Atoms/ Molecules	Nanoscale Particles		Condensed Matter	
1	125	70,000	6×10 ⁶	∞ Nº Atoms
Quantum Chemistry	1	10	100 S	∞ Diameter(nm) colid State Physics

Nanostructural Materials

"Prey", the latest novel by Michael Crichton, author of "Jurassic Park".

The horrible beasties threatening humanity in this new thriller are not giant dinosaurs, but swarms of minute "nanobots" that can invade and take control of human bodies.

Last summer, a report issued by a Canadian environmental body called the action group on erosion, technology and concentration took a swipe at nanotechnology. It urged a ban on the manufacture of new nanomaterials until their environmental impact had been assessed. The group is better known for successfully campaigning against biotechnology, and especially against genetically modified crops.

The research, led by a group at the National Aeronautics and Space Administration's Johnson Space Centre in Houston, has found in preliminary studies that inhaling vast amounts of nanotubes is dangerous. Since they are, in essence, a form of soot, this is not surprising. But as most applications embed nanotubes in other materials, they pose little risk in reality.

Room at the Bottom

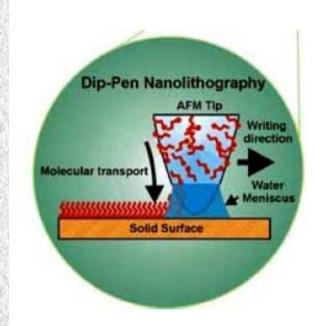
What I want to talk about is the problem of manipulating and controlling things on a small scale ...

As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the nail on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing; that's the most primitive, halting step in the direction I intend to discuss. It's a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1960 that anybody began seriously to move in this direction......



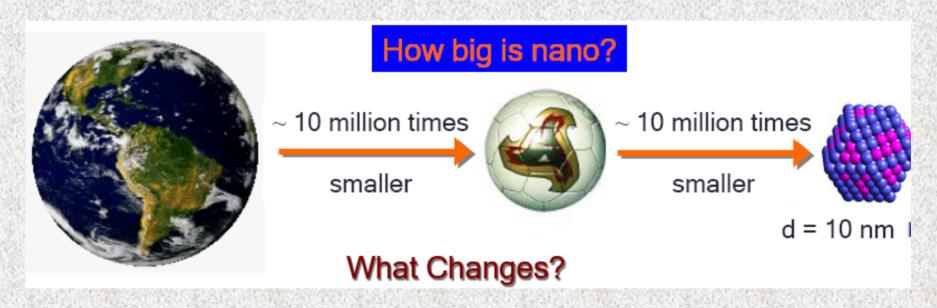
Prof. Richard Feynman in "There's plenty of room at the bottom", lecture delivered at the annual meeting of the APS, Caltech, 29 December, 1959.

Nanoscale Writing



Nanoscale writing with an AFM (Mirkin et al.)

As soon as I mention this, people tell me about miniaturization, and how for it has progressed today. They tell me about electric motors that are the size of the noil on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing! that's the most primitive, halting step in the direction I intend to discuss. It is a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1950 that anybody began seriously to move in this direction. Richard P. Feynman, 1960



Size is another variable to change physical and chemical properties

Negligible light scattering - New optics

Quantum size effects - Information technology, Storage media

High surface area - Catalysts, Adsorbents

Large interfacial area - New composites

Surface modifications - Targeted drug delivery

Nanoscale regime Size 1-100 nm (traditional materials > 1 μ m)

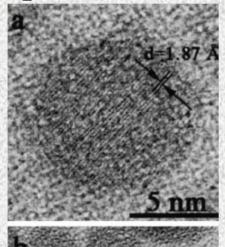
Physical and chemical properties depend on the size !!

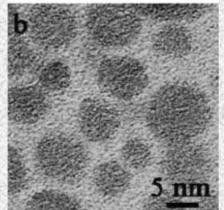
Natural examples:

- Human teeth, 1-2 nm fibrils of hydroxyapatite $Ca_5(PO_4)_3(OH)$ + collagen
- Asbestos, opals, calcedon
- Primitive meteorites, 5 nm C or SiC, early age of the Solar system

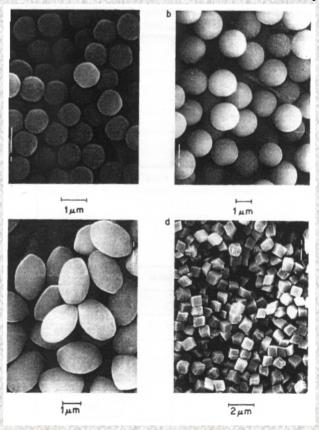
Nanoscale objects have been around us, but only now we can observe them, manipulate and synthesize them.

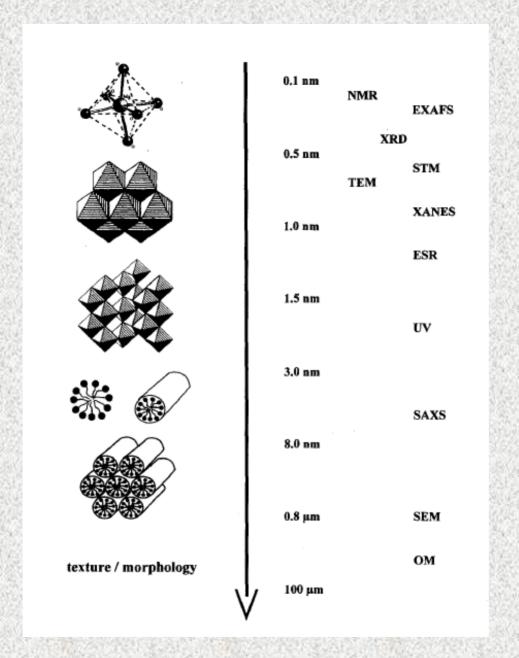
Nanoparticles 1 – 100 nm





Traditional materials $> 1 \mu m$

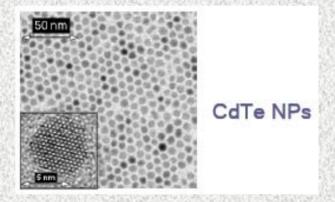


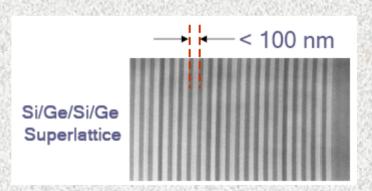


The nano-Family

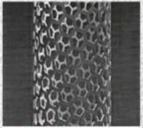
At least one dimension is between 1 - 100 nm

- **0-D structures (3-D confinement):**
- Quantum dots
- Nanoparticles
- 1-D structures (2-D confinement):
- Nanowires
- Nanorods
- Nanotubes
- 2-D structures (1-D confinement):
- Thin films
- Planar quantum wells
- Superlattices





CARBON NANOTUBES



A single-shell nanotube image (Source from Dr. P. M. Ajayan)



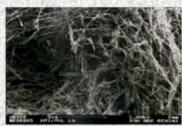
A multi-shell nanotube image (Ebbesen, T. W., 1994. Annu. Rev. Mater. Sci. 24:235-64. courtesy of NEC/Handa)

- A unique species somewhere between traditional carbon fibers and novel forms of carbon such as fullerenes.
- A seamless cylindrical sheet of graphite whose diameter is so small and its aspect ratio (diameter vs. length) is so great that it can be considered from the electronic point of view as a one-dimensional structure.

There are two sorts of carbon nanotubes. One is multi-shell nanotubes and the other is single-shell nanotubes. The former have two or more layers such as the left-side figure below and about 2 to 20 nm diameter while the latter have only one layer and about 1 to 2 nm diameter. Both are a few tens of microns long. In multi-shell nanotubes, the inter layer spacing is ~0.34 nm. In both cases, each carbon atom is completely bonded to neighboring carbon atoms through sp² hybridization to form a seamless shell. In the absence of external strain, carbon nanotubes are always straight unless carbon rings having a number of carbons defiant from six (pentagons, heptagons, octagons, etc.) are present in the hexagonal network.

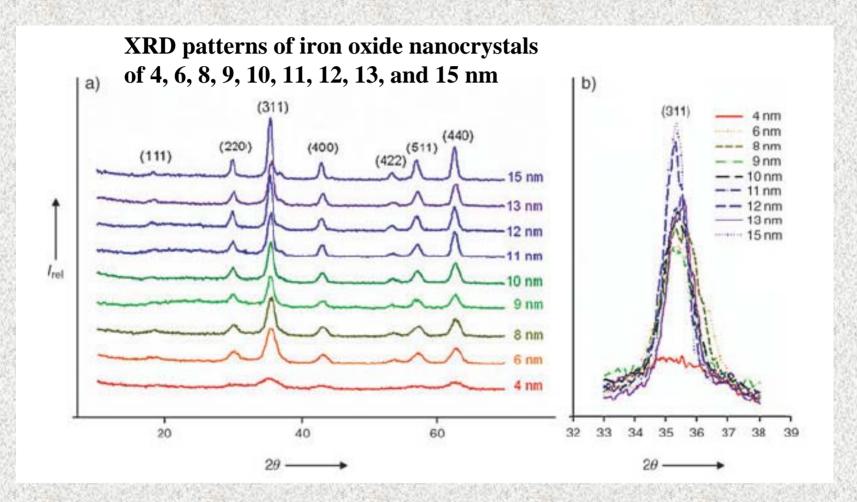


A picture of a typical multi-shell nanotube taken using TEM (Source from Dr. P. M. Ajayan)

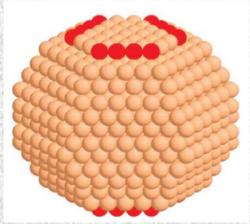


A SEM image of multi-shell carbon nanotubes and particles (Source from Dr. P. M. Ajayan)

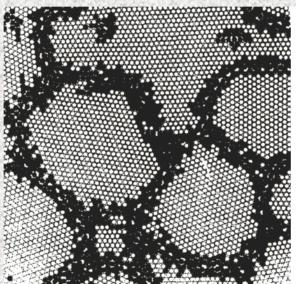
Coherence Length

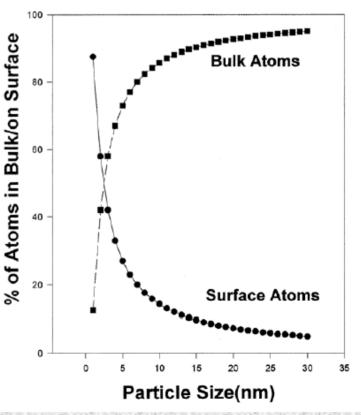


Decreasing grain size = Increasing volume fraction of grain boundaries (50% for 3 nm particles)



Ru particle diameter 2.9 nm





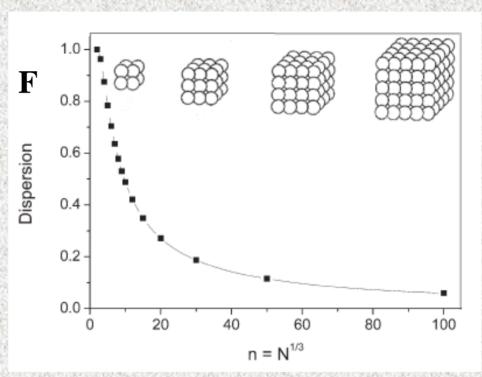
Dispersion F = the fraction of atoms at the surface

F is proportional to surface area divided by volume

N = total number of atoms

$$V \sim r^3 \sim N$$

$$F \approx \frac{r^2}{r^3} \approx \frac{1}{r} \approx \frac{1}{\sqrt[3]{N}}$$



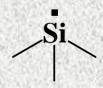
n = number of atoms at the cube edge

Properties of grain boundaries

- >Lower coordination number of atoms
- ➤ Reduced atomic density (by 10 30 %)
- **▶**Broad spectrum of interatomic distances

Experimental evidence

- >HREM
- **EXAFS**, reduced number of nearest and next-nearest neighbors
- > Raman spectroscopy
- ➤ Mössbauer spectroscopy, quadrupole splitting distribution broadened
- **▶**Diffusivity enhanced by up to 20 orders of magnitude !!
- ➤ Solute solubility in the boundary region
 Ag (fcc) and Fe (bcc) immiscible in (s) or (l), but do form solid solution as nanocrystalline alloy
- >EPR, nano-Si gives a sharp signal



Atoms at surfaces have fewer neighbours than atoms in the bulk

Lower coordination and unsatisfied bonds surface atoms are less stabilized than bulk atoms

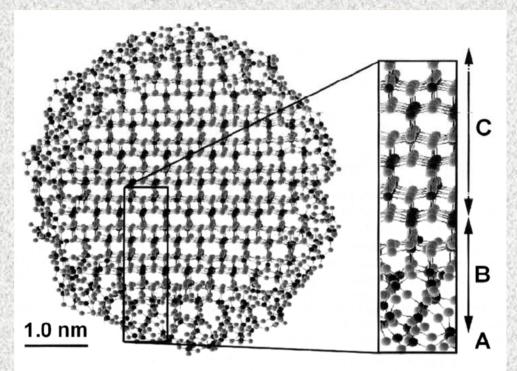
The smaller a particle the larger the fraction of atoms at the surface, and the higher the average binding energy per atom

The melting and other phase transition temperatures scale with surfaceto-volume ratio and with the inverse size

Example: the melting point depression in nanocrystals

2.5 nm Au particles 930 K

bulk Au 1336 K



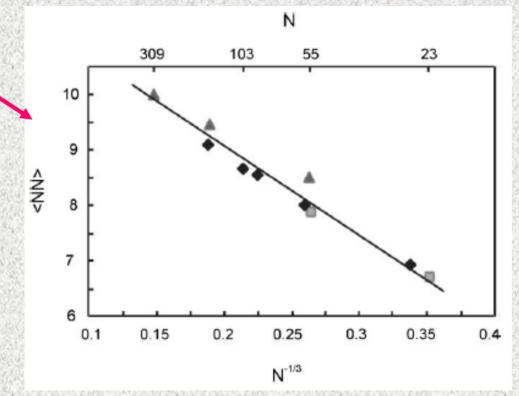
A = Atoms at surfaces (one layer) – fewer neighbours, lower coordination, unsatisfied (dangling) bonds

 $\boldsymbol{B} = \boldsymbol{A} toms$ close to surface (several layers) – deformation of coordination sphere, distorted bond distances and angles

C = Bulk atoms – not present in particles below 2 nm

coordination number

Surface Effects



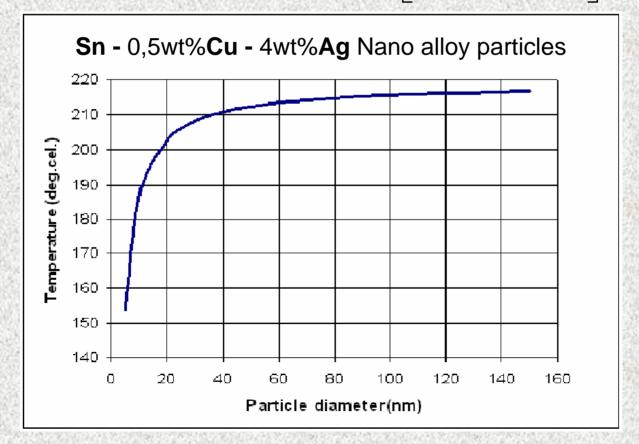
Calculated mean coordination number <NN> as a function of inverse radius, represented by N $^{-1/3}$ for Mg clusters (triangles = icosahedra, squares = decahedra, diamonds = hcp

Atom binding (vaporization) energies lower in nanoparticles, fewer neighbors to keep atoms from escaping

Plasticity of nanocrystalline ceramics

Melting Point Depression

$$\Delta T = T_m^{bulk} - T_m(r) = \frac{2T_m^{bulk}}{H_m^{bulk}\rho_s r} \sigma_s - \sigma_L \left(\frac{\rho_s}{\rho_L}\right)^{\frac{2}{3}}$$



Gibbs-Thomson Equation

$$\frac{T_m(r) - T_m^{bulk}}{T_m^{bulk}} = -\frac{2V_{mol}^l \gamma_{sl}}{\Delta H_m r}$$

In nanoparticles confined in pores

a) d = 101 nm

b) d = 34.3 nm

c) d = 12.8 nm

DSC

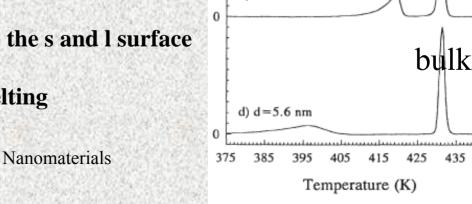
 $T_m(r) = mp$ of the cluster with radius r

 $T_m^{bulk} = mp$ of the bulk

 V_{mol}^{l} = the molar volume of the liquid

 γ_{sl} = the interfacial tension between the s and l surface

 $\Delta H_{\rm m}^{\rm bulk}$ = the bulk latent heat of melting

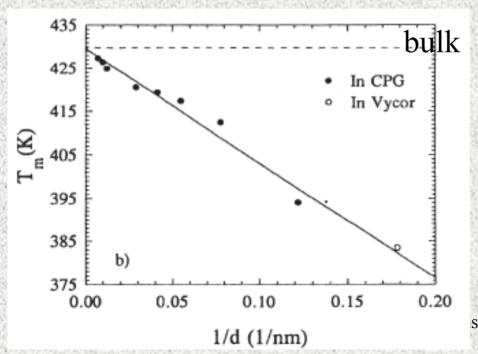


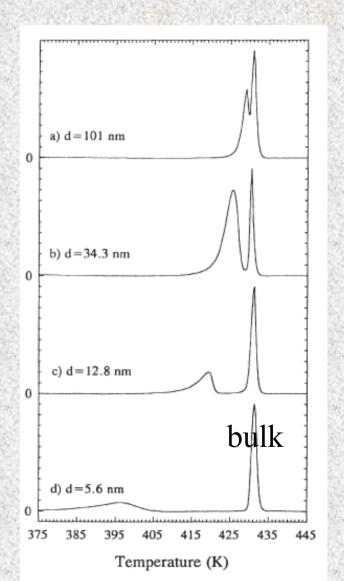
Phase Transitions

Phase transitions = collective phenomena

With a lower number of atoms in a cluster a phase transition is less well defined and broadened

Small clusters behave more like molecules than as bulk matter

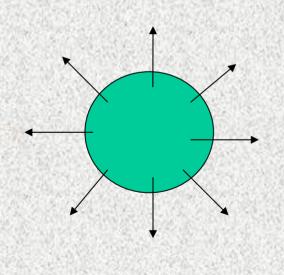


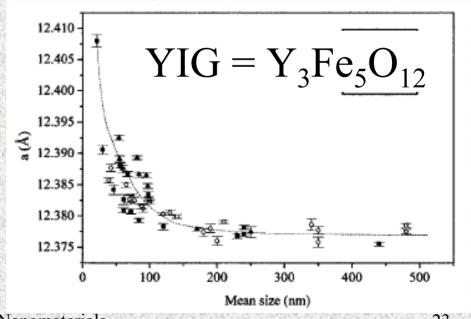


Reduction in particle size

metal particles usually exhibit a lattice contraction

oxide particles exhibit a lattice expansion



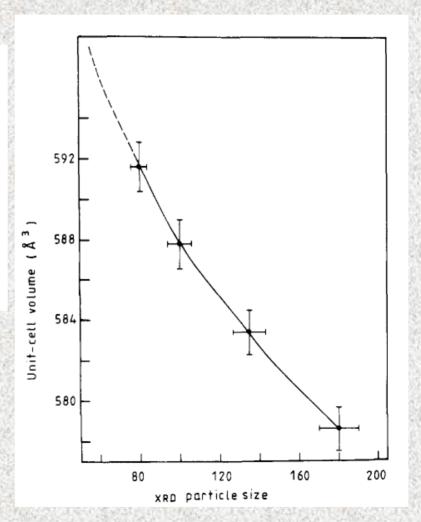


Nanomaterials

23

Correlation between the unitcell volume (cubic) and the XRD particle size in γ -Fe₂O₃ nanoparticles

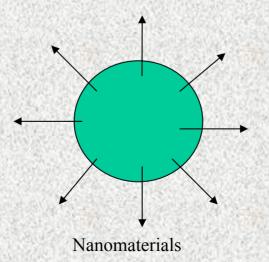
The smaller the particle size the larger the unit cell volume.



The inter-ionic bonding in nanoparticles has a directional character ions in the outermost layer of unit cells possess unpaired electronic orbitals

Associated electric dipole moments, aligned roughly parallel to each other point outwards from the surface

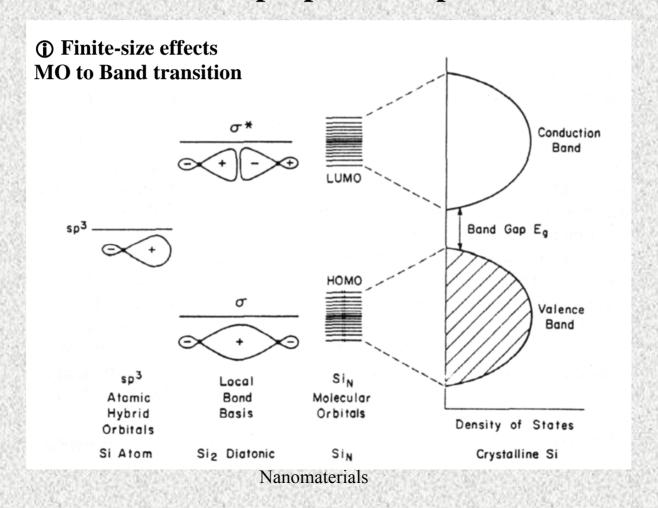
The repulsive dipolar interactions increase in smaller particles reduced by allowing unit cell volume to increase



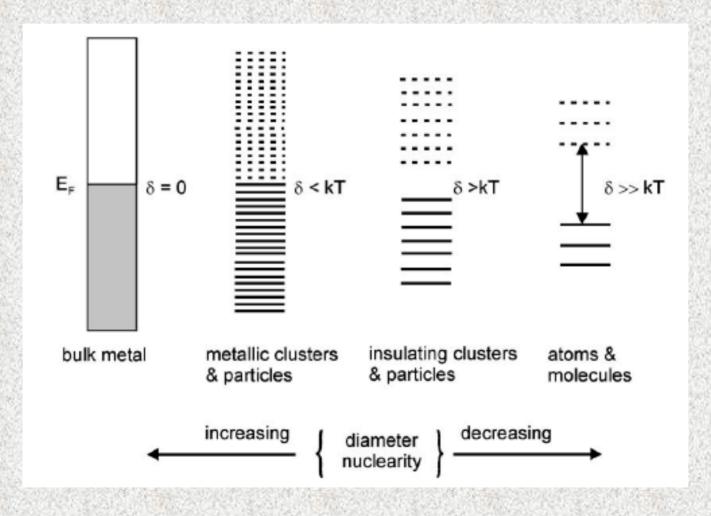
25

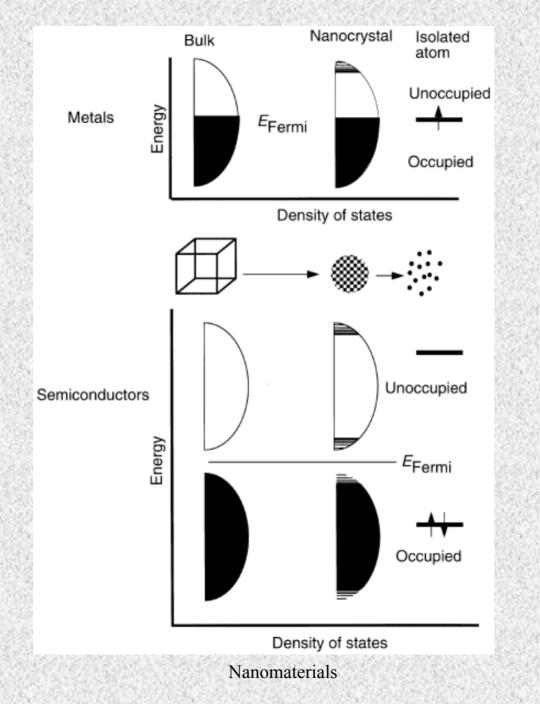
Quantum Confinement Effects

Physical and chemical properties depend on the size!!



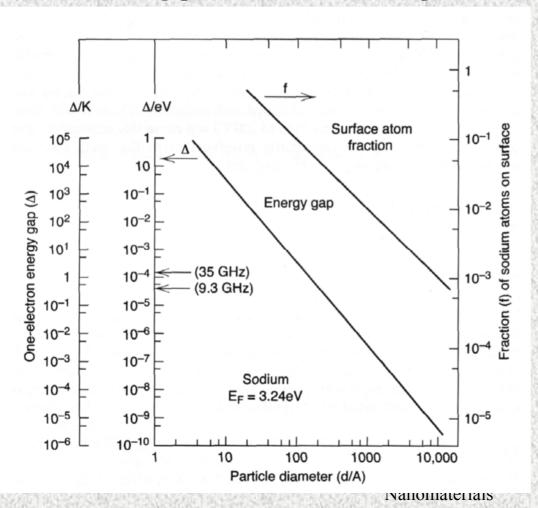
Metal-to-Insulator Transition





Metal-to-Insulator Transition

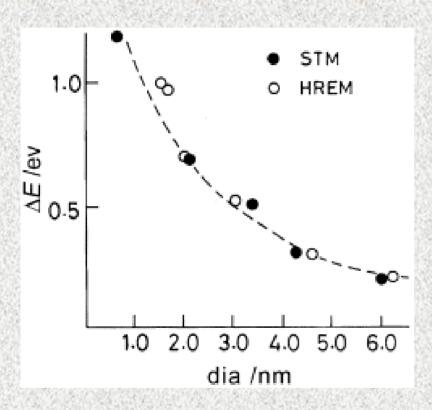
Band gap increases with decreasing size



Metallic behavior
Single atom cannot behave
as a metal
nonmetal to metal transition
100-1000 atoms

Magnetic behavior Single domain particles large coercive field

Metal-to-Insulator Transition

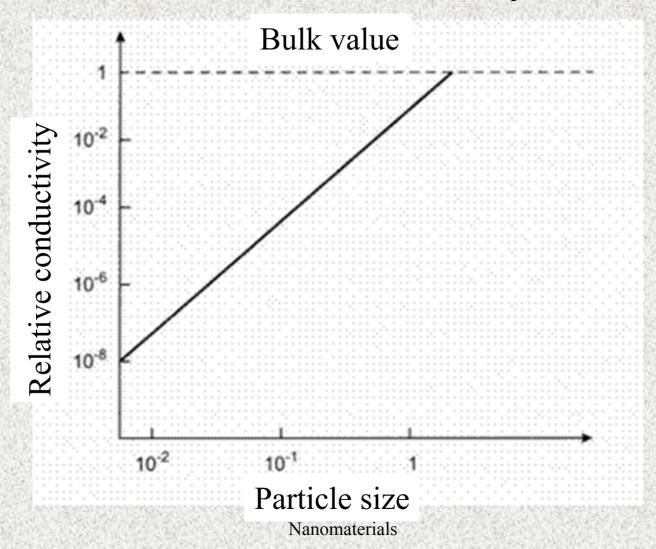


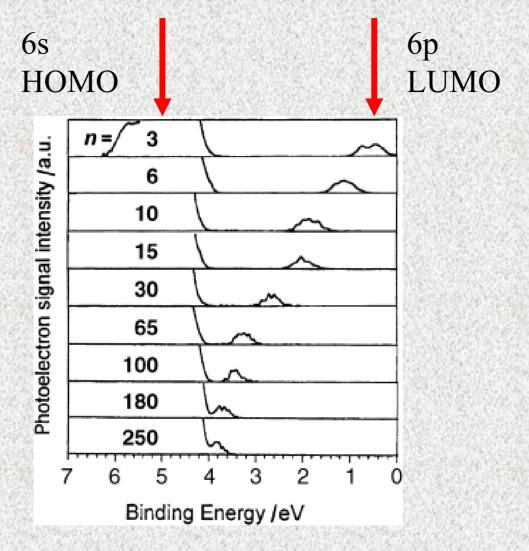
The increase in the core-level binding energy in small particles poor screening of the core charge

the size-induced metal-nonmetal transition in nanocrystals

Variation of the shift, ΔE , in the core-level binding energy (relative to the bulk metal value) of Pd with the nanoparticle diameter

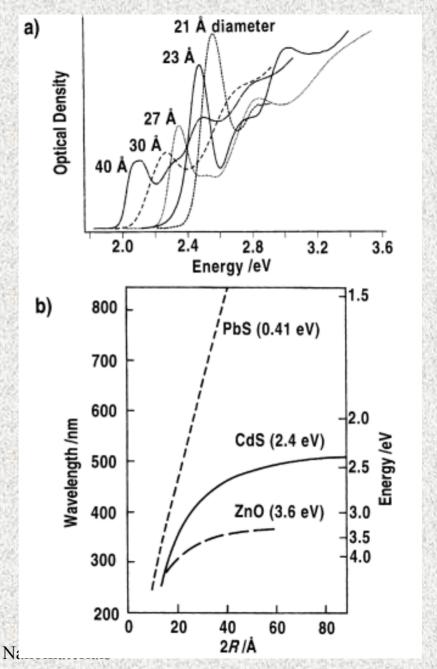
Electrical Conductivity



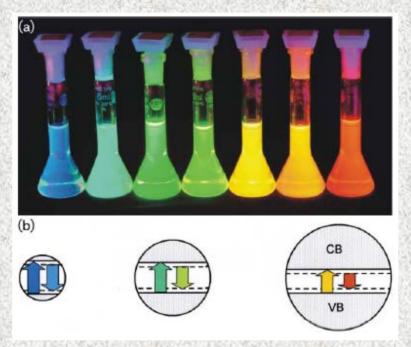


Photoelectron spectra of Hg clusters of nuclearity n The 6p peak moves gradually towards the Fermi level the band gap shrinks with increase in cluster size

- a) Absorption spectra of CdSe nanocrystals (at 10 K) of various diameters
- b) Wavelength of the absorption threshold and band gap as a function of the particle diameter for various semiconductors. The energy gap in the bulk state in parenthesis



Quantum Confinement Effects



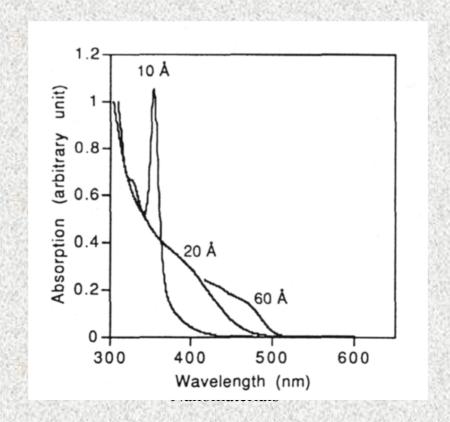
Fluorescence of CdSe-CdS core-shell nanoparticles with a diameter of 1.7 nm (blue) up to 6 nm (red)

Smaller particles have a wider band gap

Quantum Confinement Effects

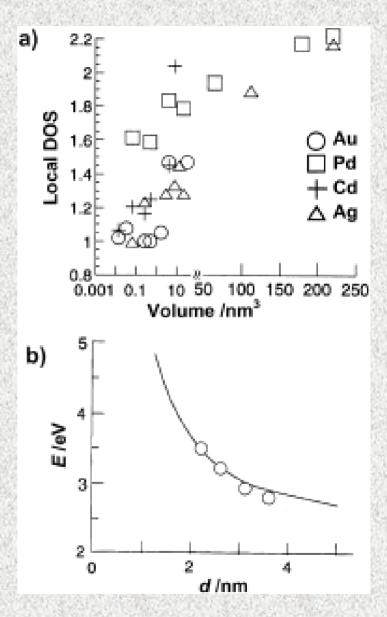
Optical properties nc-TiO₂ is transparent

Blue shift in optical spectra of nanoparticles



a) Variation of the nonmetallic band gap with nanocrystal size

b) in CdS nanocrystals



Nanoscopic Materials

NANO -particles, crystals, powders

- -films, patterned films
- -wires, rods, tubes
- -dots

Nanostructured materials = nonequilibrium character

- **>**good sinterability
- **>**high catalytic activity
- >difficult handling
- >adsorption of gases and impurities
- **>poor compressibility**

PREPARATION METHODS

Top-down: from bulk to nanoparticles

Bottom-up: from atoms to nanoparticles

Nanoscopic Materials

NANO -particles, crystals, powders

- -films, patterned films
- -wires, rods, tubes
- -dots

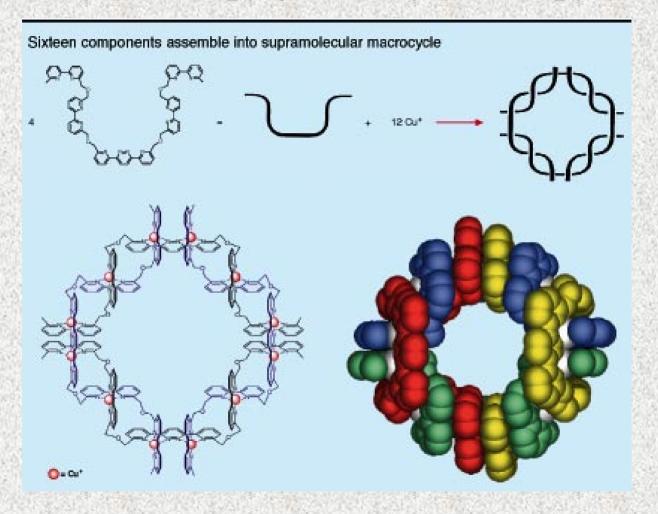
Nanostructured materials = nonequilibrium character

- **>**good sinterability
- **▶**high catalytic activity
- >difficult handling
- >adsorption of gases and impurities
- **>poor compressibility**

PREPARATION METHODS

Top-down: from bulk to nanoparticles Bottom-up: from atoms to nanoparticles

Bottom-up Synthesis: Atom Up



* Atom Aggregation Method

GEM – gas evaporation method

- ♦ evaporation by heating resistive, laser, plasma, electron beam, arc discharge
- **♦** the vapor nucleates homogeneously owing to collisions with the cold gas atoms
- **♦** condensation

in an inert gas (He, Ar, 1kPa) on a cold finger, walls - metals, intermetallics, alloys, SiC, C_{60}

in a reactive gas O_2 TiO_2 , MgO, Al_2O_3 , Cu_2O

N₂, NH₃ nitrides

in an organic solvent matrix

SMAD – the solvated metal atom dispersion $1-2\ g$ of a metal, $100\ g$ of solvent, cooled with liquid N_2 more polar solvent (more strongly ligating) gives smaller particles Ni powder: THF < toluene < pentane = hexane

Carbide formation

* Thermal or Sonocative Decomposition of Precursors

$$Fe(CO)_{5} \longrightarrow nc\text{-Fe} + 5 CO \qquad sono$$

$$[Co(en)_{3}]WO_{4} \longrightarrow nc\text{-WC} - 23\% Co$$

$$Ar, 1500 °C$$

$$PhSi(OEt)_{3} + Si(OEt)_{4} + H_{2}O \longrightarrow gel \longrightarrow \beta\text{-SiC}$$

$$(CH_{3}SiHNH)_{n} (l) \longrightarrow Si_{3}N_{4} + SiC \quad laser$$

$$M(BH_{4})_{4} (g) \xrightarrow{300\text{-}400°C} \quad borides \quad MB_{2+x} \quad (M = Ti, Zr, Hf)$$

$$Si(OEt)_{4} + Ag^{+} \text{ or } Cu^{2+} + H_{2}O \longrightarrow SiO_{2}/Ag^{+}/Cu^{2+}$$

$$H_{2}, 550 °C \longrightarrow SiO_{2}/Ag/Cu$$

% Reduction of Metal Ions

Borohydride Reduction - Manhattan Project

Aqueous, under Ar
$$2 \text{ Co}^{2+} + 4 \text{ BH}_4^- + 9 \text{ H}_2\text{O} \longrightarrow \text{Co}_2\text{B} + 12.5 \text{ H}_2 + 3 \text{ B(OH)}_3$$

Under air $4 \text{ Co}_2\text{B} + 3 \text{ O}_2 \longrightarrow 8 \text{ Co} + 2 \text{ B}_2\text{O}_3$

Nonaqueous $\text{Co}^{2+} + \text{BH}_4^- + \text{diglyme} \longrightarrow \text{Co} + \text{H}_2 + \text{B}_2\text{H}_6$

TiCl₄ + 2 NaBH₄ \longrightarrow TiB₂ + 2 NaCl + 2 HCl + H₂

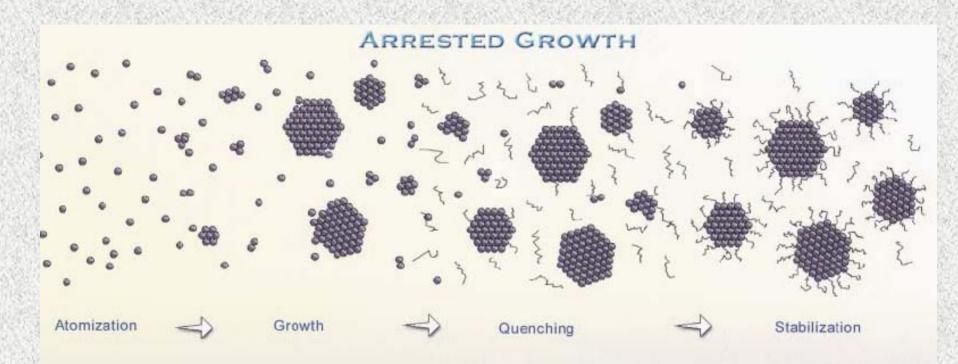
MX_n + n NR₄[BEt₃H] \longrightarrow M + NR₄X + n BEt₃ + n/2 H₂

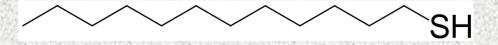
M = group 6 to 11; n = 2,3; X = Cl, Br mixed-metal particles

Au colloidal particles

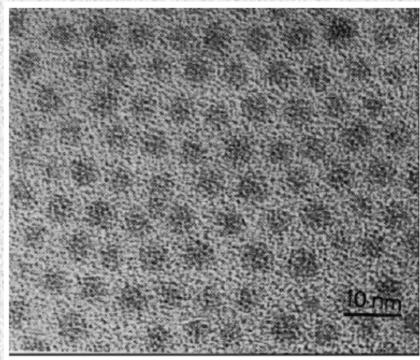
HAuCl₄ + NaBH₄ in toluene/H₂O system, TOABr as a phase transfer agent, Au particles in the toluene layer, their surface covered with Br, addition of RSH gives stable Au colloid

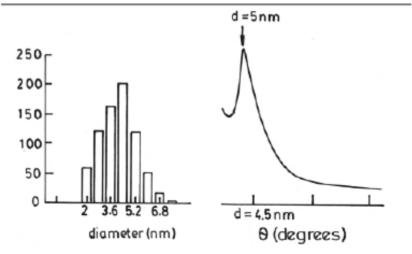
Bottom-up Synthesis





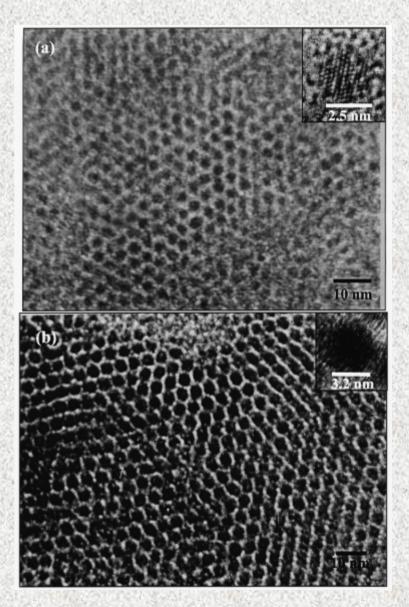
Two-dimensional array of thiol-derivatised Au particles (mean diam 4.2 nm)

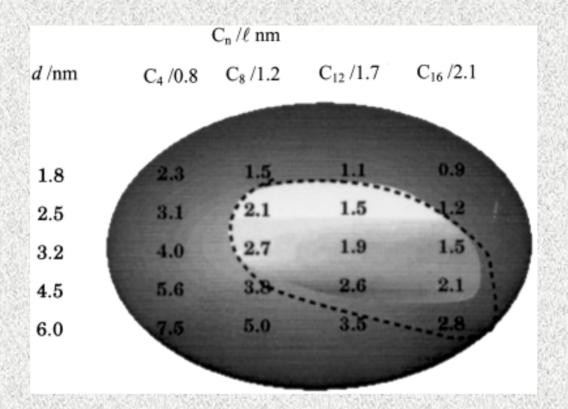




TEM micrograph of hexagonal arrays of thiolized Pd nanocrystals:

- a) 2.5 nm, octane thiol
- b) 3.2 nm, octane thiol





The *d-l* phase diagram for Pd nanocrystals thiolized with different alkane thiols.

The mean diameter, d, obtained by TEM.

The length of the thiol, l, estimated by assuming an all-trans conformation of the alkane chain. The thiol is indicated by the number of carbon atoms, C_n .

The bright area in the middle encompasses systems which form close-paced organizations of nanocrystals. The surrounding darker area includes disordered or low-order arrangements of nanocrystals. The area enclosed by the dashed line is derived from calculations from the soft sphere model

Nanomaterials

NANOSTRUCTURAL MATERIALS **Alkali Metal Reduction**

in dry anaerobic diglyme, THF, ethers, xylene

$$NiCl_2 + 2 K \rightarrow Ni + 2 KCl$$

$$AlCl_3 + 3 K \rightarrow Al + 3 KCl$$

Reduction by Glycols or Hydrazine

"Organically solvated metals"

$$K + \bigcirc \longrightarrow K^+ \bigcirc \longrightarrow Mg$$

Nanomaterials

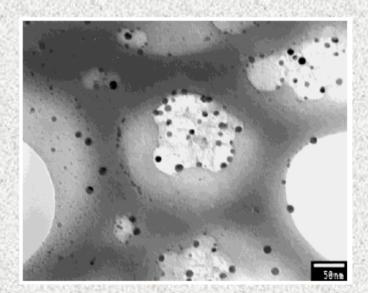
 $Mg \longrightarrow Mg$
 $Mg \longrightarrow Mg$
 $Mg \longrightarrow Mg$

Alkalide Reduction

 $2 \text{ Fe}_{3}\text{C (nano)} + 13 \text{ K}(15\text{-crown-5})_{2}\text{Cl}_{0.43}\text{Br}_{0.57} + 13 \text{ NaCl}$

Anealed at 950 °C / 4 h

 $Fe_3C: 2-15 \text{ nm}$



***** Reactions in Porous Solids – Zeolites, Mesoporous materials

Ion exchange in solution, reaction with a gaseous reagent inside the cavities

$$M^{2+} + H_2E \longrightarrow ME \qquad M = Cd, Pb; E = S, Se$$

$$M = Cd$$
, Pb ; $E = S$, Se

Ship-in-the-Bottle Synthesis

$$Ru^{3+} + Na-Y \longrightarrow Ru(III)-Y$$

 $Ru(III)-Y + 3 bpy \longrightarrow Ru(bpy)_3^{2+}$ reduction of Ru(III)

Conducting carbon wires Acrylonitrile introduced into MCM-41 (3 nm diam. channels) **Radical polymerization** Pyrolysis gives carbon filaments

★ Gel or Polymer Matrices

★ Sol-Gel Method Aerogels, supercritical drying

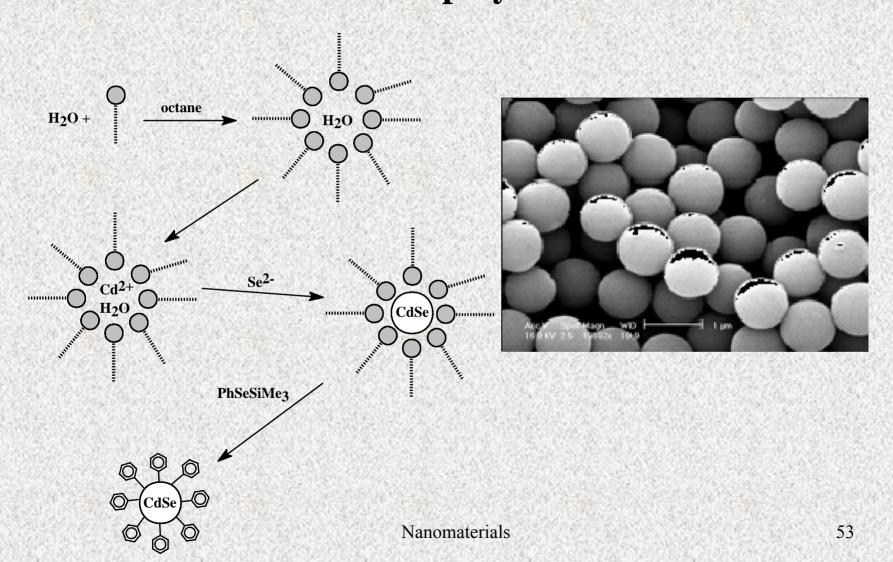
★ Aerosol Spray Pyrolysis Aqueous solution, nebulization, droplet flow, solvent evaporation, chemical reaction, particle consolidation, up to 800 °C

$$MnCl_2 + 2 FeCl_3 + 4 H_2O \longrightarrow MnFe_2O_4 + 8 HCl$$

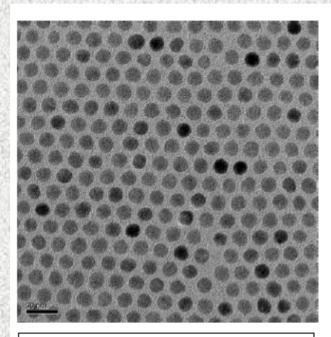
 $Mn(NO_3)_2 + Fe(NO_3)_3$ no go, why?

NANOSTRUCTURAL MATERIALS

* Inverse Micelles Bottom-up Synthesis



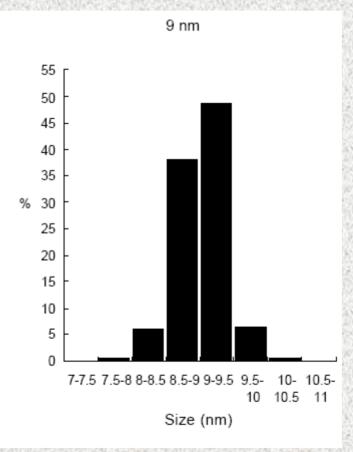
Bottom-up Synthesis



Number of counted particles: 204

Average size: 9.04 nm

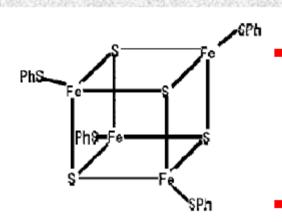
Standard deviation: 0.33 nm (3.7%)



Bottom-up Synthesis

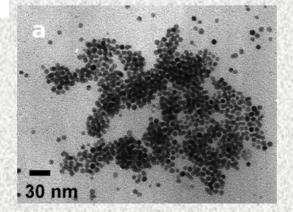
Phase Control

 $[N^nBu_4]_2[Fe_4S_4(SPh)_4]$

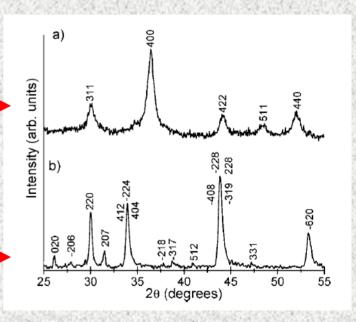


180°C in octylamine

200 °C in dodecylamine

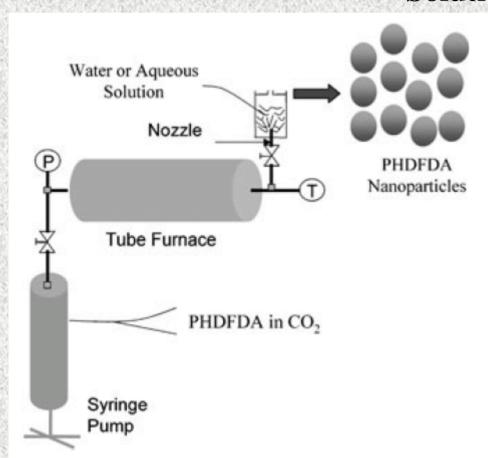


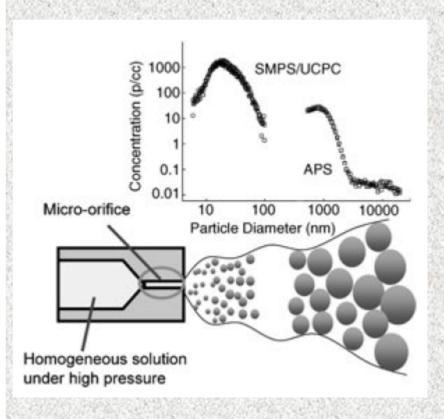
pyrrhotite Fe₇S₈



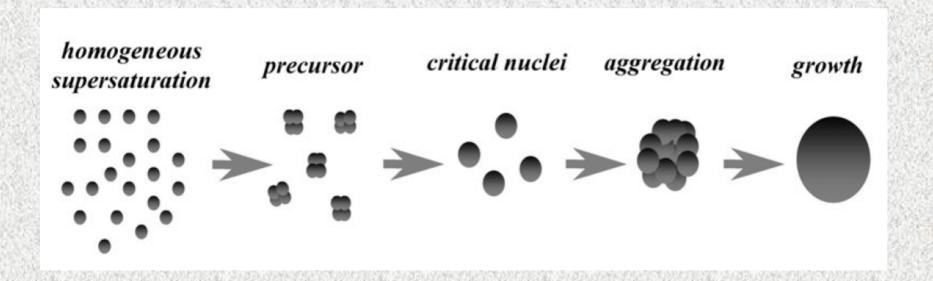
 $\begin{array}{c} \textbf{greigite } Fe_3S_4 \\ \textbf{thiospinel, the sulfide analogue of} \\ \textbf{magnetite} \end{array}$

Polymeric Nanoparticles from Rapid Expansion of Supercritical Fluid Solution





Polymeric Nanoparticles from Rapid Expansion of Supercritical Fluid Solution

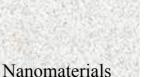


Spinning Disc Processing (SDP)

A rapidly rotating disc (300-3000 rpm) Ethanolic solutions of $\text{Zn}(\text{NO}_3)_2$ and NaOH, polyvinylpyrrolidone (PVP) as a capping agent

Very thin films of fluid (1 to 200 μm) on a surface Synthetic parameters = temperature, flow rate, disc speed, surface texture influence on the reaction kinetics and particle size

Intense mixing, accelerates nucleation and growth, affords monodispersed ZnO nanoparticles with controlled particle size down to a size of 1.3 nm and polydispersities of 10%



NANOSTRUCTURAL MATERIALS

Properties on Nanostructured Materials

- Metallic behaviorSingle atom cannot behave as a metal nonmetal to metal transition: 100-1000 atoms
- Magnetic behavior
 Single domain particles, large coercive field
- Depression of melting points in nanocrystals
 bulk Au mp 1064 °C
 10 nm Au 550 °C

LaMer mechanism

Supersaturated solution

Burst of nucleation

Slow growth of particles without additional nucleation

Separation of nucleation and growth

Watzky-Finke mechanism

Slow continuous nucleation

Fast autocatalytic surface growth

Seed-mediated mechanism

Au nanoclusters as seeds

Bi, Sn, In, Au, Fe, Fe₃O₄

Other mechanisms

Digestive rippening

Surfactant exchange

Thermal Decomposition of Precursors

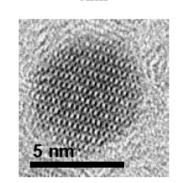
$$Fe(CO)_{5} \xrightarrow[\text{oleic acid trioctylamine}]{350 \, {}^{\circ}\text{C}, 1 \, h}} Fe \xrightarrow[\text{Me}_{3}\text{NO}]{350 \, {}^{\circ}\text{C}, 1 \, h}} Fe_{2}O_{3}$$

6 nm

Separation of nucleation and growth

Fe(CO)₅ thermal decomposition at 100 °C contributes to nucleation

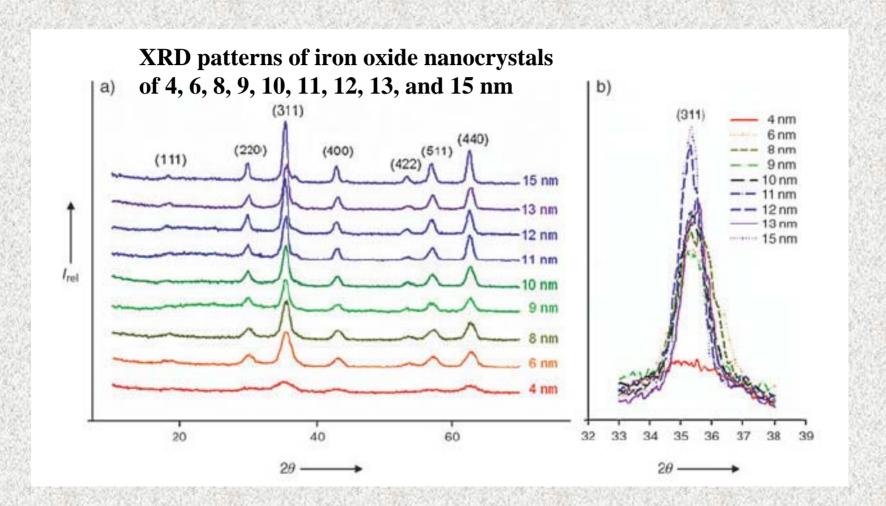
Fe(oleate) thermal decomposition at 350 °C contributes to growth



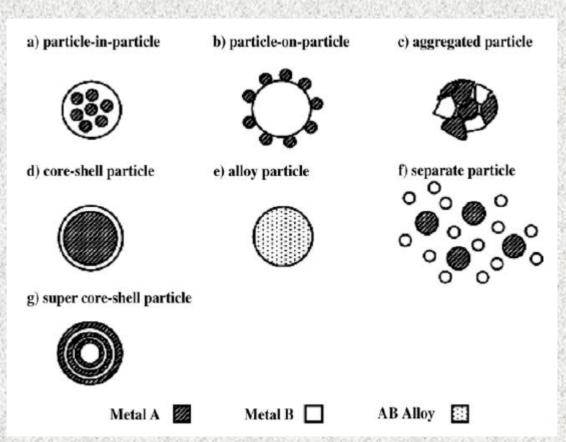
6nm

Top-down Synthesis: Bulk Down

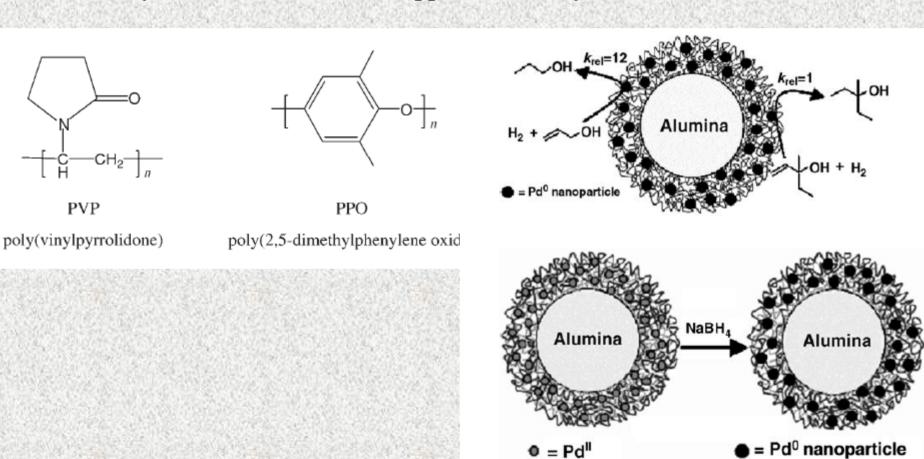
- * Introduction of Crystal Defects (Dislocations, Grain Boundaries)
- **♦ High-Energy Ball Milling**final size only down to 100 nm, contamination
- **♦ Extrusion, Shear, Wear**
- **♦**High-Energy Irradiation
- **♦ Detonative Treatment**
- * Crystallization from Unstable States of Condensed Matter
- **♦** Crystallization from Glasses
- **♦Precipitation from Supersaturated Solid or Liquid Solutions**



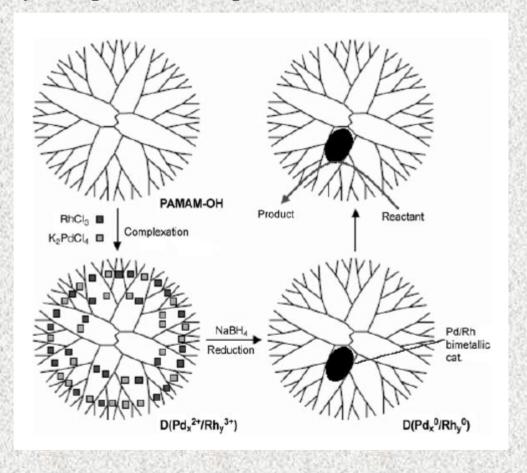
Morphologies of bimetallic nanoparticles



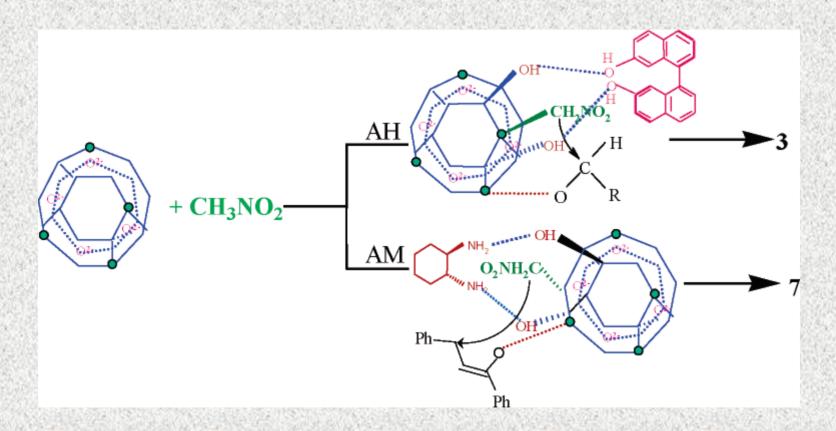
Polymers used as metal NP supports for catalysis



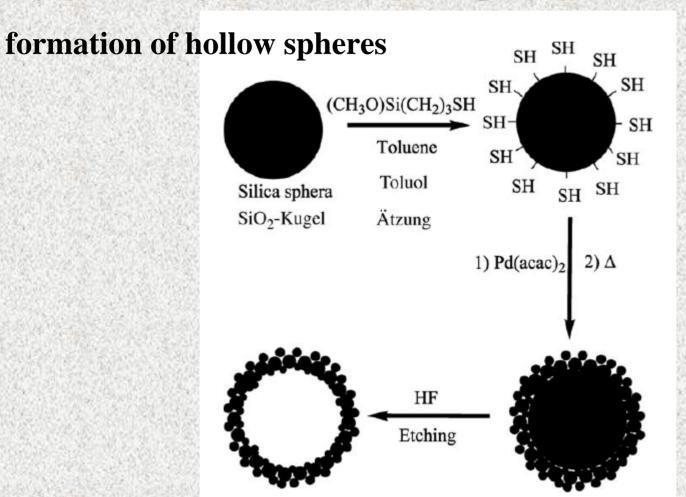
Catalysis by nanoparticles encapsulated in PAMAM or PPI dendrimers



Asymmetric heterogeneous catalysis on nanoparticles



Hollow Nanoparticles



Applications

Destruction of dangerous organic compounds (organophosphates - VX, chlorinated - PCB)

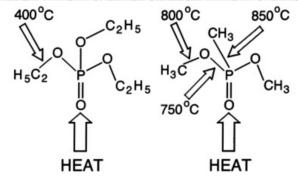


Figure 3. Cleavages of bonds in $(C_2H_5O)_3P(O)$ and DMMP under thermal decomposition condition.

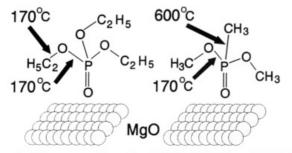
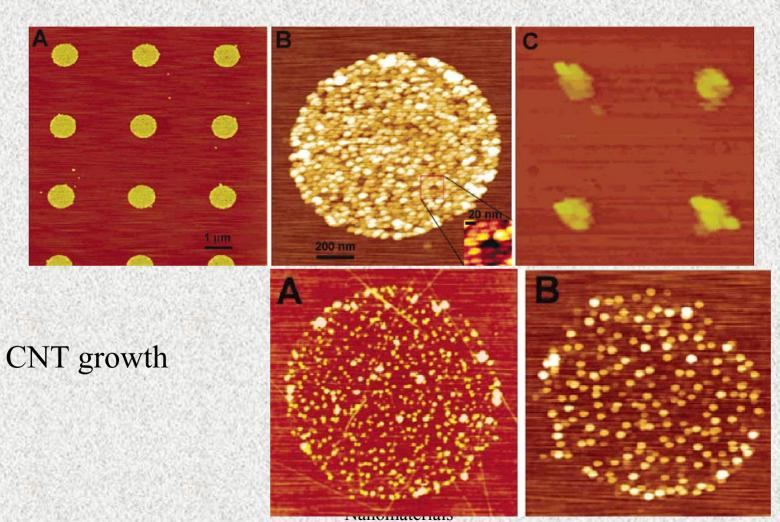
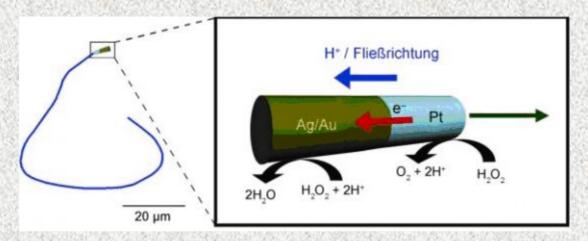


Figure 4. Cleavages of bonds of $(C_2H_5O)_3P(O)$ and DMMP on MgO.



Nanoengine



Nanomotor funguje díky katalýze (viz obr.) U platinové části tyčinky se štěpí peroxid vodíku (H_2O_2) na kyslík (O_2) a protony (H+). Přebytečné elektrony se přesunují k stříbrozlaté části tyčinky, čímž nastartují redukční reakci H_2O_2 a protonů a vzniká voda. Uvolnění kyslíku a vody vytváří slabé proudění, které žene nanotyčinku kapalinou, a to platinovou částí napřed. Slovo Fliessrichtung na obrázku znamená směr proudění.

Slitina zlata a stříbra se postará o to, že se k ní elektrony přesunují rychleji. Tím se urychlí i rozpad pohonné látky a tyčinky jsou o to rychlejší.

150 mikrometrů za sekundu Josepha Wanga z Kalifornské univerzity v San Diegu a Arizonské státní univerzity Nanomaterials