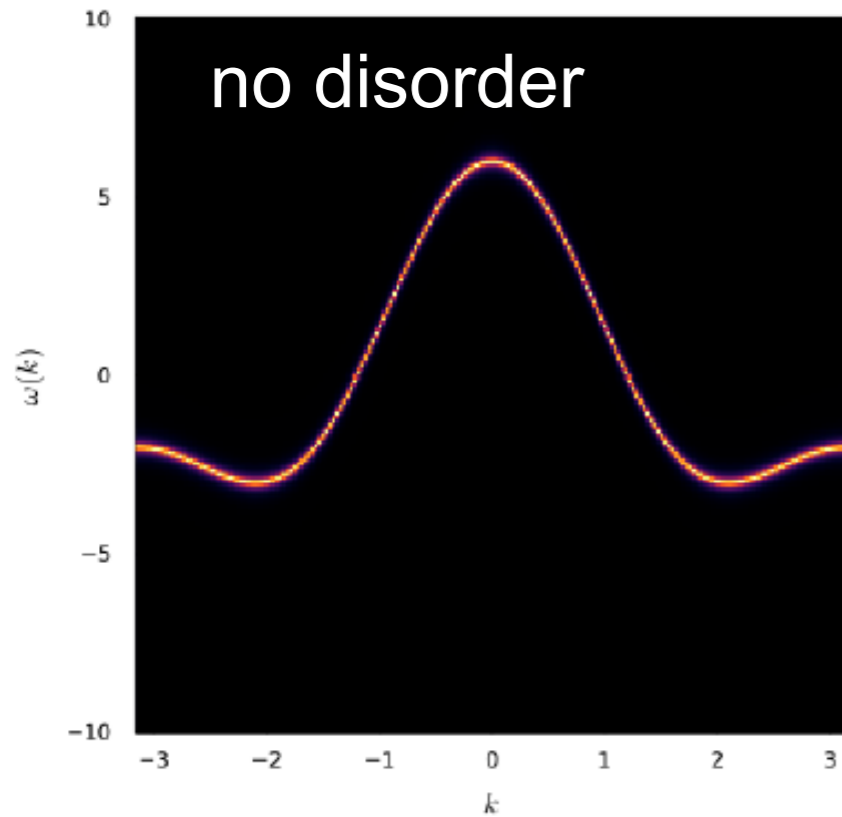
generate random binary potential
in the supercell



How to average over different realizations of the disorder?

How to get a 'bandstructure' in the elementary (1-atom) unit cell?

Brute force approach - many realizations of disorder



120 deg order on triangular lattice

Calculate the band dispersion and density of states for a triangular lattices with 120 deg spin order. Consider non-interacting electrons on triangular lattice (calculate the band dispersion and density of states). Add a local exchange field which has a direction as indicated in the picture.

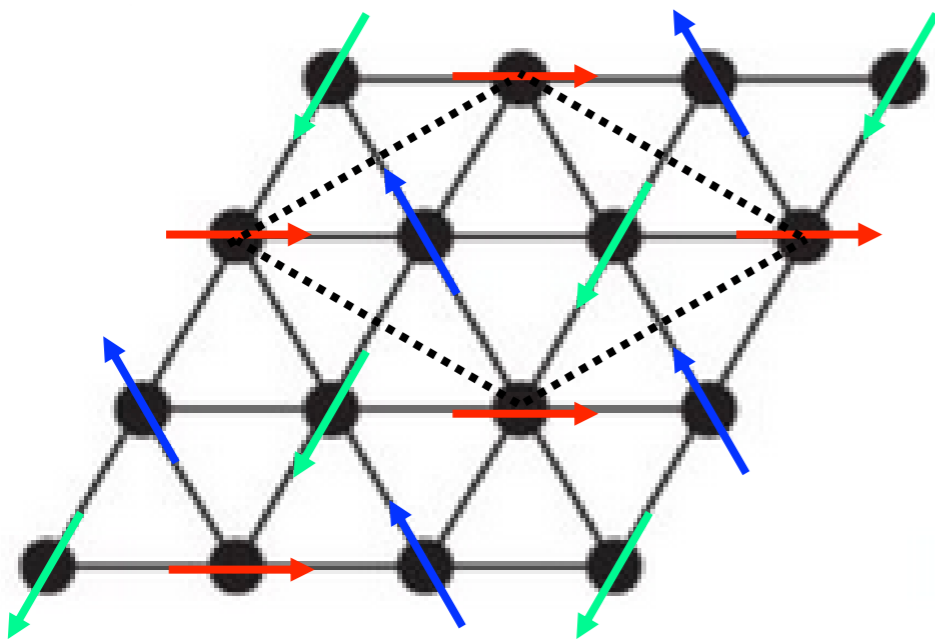
Hint: Use the enlarged unit cell indicated in the figure. Note that the local term depend on the lattice site (sublattice) and mixes the up and down spin directions (i.e. spin is not a good quantum number). Use $t=1$ and several different values of b (starting from 0).

$$H_0 = t \sum_{\langle ij \rangle} \begin{pmatrix} c_{i\uparrow}^\dagger & c_{i\downarrow}^\dagger \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} c_{j\uparrow} \\ c_{j\downarrow} \end{pmatrix}$$

$$H_i(b) = \begin{pmatrix} c_{i\uparrow}^\dagger & c_{i\downarrow}^\dagger \end{pmatrix} \begin{pmatrix} 0 & b \\ b & 0 \end{pmatrix} \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \end{pmatrix}$$

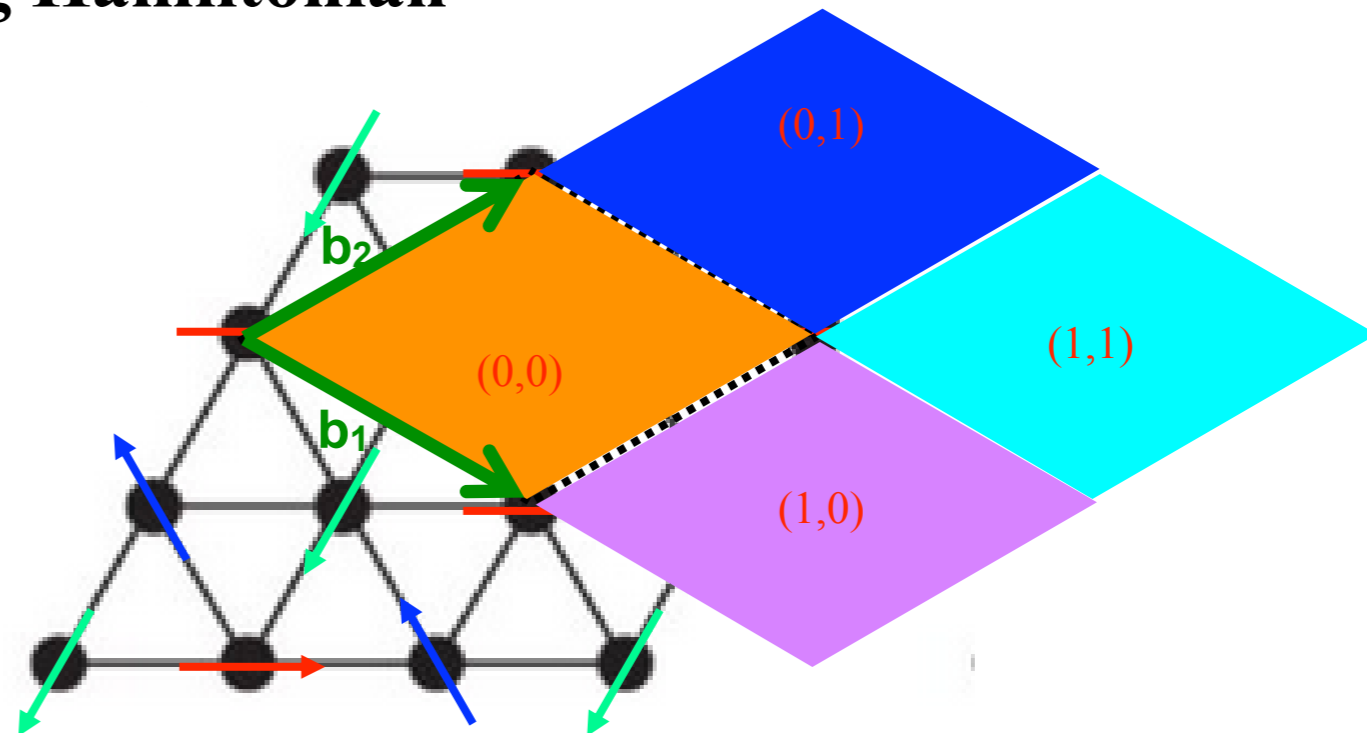
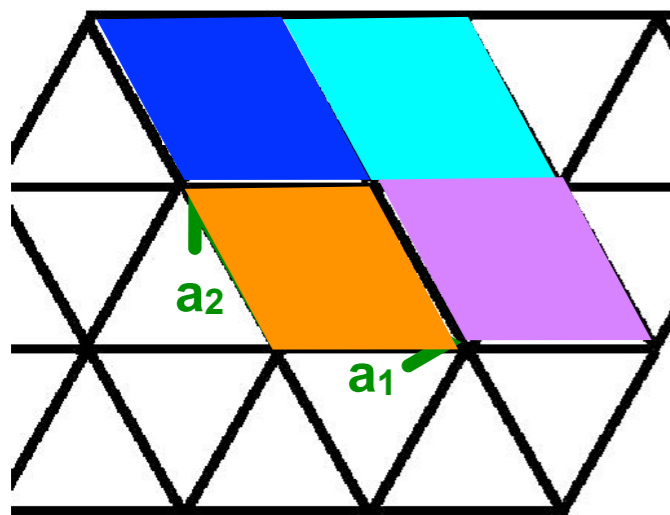
$$\begin{pmatrix} c_{i\uparrow}^\dagger & c_{i\downarrow}^\dagger \end{pmatrix} \begin{pmatrix} 0 & be^{-i2/3\pi} \\ be^{i2/3\pi} & 0 \end{pmatrix} \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \end{pmatrix}$$

$$\begin{pmatrix} c_{i\uparrow}^\dagger & c_{i\downarrow}^\dagger \end{pmatrix} \begin{pmatrix} 0 & be^{i2/3\pi} \\ be^{-i2/3\pi} & 0 \end{pmatrix} \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \end{pmatrix}$$



Tight-binding Hamiltonian

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



hopping

$$T_{\mathbf{k}} = \begin{pmatrix} 0 & 1 + e^{-ik_1} + e^{-ik_2} & e^{-ik_1} + e^{-ik_2} + e^{-i(k_1+k_2)} \\ 1 + e^{ik_1} + e^{ik_2} & 0 & 1 + e^{-ik_1} + e^{-ik_2} \\ e^{ik_1} + e^{ik_2} + e^{i(k_1+k_2)} & 1 + e^{ik_1} + e^{ik_2} & 0 \end{pmatrix}$$

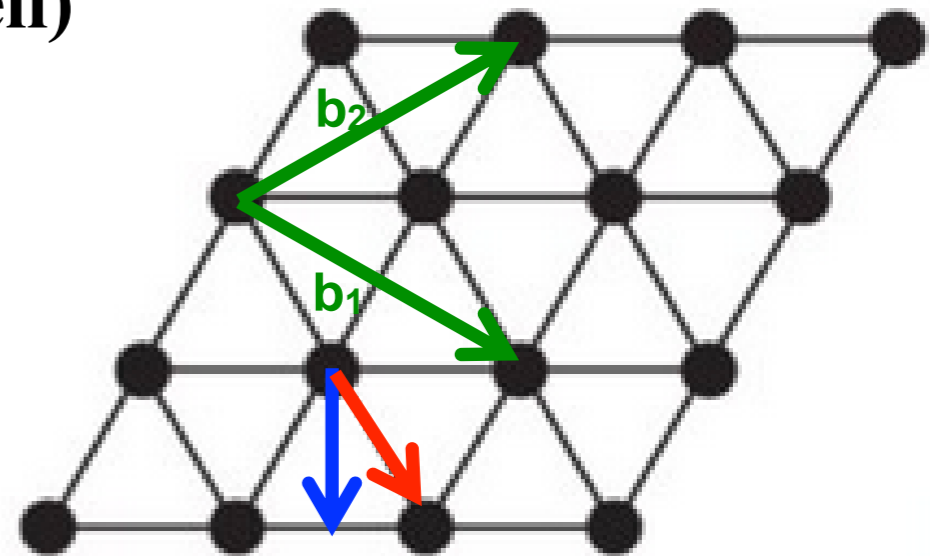
local fields in xy-plane

$$X = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \exp(-\frac{2}{3}i\pi) & 0 \\ 0 & 0 & \exp(\frac{2}{3}i\pi) \end{pmatrix}$$

total 6x6 structure

$$H_{\mathbf{k}}(b) = \begin{pmatrix} T_{\mathbf{k}} & bX \\ bX^\dagger & T_{\mathbf{k}} \end{pmatrix}$$

Bandstructure (3-site unit cell)

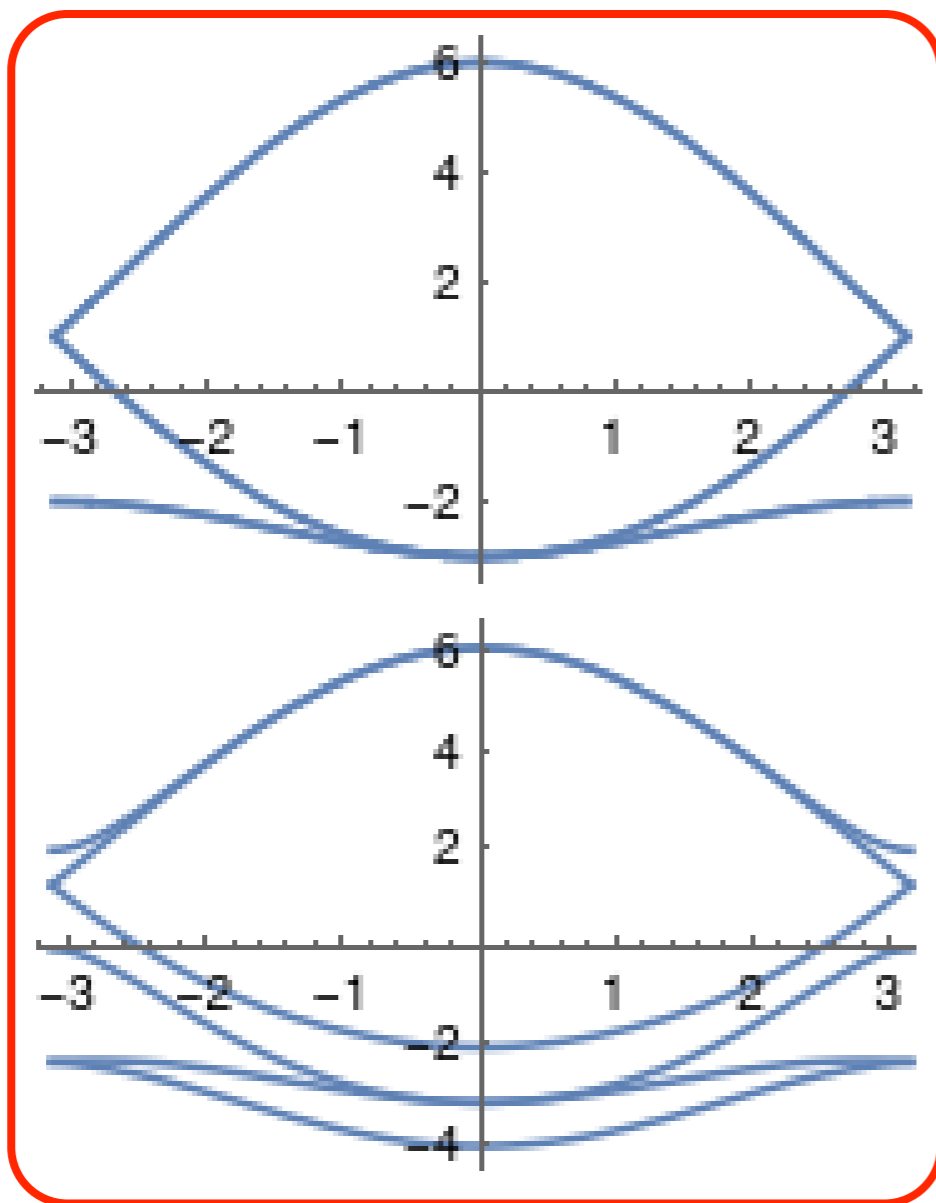


$k=(x,0)$

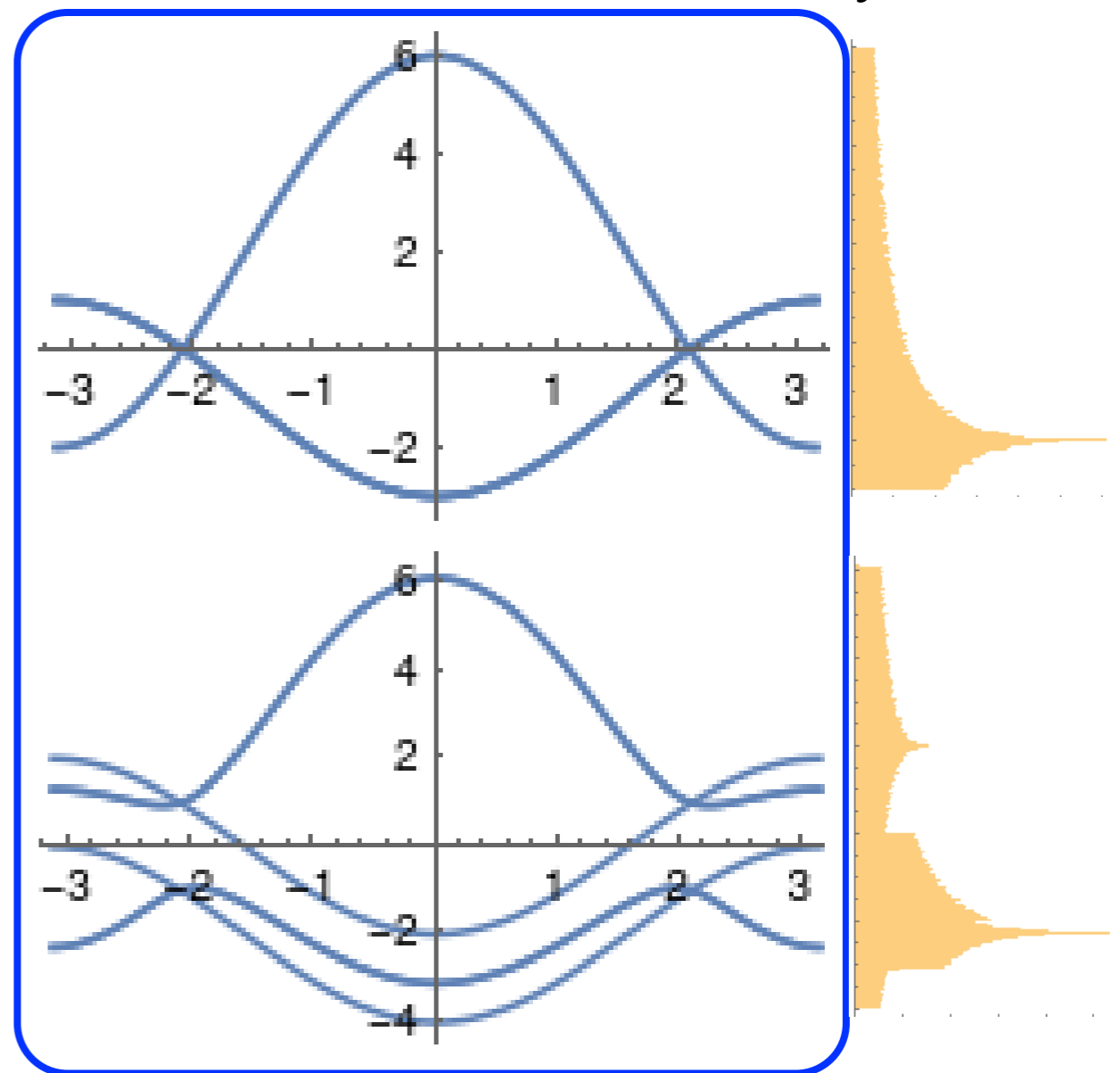
$k=(x,-x)$

Density of states

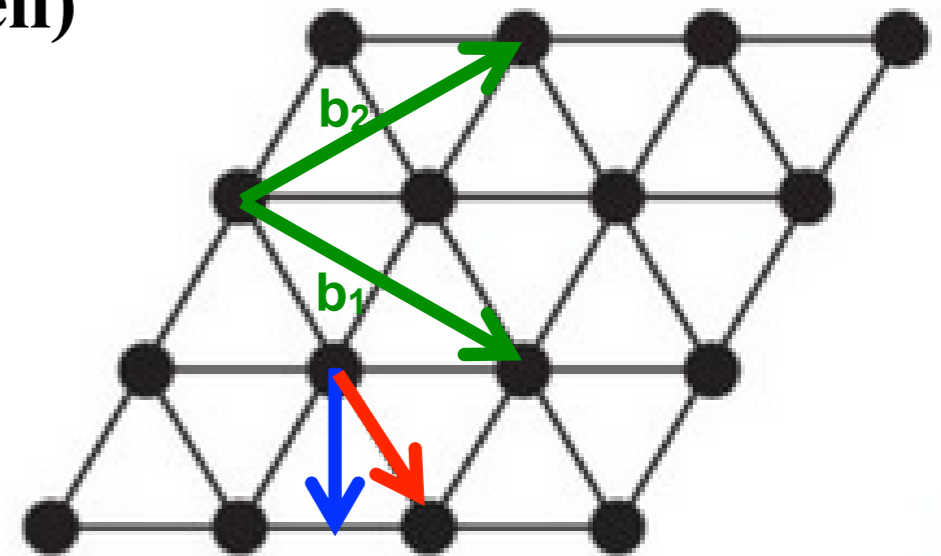
$b=0$



$b=1$



Bandstructure (3-site unit cell)



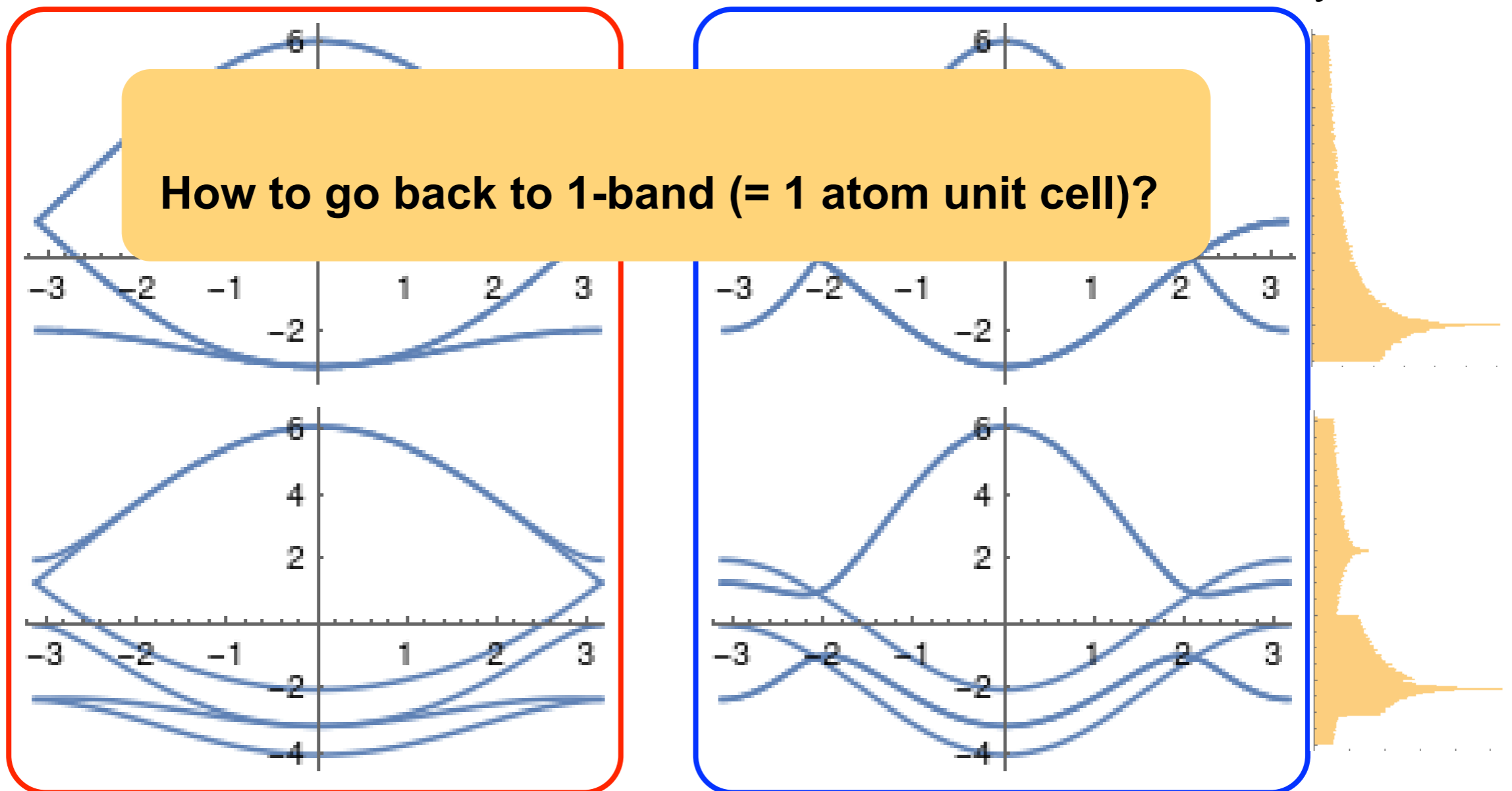
$k=(x,0)$

$k=(x,-x)$

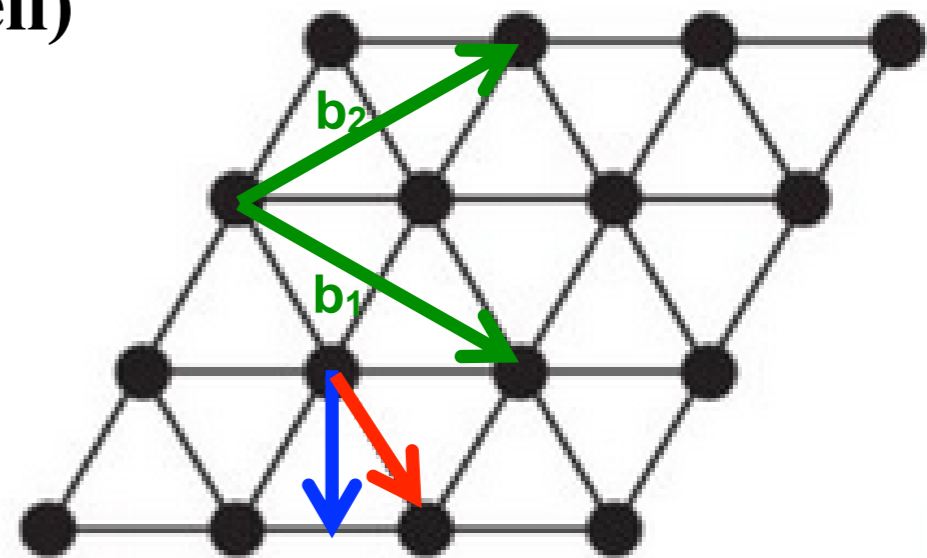
Density of states

$b=0$

$b=1$



Bandstructure (3-site unit cell)



$k=(x,0)$

$k=(x,-x)$

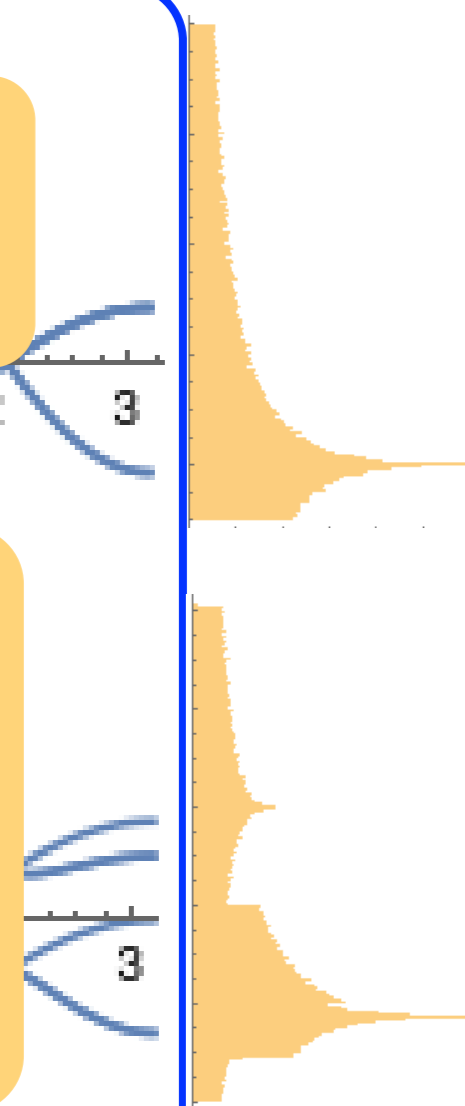
Density of states

How to go back to 1-band (= 1 atom unit cell)?

$b=0$
Chose the right quantity!

Dispersion is too 'narrow'.

Spectral function
 $\epsilon(\mathbf{k})$



Spectral function

$$\begin{aligned}
 \langle b; a^\dagger \rangle_\omega \equiv A_{ba}(\omega) &= \sum_m \langle 0|b|m\rangle \langle m|a^\dagger|0\rangle \delta(\omega - E_m + E_0) \\
 &+ \sum_{m'} \langle 0|a^\dagger|m'\rangle \langle m'|b|0\rangle \delta(\omega + E_{m'} - E_0) \\
 &= \sum_{n,k} \langle \varphi_b | n, k \rangle \langle n, k | \varphi_a \rangle \delta(\omega - \epsilon_{n,k})
 \end{aligned}$$

many-body (general) formalism

non-interacting electrons (1p functions)

$$\langle c_{\mathbf{k}}; c_{\mathbf{k}}^\dagger \rangle_\omega = ?$$

$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{3}} \left(r_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{i\bar{k}_1} b_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{2i\bar{k}_1} g_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger \right)$$

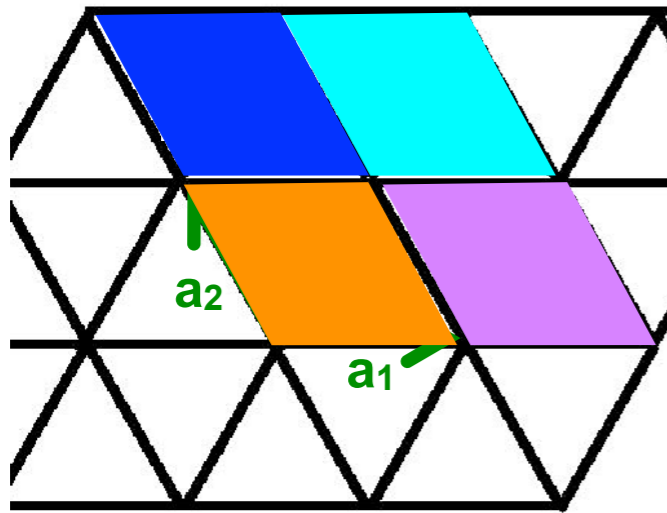
$$\begin{aligned}
 \bar{k}_1 &= k_1 - k_2 \\
 \bar{k}_2 &= 2k_1 + k_2
 \end{aligned}$$

$$\begin{aligned}
 \langle c_{\mathbf{k}}; c_{\mathbf{k}}^\dagger \rangle_\omega &= \frac{1}{3} \left(\langle r_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{i\bar{k}_1} \langle r_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{2i\bar{k}_1} \langle r_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \right. \\
 &+ e^{-i\bar{k}_1} \langle b_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + \langle b_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{i\bar{k}_1} \langle b_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \\
 &\left. + e^{-2i\bar{k}_1} \langle g_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{-i\bar{k}_1} \langle g_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + \langle g_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \right)
 \end{aligned}$$

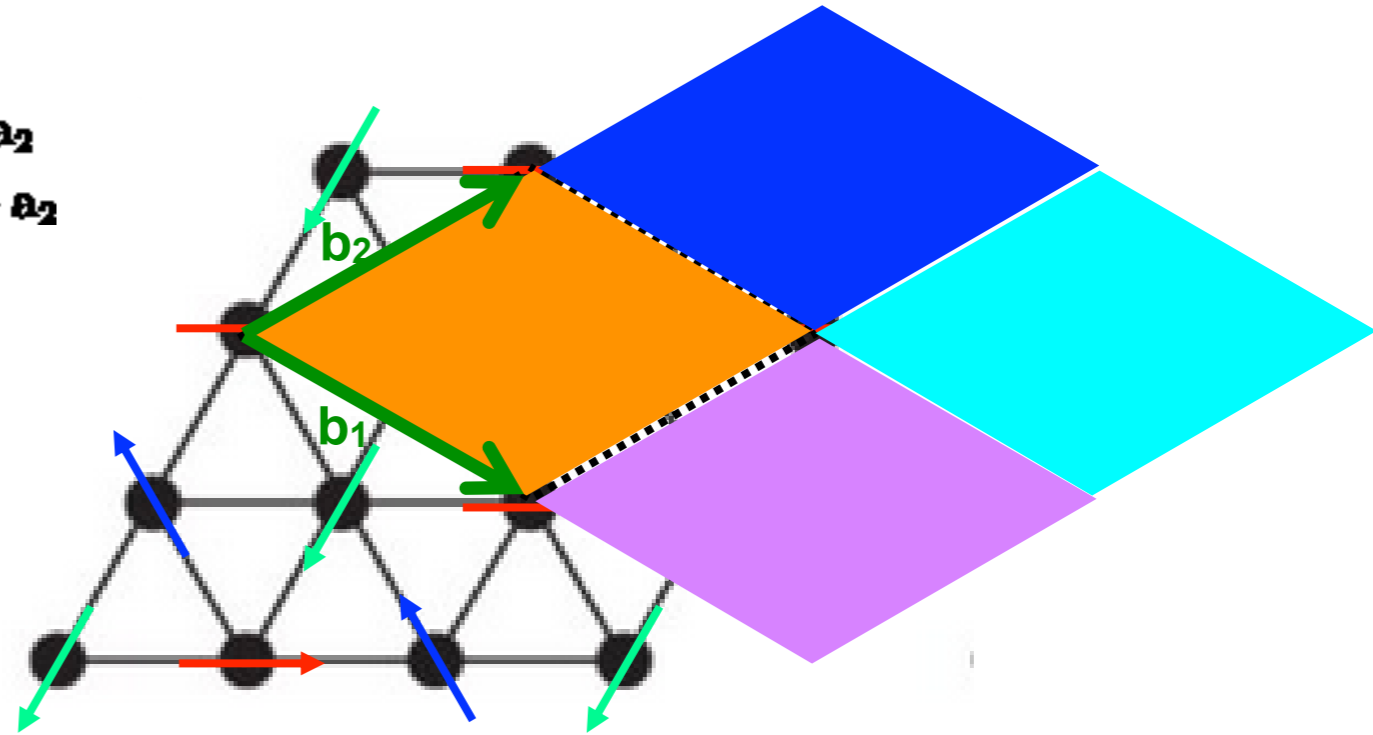
k-diagonal elements of object, which has also off-diagonal (kk') elements

Going between different cells

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



$$\begin{aligned} \mathbf{b}_1 &= \mathbf{a}_1 - \mathbf{a}_2 \\ \mathbf{b}_2 &= 2\mathbf{a}_1 + \mathbf{a}_2 \end{aligned}$$



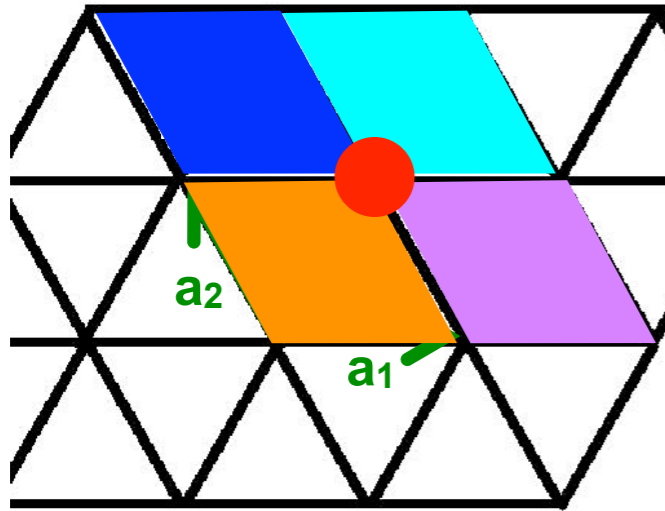
$$\begin{aligned} \tilde{\mathbf{R}} &\equiv \tilde{m}\mathbf{b}_1 + \tilde{n}\mathbf{b}_2 \\ &= (2\tilde{n} + \tilde{m})\mathbf{a}_1 + (\tilde{n} - \tilde{m})\mathbf{a}_2 \end{aligned}$$

$$\begin{pmatrix} m \\ n \end{pmatrix} = \begin{pmatrix} 1 & 2 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix}$$

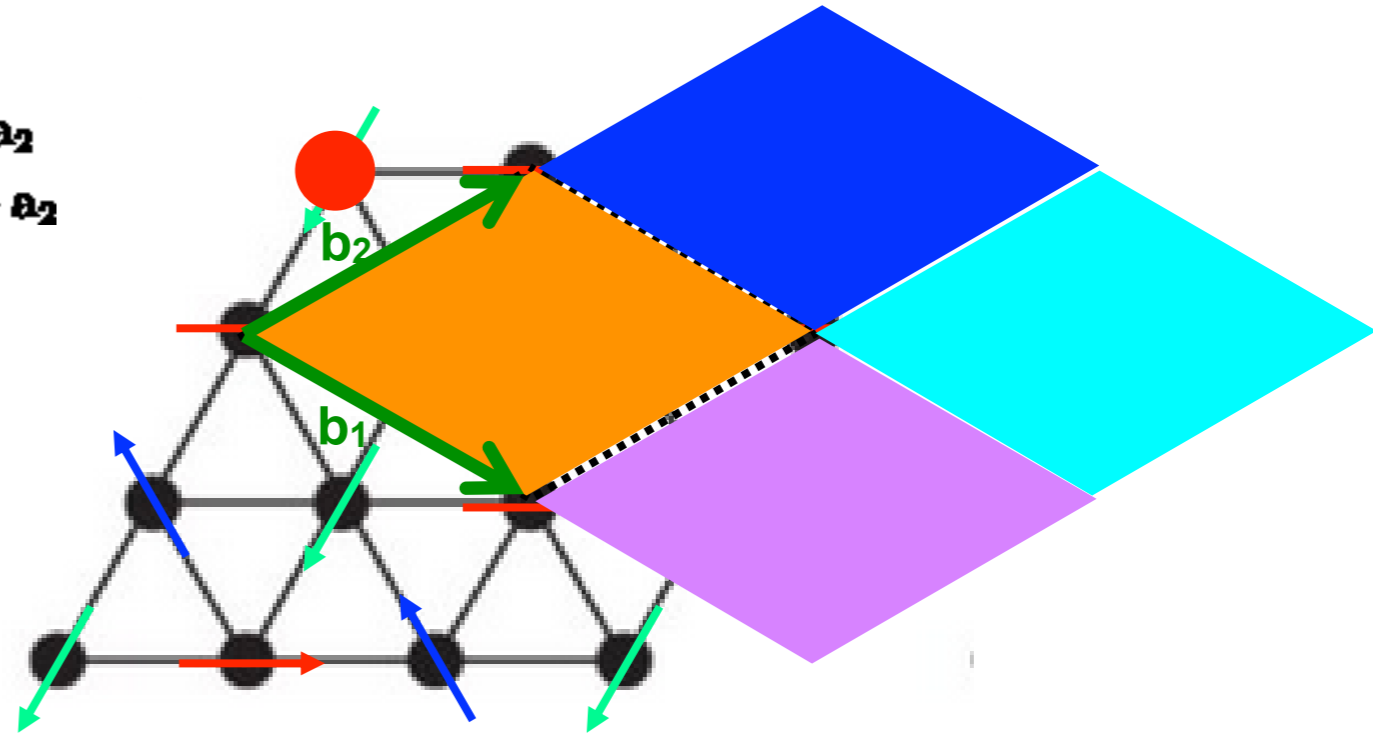
$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix} \quad \left\{ \begin{aligned} \begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} &= \text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right] \\ \text{Flavor} &= \text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right] \end{aligned} \right.$$

Going between different cells

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



$$\begin{aligned} \mathbf{b}_1 &= \mathbf{a}_1 - \mathbf{a}_2 \\ \mathbf{b}_2 &= 2\mathbf{a}_1 + \mathbf{a}_2 \end{aligned}$$



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$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$$

$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right]$$

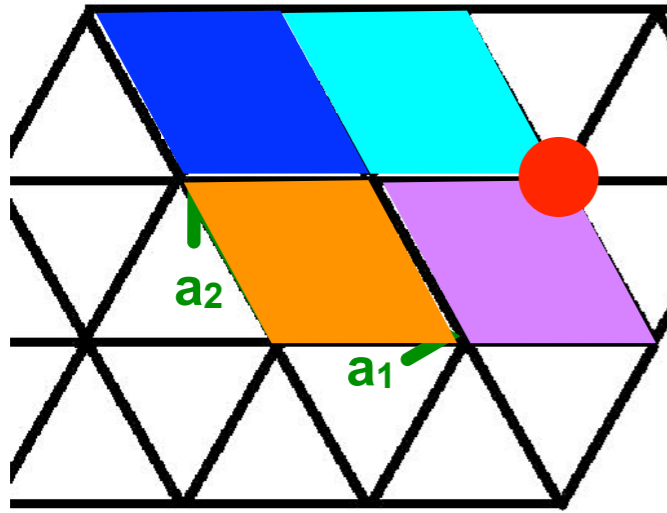
$$\text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, 3 \right] = \begin{pmatrix} -1 \\ 0 \end{pmatrix}$$

$$\text{Flavor} = \text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right]$$

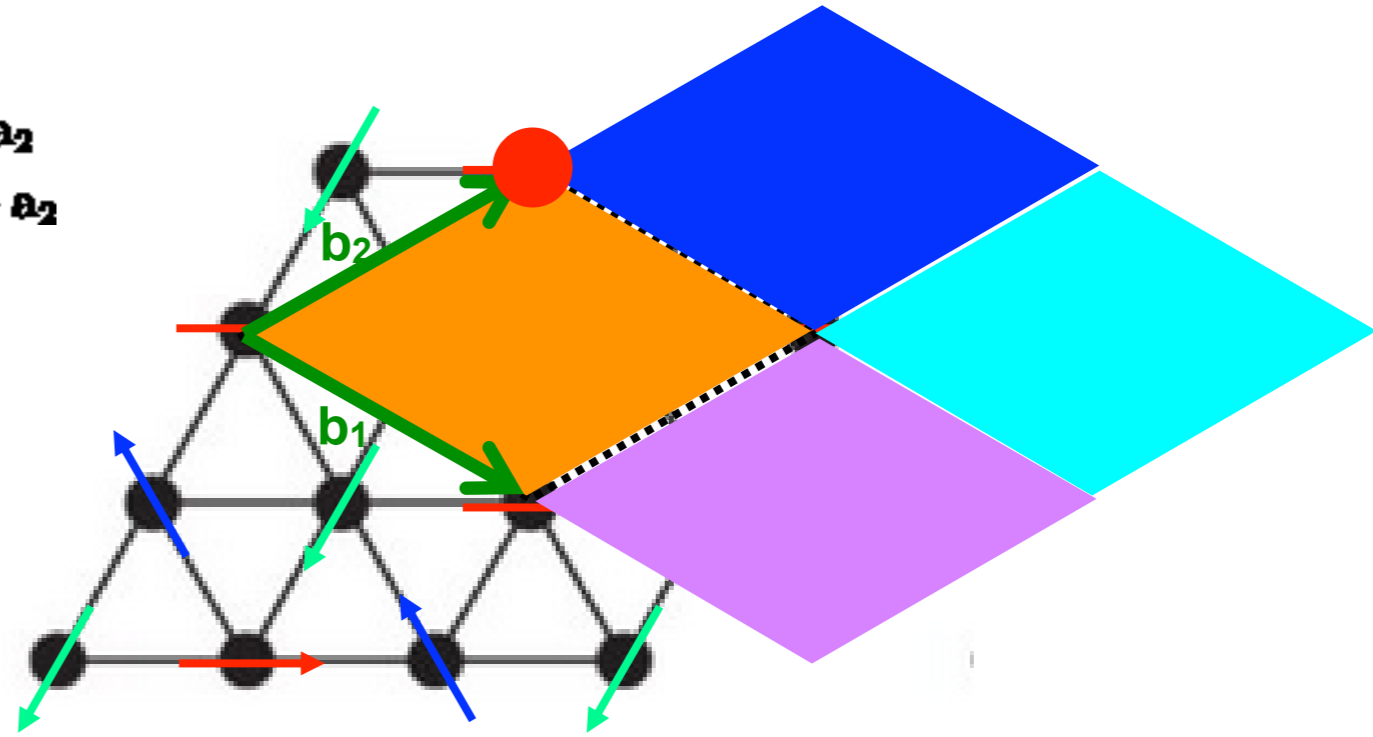
$$\text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, 3 \right] = \begin{pmatrix} 2 \\ 2 \end{pmatrix} \text{ (green)}$$

Going between different cells

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



$$\begin{aligned} \mathbf{b}_1 &= \mathbf{a}_1 - \mathbf{a}_2 \\ \mathbf{b}_2 &= 2\mathbf{a}_1 + \mathbf{a}_2 \end{aligned}$$



$$\begin{aligned} \tilde{\mathbf{R}} &\equiv \tilde{m}\mathbf{b}_1 + \tilde{n}\mathbf{b}_2 \\ &= (2\tilde{n} + \tilde{m})\mathbf{a}_1 + (\tilde{n} - \tilde{m})\mathbf{a}_2 \end{aligned}$$

$$\begin{pmatrix} m \\ n \end{pmatrix} = \begin{pmatrix} 1 & 2 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix}$$

$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$$

$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right]$$

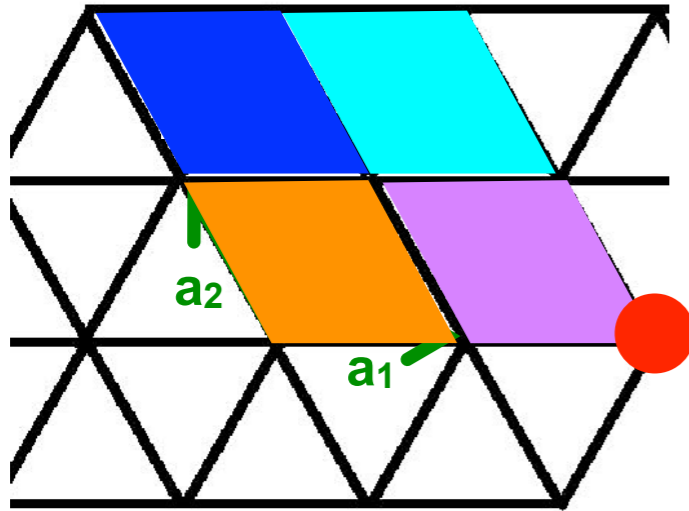
$$\text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 2 \\ 1 \end{pmatrix}, 3 \right] = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\text{Flavor} = \text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right]$$

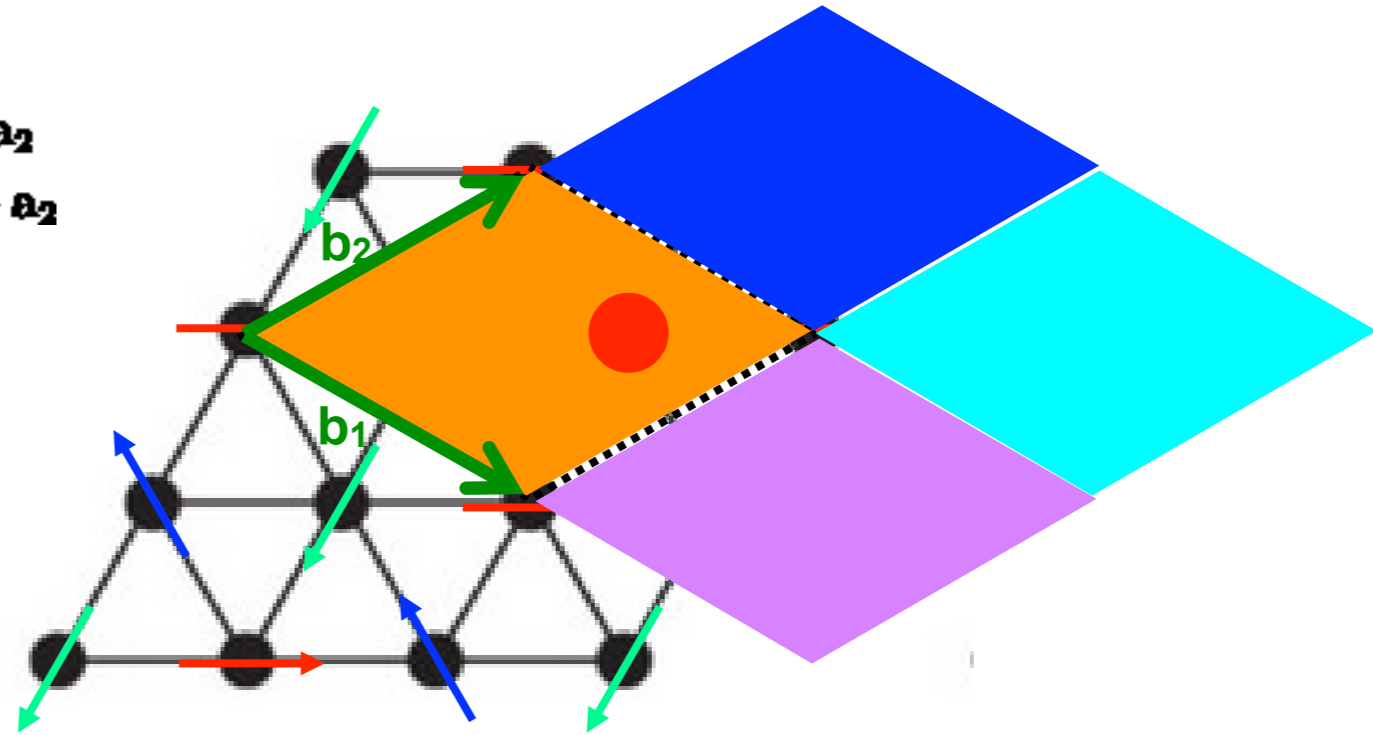
$$\text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 2 \\ 1 \end{pmatrix}, 3 \right] = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \text{ (red)}$$

Going between different cells

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



$$\begin{aligned} \mathbf{b}_1 &= \mathbf{a}_1 - \mathbf{a}_2 \\ \mathbf{b}_2 &= 2\mathbf{a}_1 + \mathbf{a}_2 \end{aligned}$$



$$\begin{aligned} \tilde{\mathbf{R}} &\equiv \tilde{m}\mathbf{b}_1 + \tilde{n}\mathbf{b}_2 \\ &= (2\tilde{n} + \tilde{m})\mathbf{a}_1 + (\tilde{n} - \tilde{m})\mathbf{a}_2 \end{aligned}$$

$$\begin{pmatrix} m \\ n \end{pmatrix} = \begin{pmatrix} 1 & 2 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix}$$

$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$$

$$\begin{pmatrix} \tilde{m} \\ \tilde{n} \end{pmatrix} = \text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right]$$

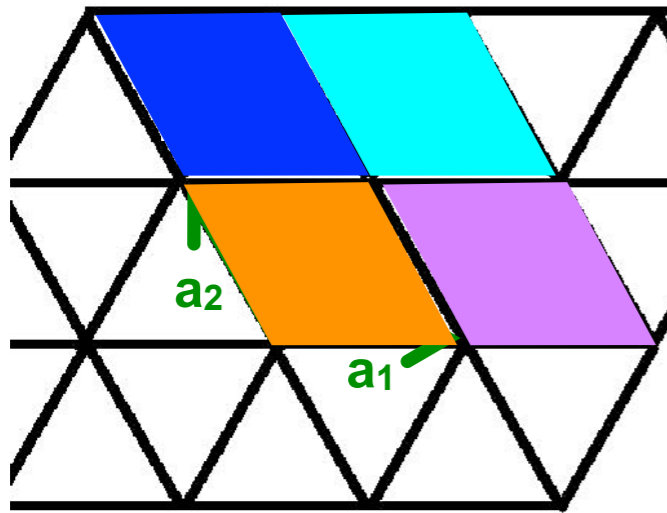
$$\text{Div} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 2 \\ 0 \end{pmatrix}, 3 \right] = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\text{Flavor} = \text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}, 3 \right]$$

$$\text{Mod} \left[\begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 2 \\ 0 \end{pmatrix}, 3 \right] = \begin{pmatrix} 2 \\ 2 \end{pmatrix} \text{ (green)}$$

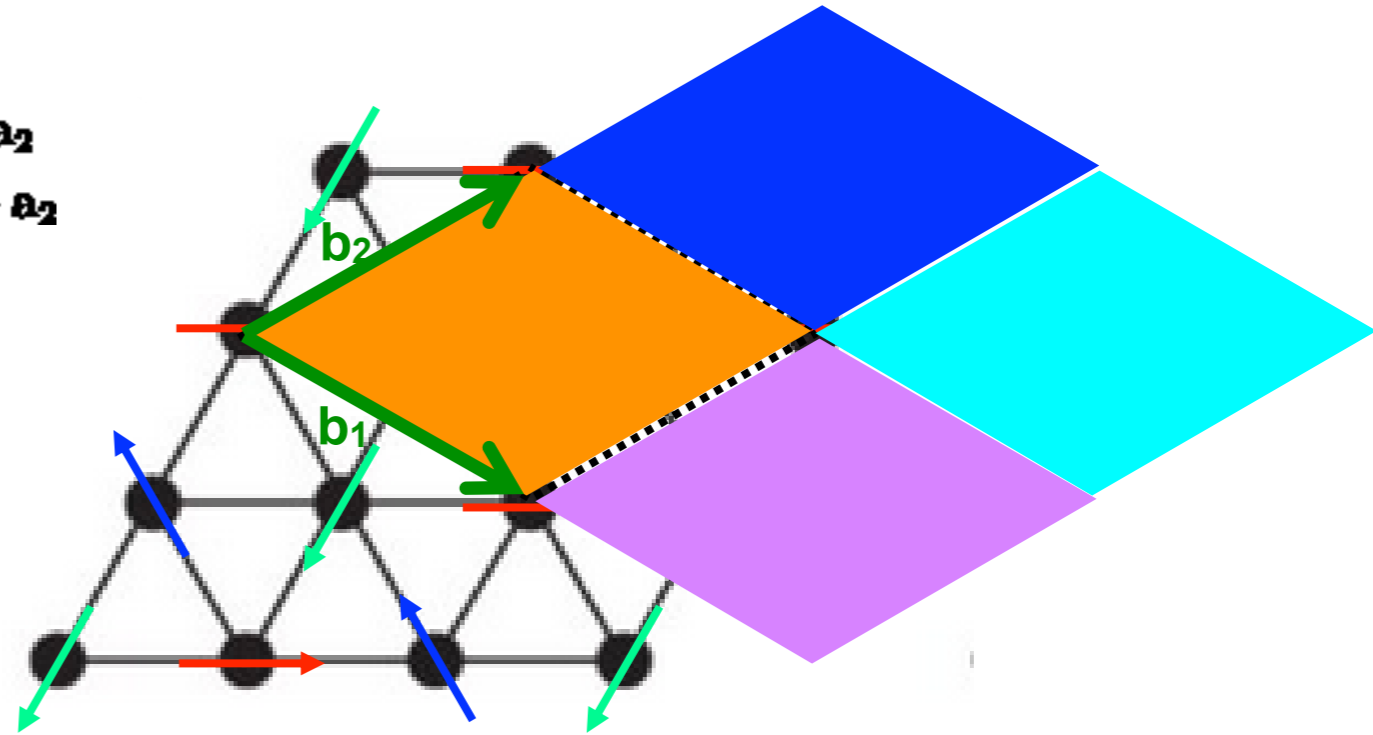
Going between different cells

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$



$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} c_{\mathbf{R}}^\dagger$$

$$c_{\mathbf{R}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{R}} c_{\mathbf{k}}^\dagger$$

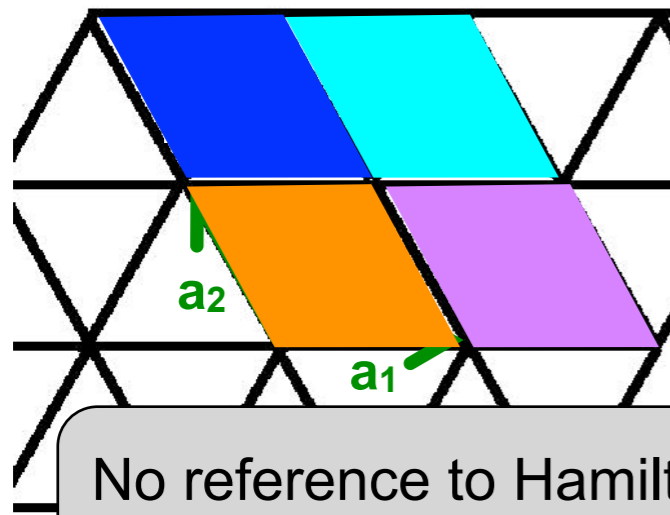
$$r_{\mathbf{k}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\bar{\mathbf{R}}} e^{i\bar{\mathbf{k}}\cdot\bar{\mathbf{R}}} r_{\bar{\mathbf{R}}}^\dagger$$

$$r_{\bar{\mathbf{R}}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\bar{\mathbf{k}}} e^{-i\bar{\mathbf{k}}\cdot\bar{\mathbf{R}}} r_{\bar{\mathbf{k}}}^\dagger$$

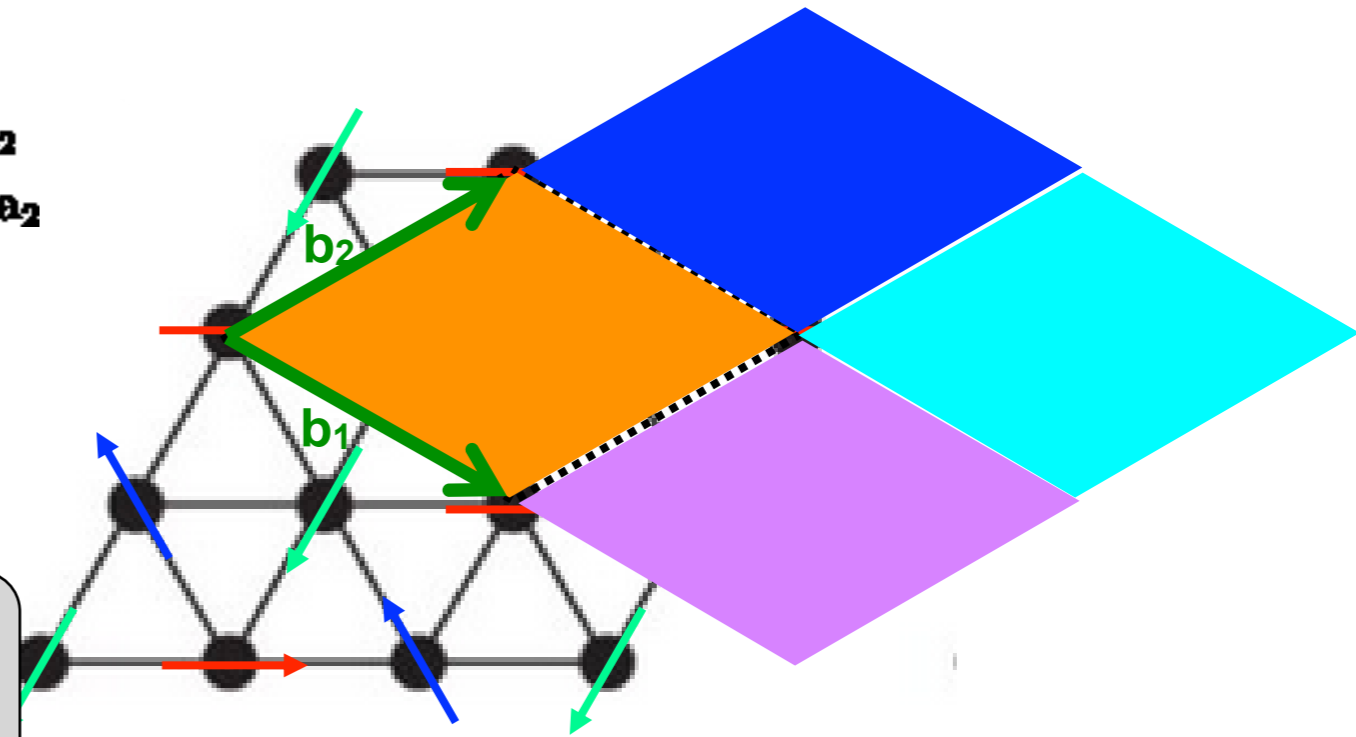
$$\sum_{\mathbf{R}} f(\mathbf{R}) c_{\mathbf{R}}^\dagger = \sum_{\bar{\mathbf{R}}} \left(f[\mathbf{R}(\bar{\mathbf{R}})] r_{\bar{\mathbf{R}}}^\dagger + f[\mathbf{R}(\bar{\mathbf{R}}) + \mathbf{a}_1] b_{\bar{\mathbf{R}}}^\dagger + f[\mathbf{R}(\bar{\mathbf{R}}) + 2\mathbf{a}_1] g_{\bar{\mathbf{R}}}^\dagger \right)$$

Going between different cells

$$H = t \sum_{\mathbf{R}} \left(c_{\mathbf{R}+(1,0)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(0,1)}^\dagger c_{\mathbf{R}} + c_{\mathbf{R}+(1,1)}^\dagger c_{\mathbf{R}} + H.c. \right)$$



$$\begin{aligned} \mathbf{b}_1 &= \mathbf{a}_1 - \mathbf{a}_2 \\ \mathbf{b}_2 &= 2\mathbf{a}_1 + \mathbf{a}_2 \end{aligned}$$



No reference to Hamiltonian
(lattice dimension) needed in principle

$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} e^{i\mathbf{k}\cdot\mathbf{R}} c_{\mathbf{R}}^\dagger$$

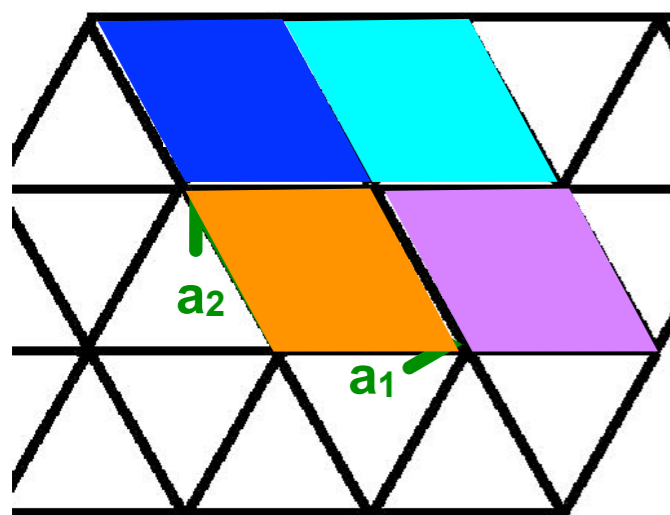
$$c_{\mathbf{R}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{R}} c_{\mathbf{k}}^\dagger$$

$$r_{\mathbf{k}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\bar{\mathbf{R}}} e^{i\bar{\mathbf{k}}\cdot\bar{\mathbf{R}}} r_{\bar{\mathbf{R}}}^\dagger$$

$$r_{\bar{\mathbf{R}}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\bar{\mathbf{k}}} e^{-i\bar{\mathbf{k}}\cdot\bar{\mathbf{R}}} r_{\bar{\mathbf{k}}}^\dagger$$

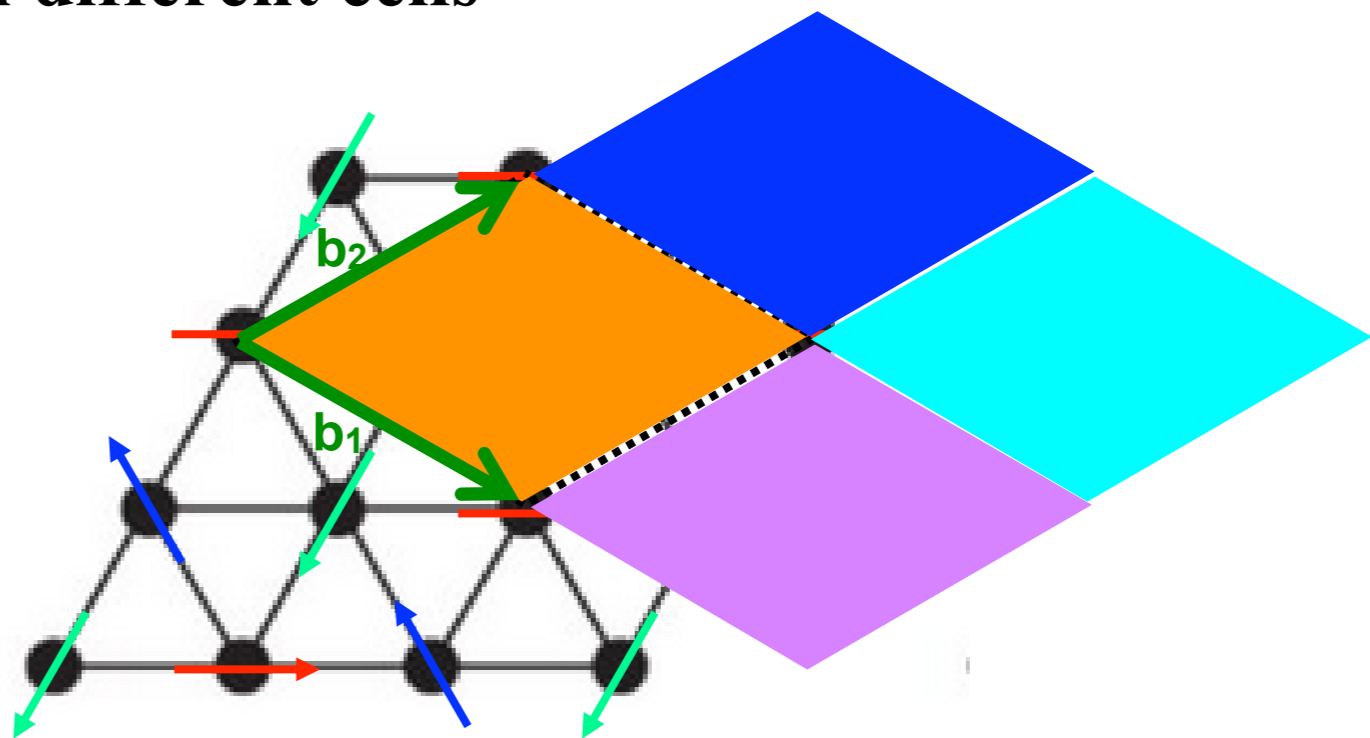
$$\sum_{\mathbf{R}} f(\mathbf{R}) c_{\mathbf{R}}^\dagger = \sum_{\bar{\mathbf{R}}} \left(f[\mathbf{R}(\bar{\mathbf{R}})] r_{\bar{\mathbf{R}}}^\dagger + f[\mathbf{R}(\bar{\mathbf{R}}) + \mathbf{a}_1] b_{\bar{\mathbf{R}}}^\dagger + f[\mathbf{R}(\bar{\mathbf{R}}) + 2\mathbf{a}_1] g_{\bar{\mathbf{R}}}^\dagger \right)$$

Going between different cells



$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$



$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{R}}^\dagger$$

$$c_{\mathbf{R}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{k}}^\dagger$$

$$r_{\mathbf{k}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\tilde{\mathbf{R}}} e^{i\mathbf{k} \cdot \tilde{\mathbf{R}}} r_{\tilde{\mathbf{R}}}^\dagger$$

$$r_{\tilde{\mathbf{R}}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k} \cdot \tilde{\mathbf{R}}} r_{\mathbf{k}}^\dagger$$

$$\sum_{\mathbf{R}} f(\mathbf{R}) c_{\mathbf{R}}^\dagger = \sum_{\tilde{\mathbf{R}}} \left(f[\mathbf{R}(\tilde{\mathbf{R}})] r_{\tilde{\mathbf{R}}}^\dagger + f[\mathbf{R}(\tilde{\mathbf{R}}) + \mathbf{a}_1] b_{\tilde{\mathbf{R}}}^\dagger + f[\mathbf{R}(\tilde{\mathbf{R}}) + 2\mathbf{a}_1] g_{\tilde{\mathbf{R}}}^\dagger \right)$$

$$\sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{R}}^\dagger = \sum_{\tilde{\mathbf{R}}} e^{i\mathbf{k} \cdot \mathbf{R}(\tilde{\mathbf{R}})} \left(r_{\tilde{\mathbf{R}}}^\dagger + e^{i\mathbf{k} \cdot \mathbf{a}_1} b_{\tilde{\mathbf{R}}}^\dagger + e^{2i\mathbf{k} \cdot \mathbf{a}_1} g_{\tilde{\mathbf{R}}}^\dagger \right)$$

$$\sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{R}}^\dagger = \sum_{\tilde{\mathbf{R}}} e^{i\bar{\mathbf{k}}(\mathbf{k}) \cdot \tilde{\mathbf{R}}} \left(r_{\tilde{\mathbf{R}}}^\dagger + e^{i\bar{k}_1} b_{\tilde{\mathbf{R}}}^\dagger + e^{2i\bar{k}_1} g_{\tilde{\mathbf{R}}}^\dagger \right)$$

$$\bar{k}_1 = k_1 - k_2$$

$$\bar{k}_2 = 2k_1 + k_2$$

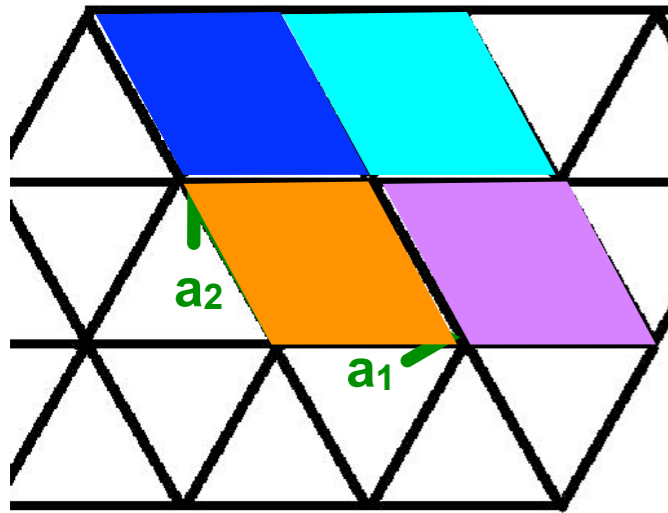
$$\tilde{\mathbf{R}} \equiv m\mathbf{b}_1 + n\mathbf{b}_2$$

$$= (2n + m)\mathbf{a}_1 + (n - m)\mathbf{a}_2 \equiv \mathbf{R}(\tilde{\mathbf{R}})$$

$$\mathbf{k} \cdot \mathbf{R}(\tilde{\mathbf{R}}) \equiv (2n + m)k_1 + (n - m)k_2$$

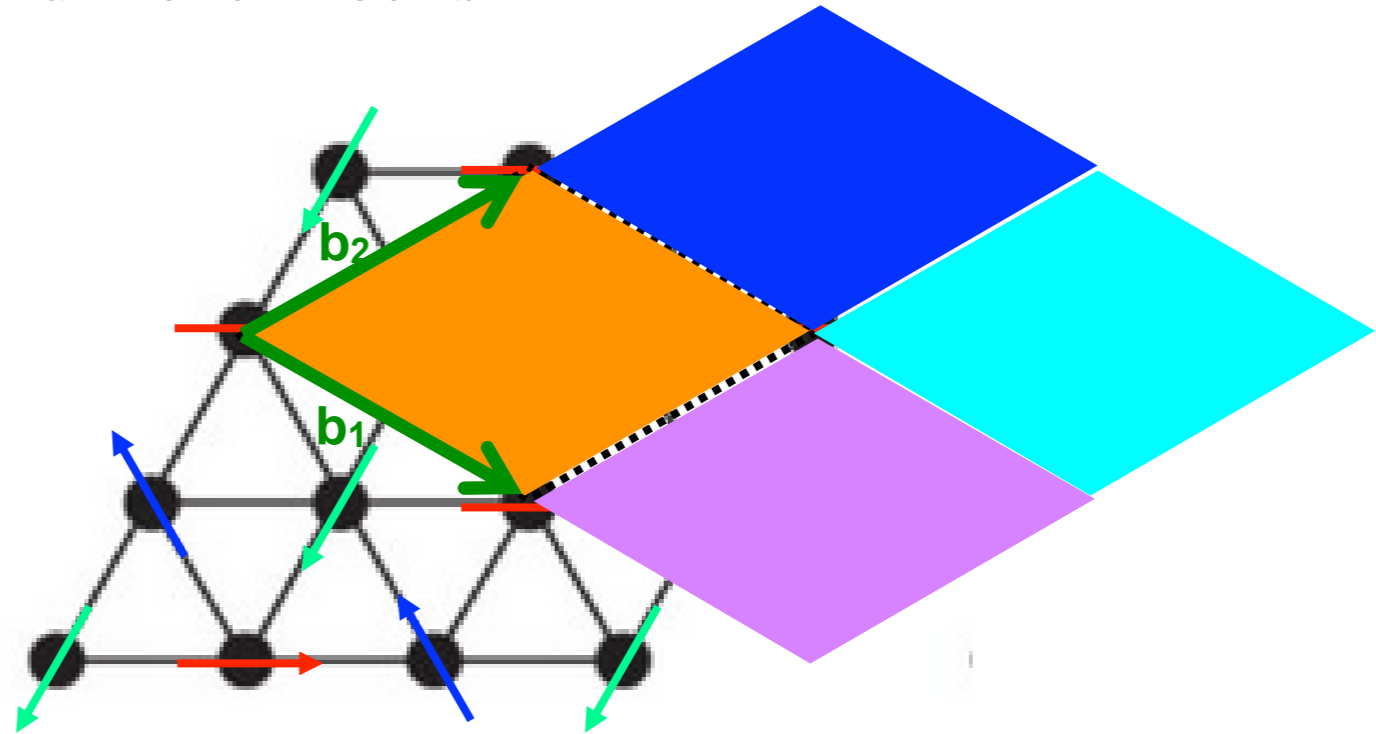
$$= m(k_1 - k_2) + n(2k_1 + k_2) \equiv m\bar{k}_1 + n\bar{k}_2$$

Going between different cells



$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$



$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{R}}^\dagger$$

$$c_{\mathbf{R}}^\dagger = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{k}}^\dagger$$

$$r_{\mathbf{k}}^\dagger = \sqrt{\frac{3}{N}} \sum_{\bar{\mathbf{R}}} e^{i\mathbf{k} \cdot \bar{\mathbf{R}}} r_{\bar{\mathbf{R}}}^\dagger$$

Strictly speaking we are working with covariant and contravariant tensors: $k^\alpha R_\alpha$

$$\sum_{\mathbf{R}} f(\mathbf{R}) c_{\mathbf{R}}^\dagger = \sum_{\bar{\mathbf{R}}} \left(f[\mathbf{R}(\bar{\mathbf{R}})] r_{\bar{\mathbf{R}}}^\dagger + f[\mathbf{R}(\bar{\mathbf{R}}) + \mathbf{a}_1] b_{\bar{\mathbf{R}}}^\dagger + f[\mathbf{R}(\bar{\mathbf{R}}) + 2\mathbf{a}_1] g_{\bar{\mathbf{R}}}^\dagger \right)$$

$$\sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{R}}^\dagger = \sum_{\bar{\mathbf{R}}} e^{i\mathbf{k} \cdot \mathbf{R}(\bar{\mathbf{R}})} \left(r_{\bar{\mathbf{R}}}^\dagger + e^{i\mathbf{k} \cdot \mathbf{a}_1} b_{\bar{\mathbf{R}}}^\dagger + e^{2i\mathbf{k} \cdot \mathbf{a}_1} g_{\bar{\mathbf{R}}}^\dagger \right)$$

$$\sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}} c_{\mathbf{R}}^\dagger = \sum_{\bar{\mathbf{R}}} e^{i\bar{\mathbf{k}}(\mathbf{k}) \cdot \bar{\mathbf{R}}} \left(r_{\bar{\mathbf{R}}}^\dagger + e^{i\bar{k}_1} b_{\bar{\mathbf{R}}}^\dagger + e^{2i\bar{k}_1} g_{\bar{\mathbf{R}}}^\dagger \right)$$

$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{3}} \left(r_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{i\bar{k}_1} b_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{2i\bar{k}_1} g_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger \right)$$

$$\bar{k}_1 = k_1 - k_2$$

$$\bar{k}_2 = 2k_1 + k_2$$

$$\bar{\mathbf{R}} = m\mathbf{b}_1 + n\mathbf{b}_2$$

$$= (2n + m)\mathbf{a}_1 + (n - m)\mathbf{a}_2 \equiv \mathbf{R}(\bar{\mathbf{R}})$$

$$\mathbf{k} \cdot \mathbf{R}(\bar{\mathbf{R}}) \equiv (2n + m)k_1 + (n - m)k_2$$

$$= m(k_1 - k_2) + n(2k_1 + k_2) \equiv m\bar{k}_1 + n\bar{k}_2$$

Spectral function

$$\begin{aligned} \langle b; a^\dagger \rangle_\omega \equiv A_{ba}(\omega) &= \sum_m \langle 0|b|m\rangle \langle m|a^\dagger|0\rangle \delta(\omega - E_m + E_0) \\ &+ \sum_{m'} \langle 0|a^\dagger|m'\rangle \langle m'|b|0\rangle \delta(\omega + E_{m'} - E_0) \\ &= \sum_{n,k} \langle \varphi_b | n, k \rangle \langle n, k | \varphi_a \rangle \delta(\omega - \epsilon_{n,k}) \end{aligned}$$

many-body (general) formalism

non-interacting electrons (1p functions)

$$\langle c_{\mathbf{k}}; c_{\mathbf{k}}^\dagger \rangle_\omega = ?$$

$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{3}} \left(r_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{i\bar{k}_1} b_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{2i\bar{k}_1} g_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger \right)$$

$$\begin{aligned} \bar{k}_1 &= k_1 - k_2 \\ \bar{k}_2 &= 2k_1 + k_2 \end{aligned}$$

$$\begin{aligned} \langle c_{\mathbf{k}}; c_{\mathbf{k}}^\dagger \rangle_\omega &= \frac{1}{3} \left(\langle r_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{i\bar{k}_1} \langle r_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{2i\bar{k}_1} \langle r_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \right. \\ &+ e^{-i\bar{k}_1} \langle b_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + \langle b_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{i\bar{k}_1} \langle b_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \\ &\left. + e^{-2i\bar{k}_1} \langle g_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{-i\bar{k}_1} \langle g_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + \langle g_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \right) \end{aligned}$$

k-diagonal elements of object, which has also off-diagonal (kk') elements

Spectral function

$$\begin{aligned} \langle b; a^\dagger \rangle_\omega \equiv A_{ba}(\omega) &= \sum_m \langle 0|b|m\rangle \langle m|a^\dagger|0\rangle \delta(\omega - E_m + E_0) \\ &+ \sum_{m'} \langle 0|a^\dagger|m'\rangle \langle m'|b|0\rangle \delta(\omega + E_{m'} - E_0) \\ &= \sum_{n,k} \langle \varphi_b | n, k \rangle \langle n, k | \varphi_a \rangle \delta(\omega - \epsilon_{n,k}) \end{aligned}$$

many-body (general) formalism

non-interacting electrons (1p functions)

$$\langle c_{\mathbf{k}}; c_{\mathbf{k}}^\dagger \rangle_\omega = ?$$

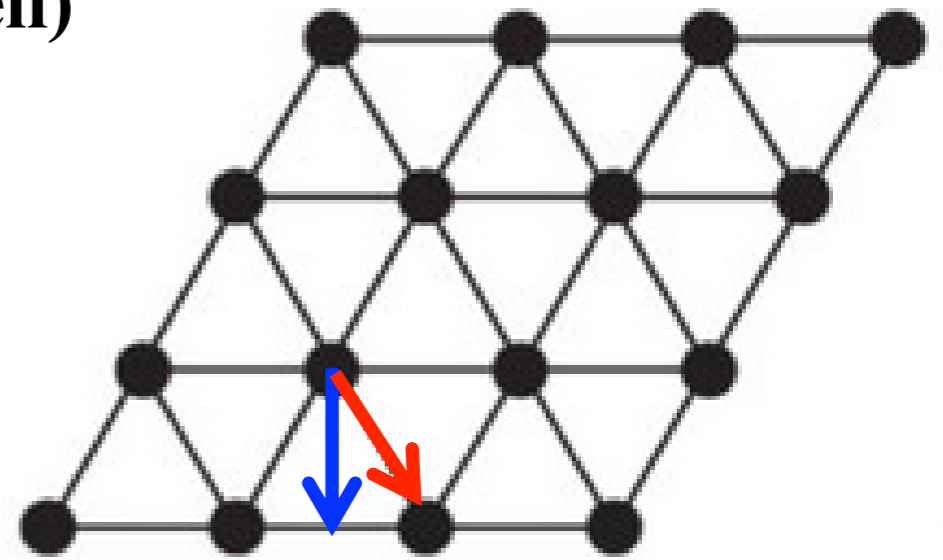
$$c_{\mathbf{k}}^\dagger = \frac{1}{\sqrt{3}} \left(r_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{i\bar{k}_1} b_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger + e^{2i\bar{k}_1} g_{\bar{\mathbf{k}}(\mathbf{k})}^\dagger \right)$$

$$\begin{aligned} \bar{k}_1 &= k_1 - k_2 \\ \bar{k}_2 &= 2k_1 + k_2 \end{aligned}$$

$$\begin{aligned} \langle c_{\mathbf{k}}; c_{\mathbf{k}}^\dagger \rangle_\omega &= \frac{1}{3} \left(\langle r_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{i\bar{k}_1} \langle r_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{2i\bar{k}_1} \langle r_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \right. \\ &+ e^{-i\bar{k}_1} \langle b_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + \langle b_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{i\bar{k}_1} \langle b_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \\ &\left. + e^{-2i\bar{k}_1} \langle g_{\bar{\mathbf{k}}}; r_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + e^{-i\bar{k}_1} \langle g_{\bar{\mathbf{k}}}; b_{\bar{\mathbf{k}}}^\dagger \rangle_\omega + \langle g_{\bar{\mathbf{k}}}; g_{\bar{\mathbf{k}}}^\dagger \rangle_\omega \right) \end{aligned}$$

k-diagonal elements of object,
which has also off-diagonal (kk')
elements

Bandstructure (3-site unit cell)

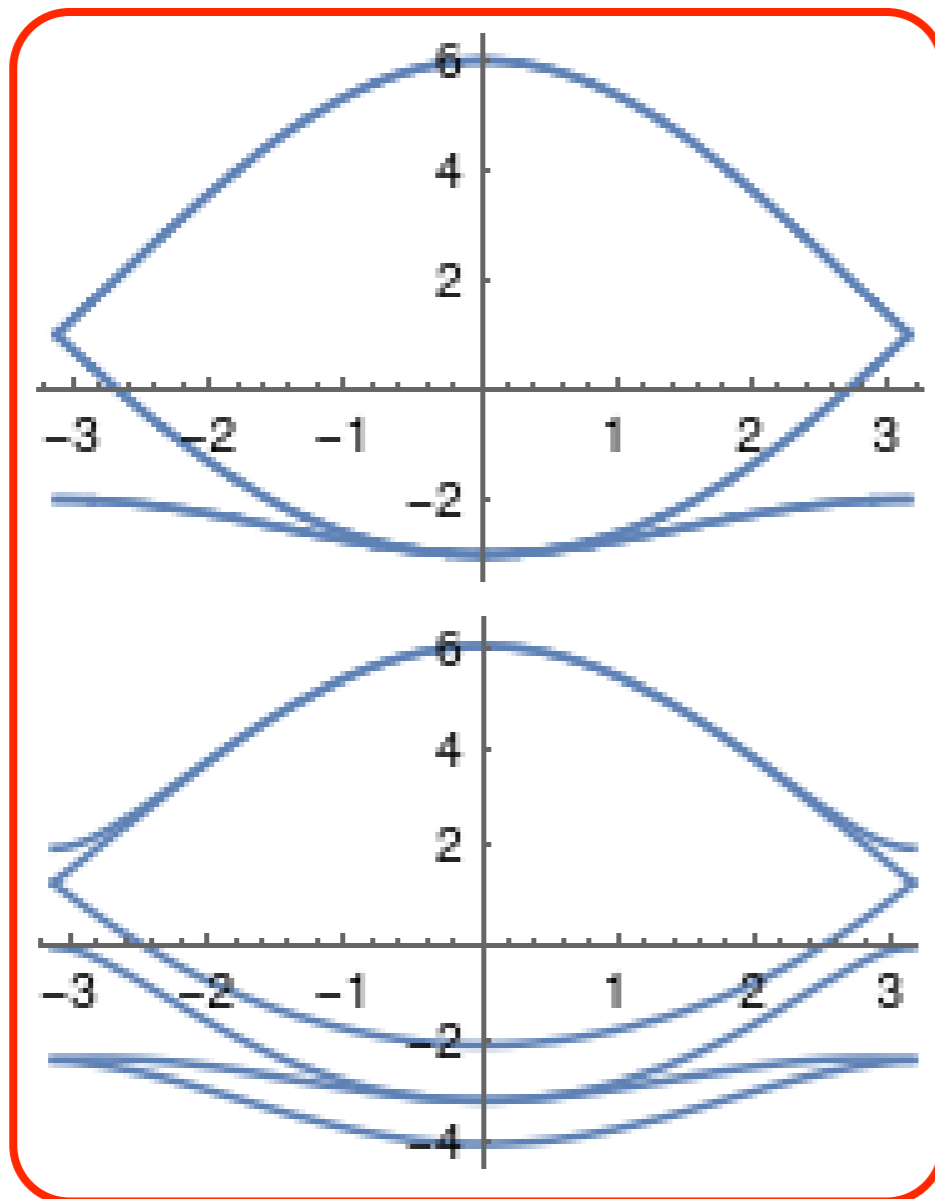


$k=(x,0)$

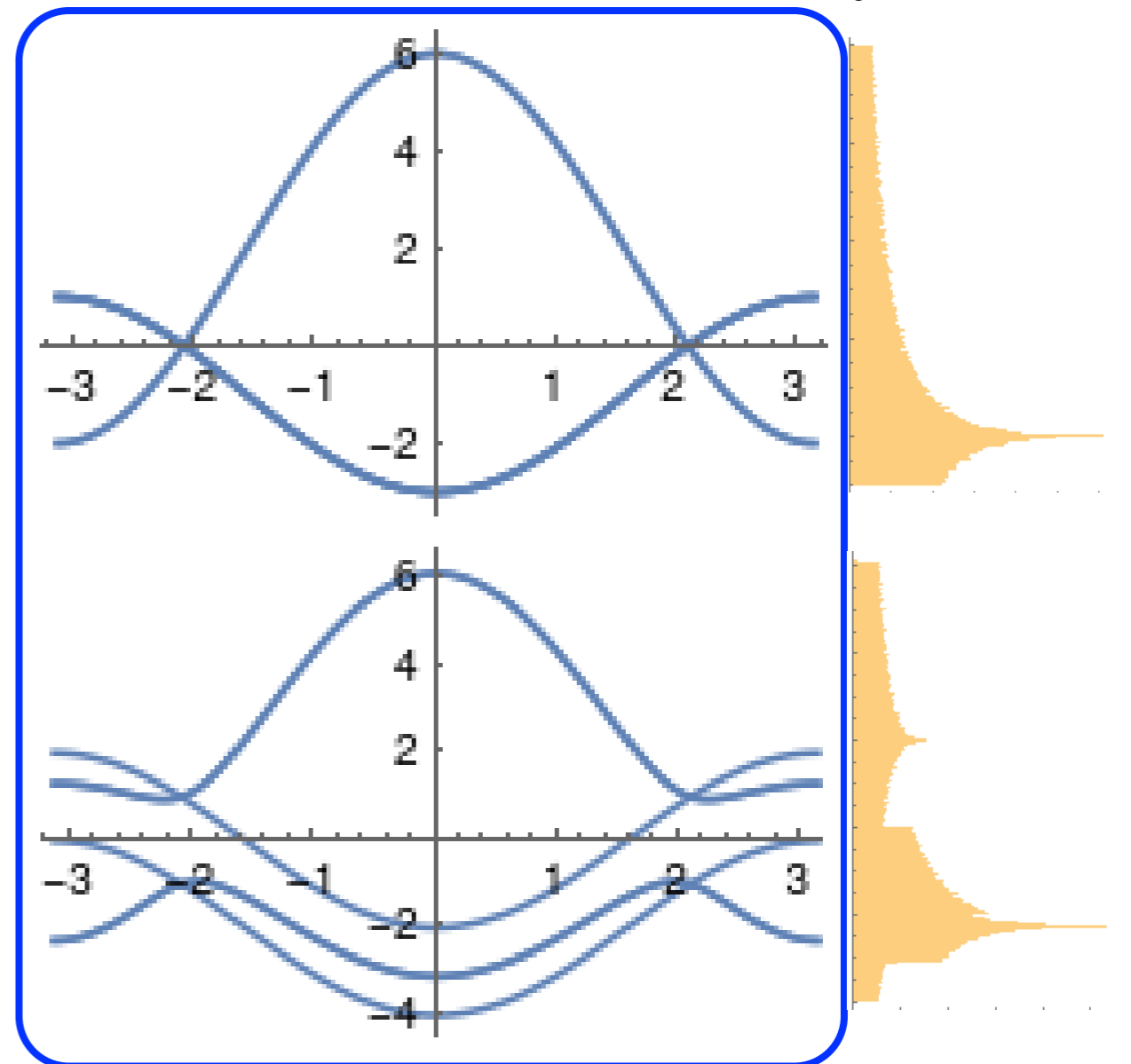
$k=(x,-x)$

Density of states

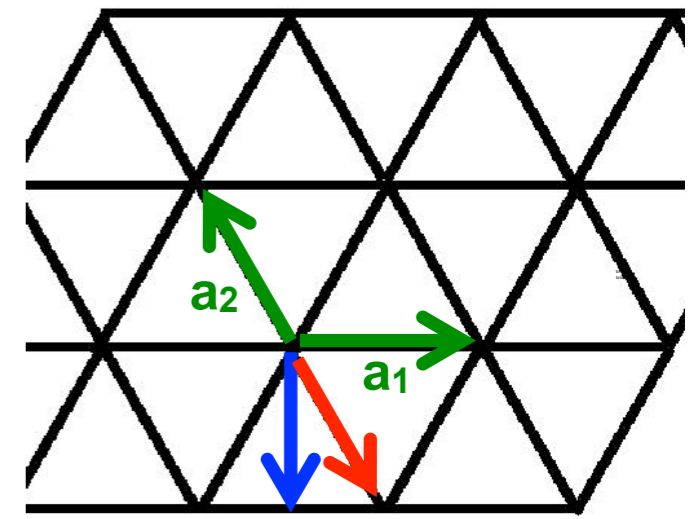
$b=0$



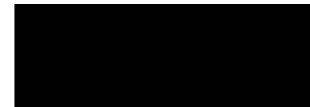
$b=1$



Bandstructure (1-site unit cell)



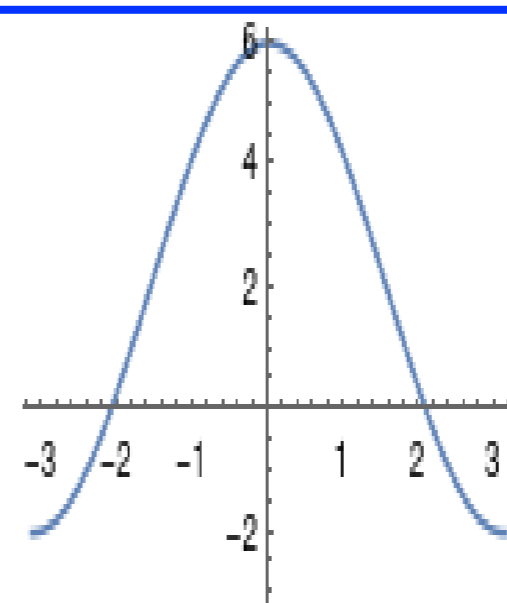
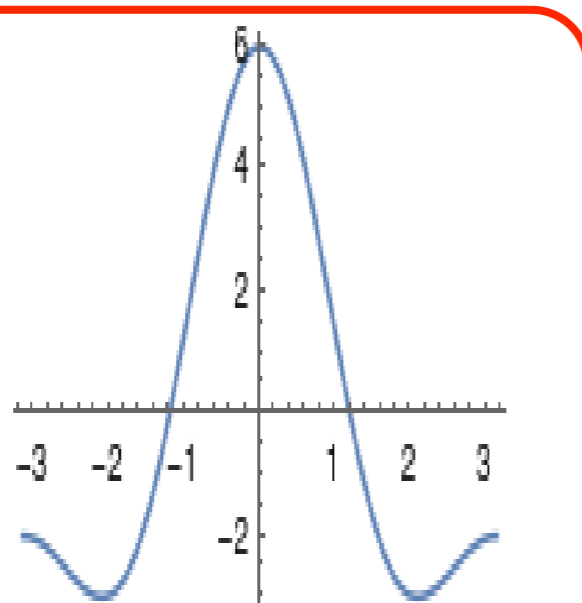
$b=0$



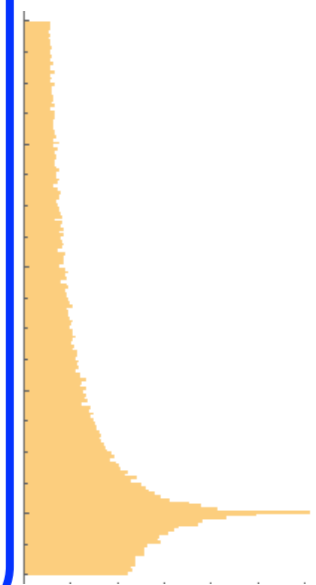
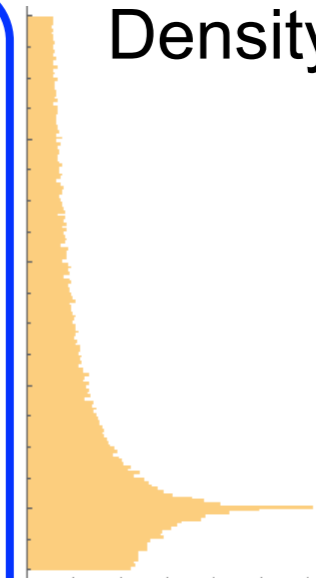
$k=(x,x)$

$k=(x,0)$

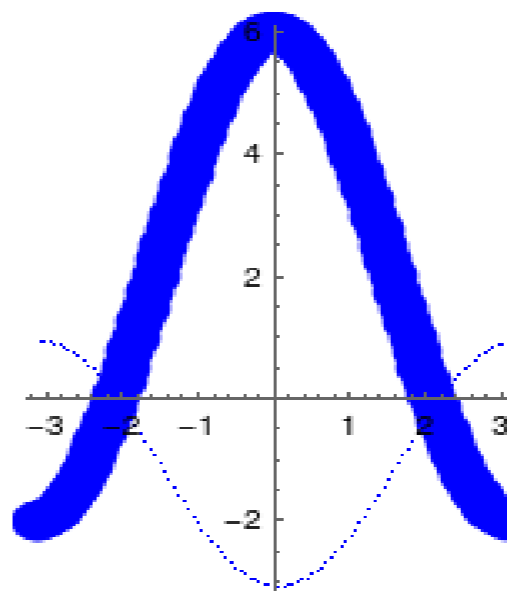
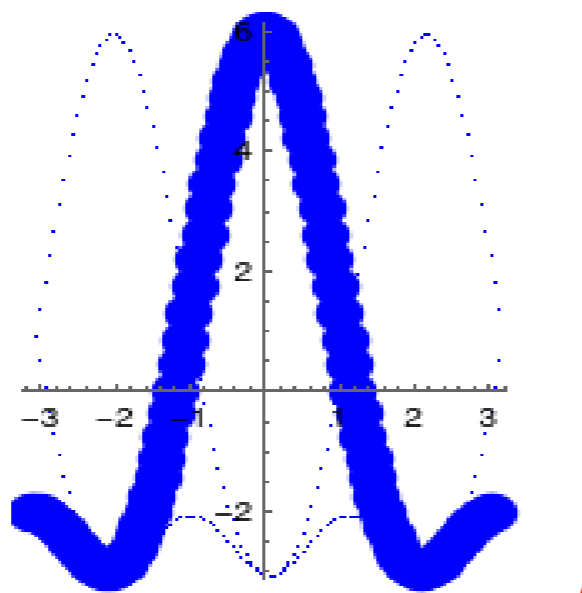
1-site cell



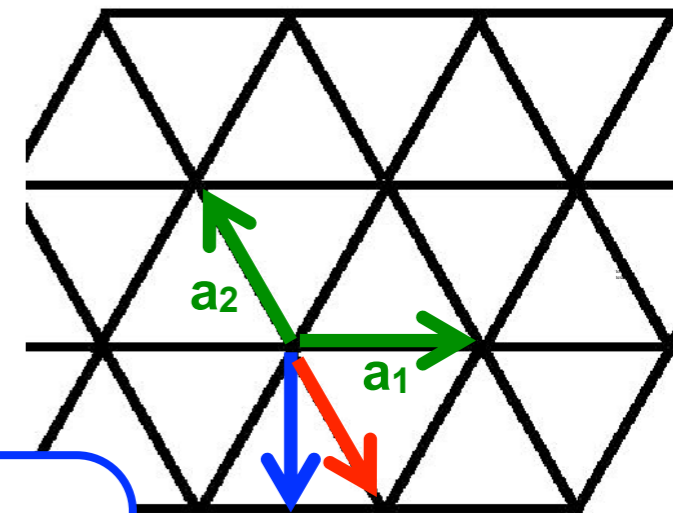
Density of states



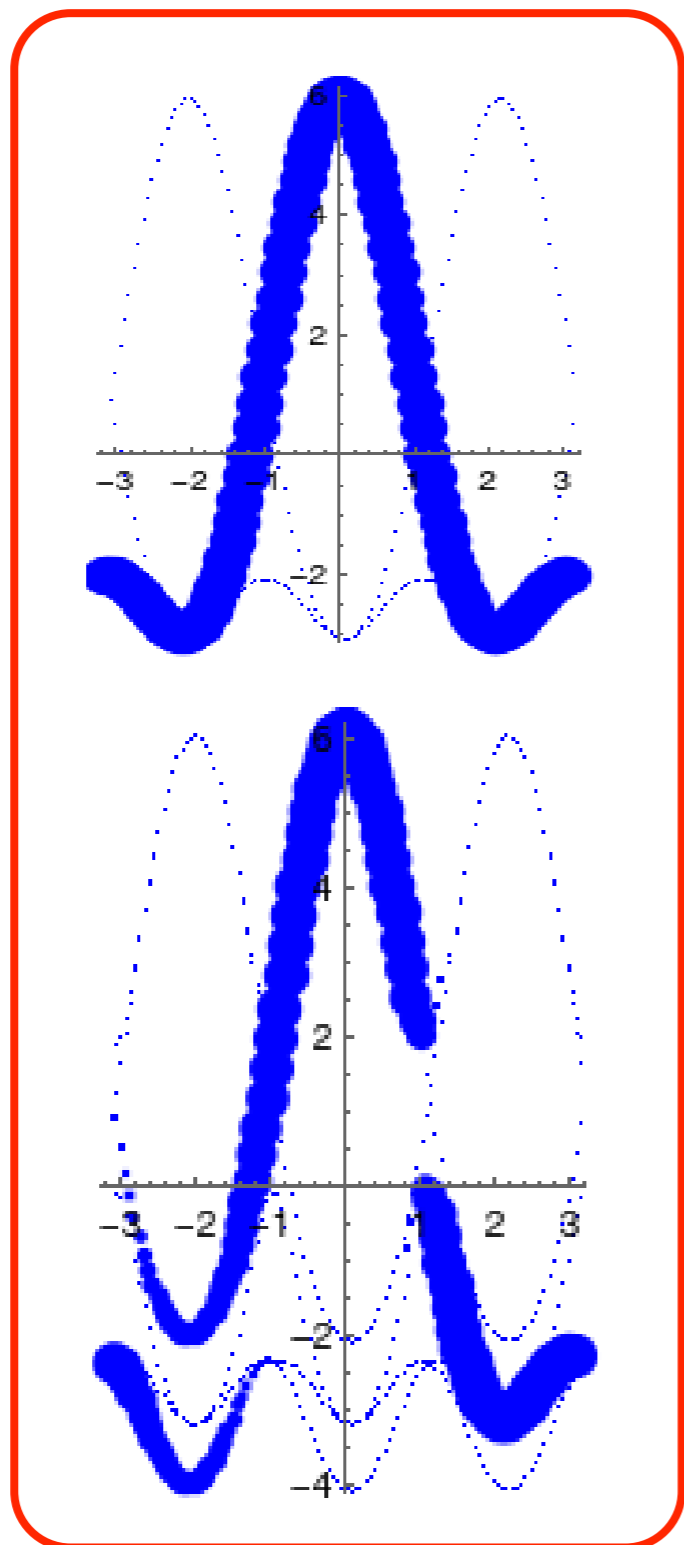
3-site cell unfolded



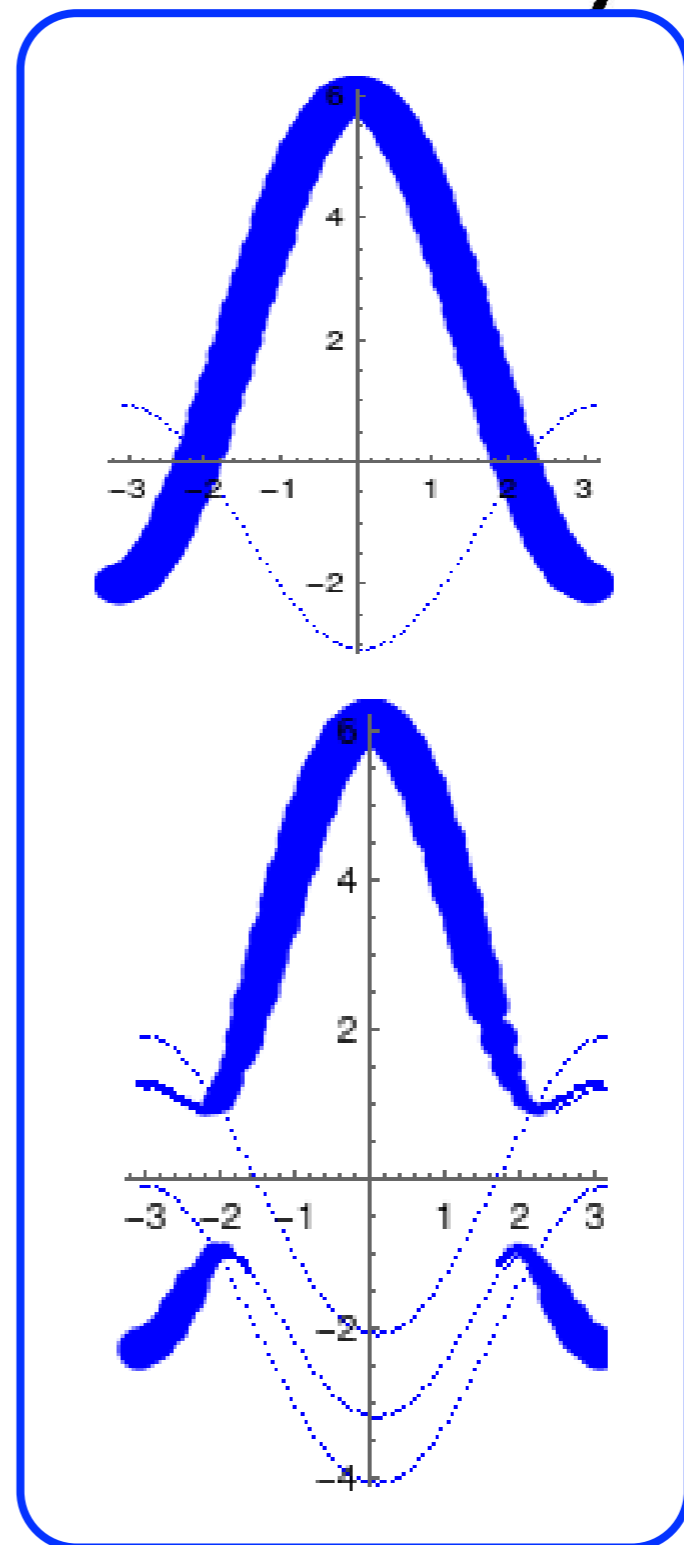
Bandstructure (1-site unit cell)



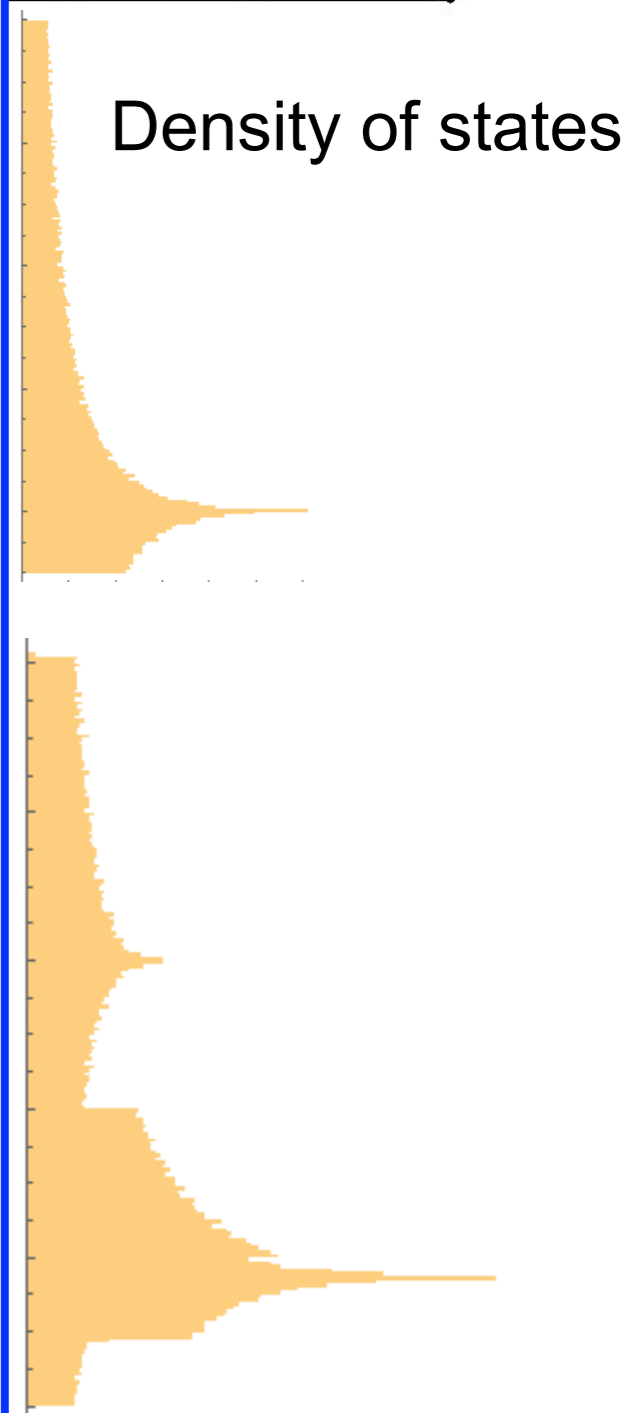
$b=0$



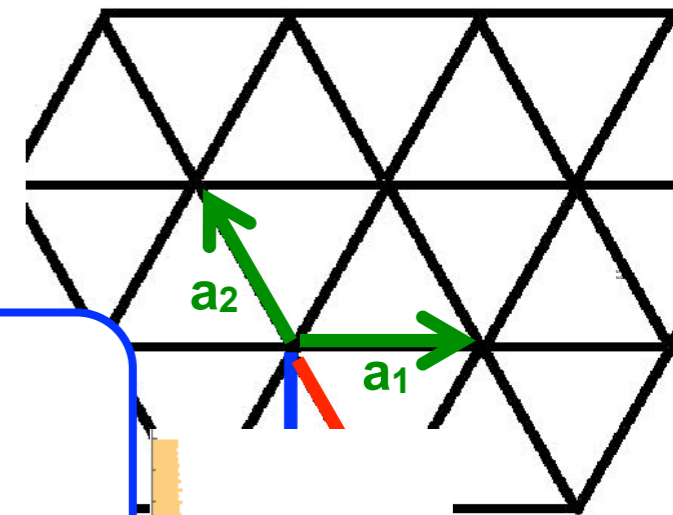
$b=1$



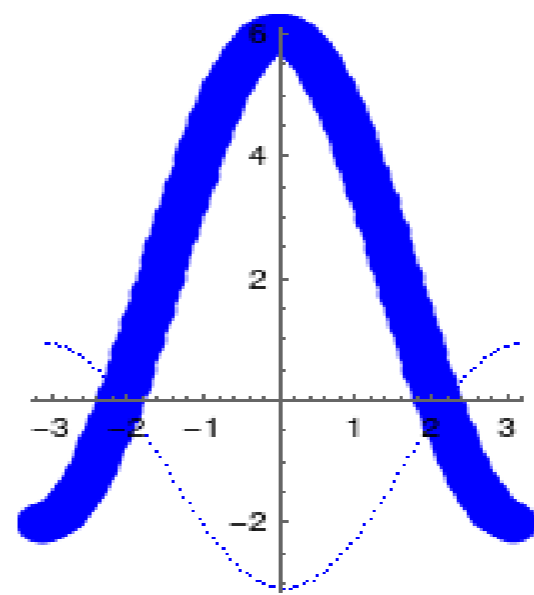
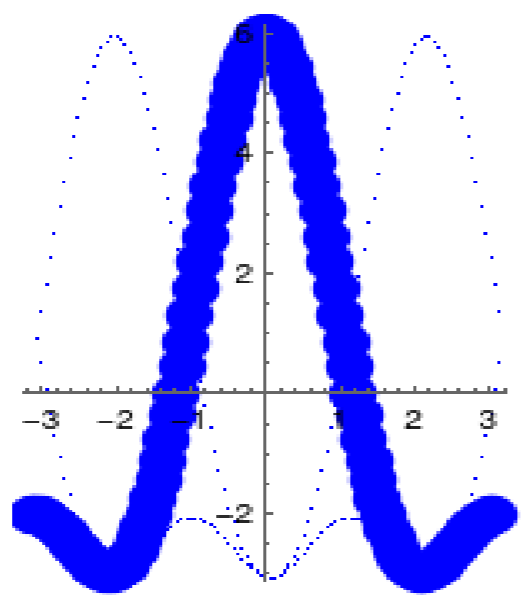
Density of states



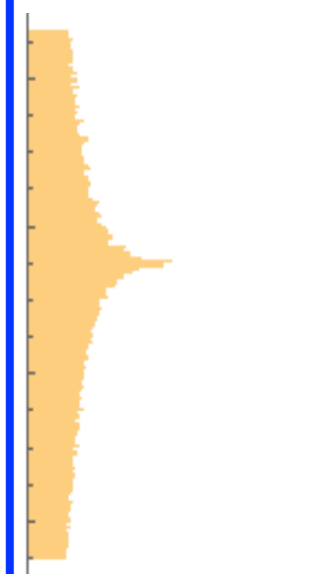
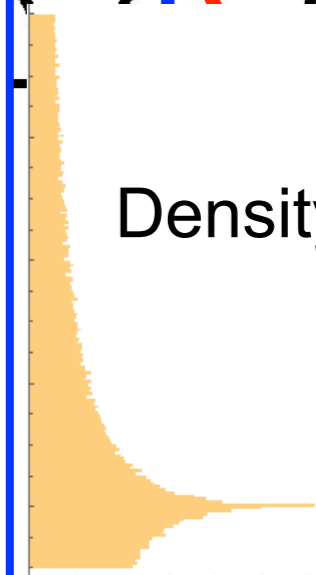
Bandstructure (1-site unit cell)



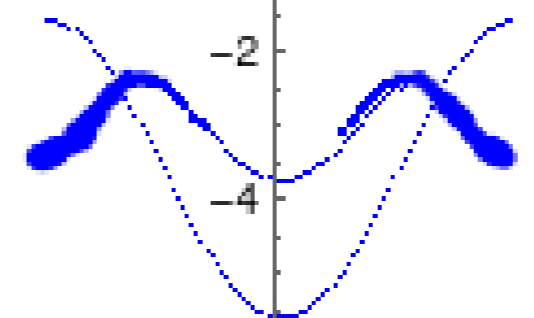
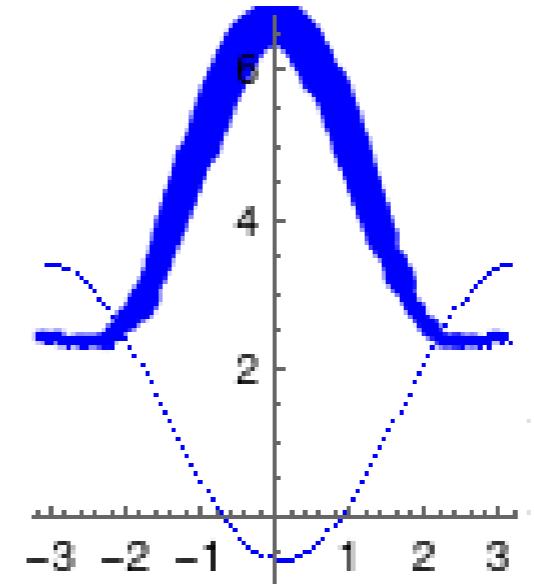
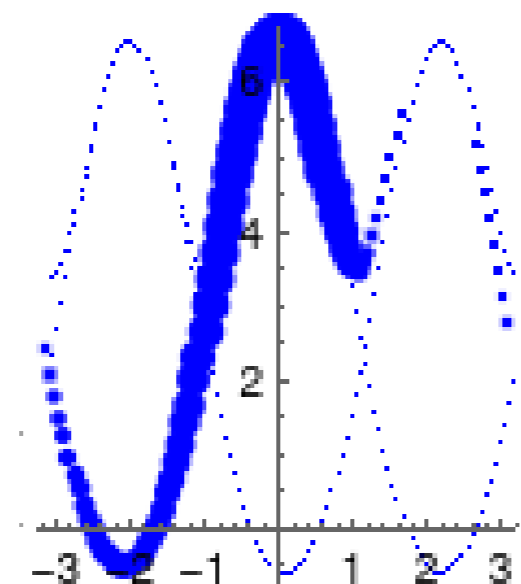
$b=0$



Density of states



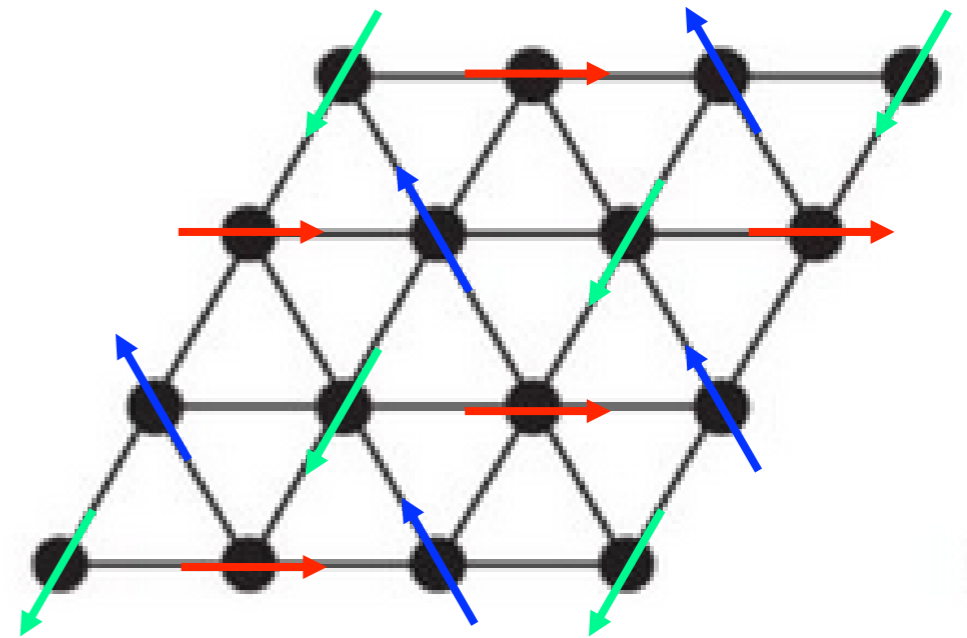
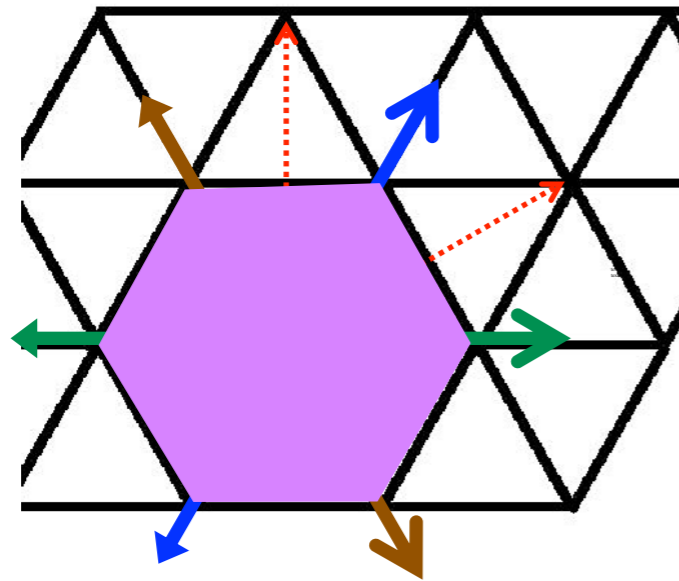
$b=2.5$



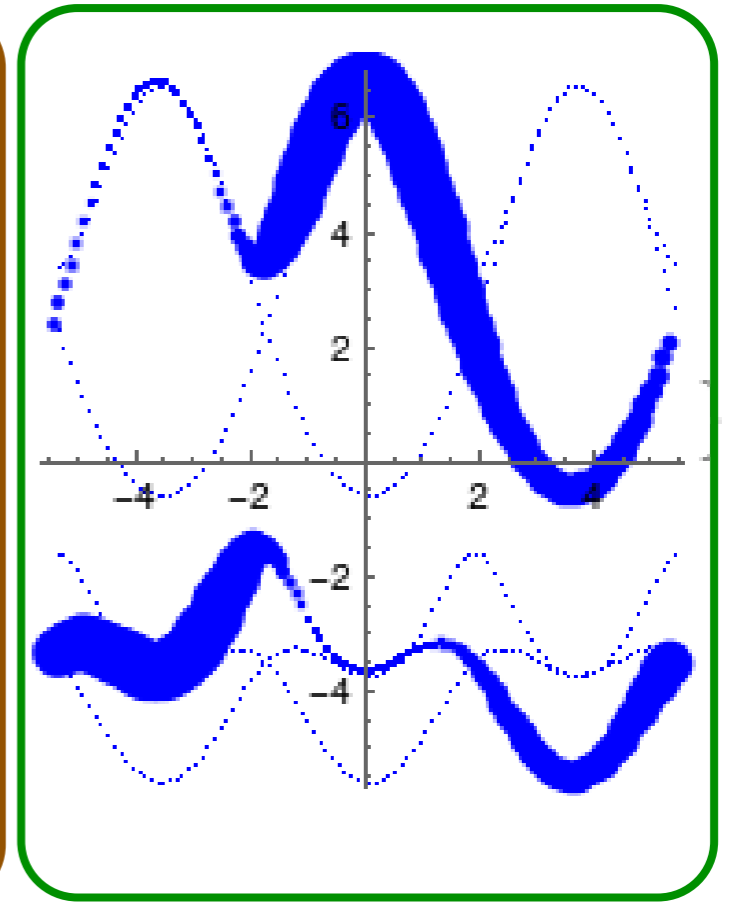
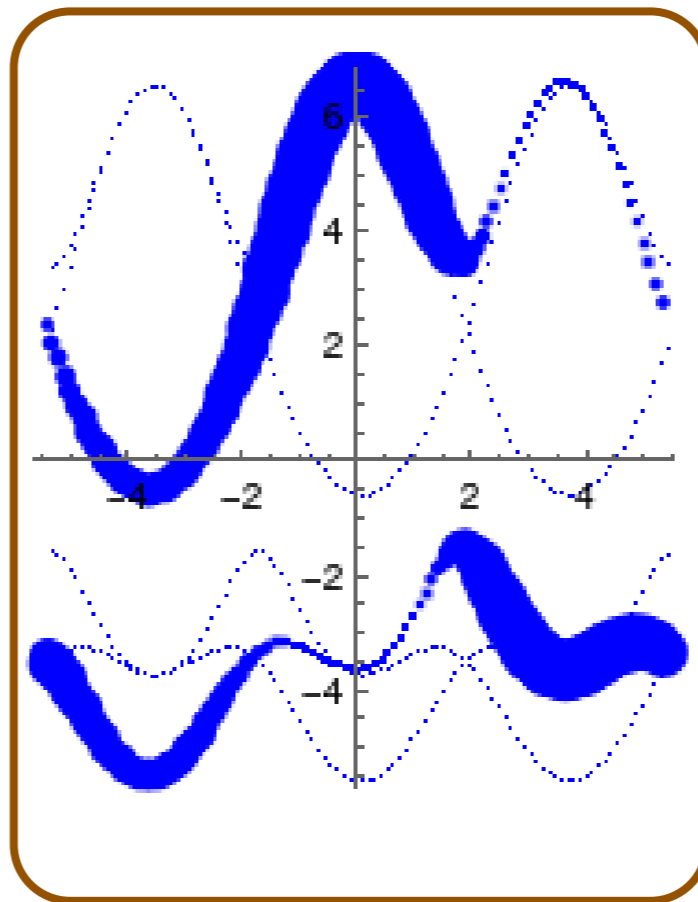
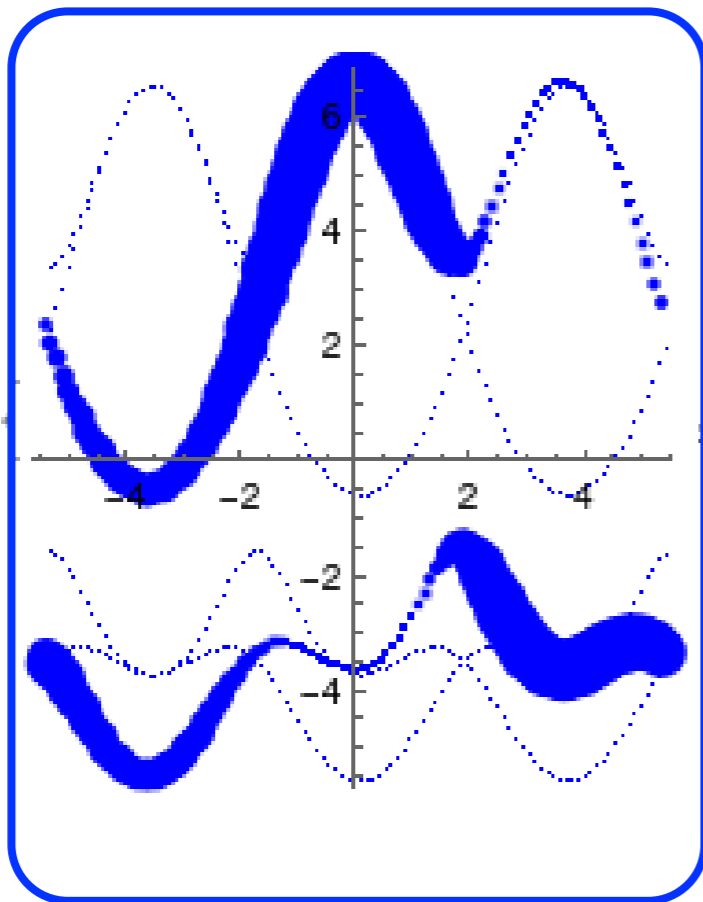
Symmetry and asymmetry (1-site unit cell)



k-space:



$b=2.5$



Brillouin zone folding

basis vectors:

$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

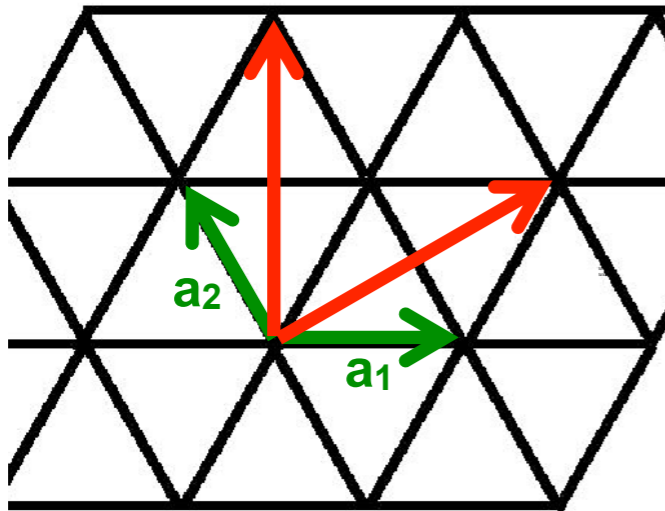
$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$

k-vectors coordinates:

$$\bar{k}_1 = k_1 - k_2$$

$$\bar{k}_2 = 2k_1 + k_2$$

1-atom unit cell:



The reciprocal lattice is also triangular

Brillouin zone folding

basis vectors:

$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

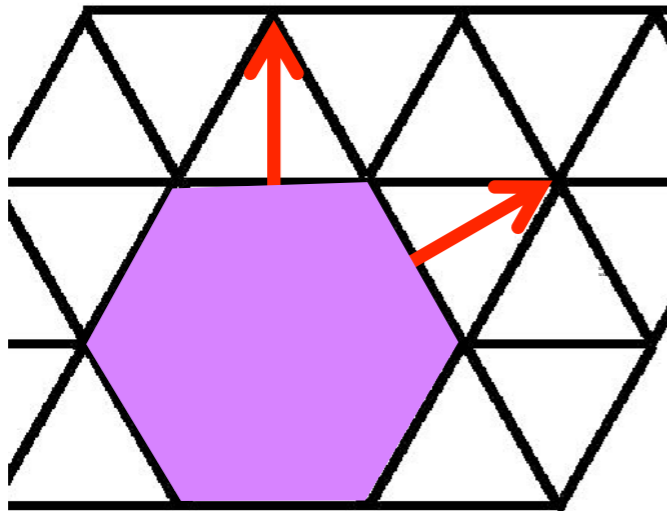
$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$

k-vectors coordinates:

$$\bar{k}_1 = k_1 - k_2$$

$$\bar{k}_2 = 2k_1 + k_2$$

1-atom unit cell:
(k-space)



Brillouin zone

Brillouin zone folding

basis vectors:

$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

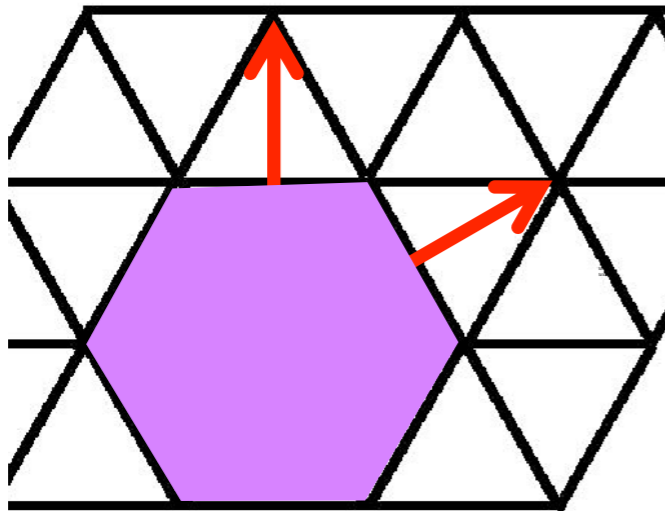
$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$

k-vectors coordinates:

$$\bar{k}_1 = k_1 - k_2$$

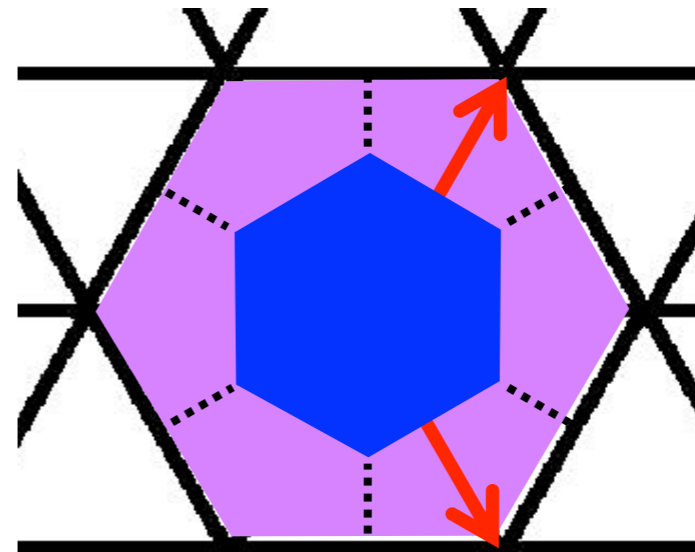
$$\bar{k}_2 = 2k_1 + k_2$$

1-atom unit cell:
(k-space)



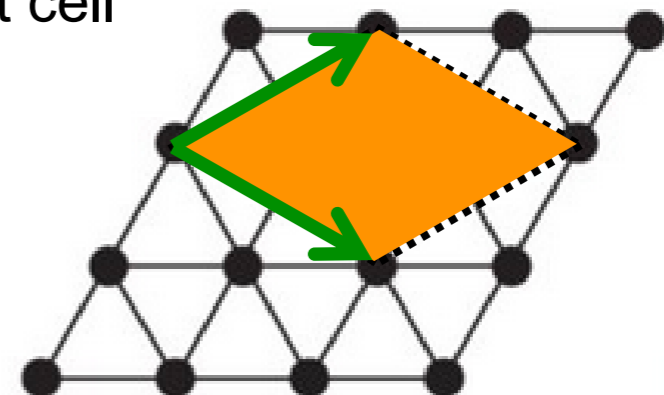
Brillouin zone

3-atom unit cell
(k-space):



The small BZ is 1/3 of the large BZ.

3-atom unit cell
(lattice):



Brillouin zone folding

basis vectors:

$$\mathbf{b}_1 = \mathbf{a}_1 - \mathbf{a}_2$$

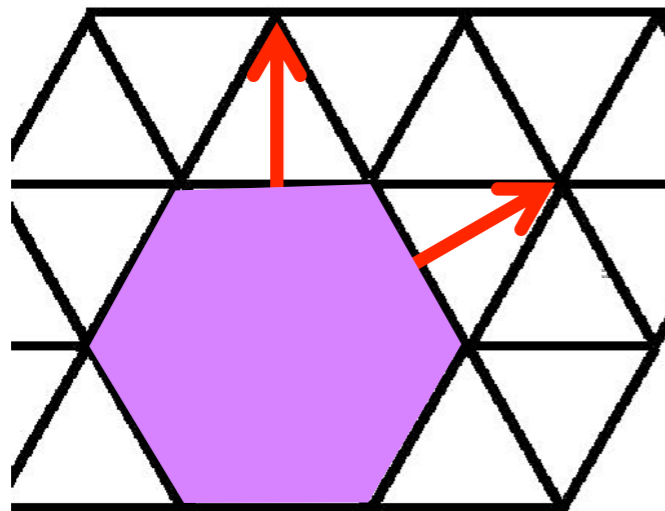
$$\mathbf{b}_2 = 2\mathbf{a}_1 + \mathbf{a}_2$$

k-vectors coordinates:

$$\bar{k}_1 = k_1 - k_2$$

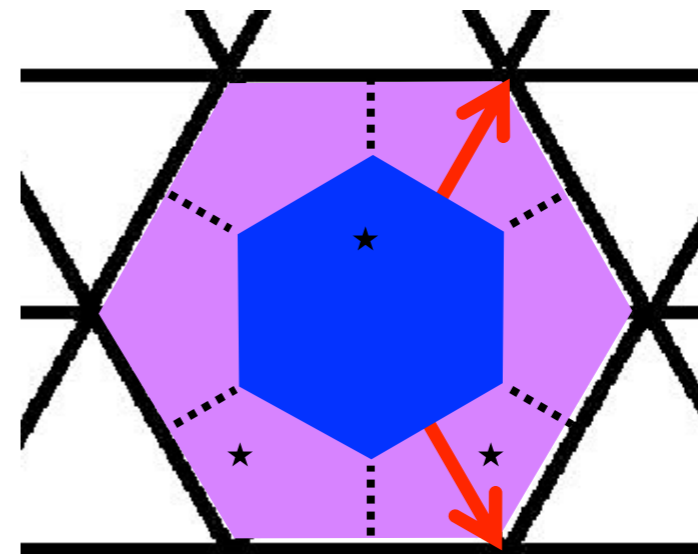
$$\bar{k}_2 = 2k_1 + k_2$$

1-atom unit cell:
(k-space)



Brillouin zone

3-atom unit cell
(k-space):



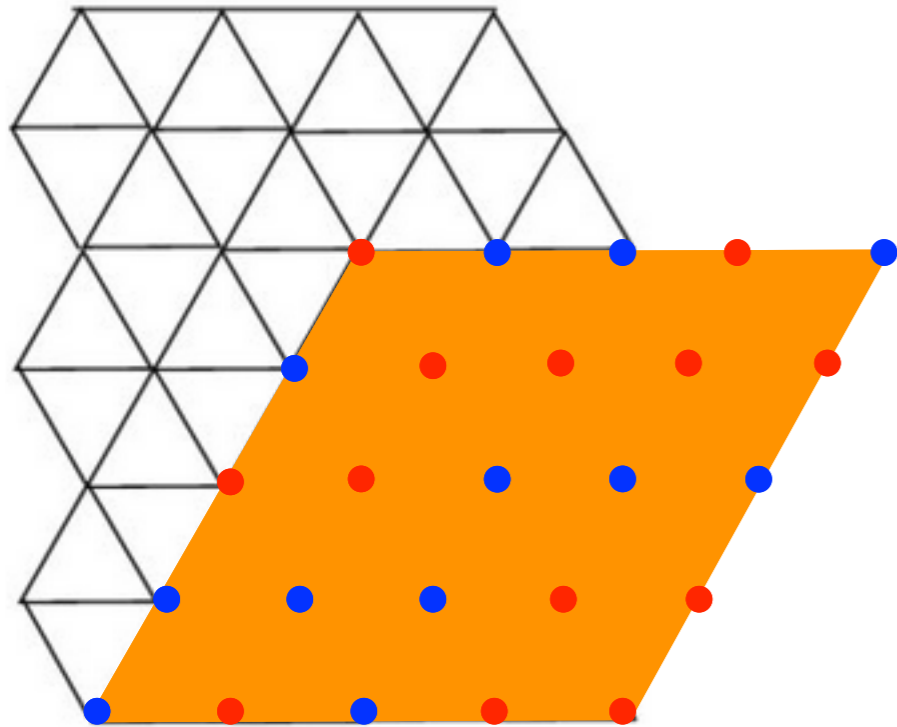
For each point in the small (blue) BZ there are 3 points in the large BZ.

Band folding: 1 \rightarrow 3 bands

Band unfolding: 3 bands (+ off diagonal elements) @ k-point in small BZ \rightarrow 3 k-points in large BZ

An alloy on triangular lattice

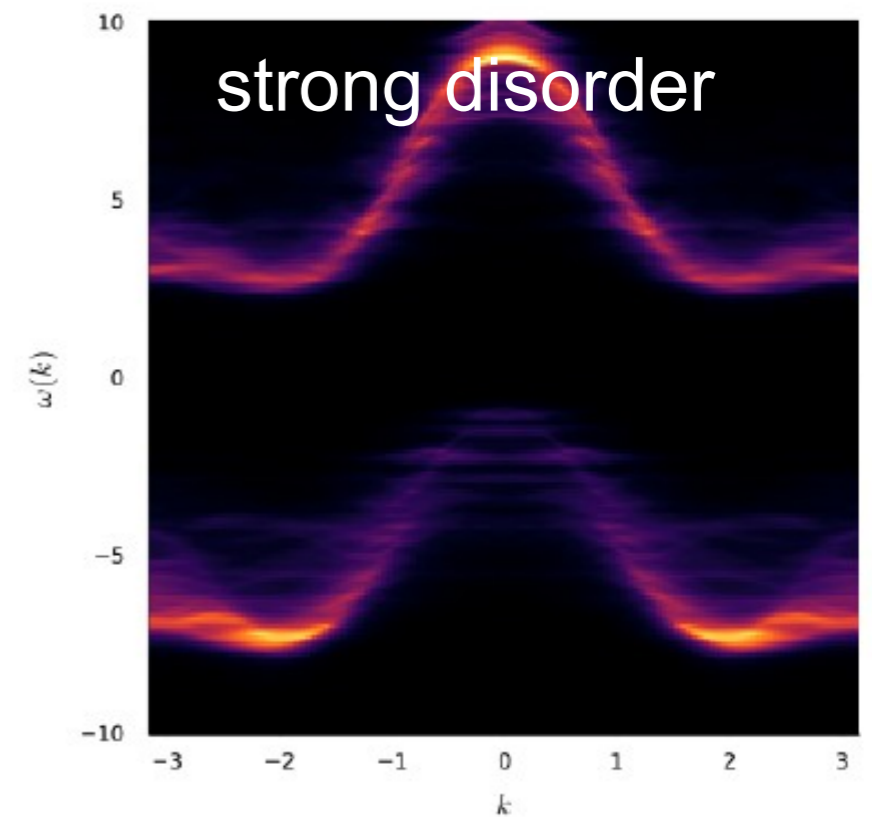
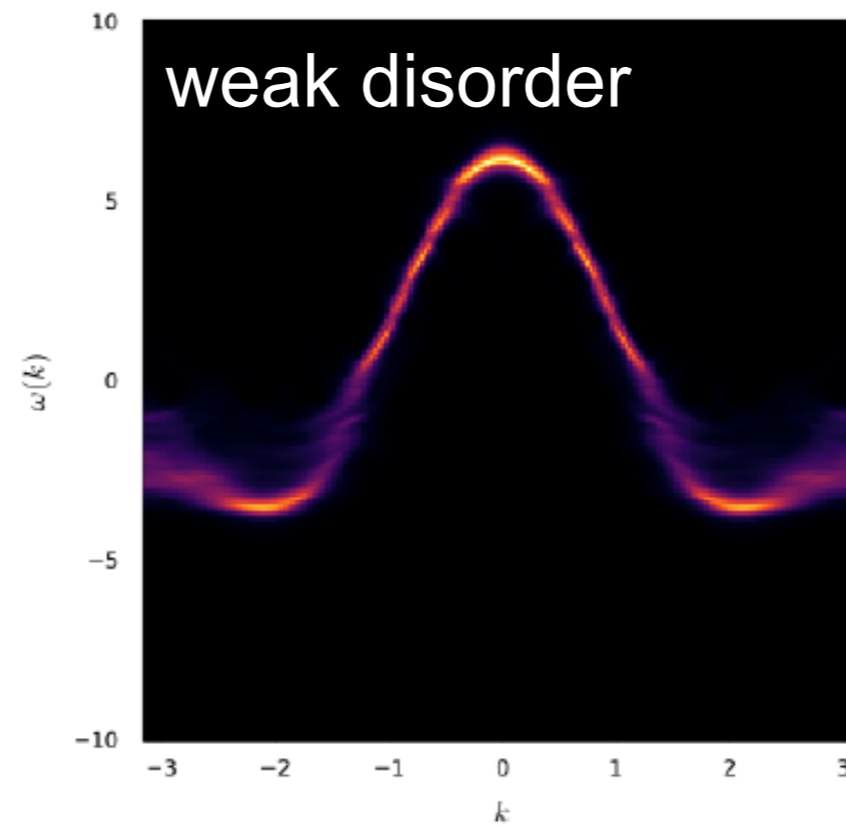
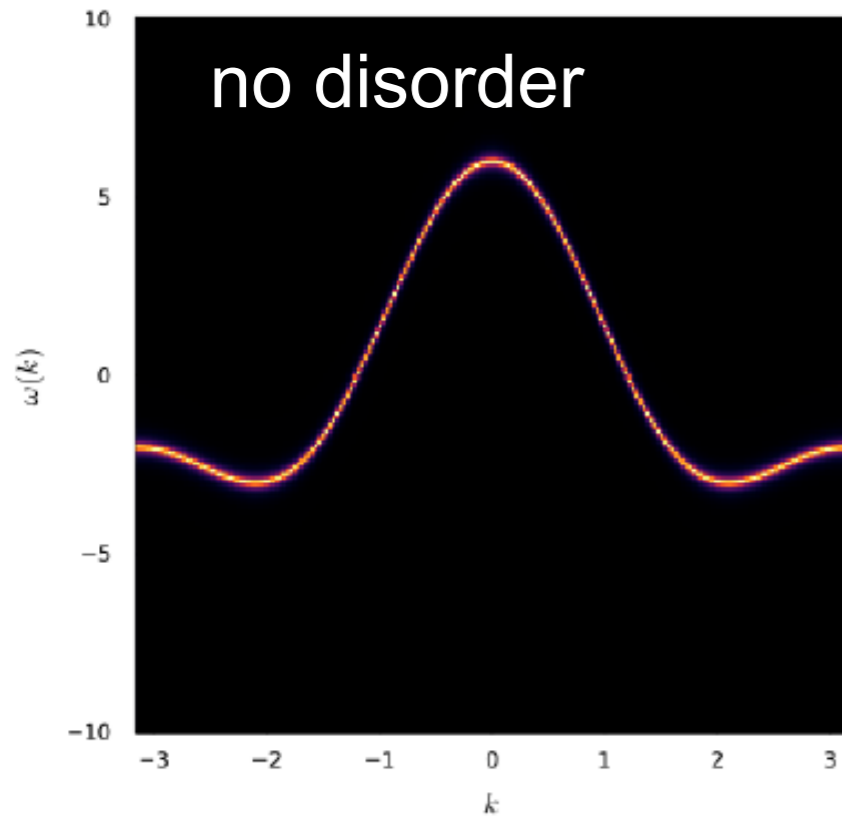
generate random binary potential
in the supercell



How to average over different realizations of the disorder?

How to get a 'bandstructure' in the elementary (1-atom) unit cell?

Brute force approach - many realizations of disorder



Lattice \leftrightarrow continuum (theo \leftrightarrow exp)

Lattice models 'live' on k-space torus \leftrightarrow materials live in non-compact k-space ?

Bring in the structure of the underlying orbitals.

A: $s=(2/3,1/3)$

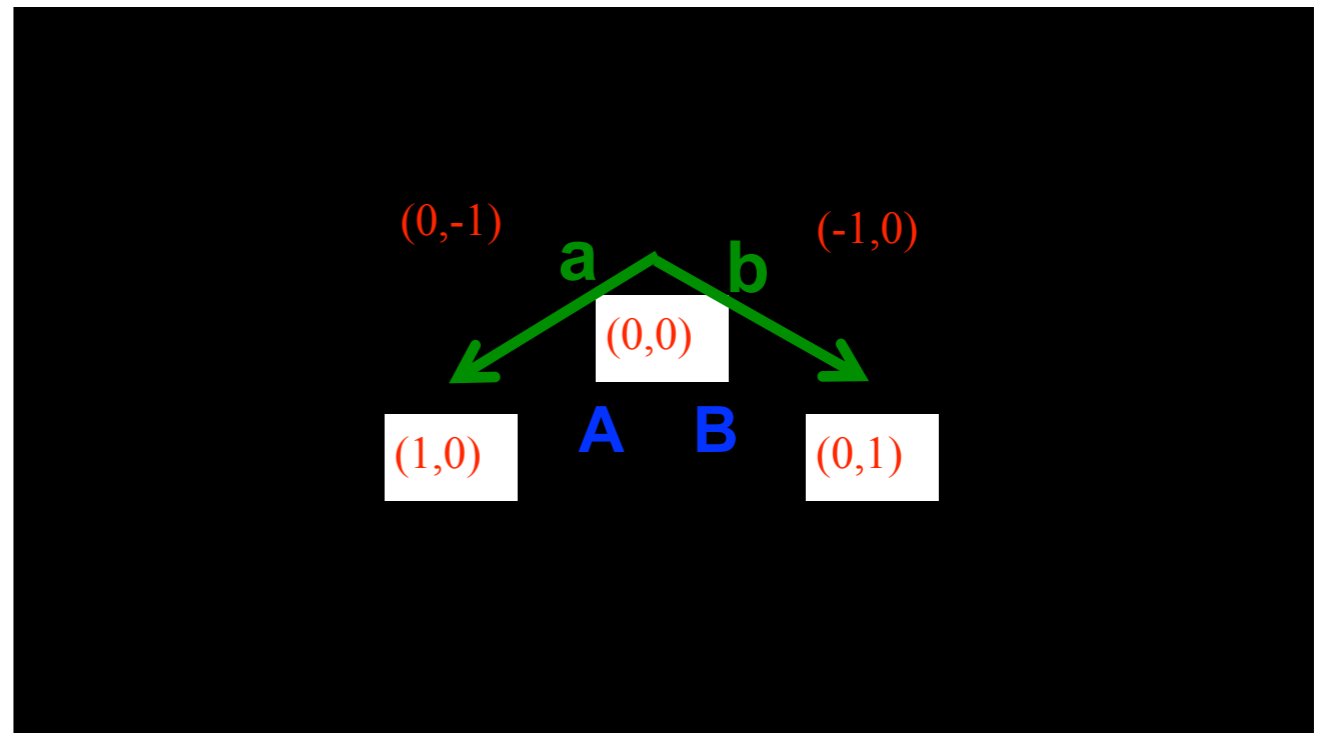
B: $s=(1/3,2/3)$

$$m(\mathbf{r}) = \sum_{R,s} S_{R,s} \rho(\mathbf{r} - \mathbf{R} - \mathbf{s})$$

$$m(\mathbf{k}) = \int d\mathbf{r} e^{-i\mathbf{k}\cdot\mathbf{r}} m(\mathbf{r})$$

$$= \sum_{R,s} e^{-i\mathbf{k}\cdot\mathbf{R}} e^{-i\mathbf{k}\cdot\mathbf{s}} S_{R,s} \int d\mathbf{r} e^{i\mathbf{k}\cdot\mathbf{r}} \rho(\mathbf{r})$$

$$\equiv f(\mathbf{k}) \sum_s e^{-i\mathbf{k}\cdot\mathbf{s}} S_s(\mathbf{k})$$



$$\langle m(\mathbf{k}); m(-\mathbf{k}) \rangle = f(\mathbf{k})^2 \sum_{s,s'} \langle S_s(\mathbf{k}); S_{s'}(-\mathbf{k}) \rangle e^{i\mathbf{k}\cdot(\mathbf{s}'-\mathbf{s})}$$

$$= \langle S_A(\mathbf{k}); S_A(-\mathbf{k}) \rangle + \langle S_B(\mathbf{k}); S_B(-\mathbf{k}) \rangle + \langle S_A(\mathbf{k}); S_B(-\mathbf{k}) \rangle e^{i\frac{\mathbf{k}_x - \mathbf{k}_y}{3}} + \langle S_B(\mathbf{k}); S_A(-\mathbf{k}) \rangle e^{-i\frac{\mathbf{k}_x - \mathbf{k}_y}{3}}$$

Lattice \leftrightarrow continuum (theo \leftrightarrow exp)

Lattice models 'live' on k-space torus \leftrightarrow materials live in non-compact k-space ?

The model **correlation functions** capture long wavelength behavior (k inside the 1st BZ).
The **matrix elements** encode the short wavelength behavior (variation between BZs).

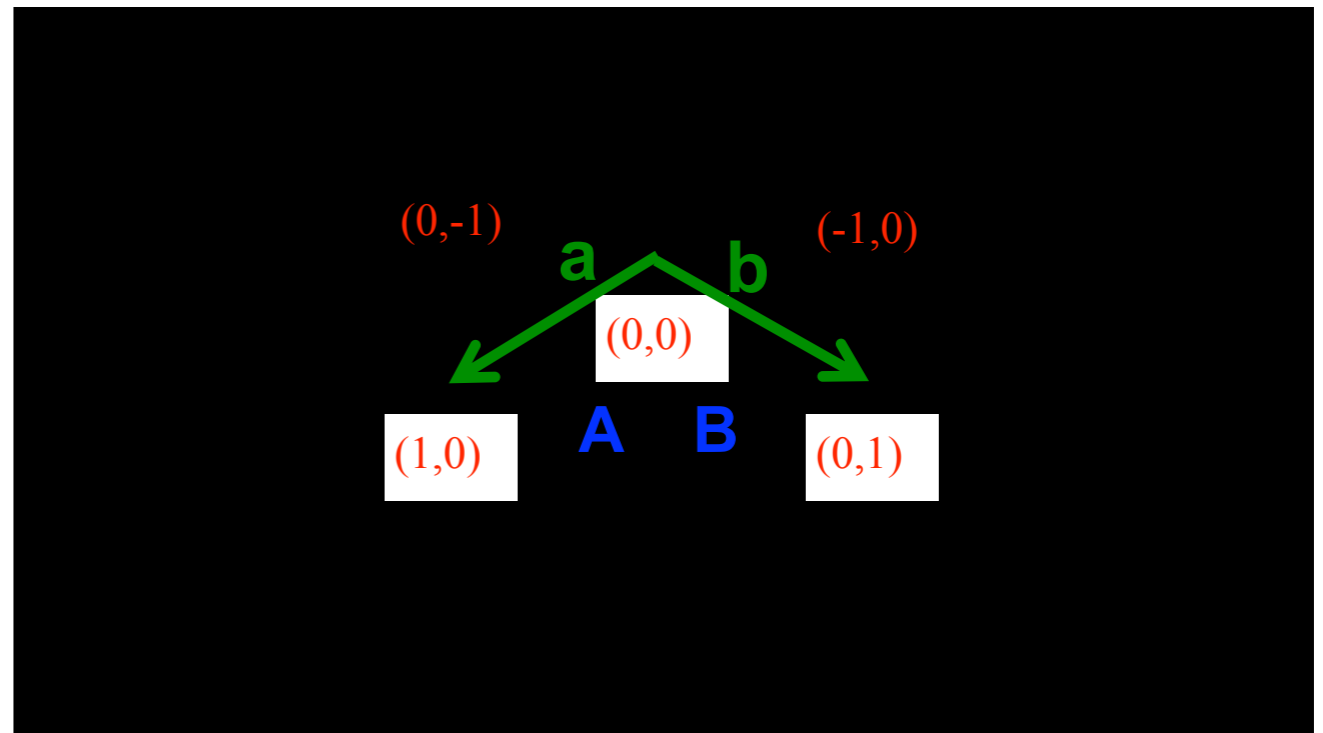
A: $\mathbf{s}=(2/3,1/3)$
B: $\mathbf{s}=(1/3,2/3)$

$$m(\mathbf{r}) = \sum_{\mathbf{R}, \mathbf{s}} S_{\mathbf{R}, \mathbf{s}} \rho(\mathbf{r} - \mathbf{R} - \mathbf{s})$$

$$m(\mathbf{k}) = \int d\mathbf{r} e^{-i\mathbf{k} \cdot \mathbf{r}} m(\mathbf{r})$$

$$= \sum_{\mathbf{R}, \mathbf{s}} e^{-i\mathbf{k} \cdot \mathbf{R}} e^{-i\mathbf{k} \cdot \mathbf{s}} S_{\mathbf{R}, \mathbf{s}} \int d\mathbf{r} e^{i\mathbf{k} \cdot \mathbf{r}} \rho(\mathbf{r})$$

$$\equiv f(\mathbf{k}) \sum_{\mathbf{s}} e^{-i\mathbf{k} \cdot \mathbf{s}} S_{\mathbf{s}}(\mathbf{k})$$



$$\langle m(\mathbf{k}); m(-\mathbf{k}) \rangle = f(\mathbf{k})^2 \sum_{\mathbf{s}, \mathbf{s}'} \langle S_{\mathbf{s}}(\mathbf{k}); S_{\mathbf{s}'}(-\mathbf{k}) \rangle e^{i\mathbf{k} \cdot (\mathbf{s}' - \mathbf{s})}$$

$$= \langle S_A(\mathbf{k}); S_A(-\mathbf{k}) \rangle + \langle S_B(\mathbf{k}); S_B(-\mathbf{k}) \rangle + \langle S_A(\mathbf{k}); S_B(-\mathbf{k}) \rangle e^{i\frac{\mathbf{k}_x - \mathbf{k}_y}{3}} + \langle S_B(\mathbf{k}); S_A(-\mathbf{k}) \rangle e^{-i\frac{\mathbf{k}_x - \mathbf{k}_y}{3}}$$