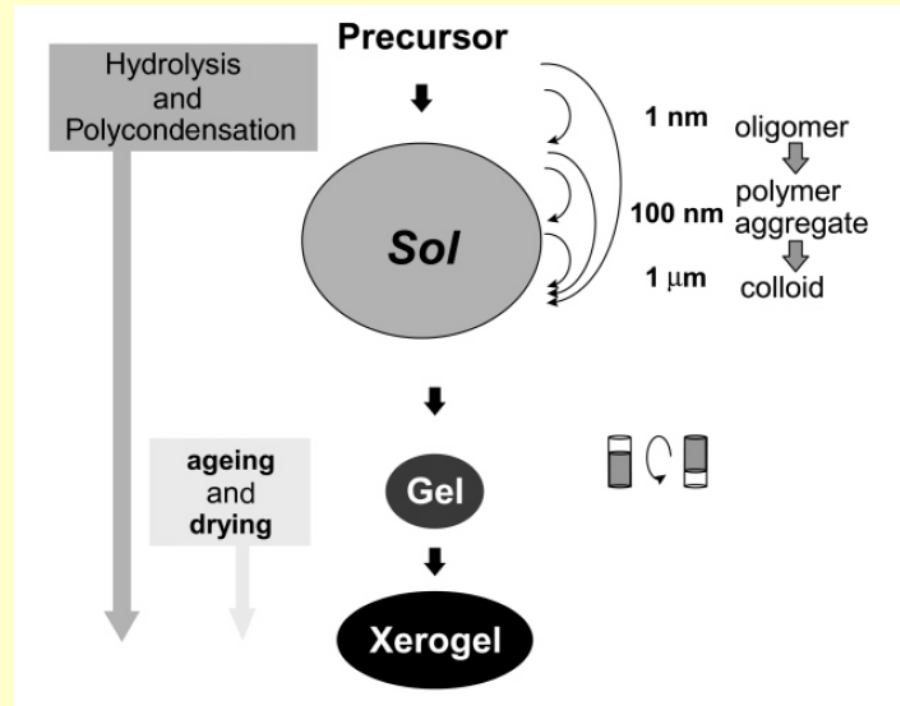
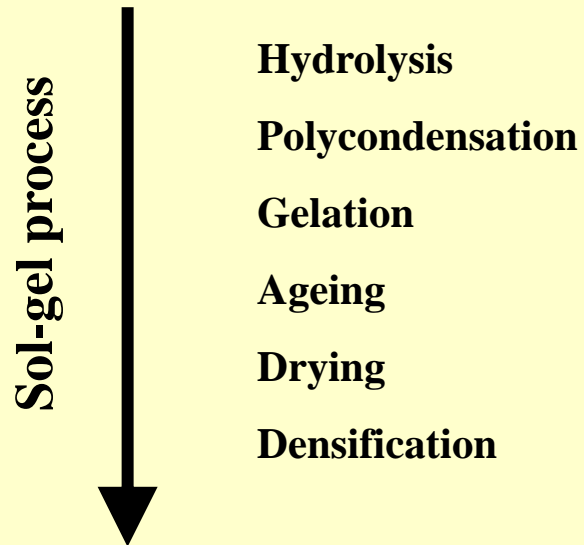


Sol-Gel Methods

PRECURSOR



Powders: microcrystalline, nanocrystalline, amorphous

Monoliths, Coatings, Films, Fibers

Aerogels

Glasses, Ceramics, Hybrid materials

Sol-Gel Methods

Sol = a fluid system of stable suspension of colloidal (1 nm – 1 μm) solid particles or polymeric molecules in a liquid

(Below 1 μm – Brownian motion, above – sedimentation)

Gel = nonfluid, porous, three-dimensional, continuous solid network (elastic or rigid) surrounded by a continuous liquid phase

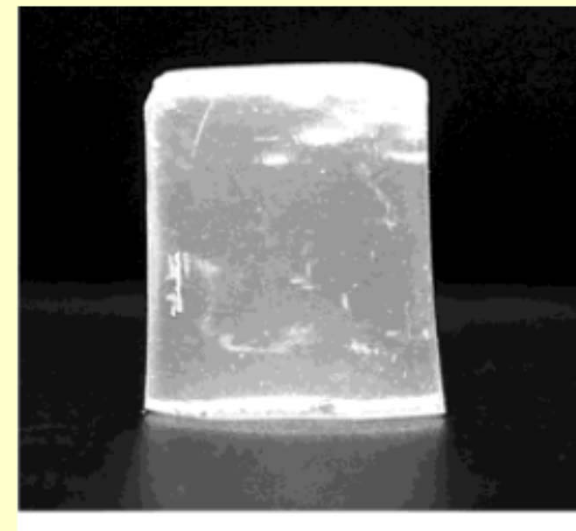
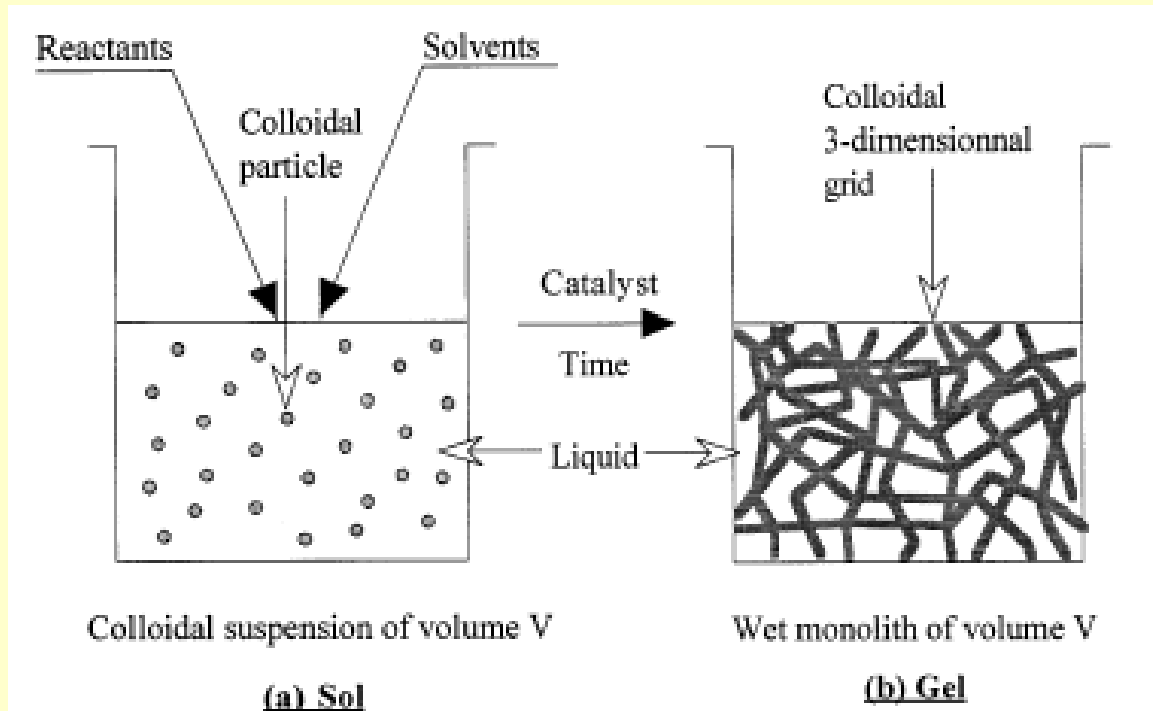
Colloidal (particulate) gels = agglomeration of dense colloidal particles

Polymeric gels = agglomeration of polymeric particles made from subcolloidal units

Agglomerate = assemblage of particles **rigidly joined together, as by partial fusion (sintering) or by growing together, covalent bonds, hydrogen bonds, polymeric chain entanglement**

Aggregate = assemblage of particles which are **loosely coherent, van der Waals forces**

Sol and Gel



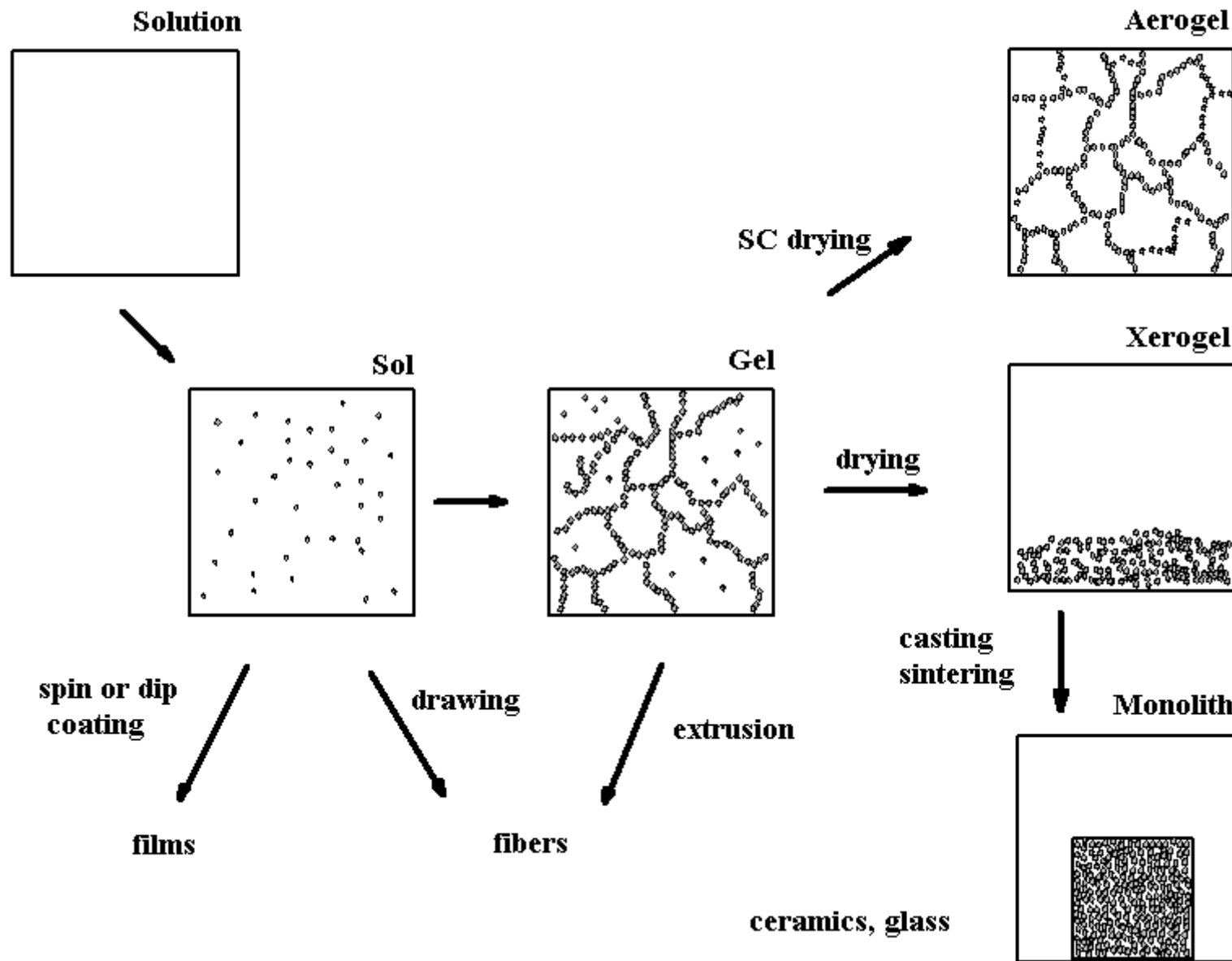
Gel point = point of incipient network formation

Sol-to-Gel transition is difficult to define

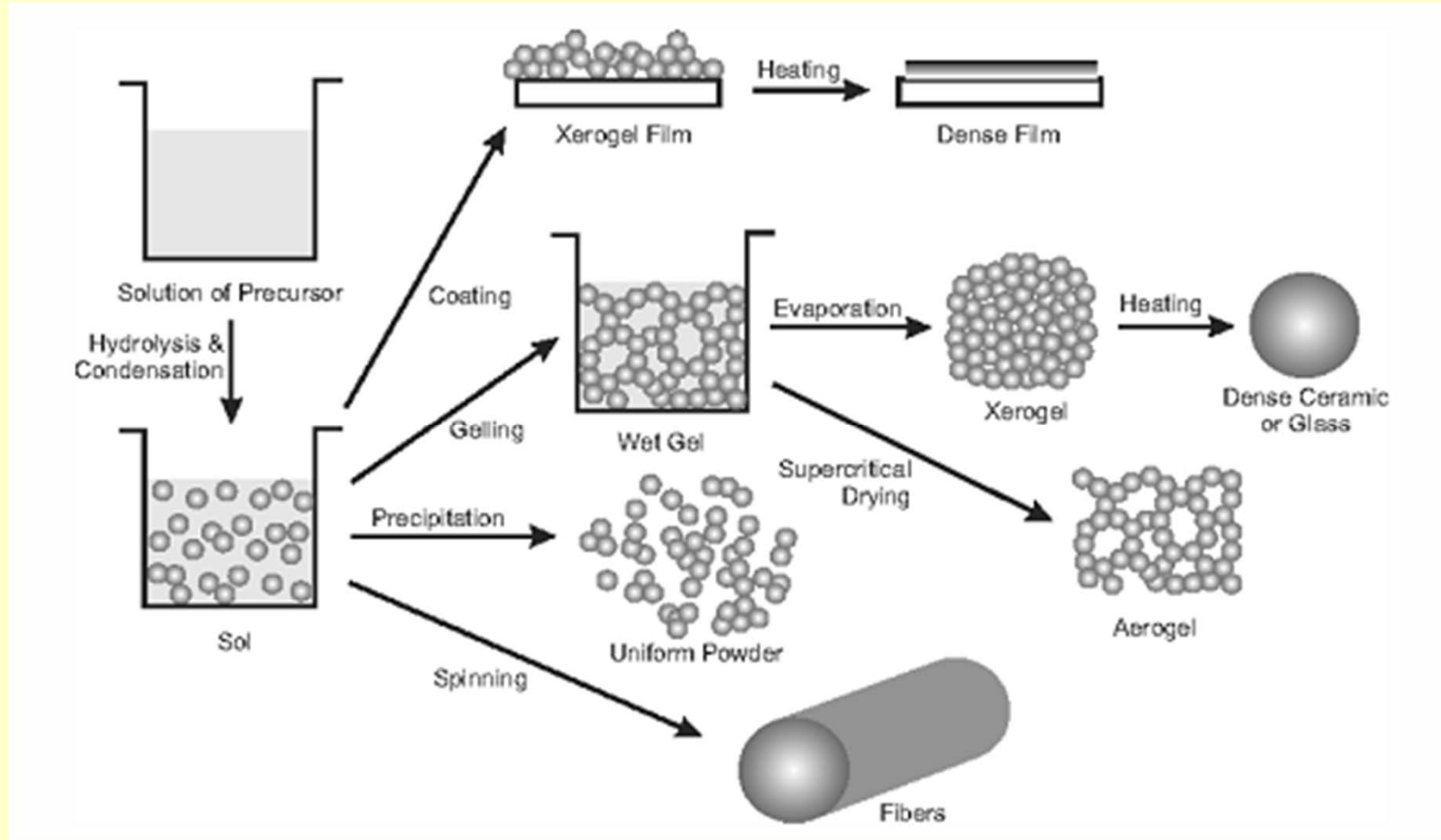
Rheological methods = viscosity increases

Sol-Gel Methods

Sol-Gel Process



Sol-Gel Methods



Sol-Gel Chemistry

Aqueous

- **Colloid Route – inorganic salts, water glass, pH, hydrolysis, polycondensation**
- **Metal-Organic Route – metal alkoxides, amides, hydrolysis, polycondensation**
- **Pechini and Citrate Gel Method – inorganic metal salts, complexing agent, chelate formation, polyesterification with polyfunctional alcohol**

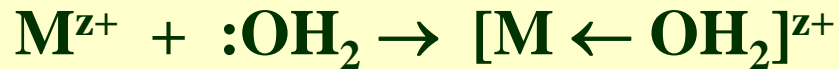
Nonaqueous

- **Hydroxylation**
- **Heterofunctional Condensations**

Colloid Route

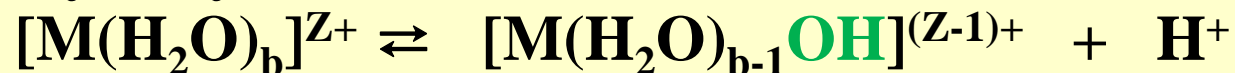
Metal salts in aqueous solution, pH and temperature control

Solvation – water molecule becomes more acidic

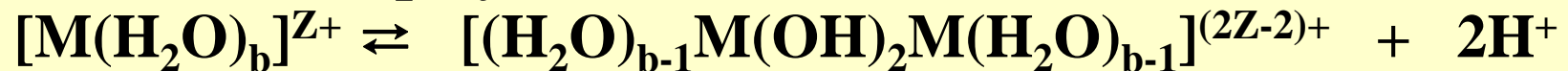


For transition metal cations, charge transfer occurs from the filled bonding orbital of the water molecule to the empty d orbitals of the transition metal. Therefore, the partial positive charge on the H of water molecule increases, making the water molecule more acidic.

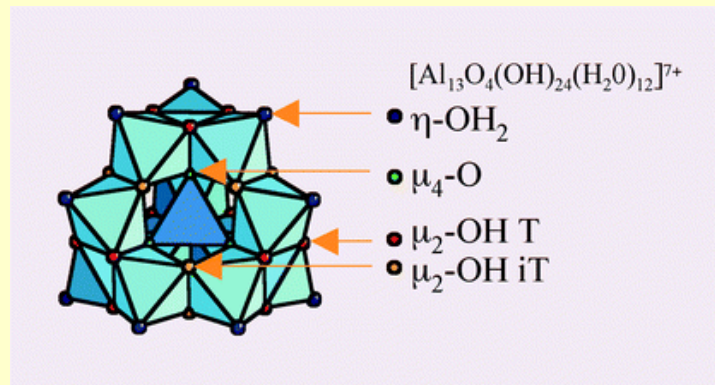
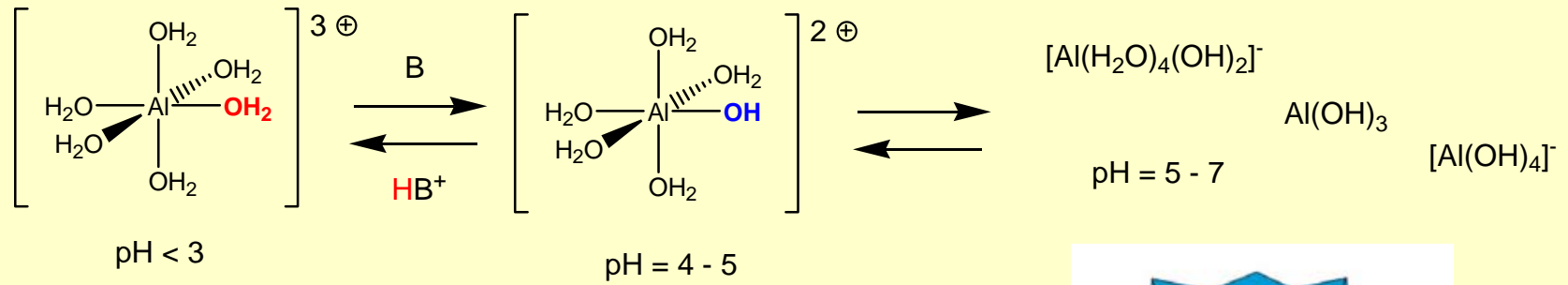
Hydrolysis



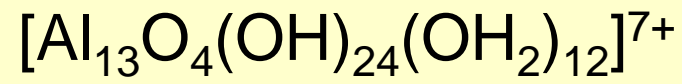
Condensation-polymerization



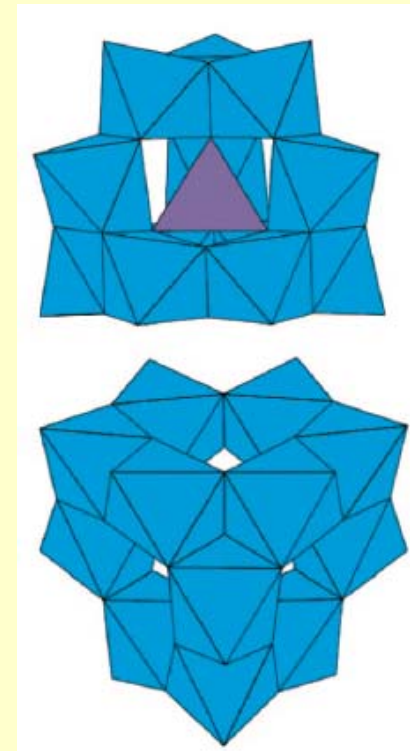
Colloid Route



the Keggin cation

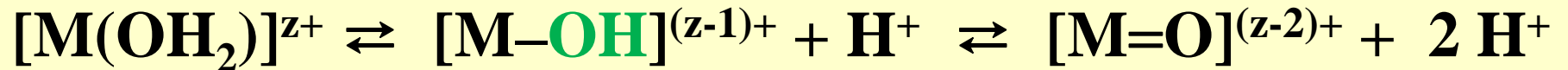


Sol-Gel Methods



Colloid Route

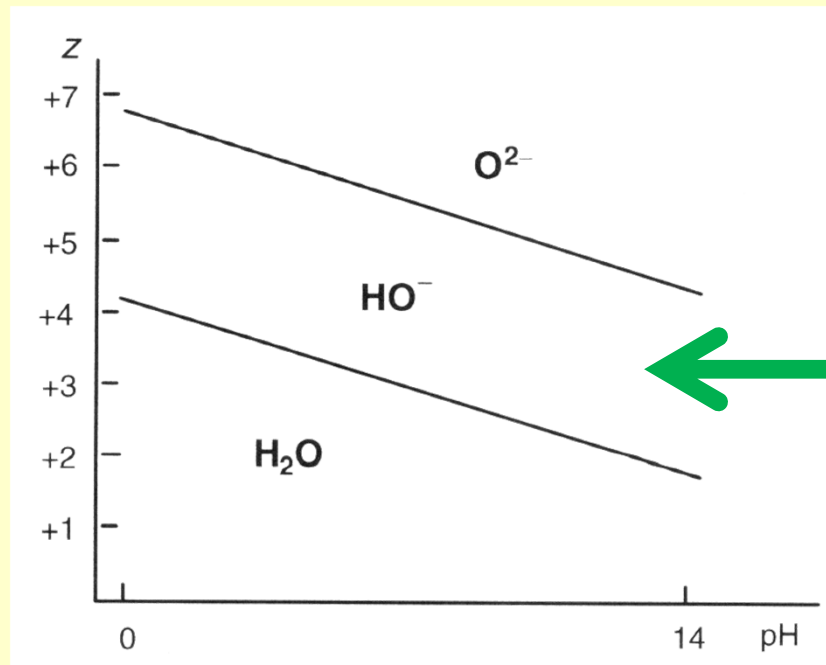
Depending on the water acidity and the charge transfer, the following equilibria are established:



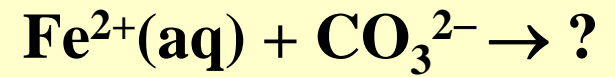
Aqua

Hydroxo

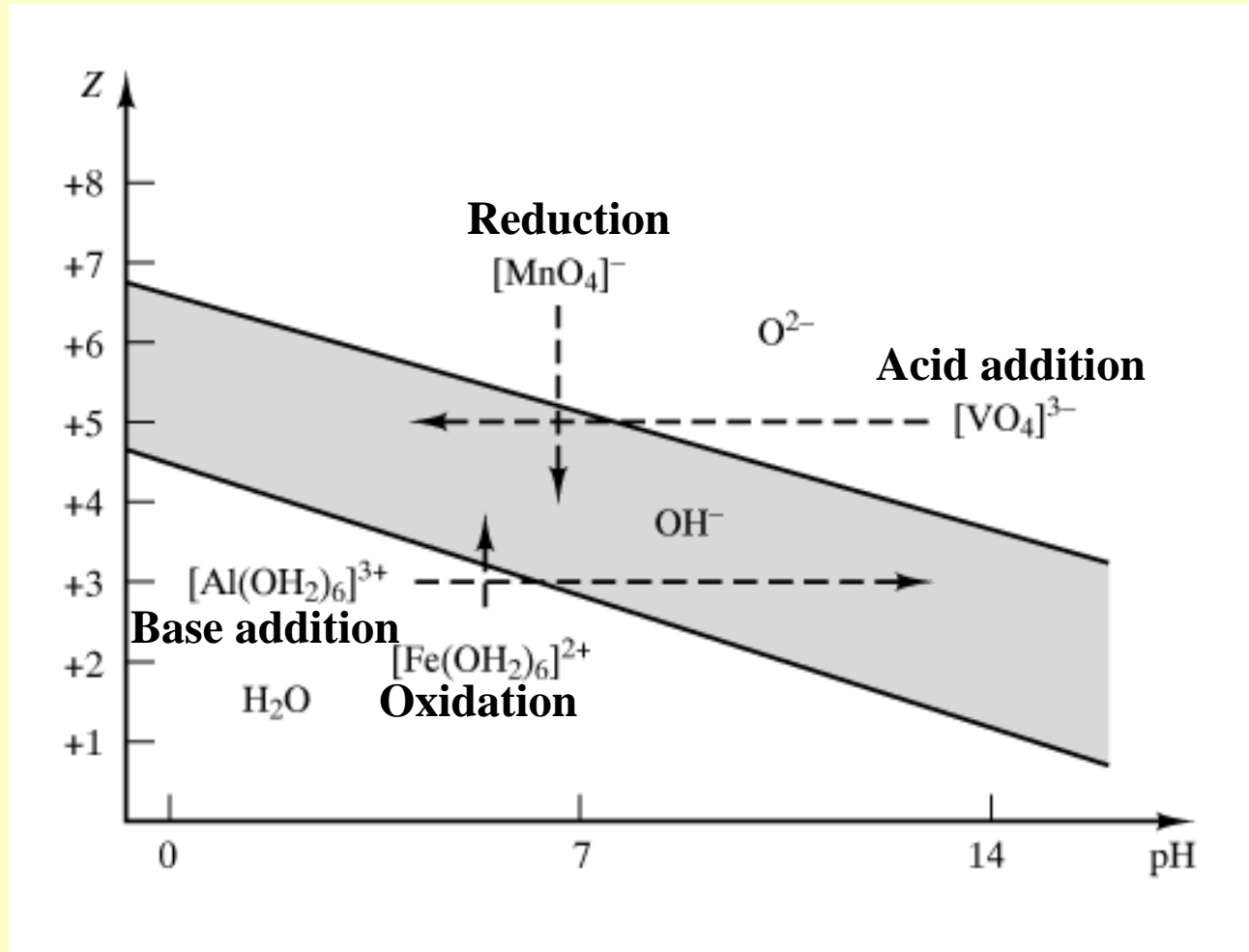
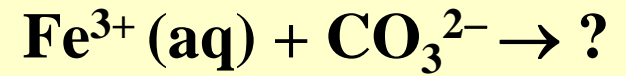
Oxo



Only **hydroxo**
can condense

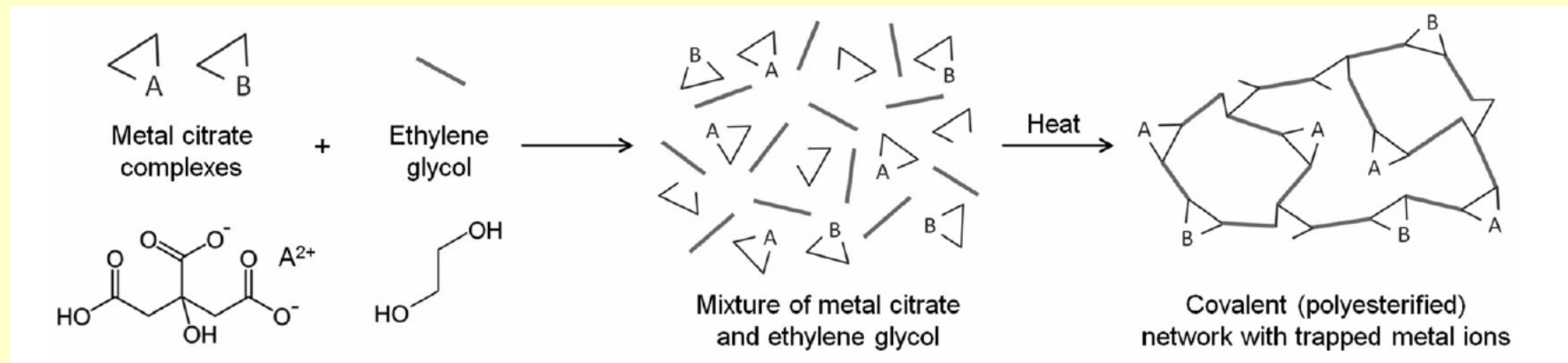
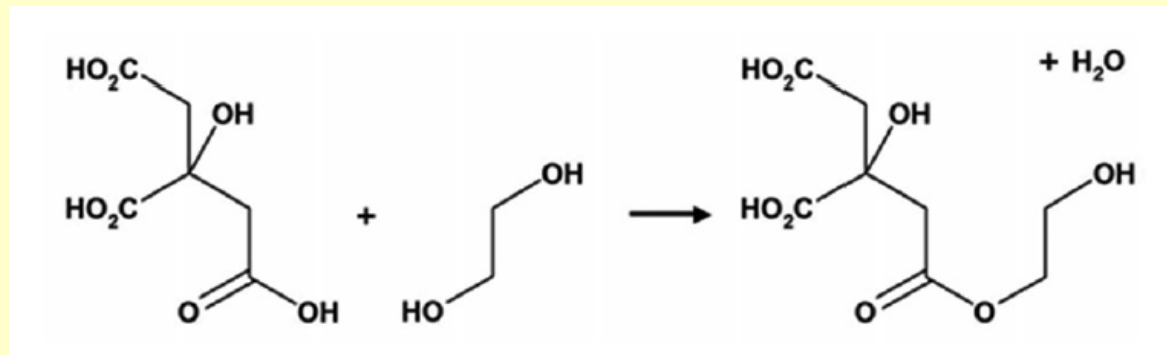


Colloid Route

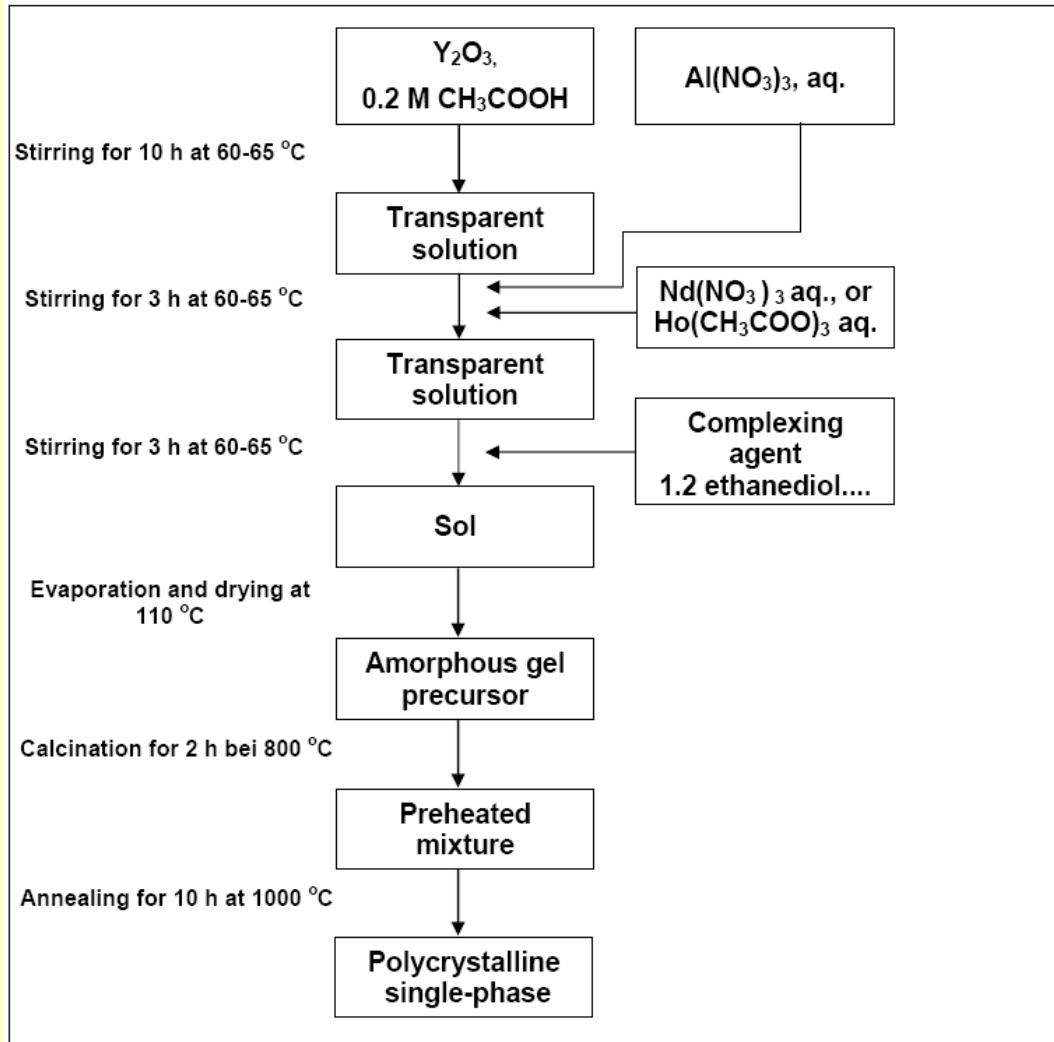


Pechini Sol-Gel Route

The transesterification reaction between citric acid and ethylene glycol



Pechini Sol-Gel Route



Major components

Dopants

Gelling agent

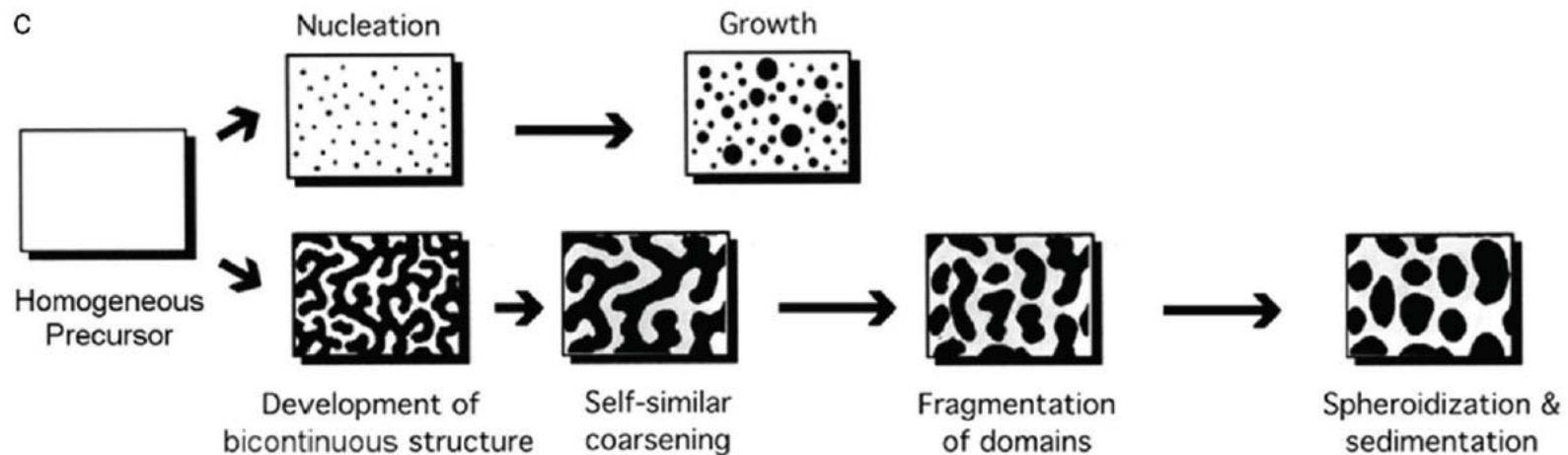
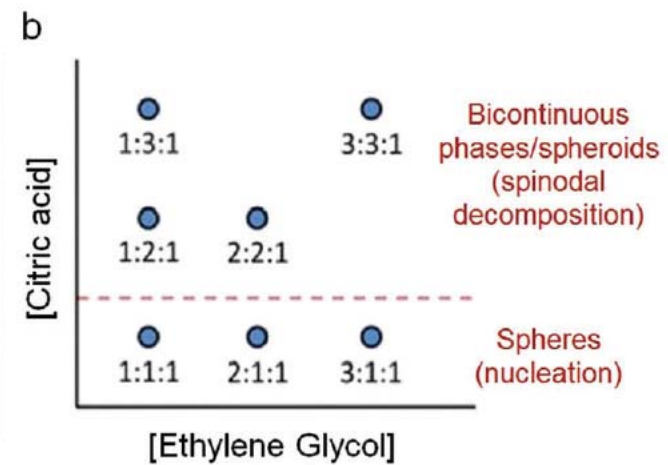
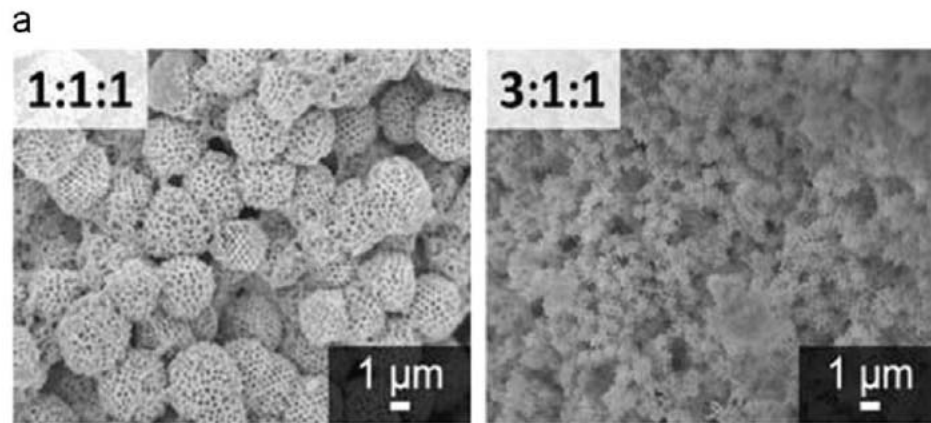
Removal of solvents

Removal of organics

Doped YAG product

Pechini Sol-Gel Route

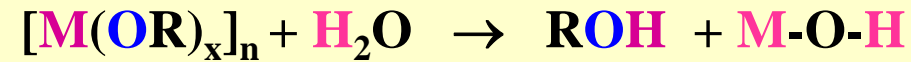
EG : CA : M



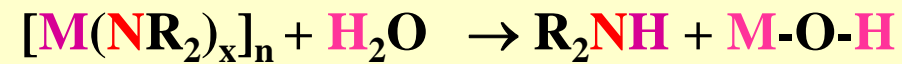
Metal-Organic (Alkoxide) Route

Hydrolysis

Metal Alkoxides



Metal Amides

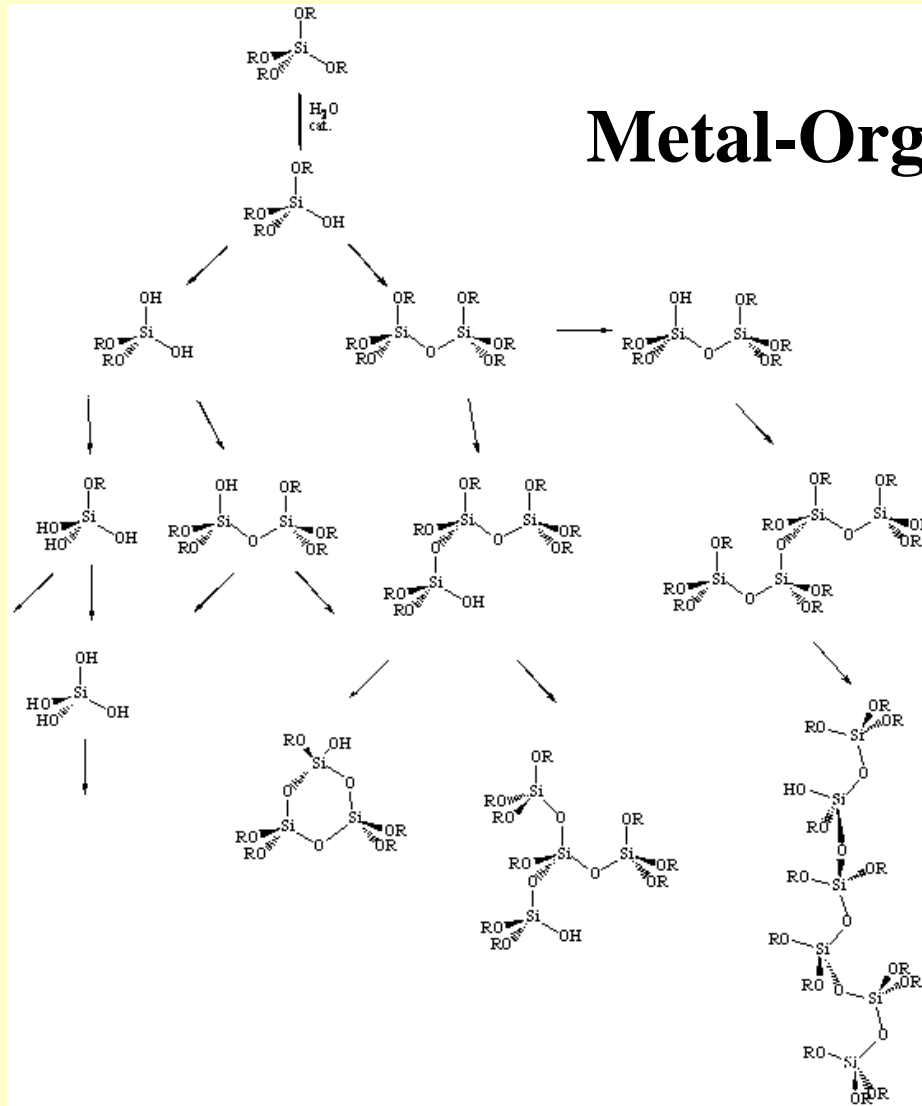


Polycondensation



OXIDE

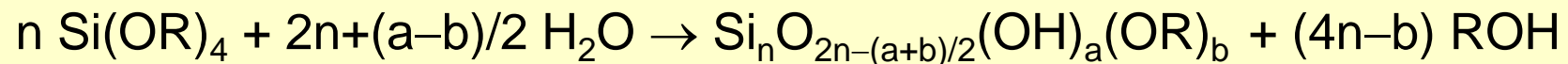
Metal-Organic (Alkoxide) Route



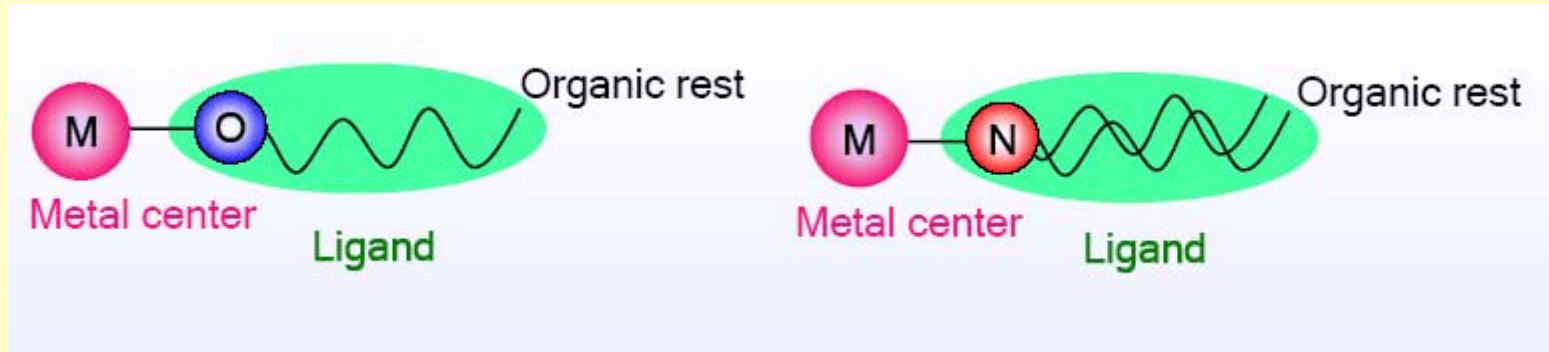
Oligomers formed
by hydrolysis-condensation
process

- linear
- branched
- cyclic
- polyhedral

Never goes to pure SiO_2



Metal Alkoxides and Amides as Precursors



Metal Alkoxides $[M(OR)_x]_n$

formed by the replacement of the hydroxylic hydrogen of an alcohol (ROH) through a metal atom

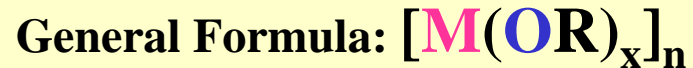
Most frequently used precursor for sol-gel: TEOS = $Si(OEt)_4$

Metal Amides $[M(NR_2)_x]_n$

formed by the replacement of one of the hydrogen atoms of an amine (R_2NH) through a metal atom

Metal Alkoxides and Amides as Precursors

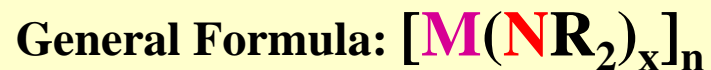
Homometallic Alkoxides



Heterometallic Alkoxides



Metal Amides



M = Metal or metalloid of valency **x**

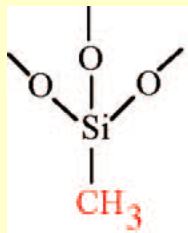
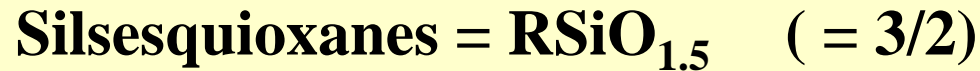
O = Oxygen Atom

N = Nitrogen atom

R = simple alkyl, substituted alkyl or aryl group

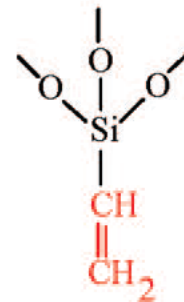
n = degree of molecular association

Modified Silicon Alkoxides as Precursors



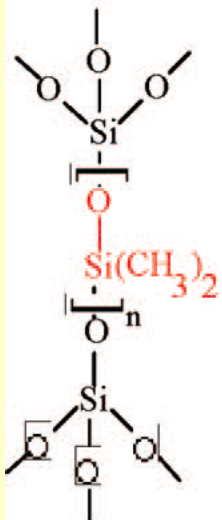
Methyl
Hydrophobicity

Terminal groups



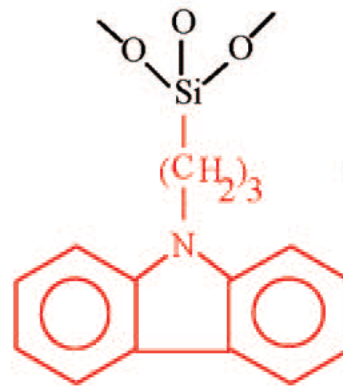
Vinyl
Crosslinking with acrylic

Polymerizable groups



Polydimethylsiloxane
Rubbery behavior

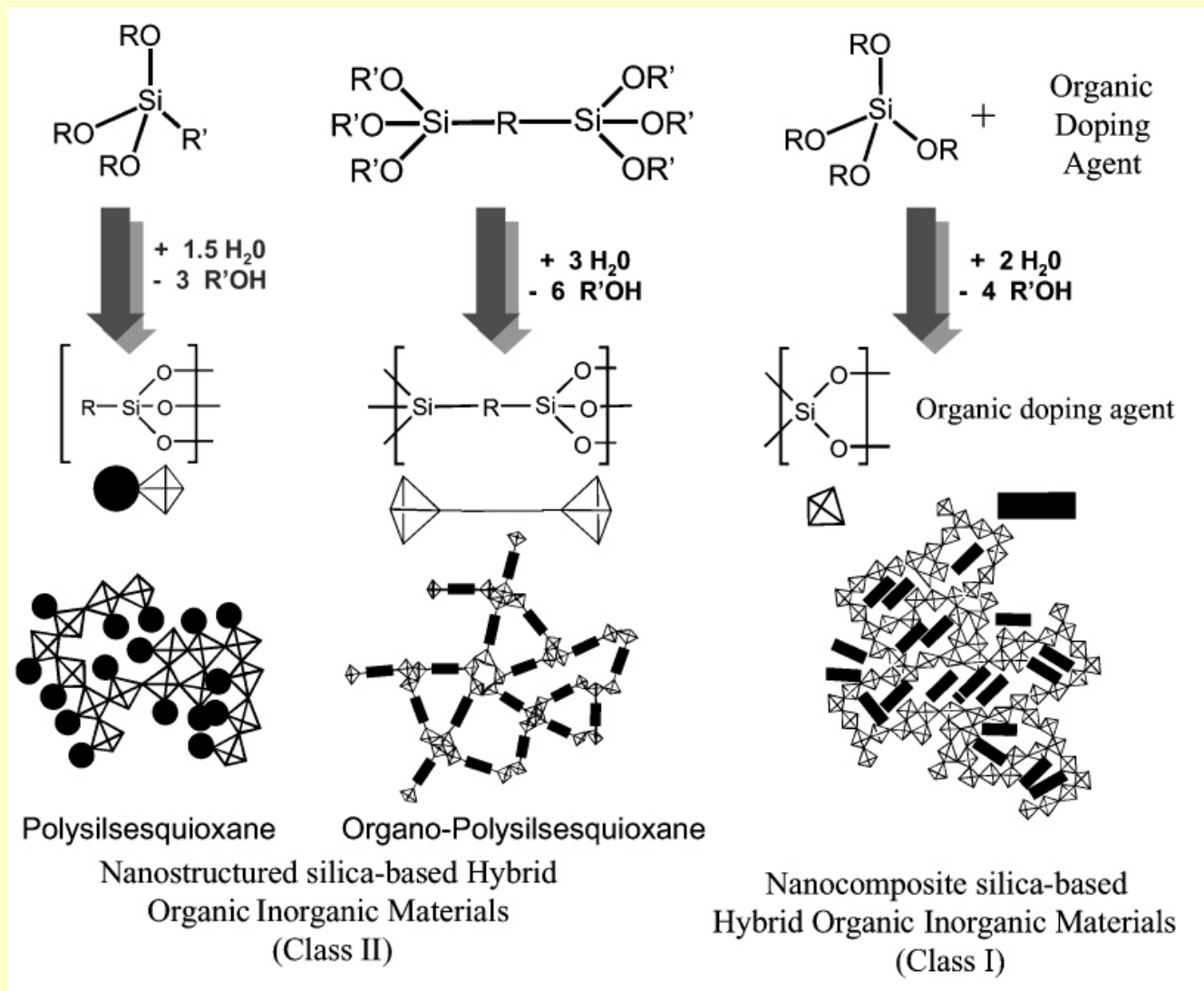
Bridging groups



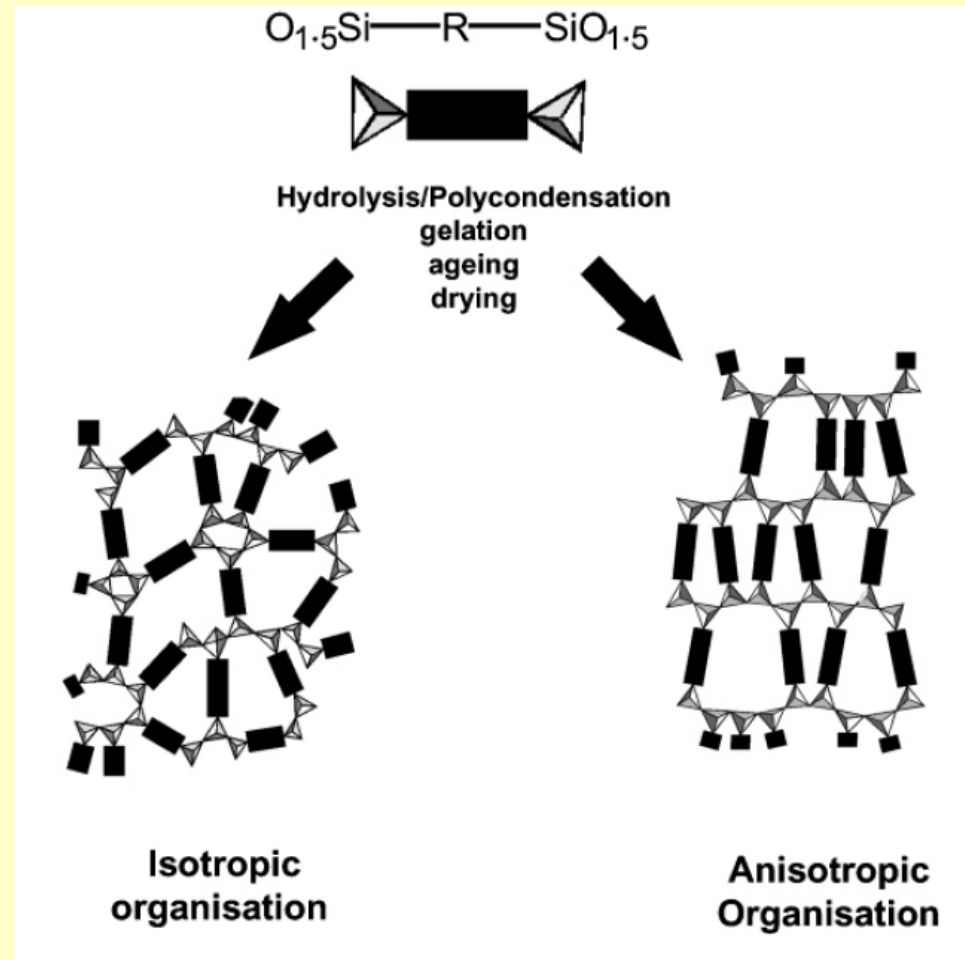
Carbazole
Charge transport properties

Functional groups

Hybrid Inorganic-Organic Materials



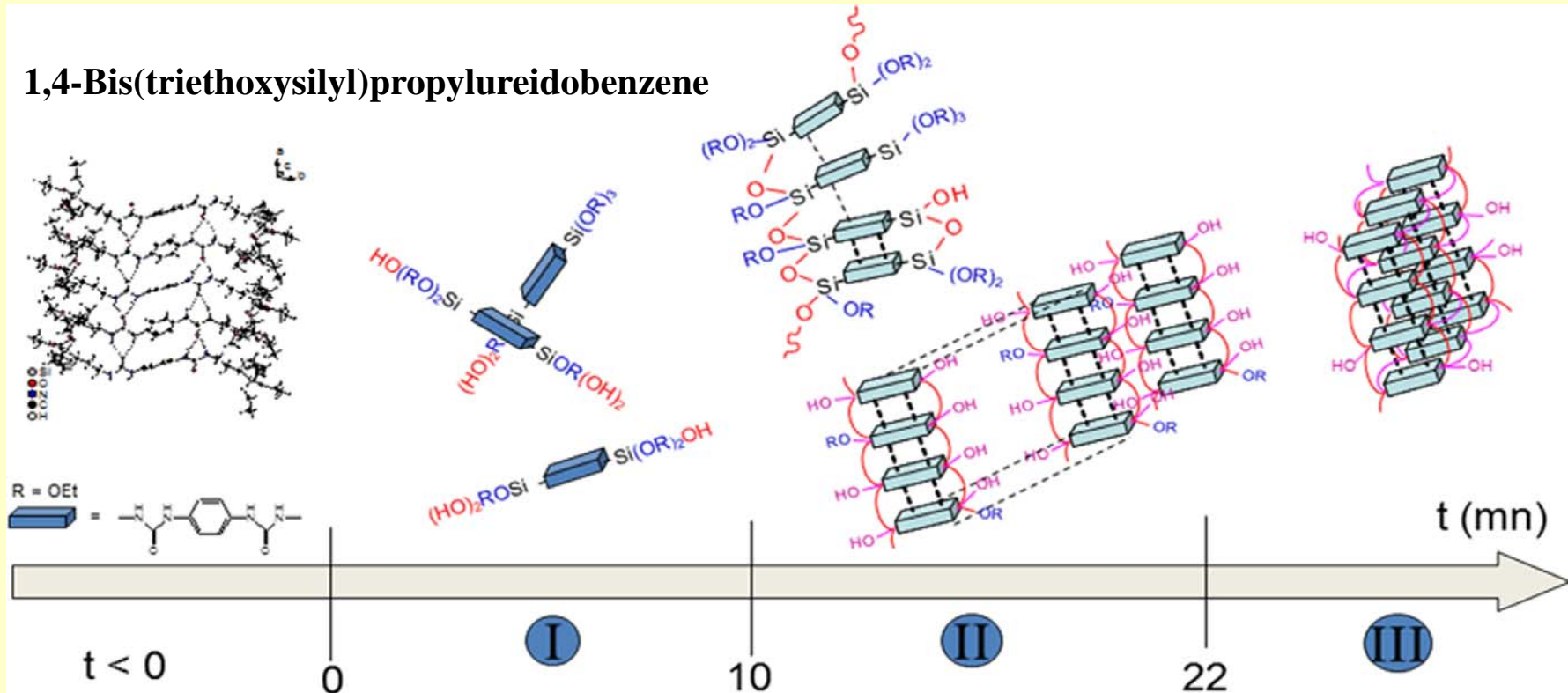
Organization in Xerogels of Bridged Silicon Alkoxide Precursors



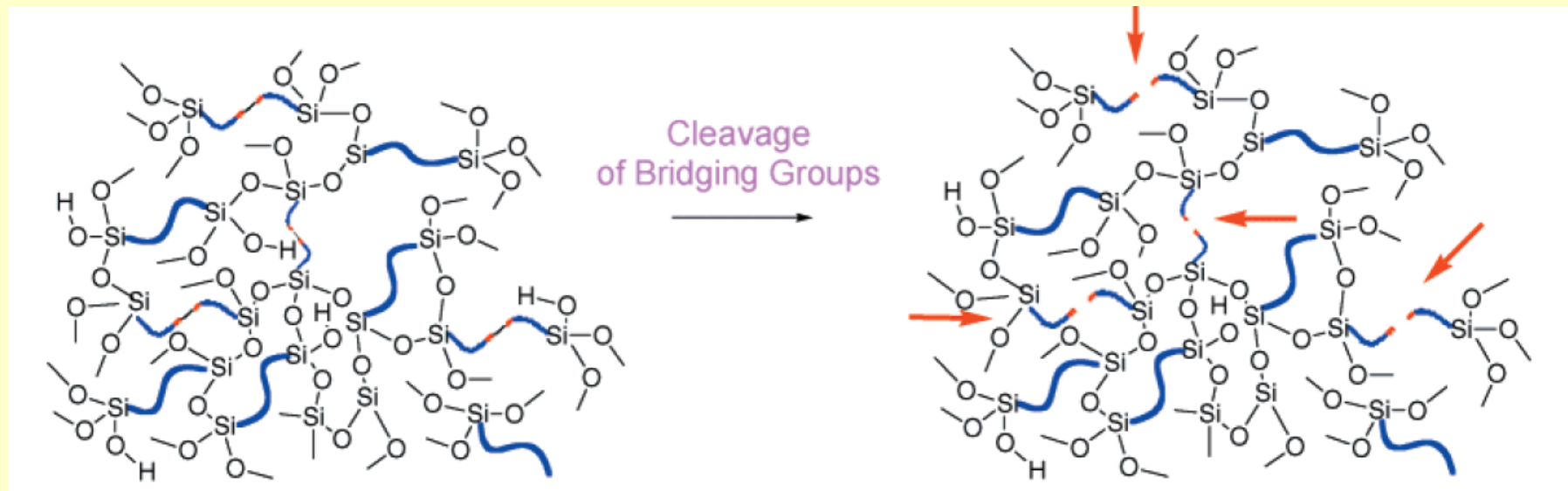
Self-Assembly of Bridged Silsesquioxanes

Nanostructuring of hybrid silicas through a Self-Recognition Process - the crystallization of the hydrolyzed species by H-bonding followed by their polycondensation in solid state

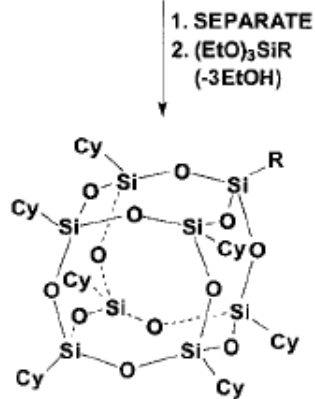
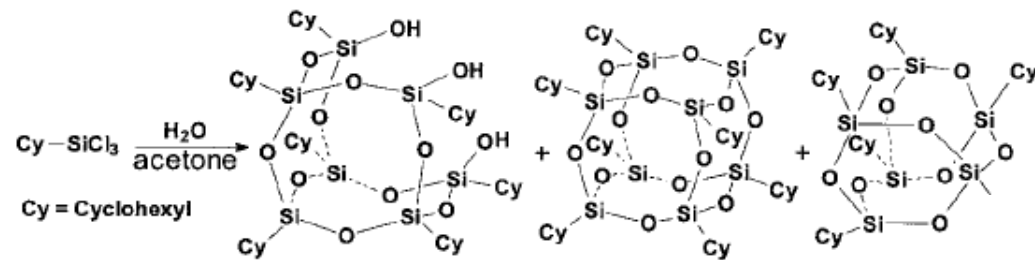
1,4-Bis(triethoxysilyl)propylureidobenzene



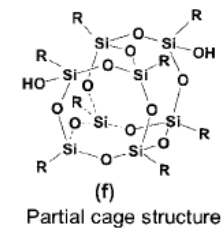
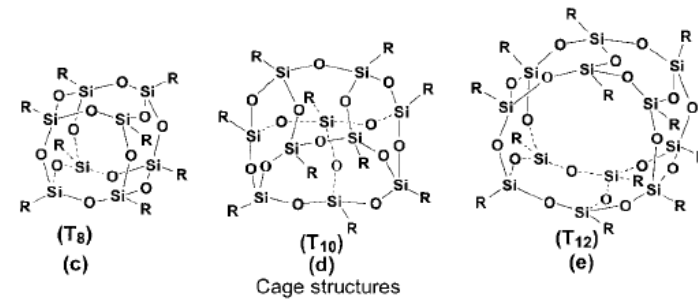
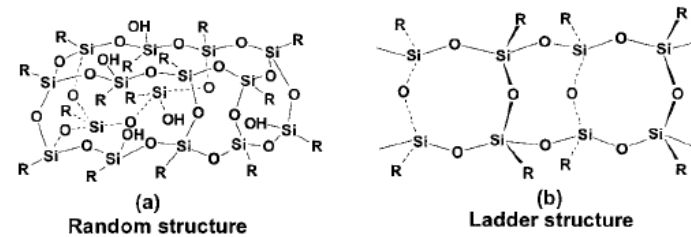
Templating Porosity in Bridged Polysilsesquioxanes



Polyhedral Oligomeric Silsesquioxanes (POSS)

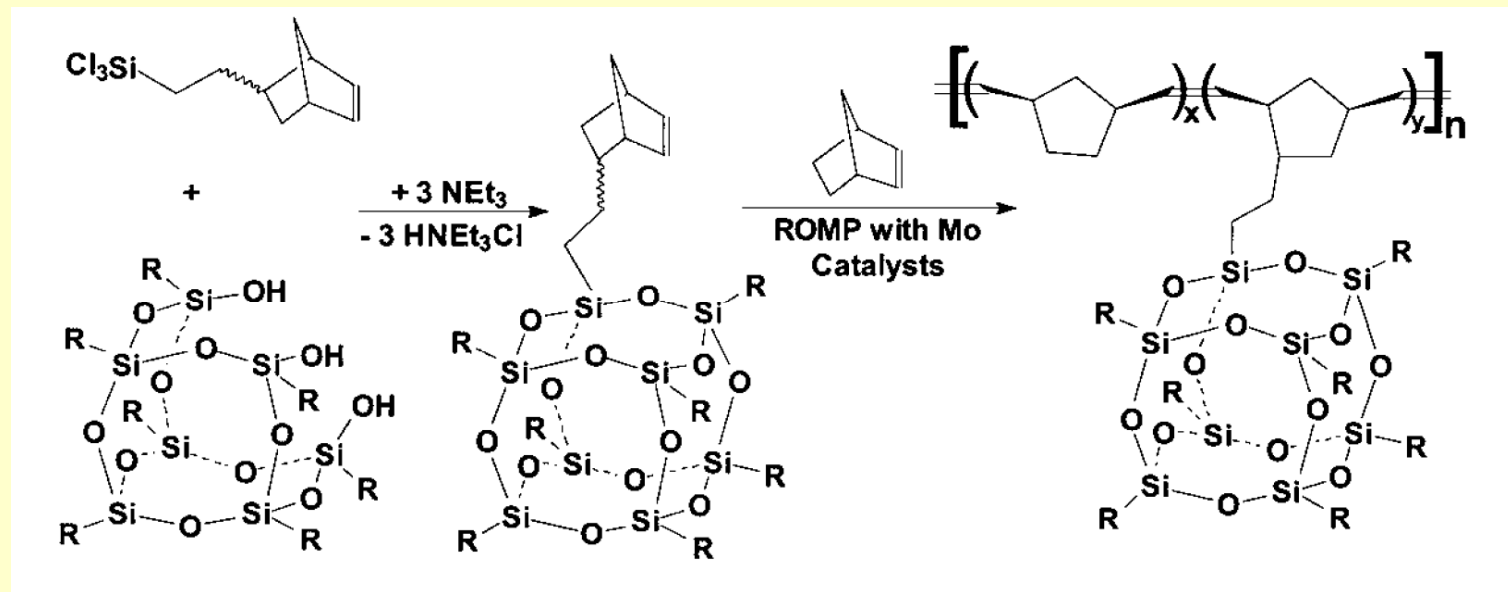


R = hydride
 chloride
 hydroxide
 nitriles
 amines
 isocyanates
 styryls
 olefins
 acrylics
 epoxides
 norbornyls
 bisphenols
 acid chlorides
 alcohols
 acids

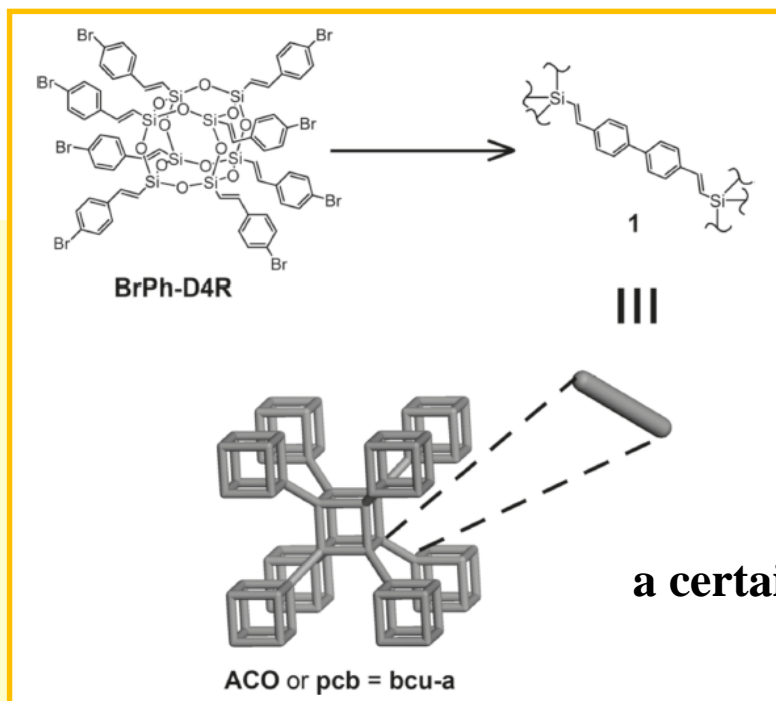
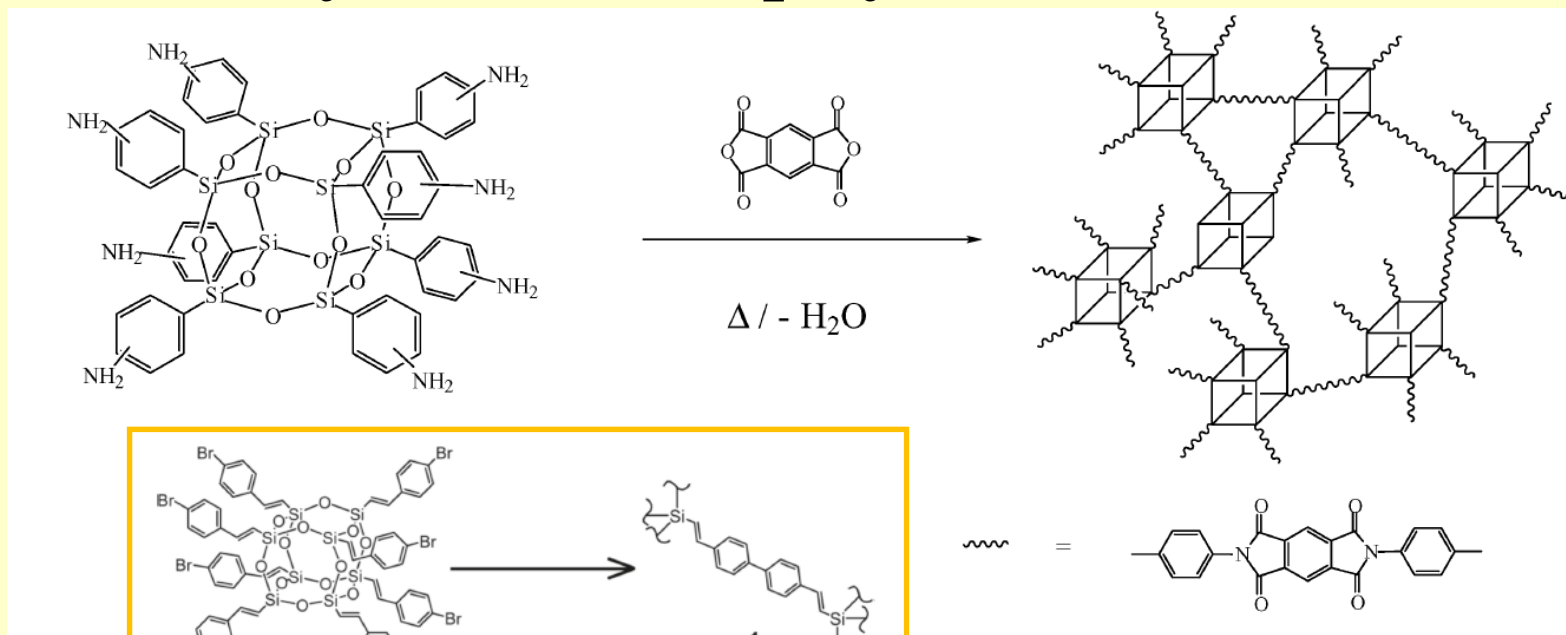


Sol-Gel Meth

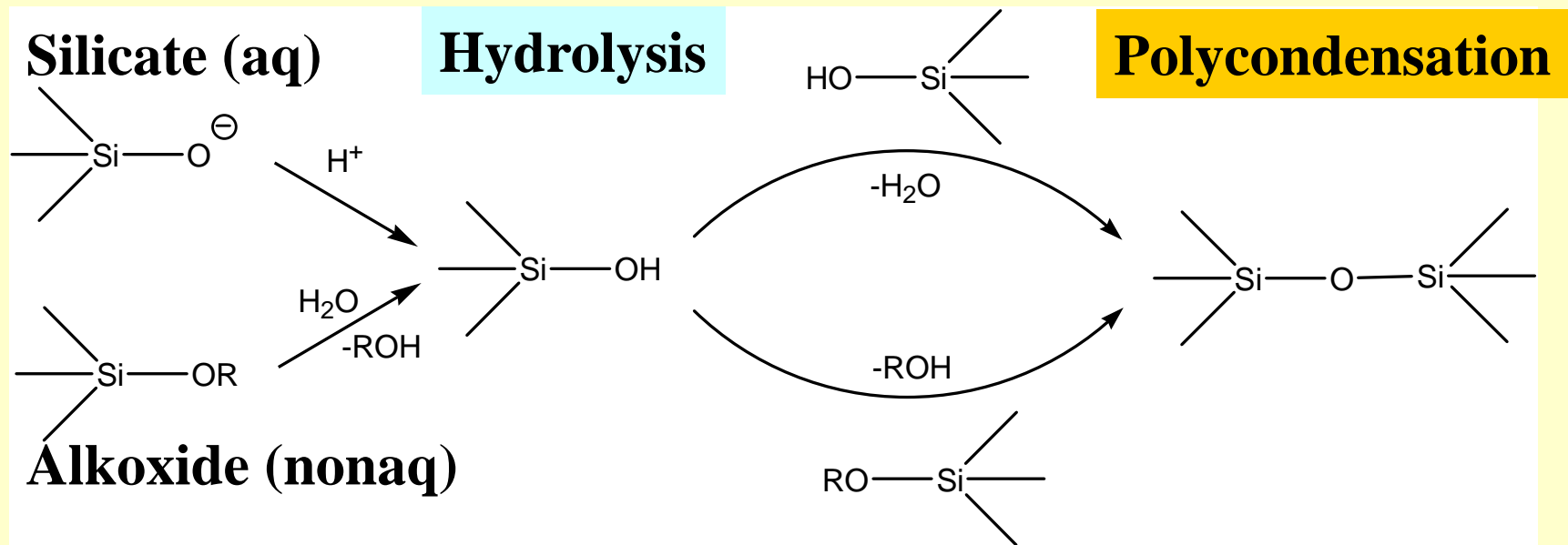
Polymers and Copolymers of POSS



Polymers and Copolymers of POSS



Sol-Gel in Silica Systems

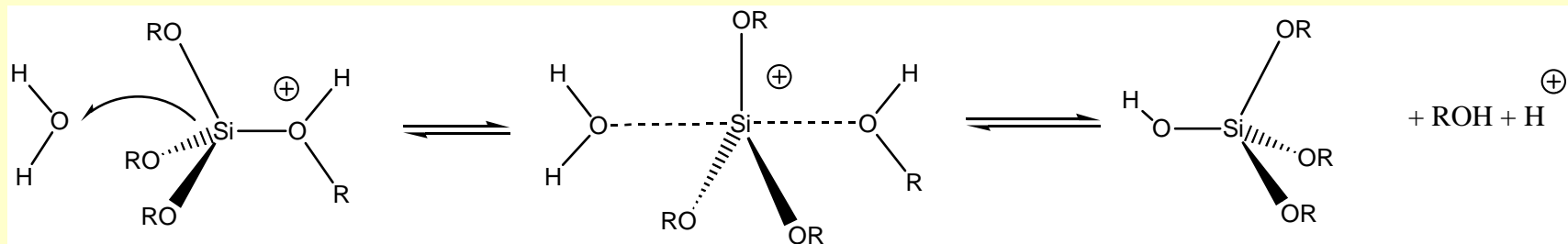


Metal-Organic (Alkoxide) Route

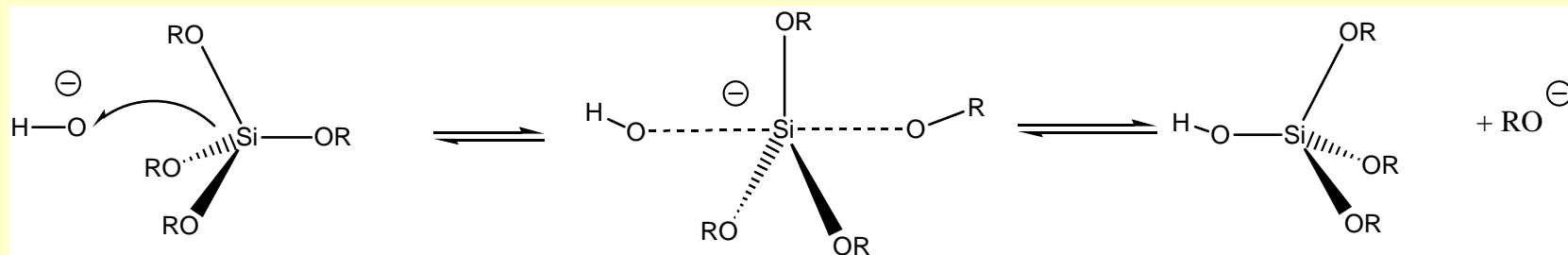
●* Metal-organic Route

metal alkoxide in alcoholic solution, water addition

Acid catalysed hydrolysis

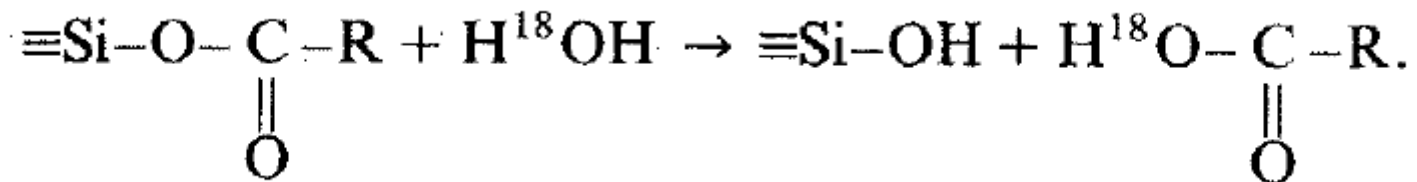
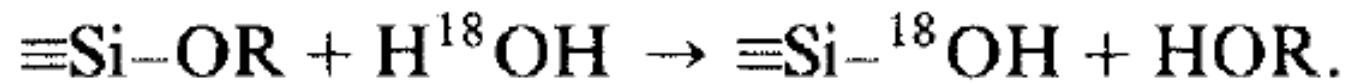


Base catalysed hydrolysis

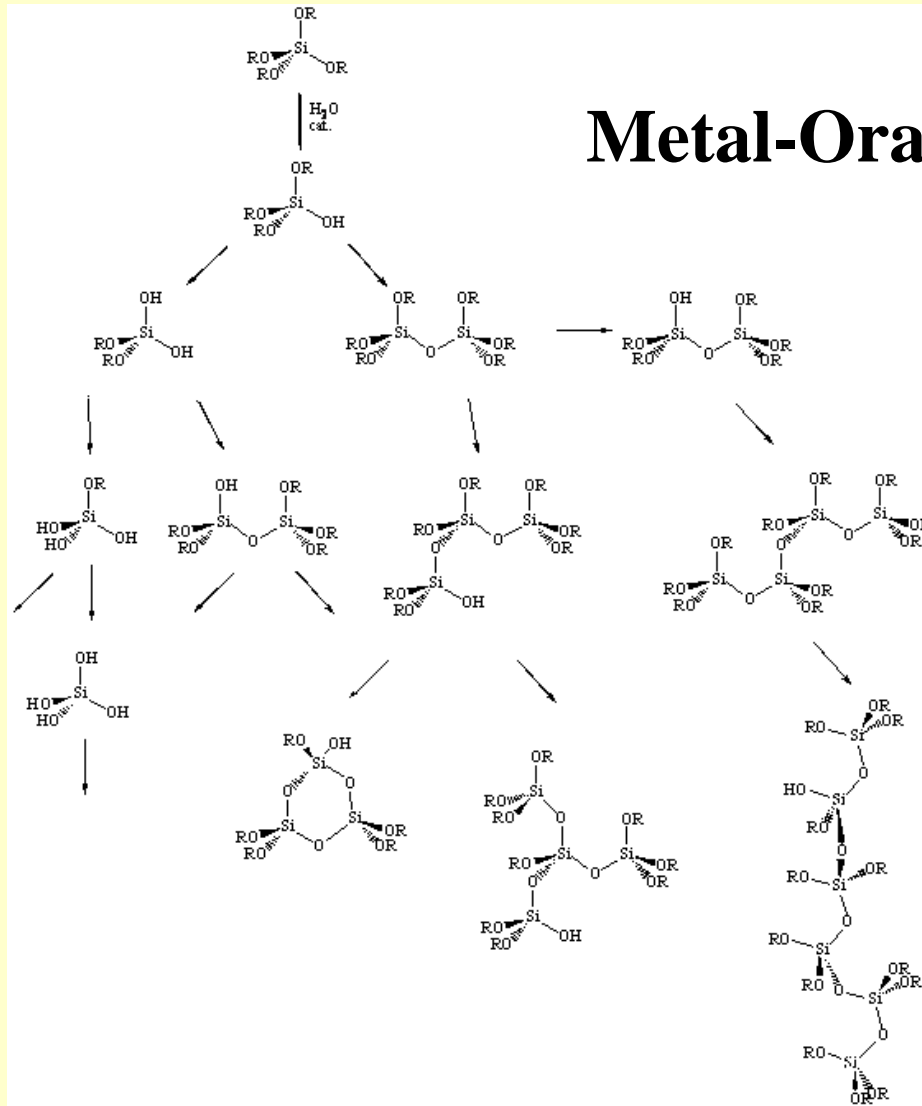


Metal-Organic (Alkoxide) Route

Isotope labelling experiments



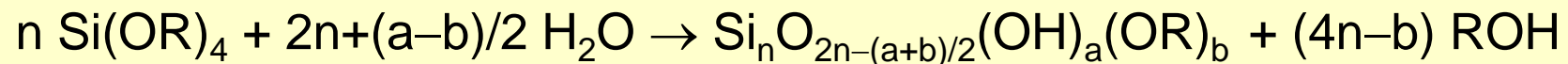
Metal-Organic (Alkoxide) Route



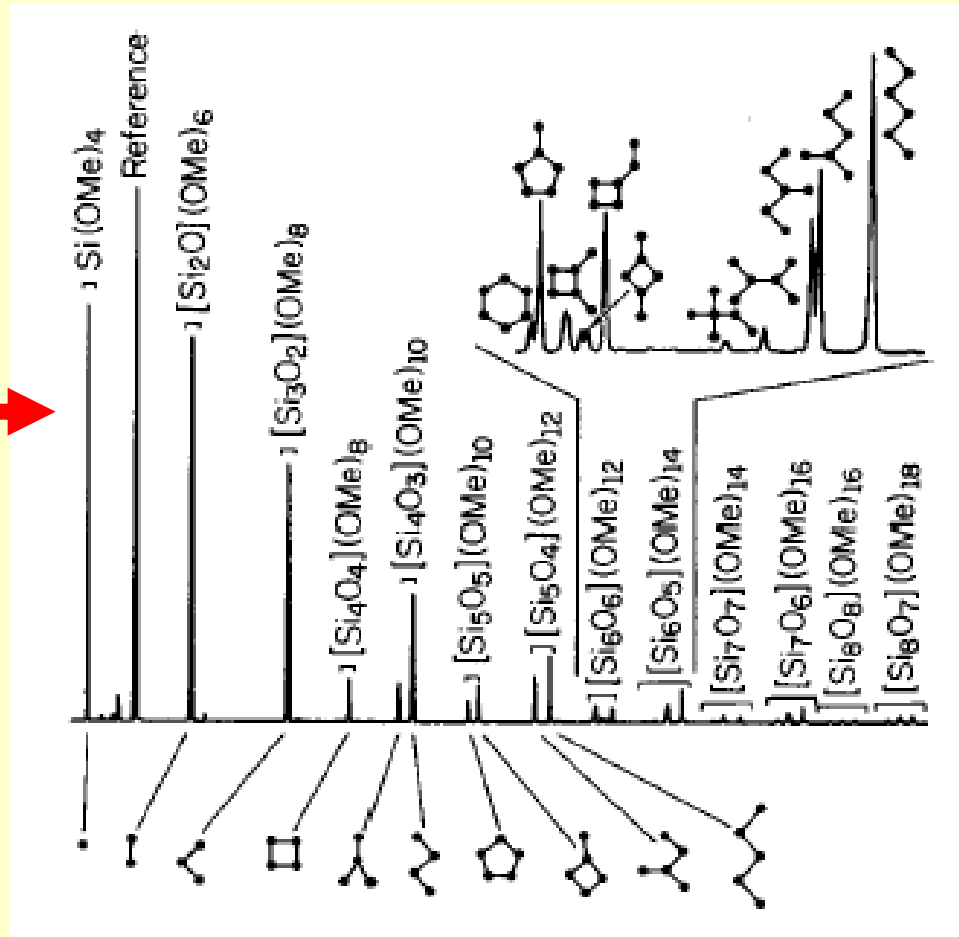
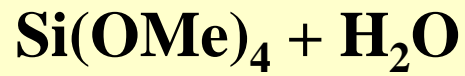
Oligomers formed
by hydrolysis-condensation
process

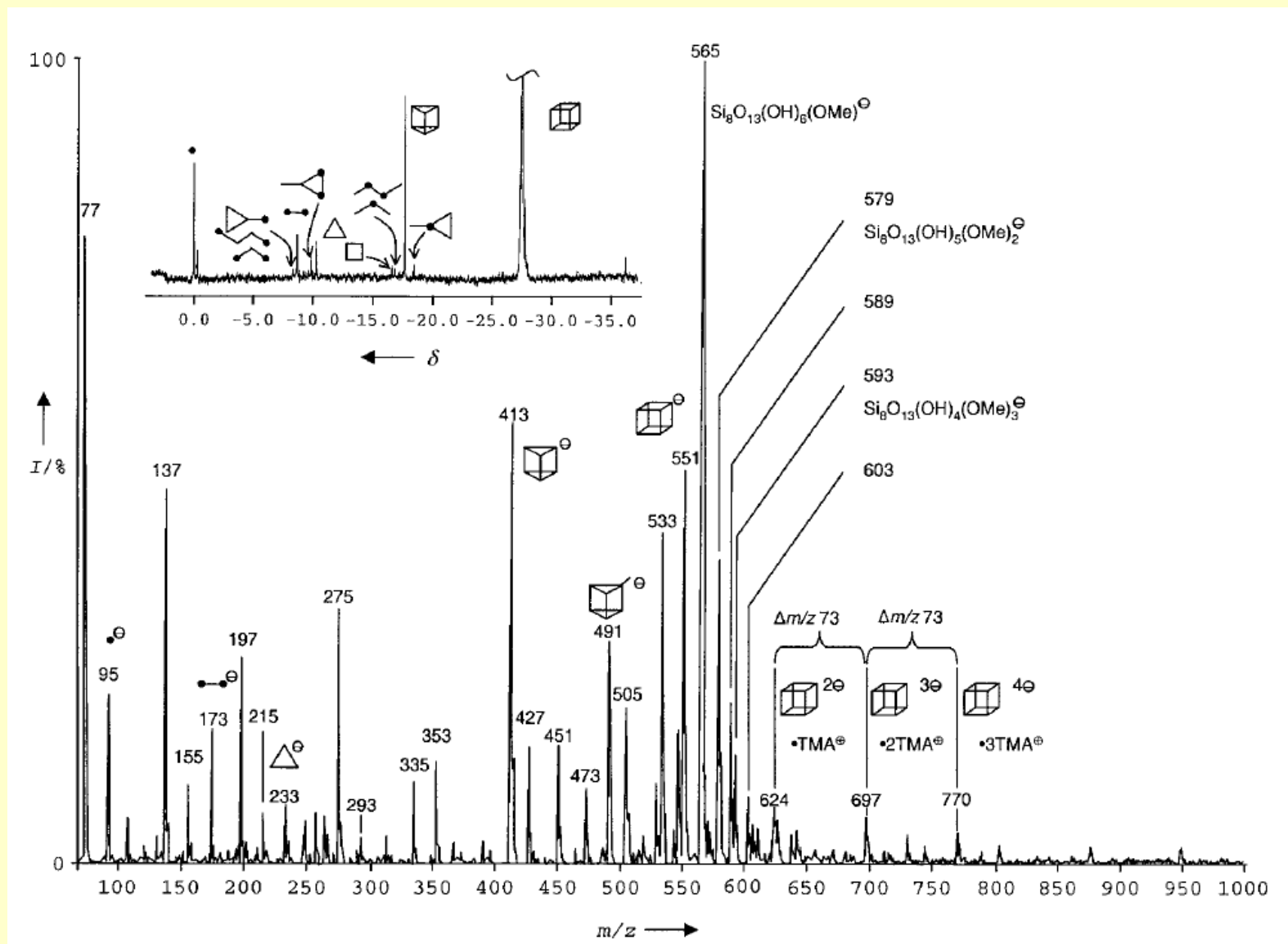
- linear
- branched
- cyclic
- polyhedral

Never goes to pure SiO_2



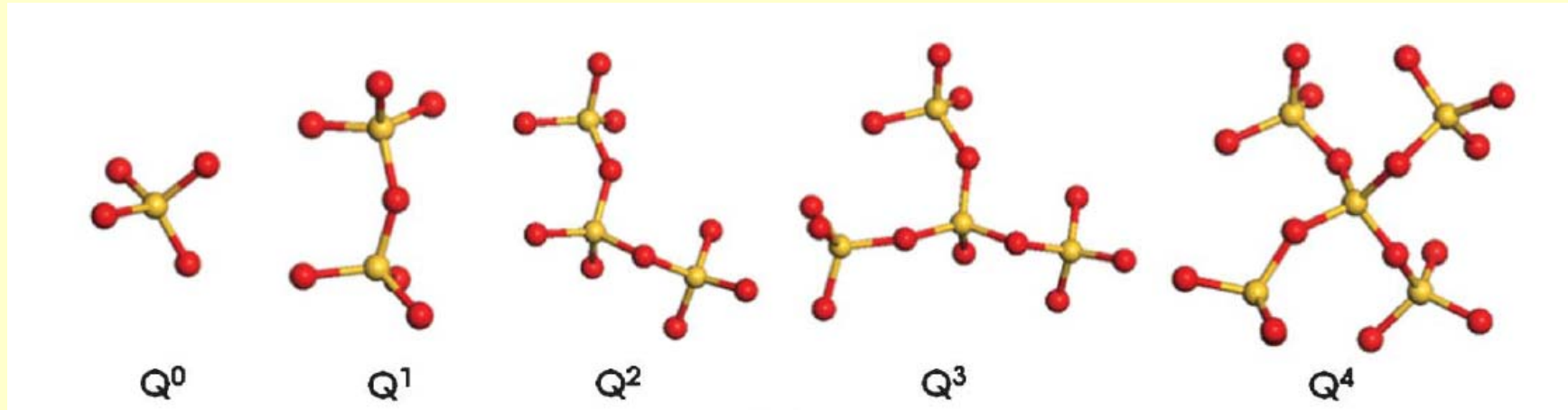
GC of TMOS hydrolysis products



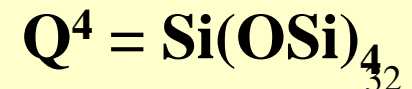
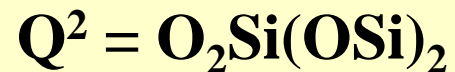
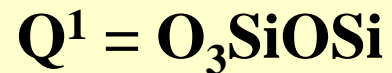
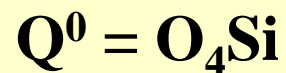


Neg. ion ESI-MS and ^{29}Si NMR of silicate aq with TMA ions

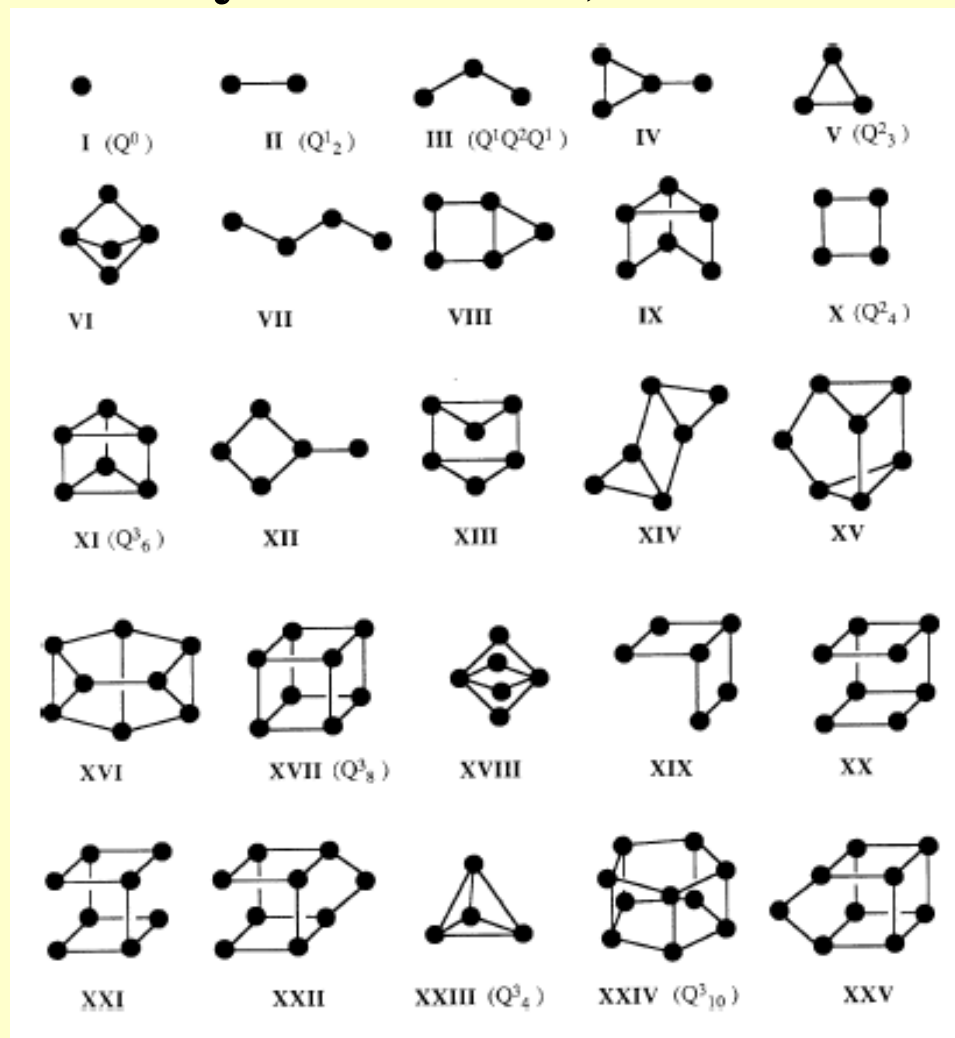
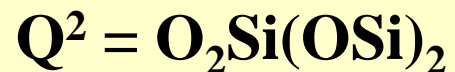
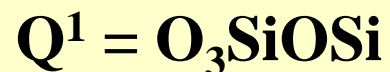
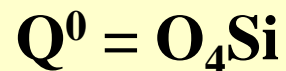
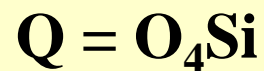
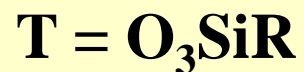
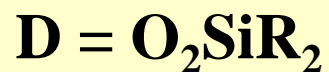
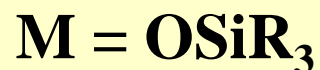
Q - notation



the notation of Q^a_b , “Q” stands for the maximum 4 siloxane bonds for each silicon, “a” is the actual number of siloxane bonds on each Si, and “b” is the number of Si in the unit

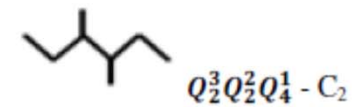
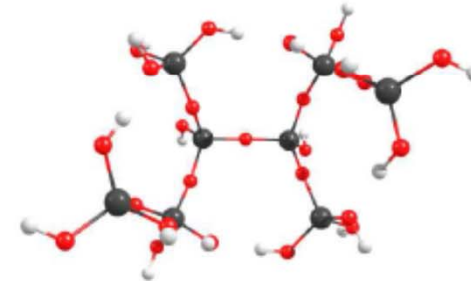
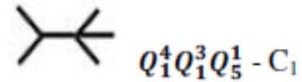
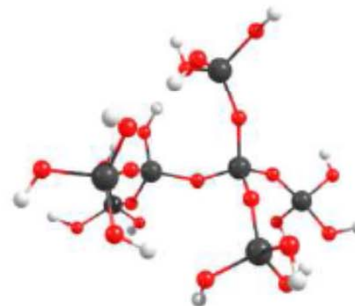
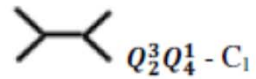
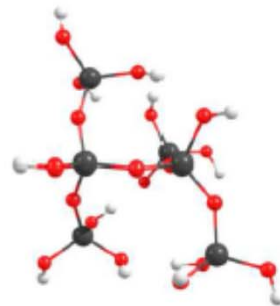
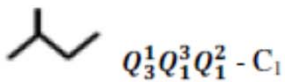
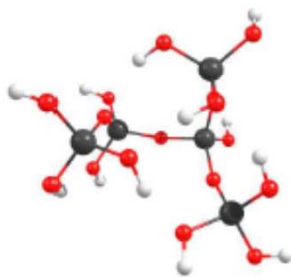
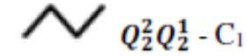
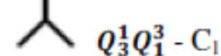
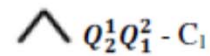
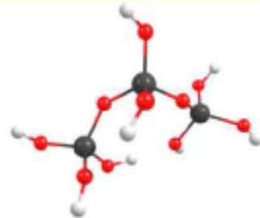
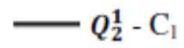
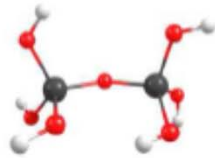
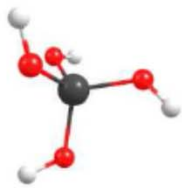
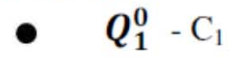


Silicate anions in aqueous alkaline media (detected by ^{29}Si -NMR)



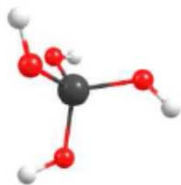
Silicate anions

Monomer

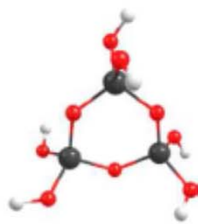


Monomer

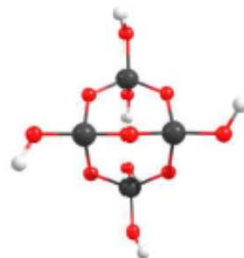
● $Q_1^0 - C_1$



Silicate anions



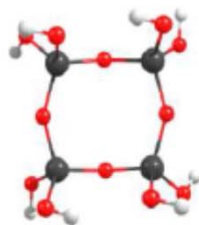
△ $Q_2^2 - C_1$



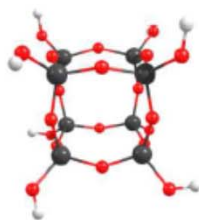
◇ $Q_2^3 Q_2^2 - C_1$



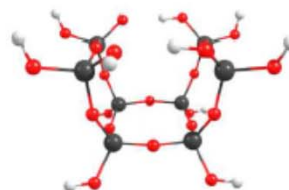
△ $Q_2^2 Q_1^3 Q_1^1 - C_1$



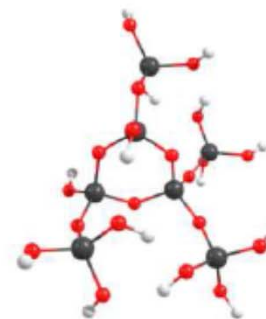
□ $Q_4^2 - C_2$



□ $Q_8^3 - O_h$

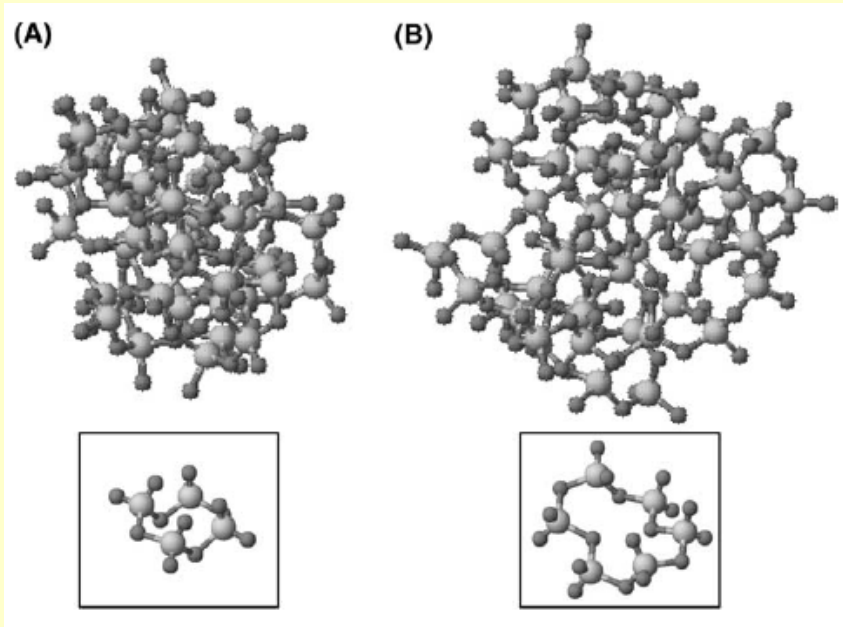


□ $Q_4^3 Q_4^2 - C_s$

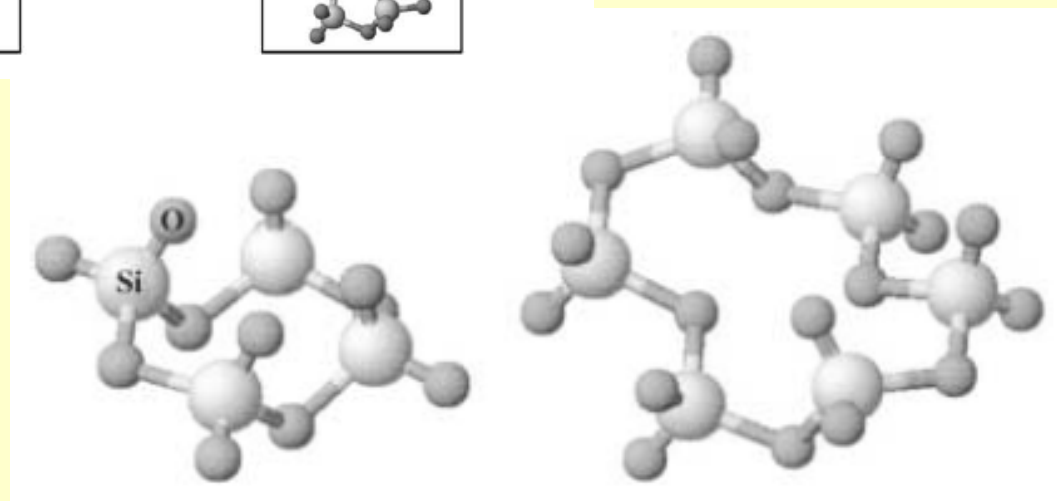


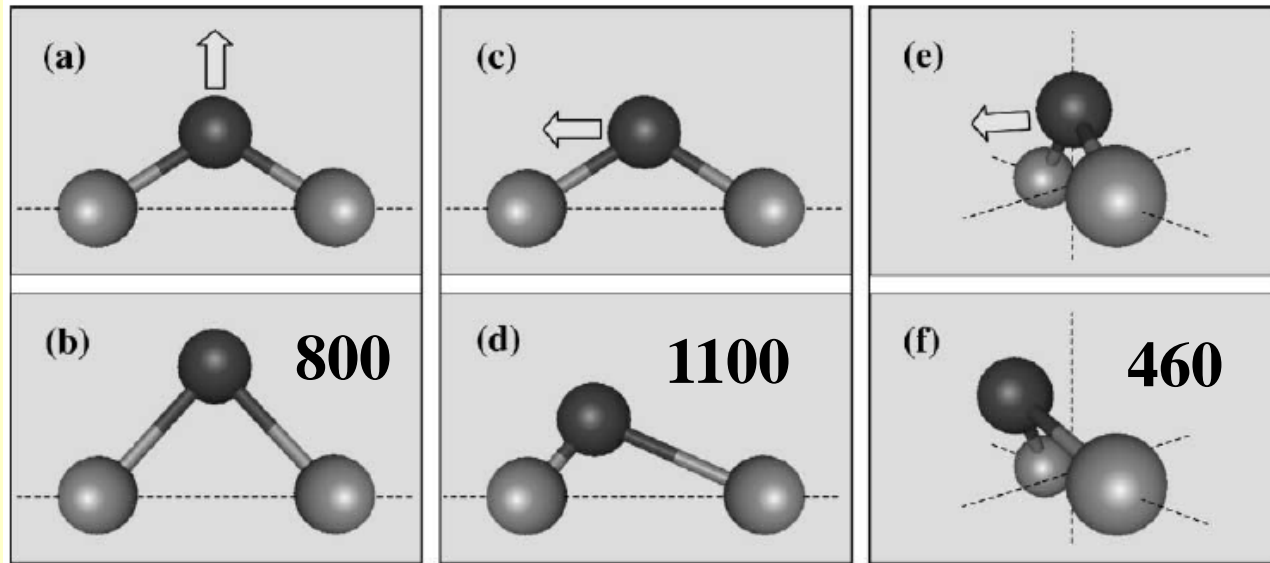
△ $Q_1^4 Q_2^3 Q_4^1 - C_1$

Oligomers formed by hydrolysis-condensation

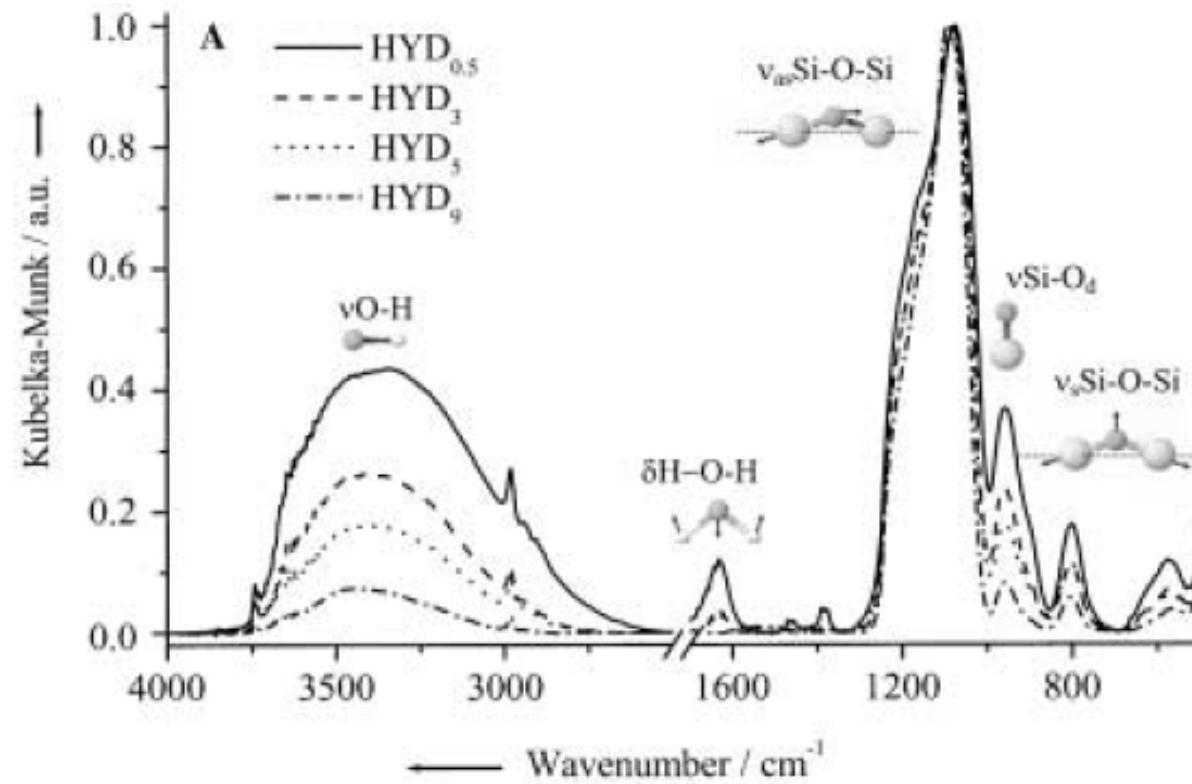


$\text{Si}_{50}\text{O}_{75}(\text{OH})_{50}$ three-dimensional clusters formed by
(A) four-rings
(B) six-rings





IR spectrum of silica
 ν , cm^{-1}



The Electrical Double Layer

The electrical double layer at the interface of silica and a diluted KCl solution

ψ = local potential

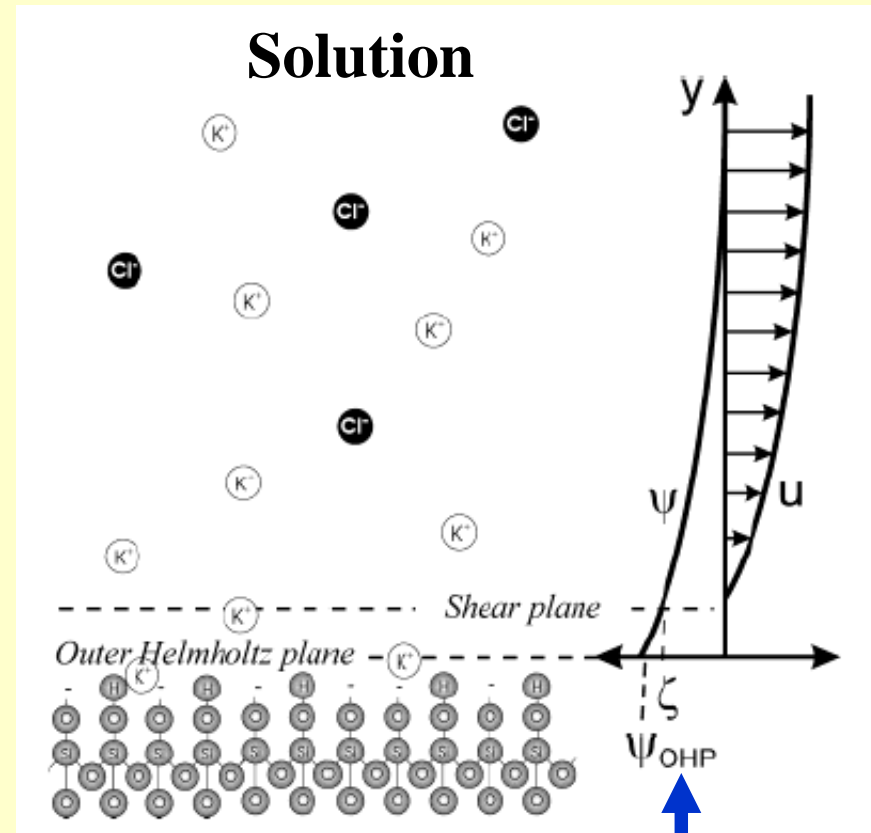
OHP = outer Helmholtz plane

u = local electroosmotic velocity

Negative surface charge stems from deprotonated silanols
Shielding of this surface charge occurs due to adsorbed ions inside the OHP and by mobile ions in a diffuse layer

The shear plane = where hydrodynamic motion becomes possible

Zeta = potential at the shear plane



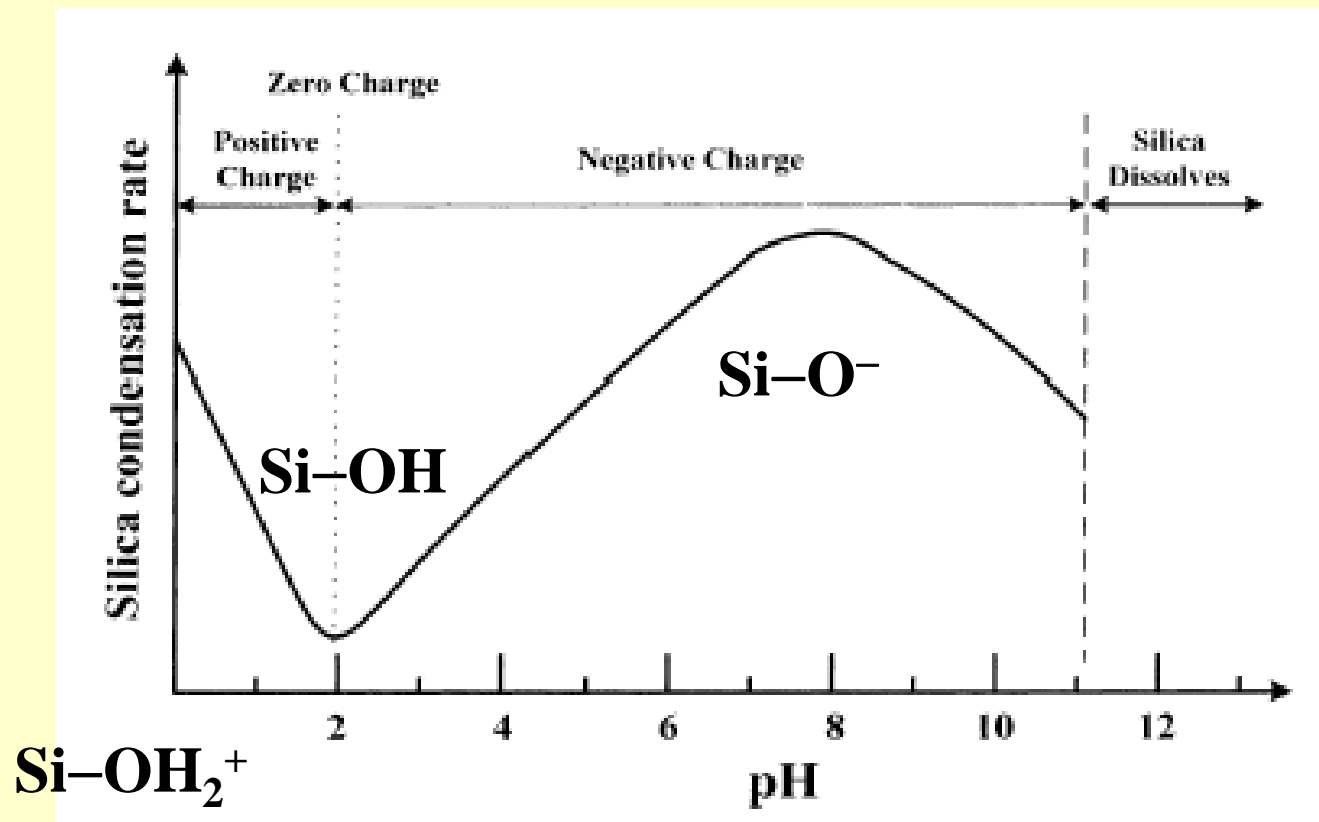
Silica surface

Zeta

Sol-Gel Methods

Isoelectric point: zero net charge

pH = 2.2 for silica



Sol-Gel Methods

Longest TEOS gel time
= the slowest reaction

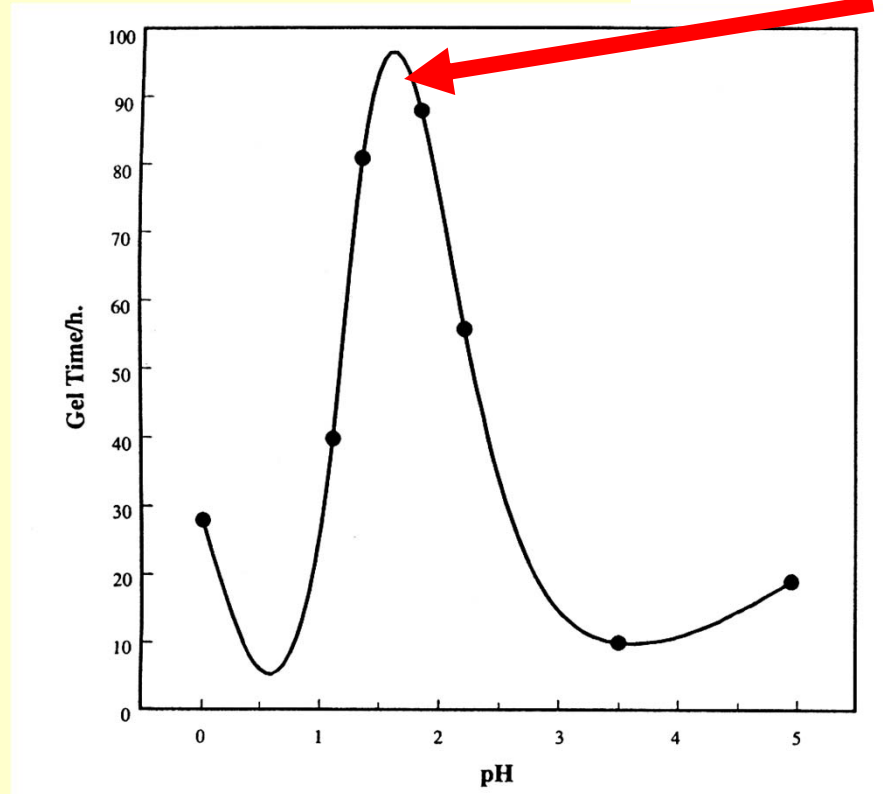
Effects on hydrolysis rate:

pH

substituents

solvent

water



Rate of H⁺ catalyzed TEOS hydrolysis (gel time) as a function of pH

Sol-Gel Methods

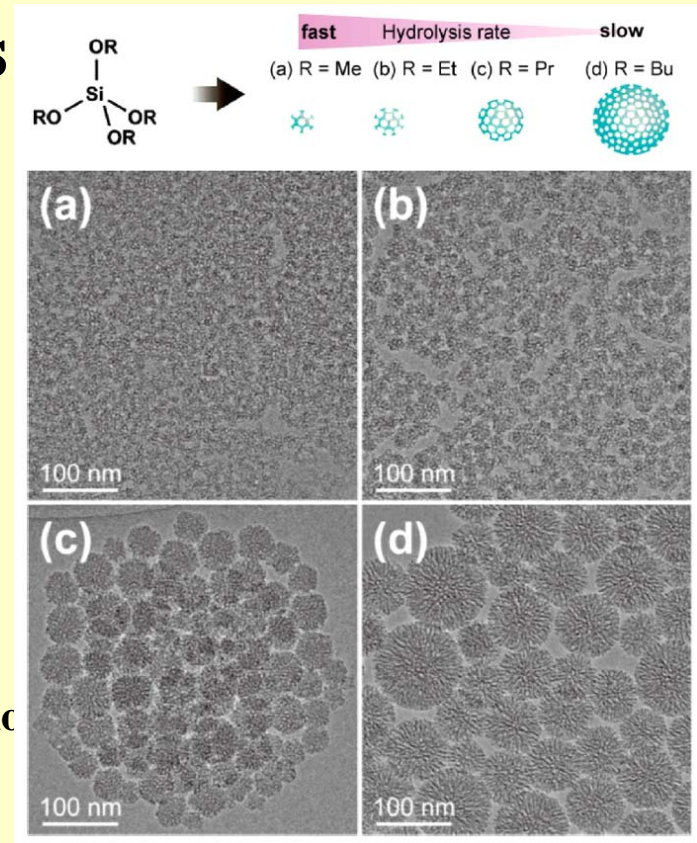
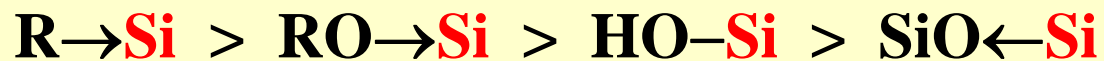
Precursor substituent effects:

Steric effects: branching and increasing of the chain length **LOWERS** the hydrolysis rate



Inductive effects: electronic stabilization/destabilization of the transition state (TS).

Electron density at **Si** decreases:



Partial Charge Model (Livage and Henry)

Electron transfer occurs when atoms combine to give a molecule.

Charge transfer causes each atom to acquire a partial positive or negative charge, δ_i .

This transfer mainly depends on the electronegativity difference between atoms.

The electronegativity χ_i of an atom varies linearly with its partial charge δ_i .

$$\chi_i = \chi_i^0 + k \delta_i$$

Electron transfer must stop when all electronegativities have the same value called the mean electronegativity $\bar{\chi}$.

Partial Charge Model (Livage and Henry)

The mean electronegativity $\bar{\chi}$ of a molecule
 z = the electric charge for ions
 k = a constant that depends on the
 electronegativity scale ($k = 1.36$ in Pauling's
 units).

$$\bar{\chi} = \frac{\sum_i \sqrt{\chi_i} + 1.36z}{\sum_i (1/\chi_i)},$$

The partial charge δ_i on an element in the molecule

$$\delta_i = \frac{\bar{\chi} - \chi_i}{1.36\sqrt{\chi_i}}.$$

Table 1 Partial charge values δ_i of various silanes calculated by the partial charge model

	Si(OR) ₄	CH ₃ Si(OR) ₃	C ₂ H ₅ Si(OR) ₃
δ_O values			
R = CH ₃	-0.44	-0.46	-0.47
R = C ₂ H ₅	-0.46	-0.47	-0.48
R = C ₃ H ₇	-0.47	-0.48	-0.48
δ_{Si} values			
R = CH ₃	+0.35	+0.33	+0.32
R = C ₂ H ₅	+0.32	+0.31	+0.31
R = C ₃ H ₇	+0.31	+0.30	+0.30

Partial Charge Model (Livage and Henry)

Alkoxide	Zr(OEt) ₄	Ti(OEt) ₄	Nb(OEt) ₅	Ta(OEt) ₅	VO(OEt) ₃	W(OEt) ₆	Si(OEt) ₄
$\delta(M)$	+0.65	+0.63	+0.53	+0.49	+0.46	+0.43	+0.32

The hydrolysis rate depends on the $\delta(M)$:

$$k_h \approx 5 \cdot 10^{-9} \text{ mol}^{-1}\text{s}^{-1} \text{ for Si(OEt)}_4$$

$$k_h \approx 10^{-3} \text{ mol}^{-1}\text{s}^{-1} \text{ for Ti(OEt)}_4$$

Partial Charge Model

the number of valence electrons n^* on the central atom of a radical $\cdot\text{AB}$

$$n^* = (N - p) + \frac{2m\chi_B}{\chi_A + \chi_B} - \frac{s\chi_A}{\chi_A + \chi_B}$$

N = the number of valence electrons on the free atom A

p = the number of valence electrons supplied by B when forming the A–B bond.

m = the number of bonds between A and B

s = the number of resonance contributions from $\text{A}^- \text{B}^+$

Group electronegativity χ^g

$$\chi^g = 0.31 \left(\frac{n^* + 1}{r_A} \right) + 0.50$$

r_A = the covalent radius of atom A in the radical AB.

Partial Charge Model

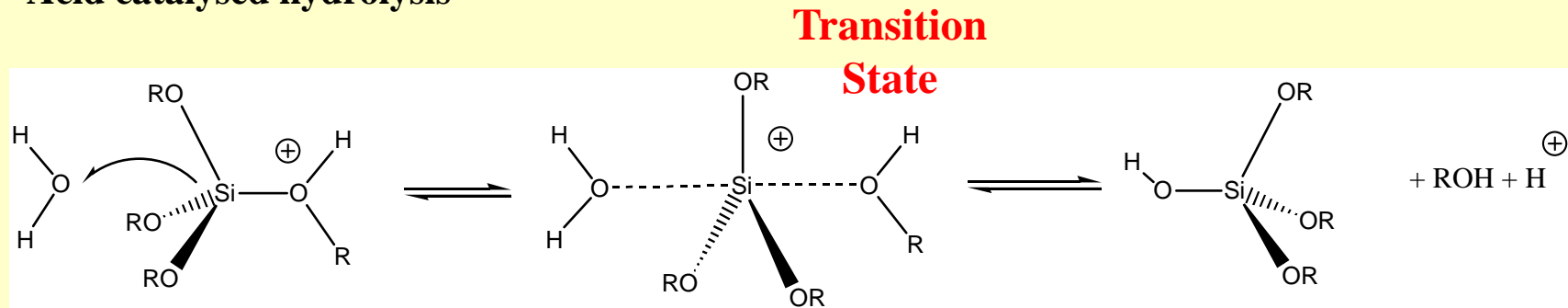
Table 2 Group electronegativity χ^g values relevant to silanes-based sol-gel chemistry^a

Group	χ^g	Group	χ^g
-CH ₃	2.47	-H	2.62
-C ₂ H ₅	2.48	-OH ^b	3.49
-C ₃ H ₇	2.48	-OCH ₃	3.54
-C ₆ H ₅ (-Ph)	2.72	-OC ₂ H ₅	3.54
-CH=CH ₂	2.79	-SiCl ₃	2.10
-NH ₂	2.99	Si ^c	1.84

^a Calculated using Gordy's electronegativity. ^b Hydroxide groups are believed to be more electronegative and withdraw more electrons than alkoxy groups presumably due to the solvation effects in polar solvent. ^c Gordy's electronegativity for neutral Si.

Hydrolysis

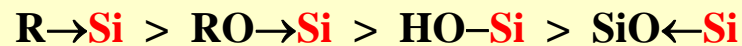
Acid catalysed hydrolysis



Acidic conditions:

Hydrolysis reaction rate **decreases** as more alkoxy groups are hydrolyzed

Electron density at **Si** decreases:



TS (+) is **destabilized** by increasing number of **electron withdrawing** OH groups (wrt OR)

The reaction at **terminal** Si favored, as there is only one electron withdrawing SiO group

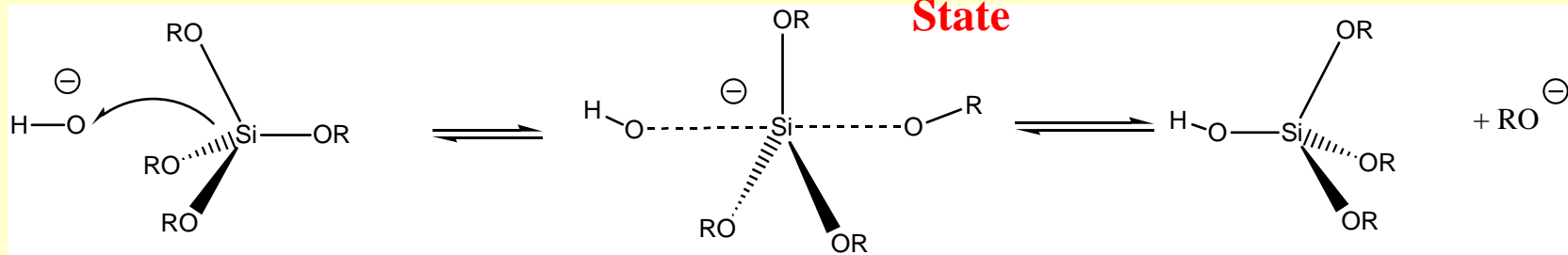
Linear polymer products are favored, leading to fibers

RSi(OR)_3 is more reactive than Si(OR)_4

Hydrolysis

Base catalysed hydrolysis

Transition
State



Basic conditions:

Hydrolysis reaction rate **increases** as more alkoxy groups are hydrolyzed

Electron density at **Si** decreases:

$\text{R} \rightarrow \text{Si} > \text{RO} \rightarrow \text{Si} > \text{HO}-\text{Si} > \text{SiO} \leftarrow \text{Si}$

TS (-) is **stabilized** by increasing number of **electron withdrawing** OH groups (wrt OR)

The reaction at **central** Si favored, as there is more electron withdrawing SiO groups

Branched polymer products are favored, spherical particles, powders

$\text{RSi}(\text{OR})_3$ less reactive than $\text{Si}(\text{OR})_4$

Sol-Gel Methods

Si-OH becomes more acidic with increasing number of Si-O-Si bonds

Nucleophilic catalysis:

F⁻ Si-F bonds

HMPA

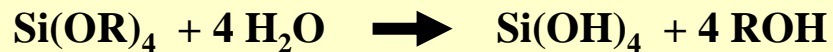
N-methylimidazol

N,N-dimethylaminopyridin

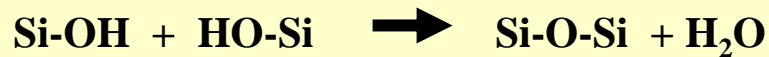
Sol-Gel Methods

Water:alkoxide ratio (R_w) effect

stoichiometric ratio for complete hydrolysis = 4

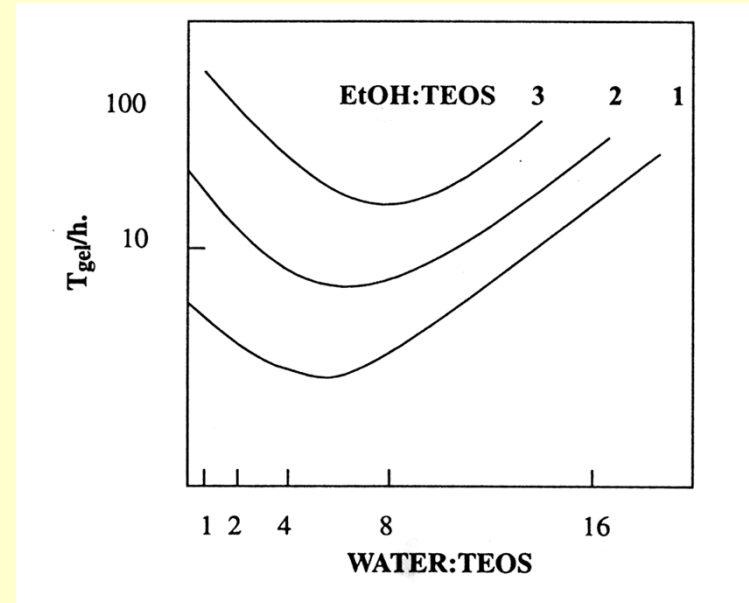


additional water from condensation



Small amount of water = slow hydrolysis due to the reduced reactant concentration

Large amount of water = slow hydrolysis due to the reactant dilution



Sol-Gel Methods

Hydrophobic effect

$\text{Si}(\text{OR})_4$ are immiscible with water

cosolvent ROH is used to obtain a homogeneous reaction mixture

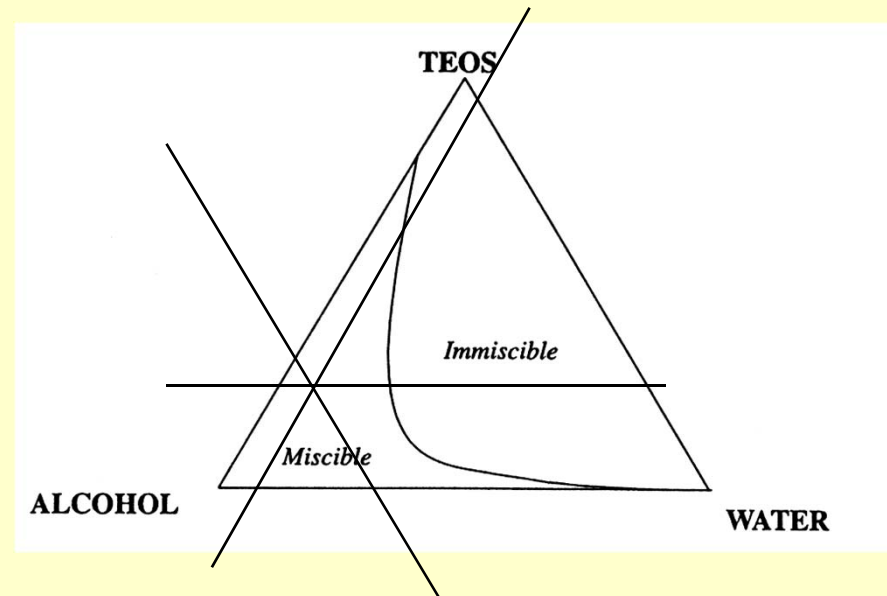
polarity, dipole moment, viscosity, protic behavior

alcohol produced during the reaction

alcohols - transesterification

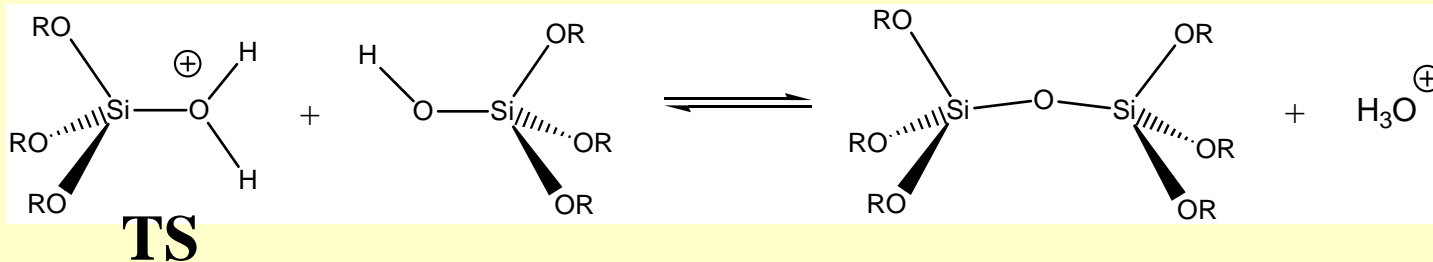
sonication

drying



Condensation

Acid catalysed condensation
fast protonation, slow condensation



Positively charged transition state, fastest condensation for
 $(\text{RO})_3\text{SiOH} > (\text{RO})_2\text{Si}(\text{OH})_2 > \text{ROSi}(\text{OH})_3 > \text{Si}(\text{OH})_4$

TS (+) is **destabilized** by increasing number of **electron withdrawing** OH groups

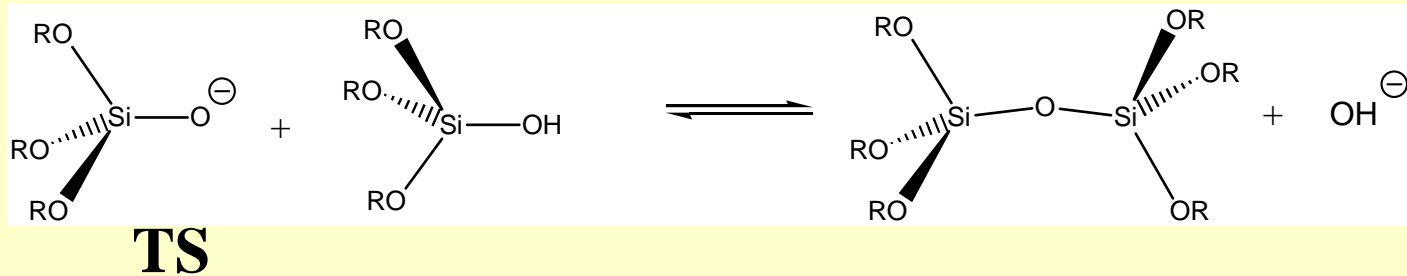
Hydrolysis fastest in the first step, i.e. the formation of $(\text{RO})_3\text{SiOH}$

Condensation for this species also fastest, the formation of linear chains

Condensation

Base catalysed condensation

fast deprotonation, slow condensation



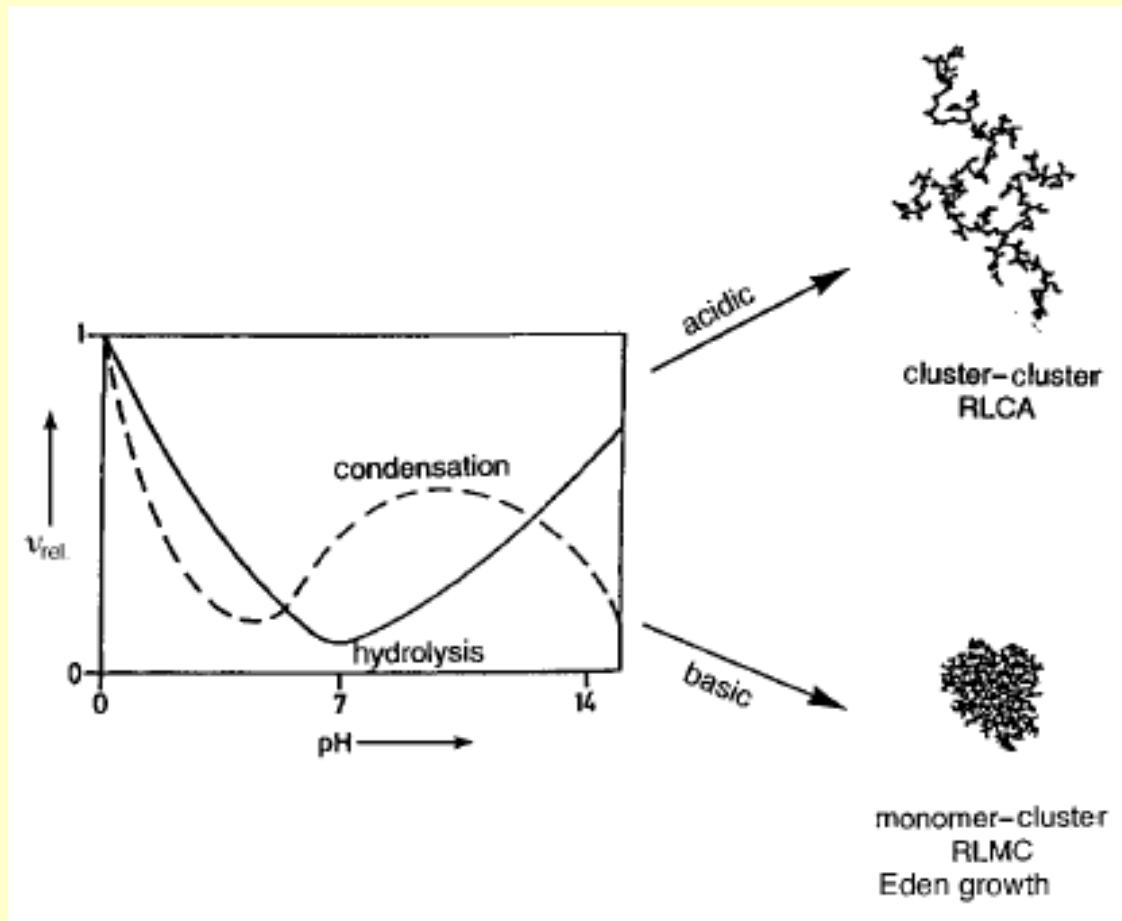
Negatively charged transition state, fastest condensation for
 $(\text{RO})_3\text{SiOH} < (\text{RO})_2\text{Si}(\text{OH})_2 < \text{ROSi}(\text{OH})_3 < \text{Si}(\text{OH})_4$

TS (-) is **stabilized by increasing number of **electron withdrawing** OH groups**

Hydrolysis speeds up with more OH, i.e. the formation of $\text{Si}(\text{OH})_4$

Condensation for the fully hydrolysed species fastest, the formation of highly crosslinked particles

Reaction limited cluster aggregation (RLCA)



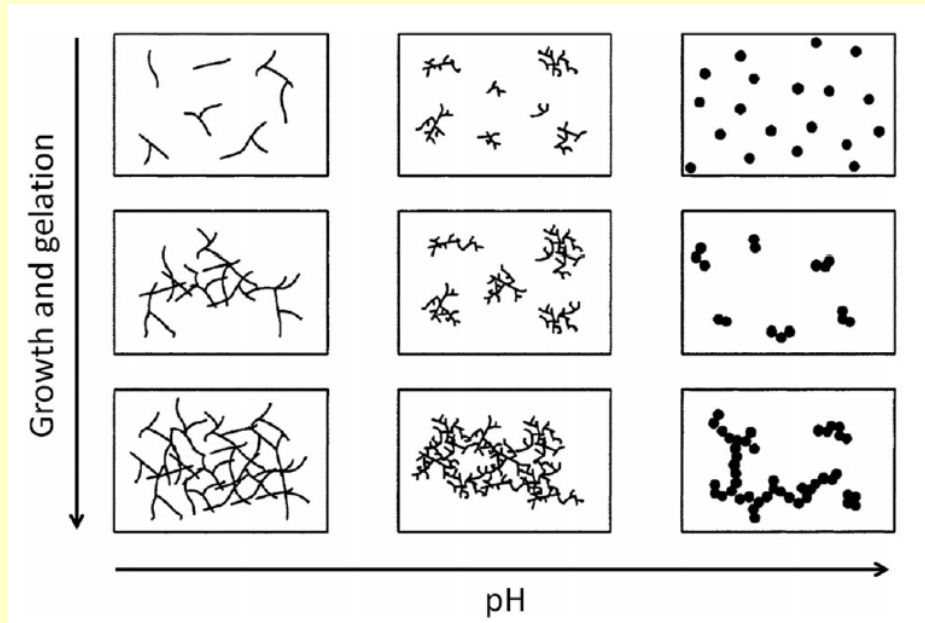
Acid catalysed

Base catalysed

**Reaction limited monomer cluster growth (RLMC)
or Eden growth**

Acid catalysed condensation

- condensation to linear chains
- small primary particles
- microporosity, Type I isotherms



Base catalysed condensation

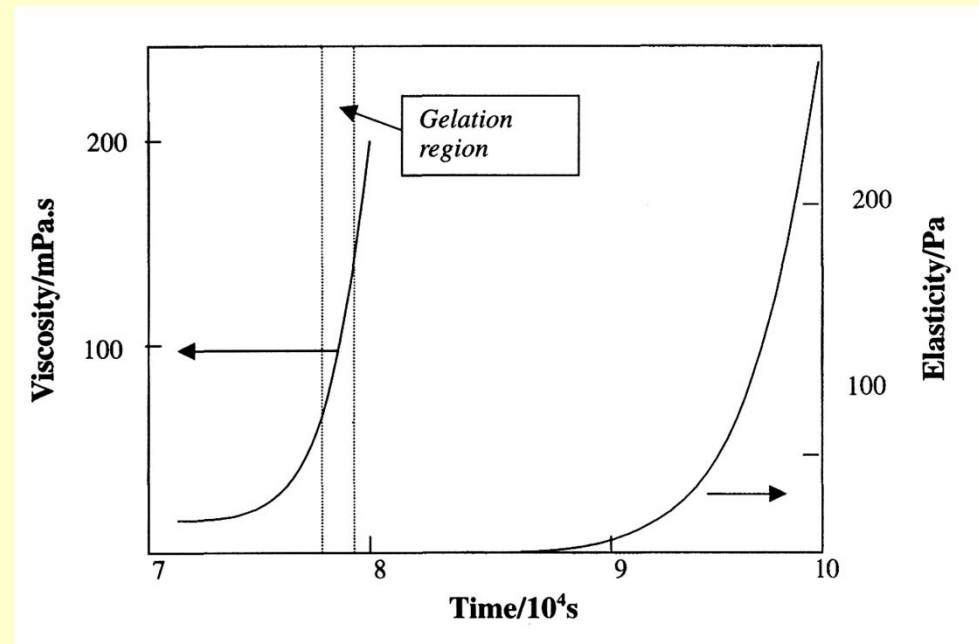
- condensation to highly crosslinked particles
- large primary particles
- mesoporosity, Type IV isotherms

Gelation = Sol-to-Gel Transition

Gel point - a spanning cluster reaches across the container, sol particles, oligomers and monomer still present

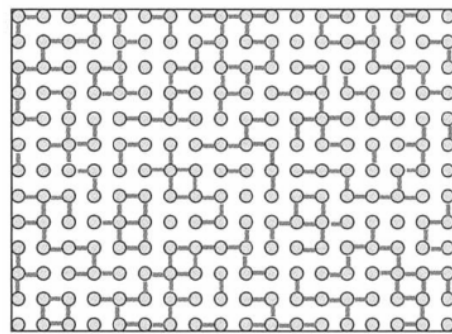
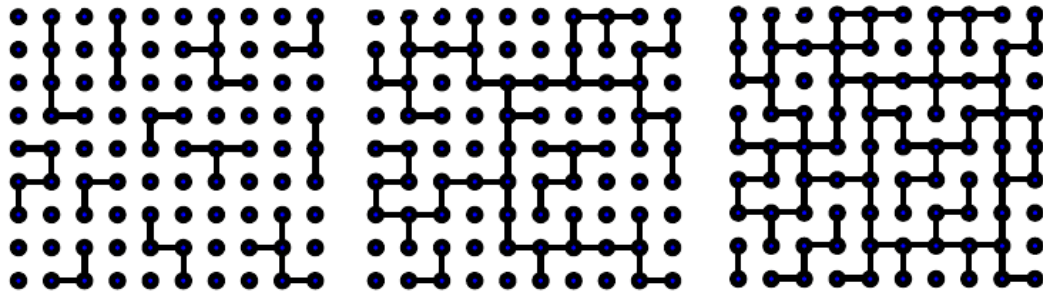
a sudden viscosity increase at the gel point

further crosslinking - increase in elasticity

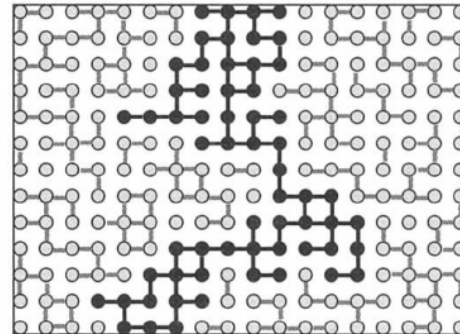


Bond Percolation

p = the fraction of created links

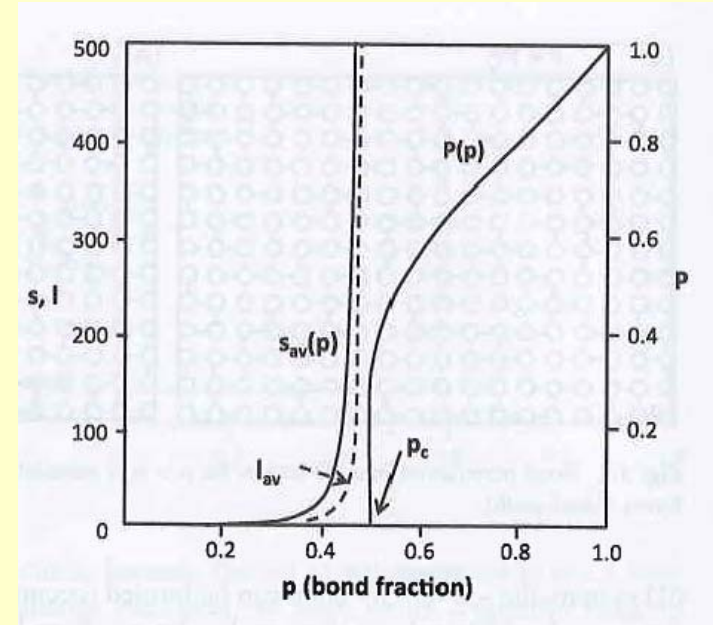


$p < p_c$



$p > p_c$

Sol-Gel Methods

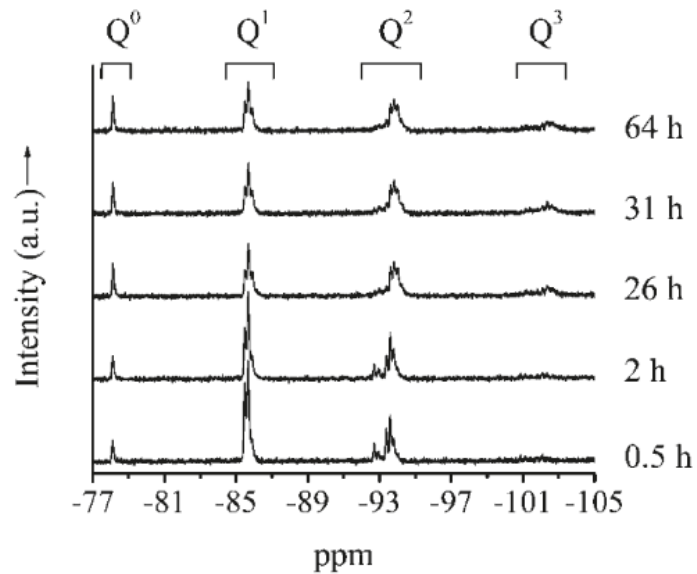
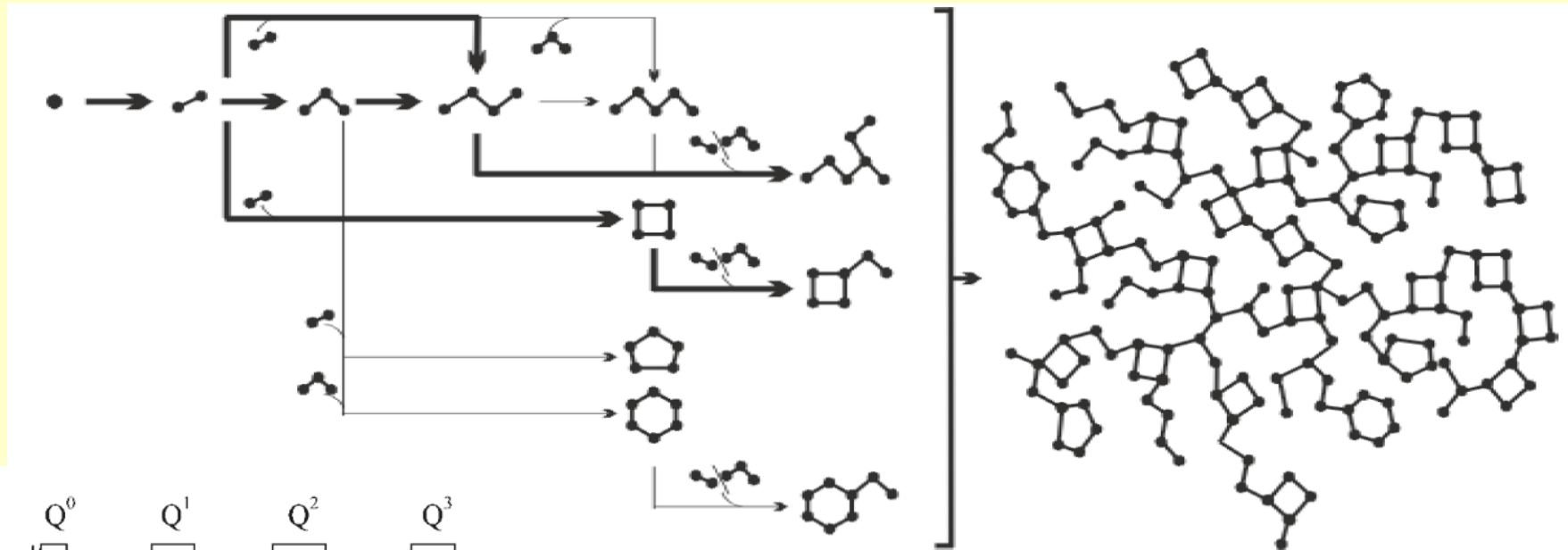


$s_{av}(p)$ = average cluster size

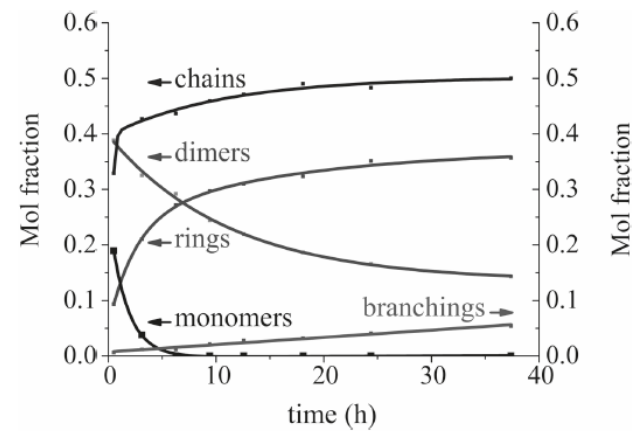
$l_{av}(p)$ = average spanning length

$P(p)$ = percolation probability = a bond is added to a spanning cluster

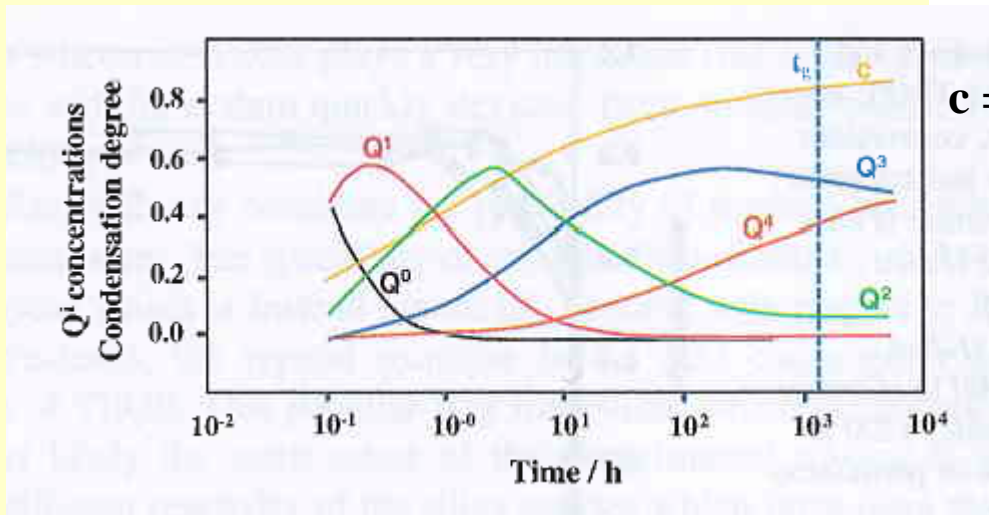
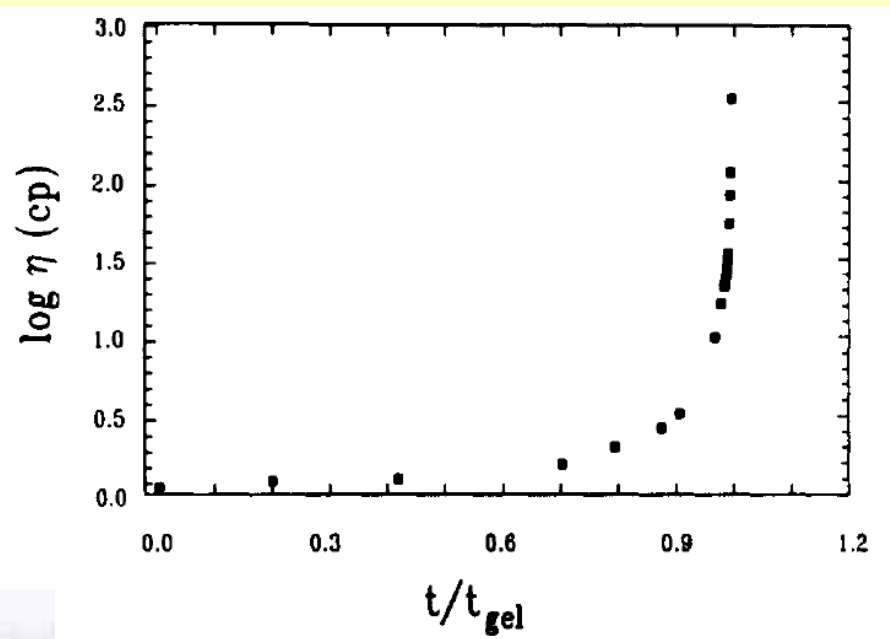
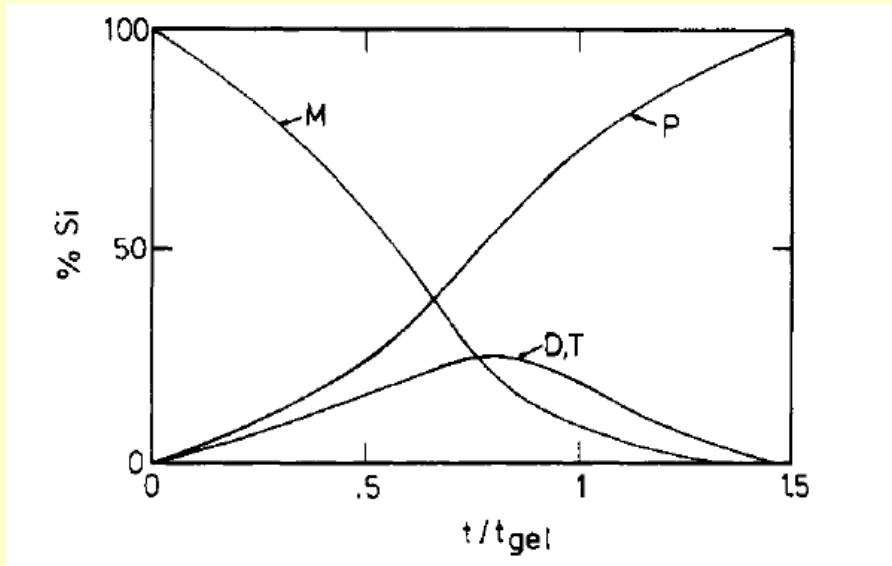
Sol-to-Gel Transition



Sol-Gel Methods



Sol-to-Gel Transition



c = condensation degree, max 83 %

Ageing of Gel

Ageing

Crosslinking

condensation of the OH surface groups, stiffening and shrinkage

Syneresis

shrinkage causes expulsion of liquid from the pores

Coarsening

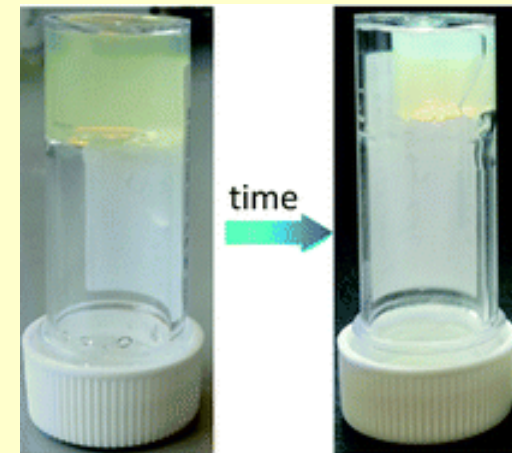
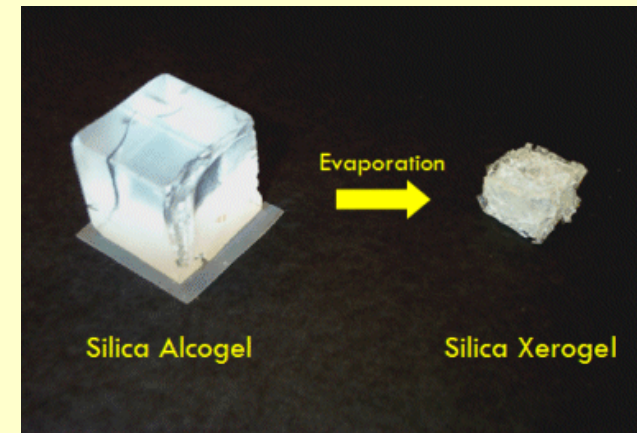
materials dissolve from the convex surfaces and deposits at the concave surfaces: necks

Rippening

Smaller particles have higher solubility than larger ones

Phase separation

Fast gelation, different miscibility, isolated regions of unreacted precursor, inclusions of different structure, opaque, phase separation



Drying

1. The constant rate period

the gel is still flexible and shrinks as liquid evaporates

2. The critical point

the gel becomes stiff and resists further shrinkage, the liquid begins to recede (contact angle θ) into the pores (radius r), surface tension γ creates large capillary pressures P_c , stress, cracking

$$P_c = \frac{2\gamma \cos \theta}{r}$$

3. The first falling-rate period

a thin liquid film remains on the pore walls, flows to the surface and evaporates, the menisci first recede into the largest pores only, as these empty, the vapor pressure drops and smaller pores begin to empty

4. The second falling-rate period

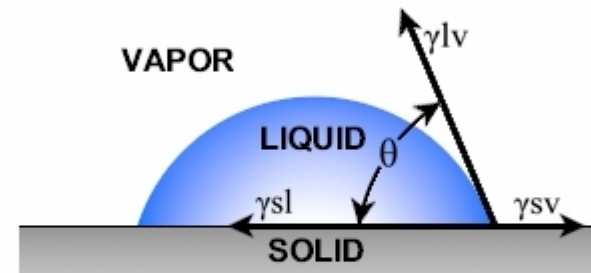
liquid film on the walls is broken, further liquid transport by evaporation

Drying

$$P_c = \frac{-2\gamma_{LV} \cos \theta}{r}$$

Young's Equation

$$\gamma^{sv} = \gamma^{sl} + \gamma^{lv} \cos \theta$$



θ is the contact angle

γ^{sl} is the solid/liquid interfacial free energy

γ^{sv} is the solid surface free energy

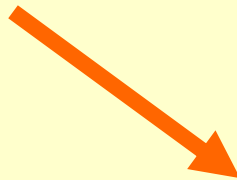
γ^{lv} is the liquid surface free energy

Drying Methods

To avoid cracking:

- No meniscus
- Decrease surface tension
- Increase wetting angle (isopropanol)
- Increase pore size
- Make a stiff gel

$$P_c = \frac{2\gamma \cos \theta}{r}$$



1. Supercritical drying
2. Freeze-drying
3. Drying control chemical additives
4. Ageing
5. Large pore gels



25 mmol (5.2 g) of tetraethoxysilane (TEOS)

TEOS:H₂O:HNO₃:ammonium acetate molar ratio of the solution is 1 : 10 : 0.002 : 0.02

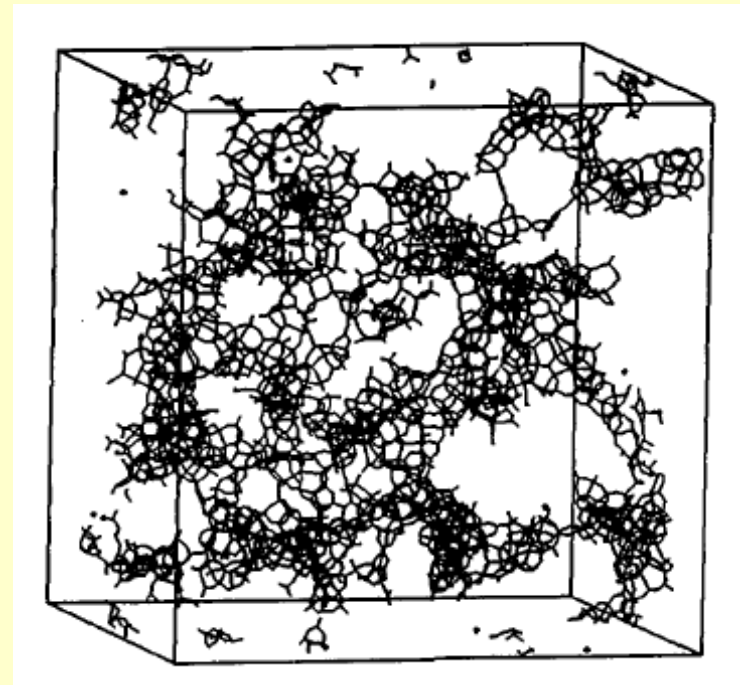
Sol-Gel Methods

Aerogels

1931 Steven S. Kistler J. Phys. Chem. 34, 52, 1932

Aerogels = materials in which the typical structure of the pores and the network is largely maintained while the pore liquid of a gel is replaced by air

**The record low density solid material - 0.001 g/cm^3
density of air 1.2 mg/cm^3**



Aerogels - Supercritical Drying

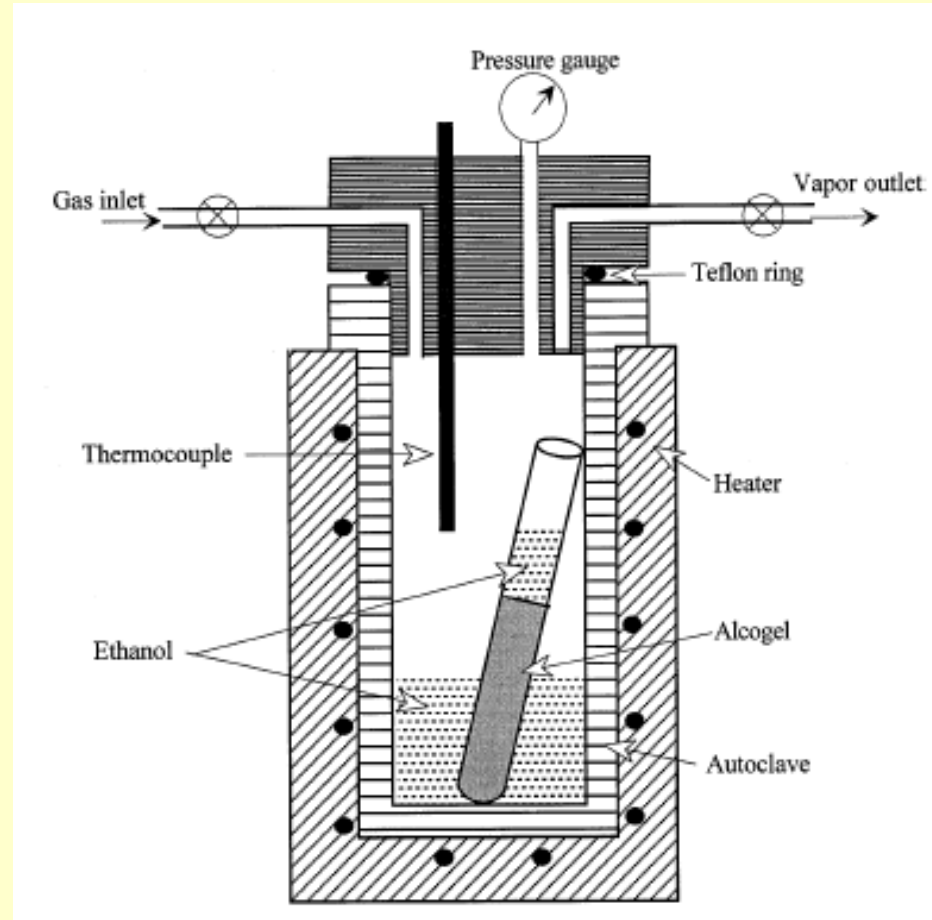
Silica aerogel

From sodium silicate – 3 steps

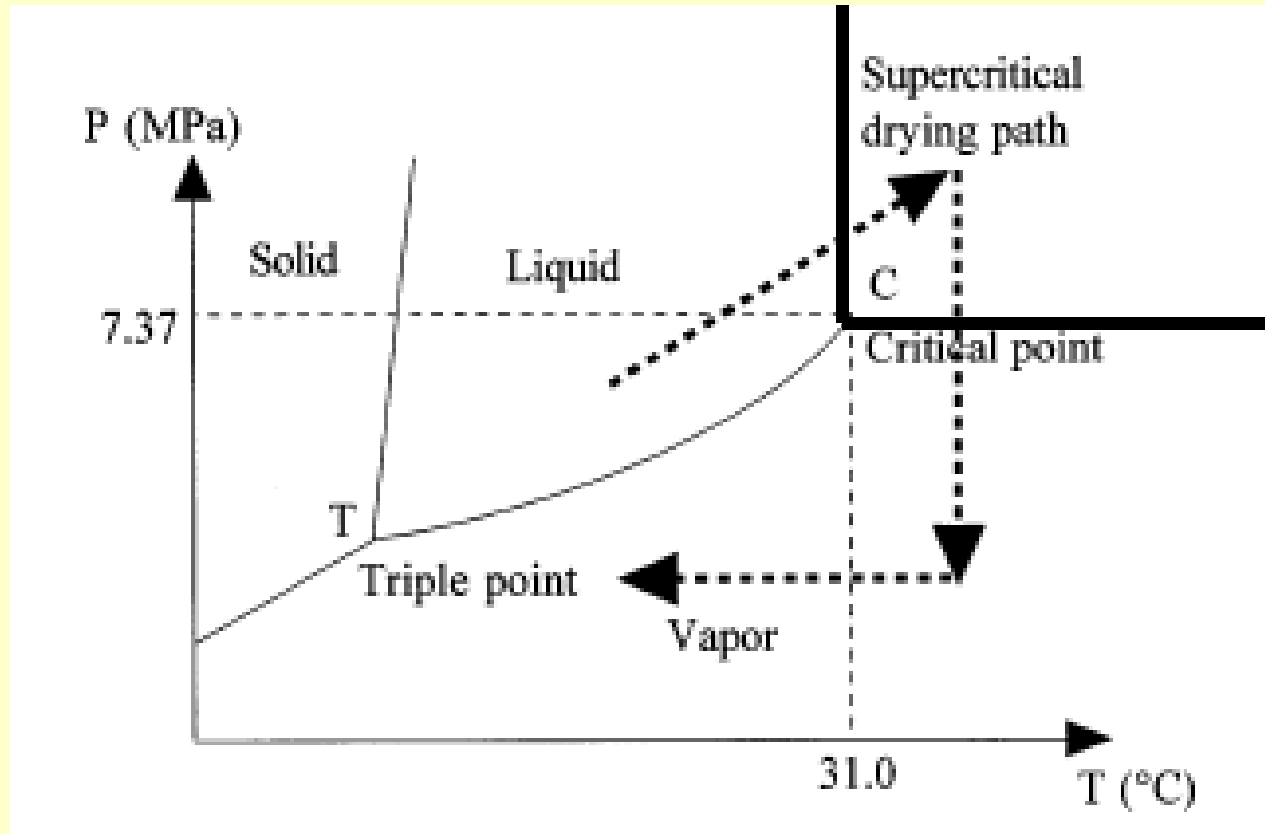
- Salt washing
- Water replacement
- Supercritical drying

From silicon alkoxides – 1 step

- Supercritical drying



Supercritical Drying



Cold supercritical drying path in the Pressure (P) Temperature (T) phase diagram of CO₂

Supercritical Drying

fluid	formula	T_c (°C)	P_c (MPa)
water	H ₂ O	374.1	22.04
carbon dioxide	CO ₂	31.0	7.37
Freon 116	(CF ₃) ₂	19.7	2.97
acetone	(CH ₃) ₂ O	235.0	4.66
nitrous oxide	N ₂ O	36.4	7.24
methanol	CH ₃ OH	239.4	8.09
ethanol	C ₂ H ₅ OH	243.0	6.3

Solvent	T_c [°C]	p_c [Mpa]	V_c [cm ³ mol ⁻¹]
methanol	240	7.9	118
ethanol	243	6.3	167
acetone	235	4.7	209
2-propanol	235	4.7	
H ₂ O	374	22.1	56
CO ₂	31	7.3	94
N ₂ O	37	7.3	97

Densification

Densification

Stage I. Below 200 °C, weight loss, no shrinkage

pore surface liquid desorption



Stage II. 150 - 700 °C, both weight loss and shrinkage

loss of organics - weight loss

further condensation - weight loss and shrinkage

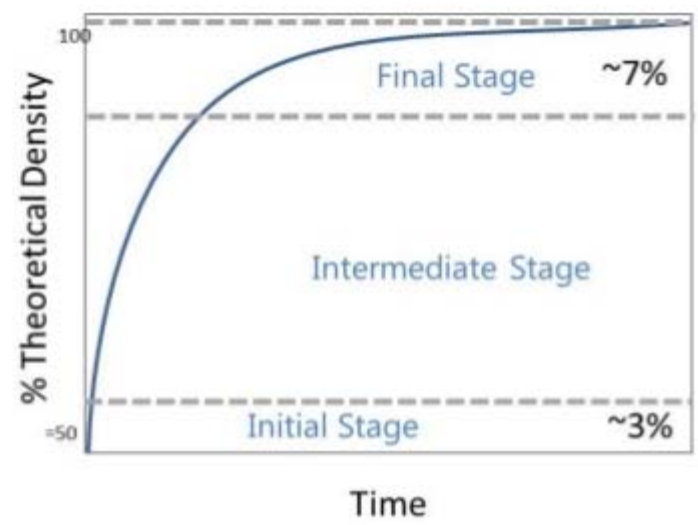
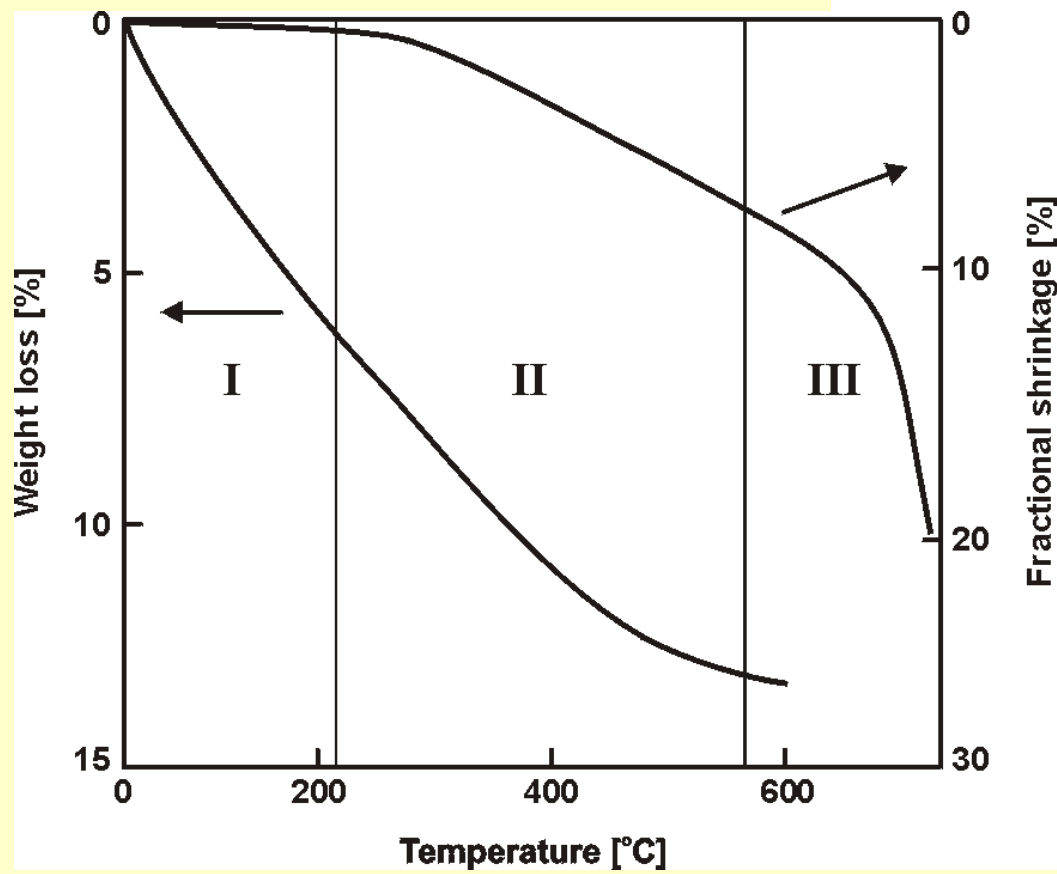
structural relaxation - shrinkage

Stage III. Above 500 °C, no more weight loss, shrinkage only

**close to glass transition temperature, viscous flow, rapid densification,
large reduction of surface area, reduction of interfacial energy,
thermodynamically favored**

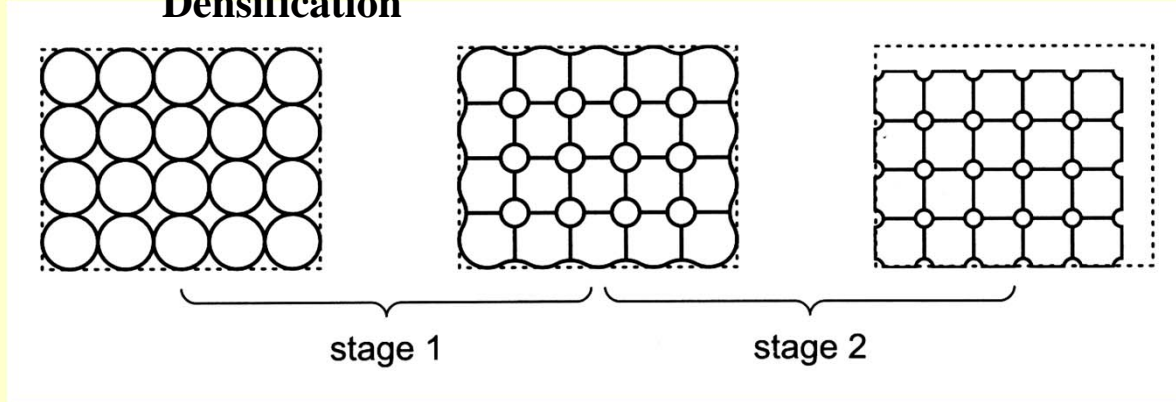
Densification - Sintering

Generalized Sintering Curve

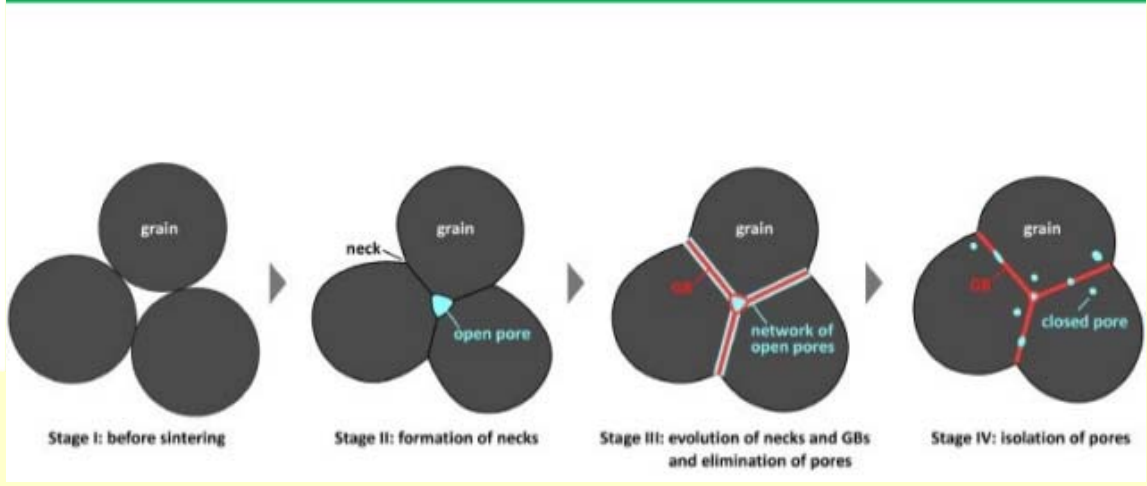
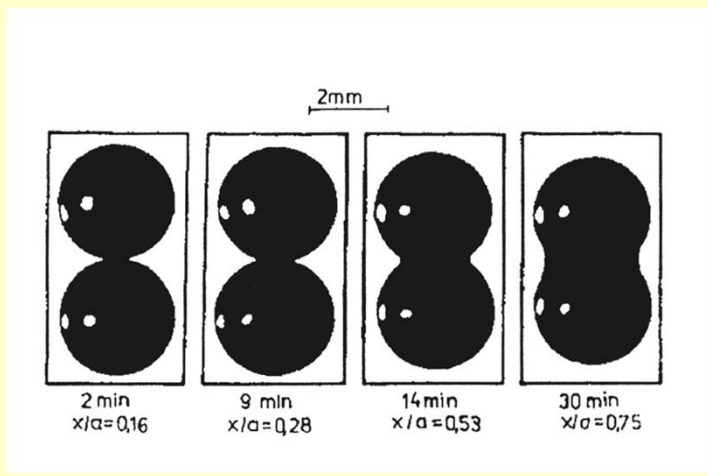


Densification - Sintering

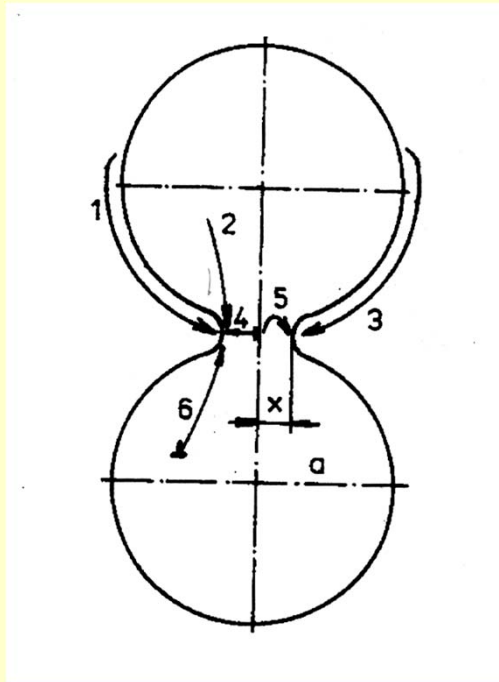
Densification



Stages of Sintering



Sintering mechanisms



Sintering mechanisms - solid, liquid, gas phase

1. Evaporation-condensation and dissolution-precipitation

2. Volume diffusion

3. Surface diffusion

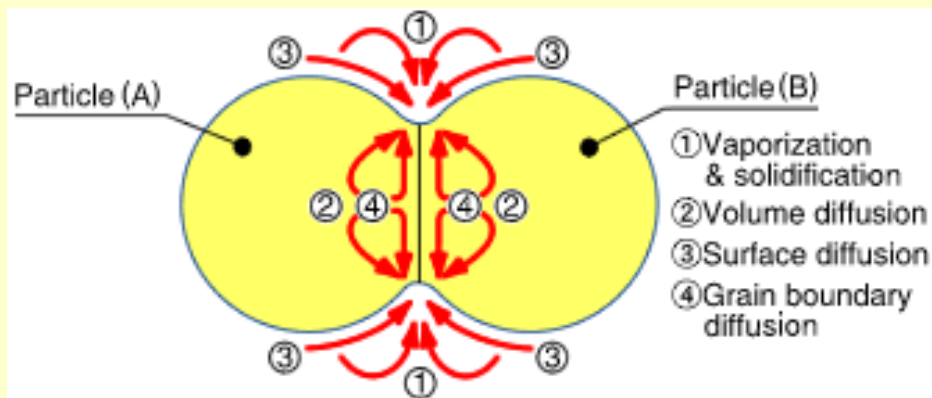
4. Grain boundary diffusion

5. Volume diffusion from grain boundaries

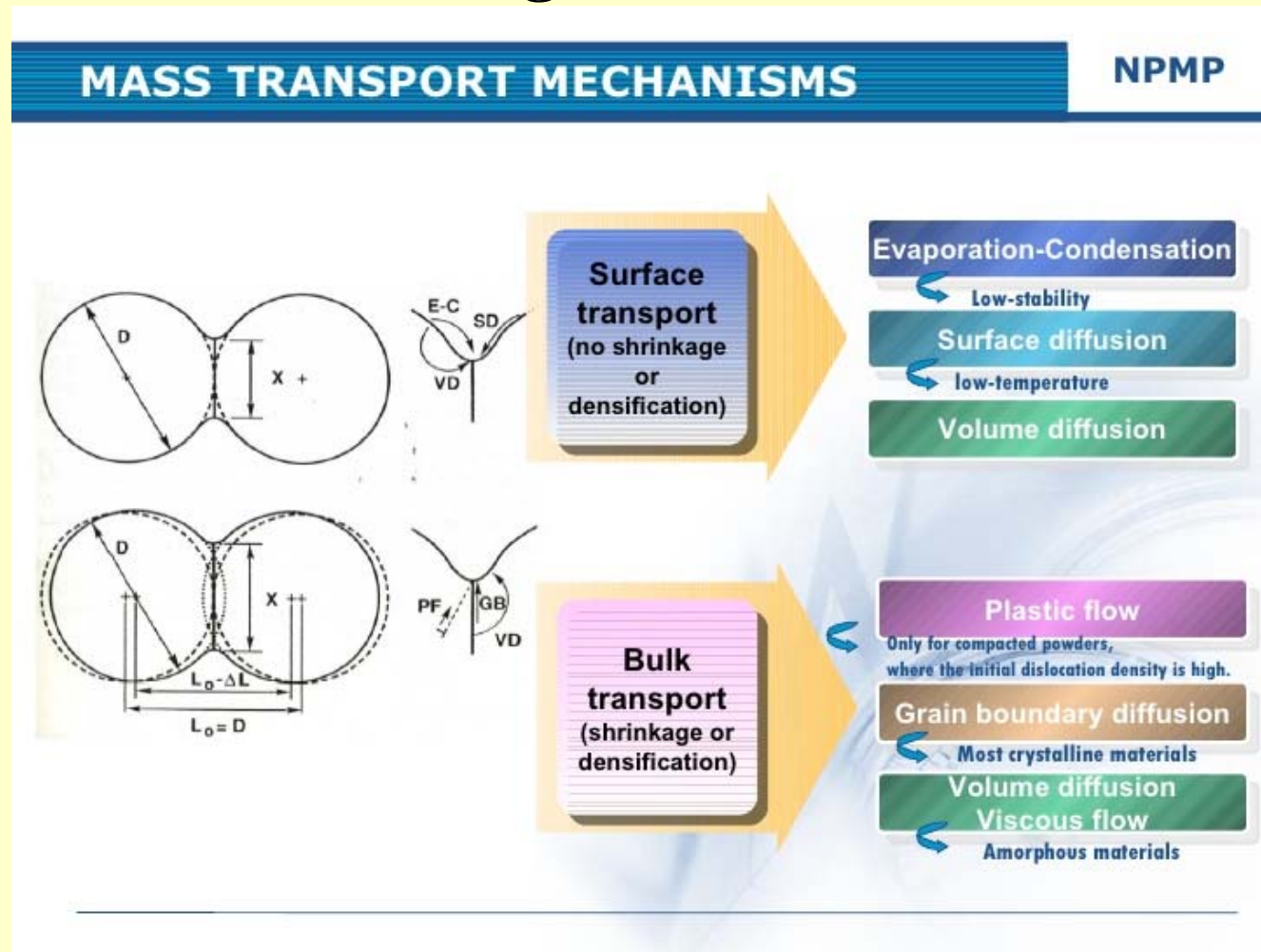
6. Volume diffusion from dislocations – plastic flow

Volume diffusion from dislocations vacancies

Viscous flow



Sintering Mechanisms



Sintering Mechanisms

Vapor Pressure over a Curved Surface

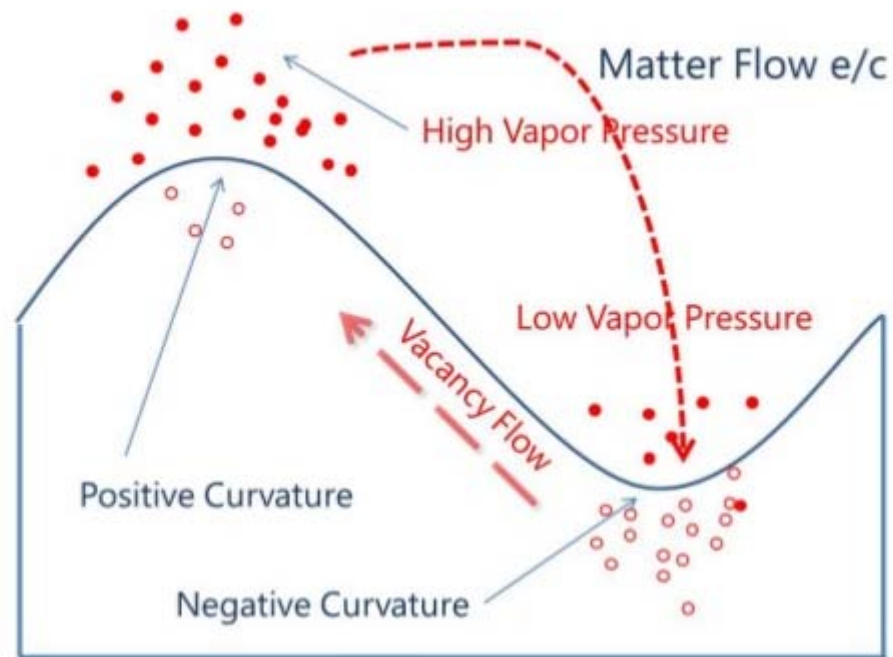


Figure : Curvature in solids, and their effect on vapor pressure

Sintering Mechanisms

Curvature

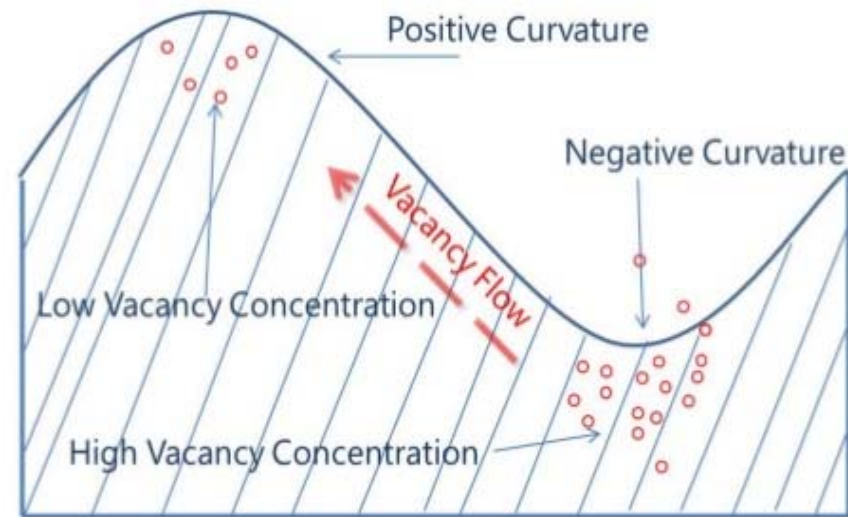


Figure : Curvature in solids, and their effect of vacancy concentration

Sintering Mechanisms

Plastic Flow

NPMP

Plastic flow is the motion of dislocations under stress.

Siegel

Dislocation participate in sintering during heating, especially if the powders was subjected to plastic deformation during compaction.

Schatt and co-workers

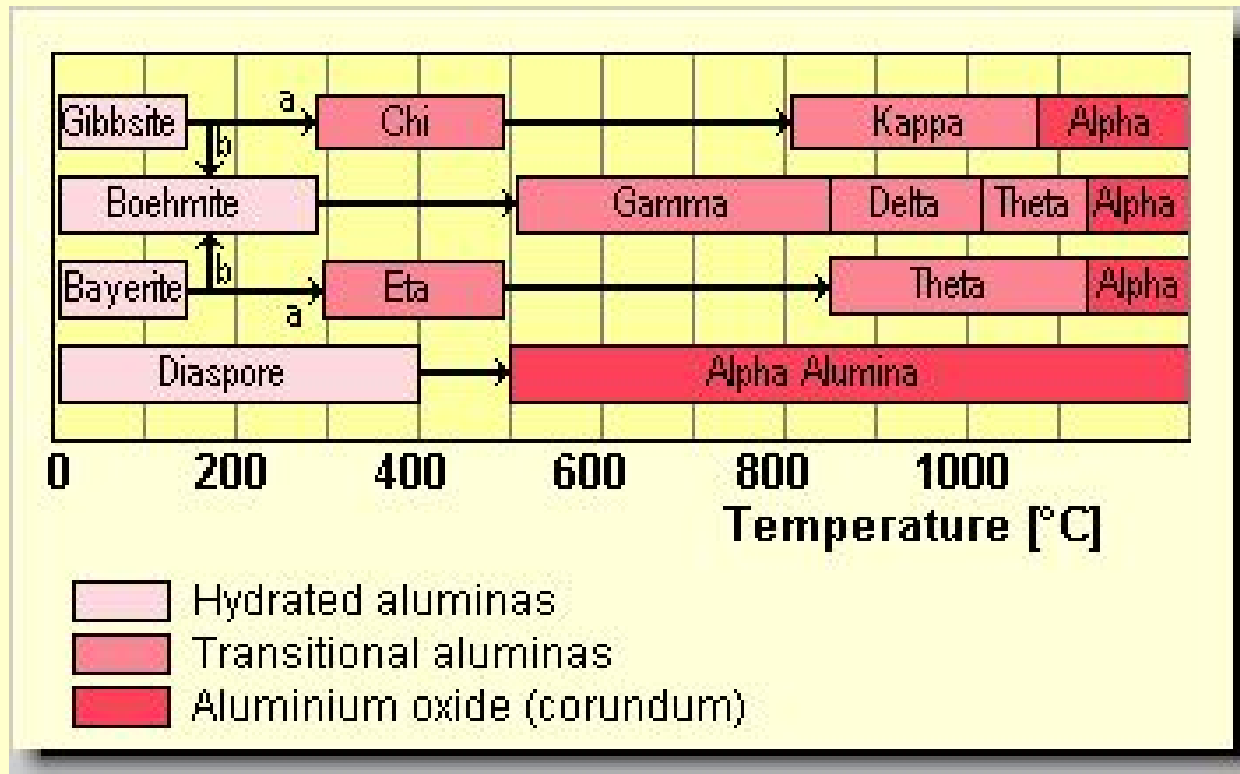
Demonstrated densification rate improvements because of dislocation climb with the rate of pore elimination.

The dislocation flow is restricted to the early stage of sintering.

: As the neck enlarges, the shear stress declines and falls below the flow stress for the material and the process becomes inactive.

Sintering Mechanisms

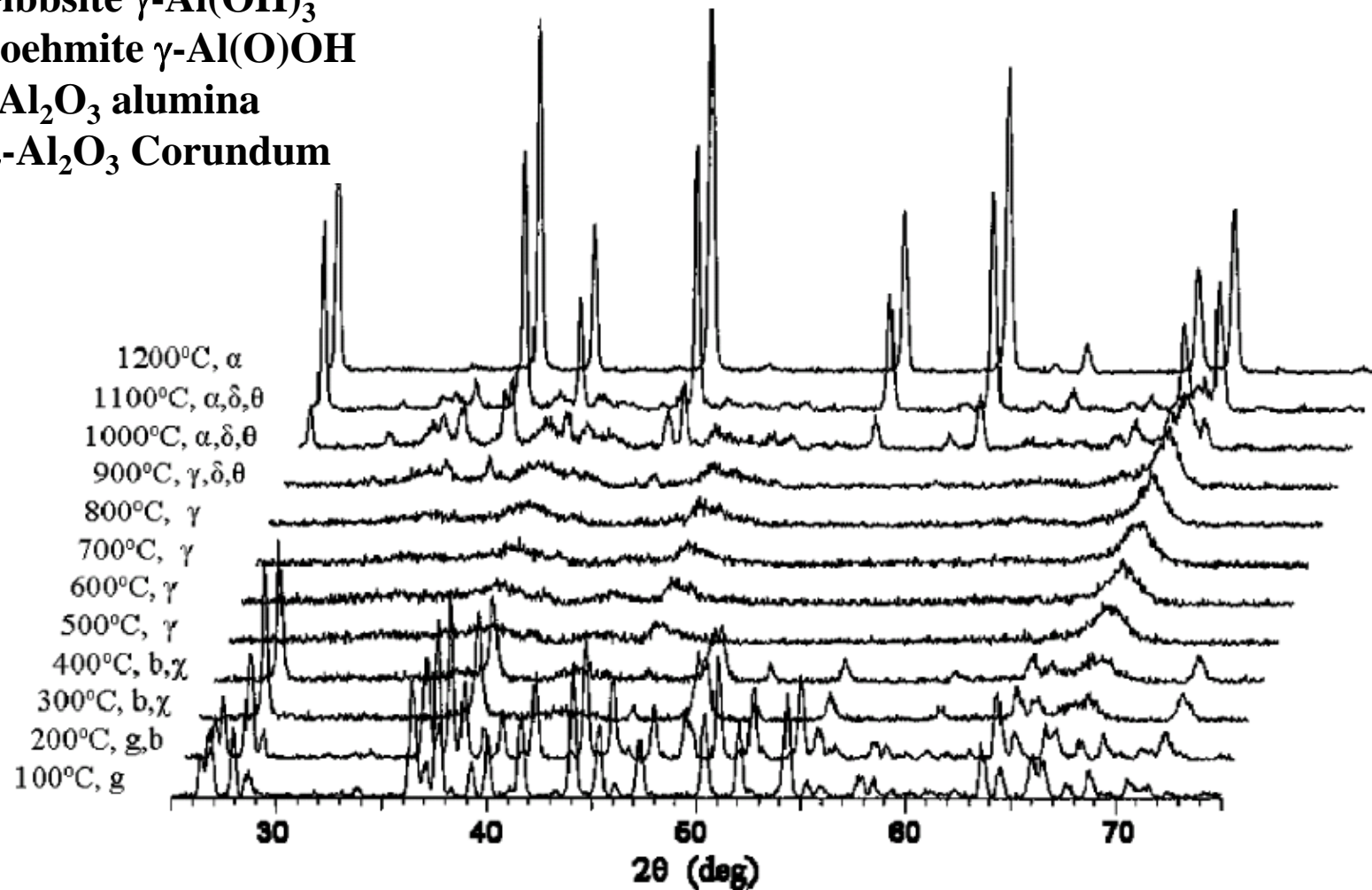
Dehydration sequence of hydrated alumina in air



**Path (b) is favored by moisture, alkalinity, and coarse particle size (100 μ m)
path (a) by fine crystal size (<10 μ m)**

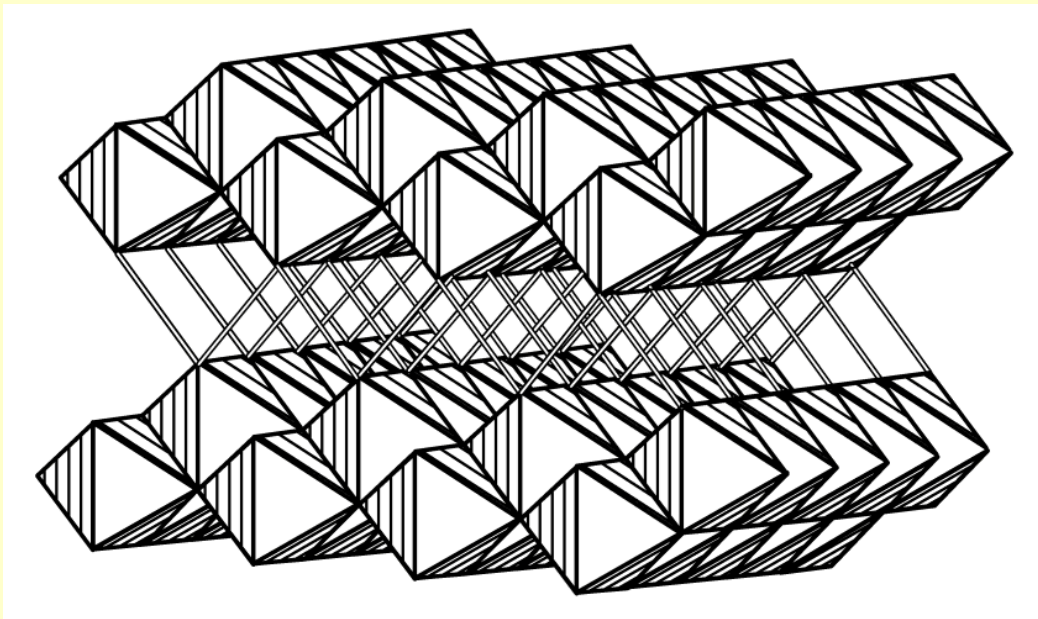
HT-XRD of the phase transitions

g = Gibbsite $\gamma\text{-Al(OH)}_3$
b = Boehmite $\gamma\text{-Al(O)OH}$
 γ = $\gamma\text{-Al}_2\text{O}_3$ alumina
 α = $\alpha\text{-Al}_2\text{O}_3$ Corundum

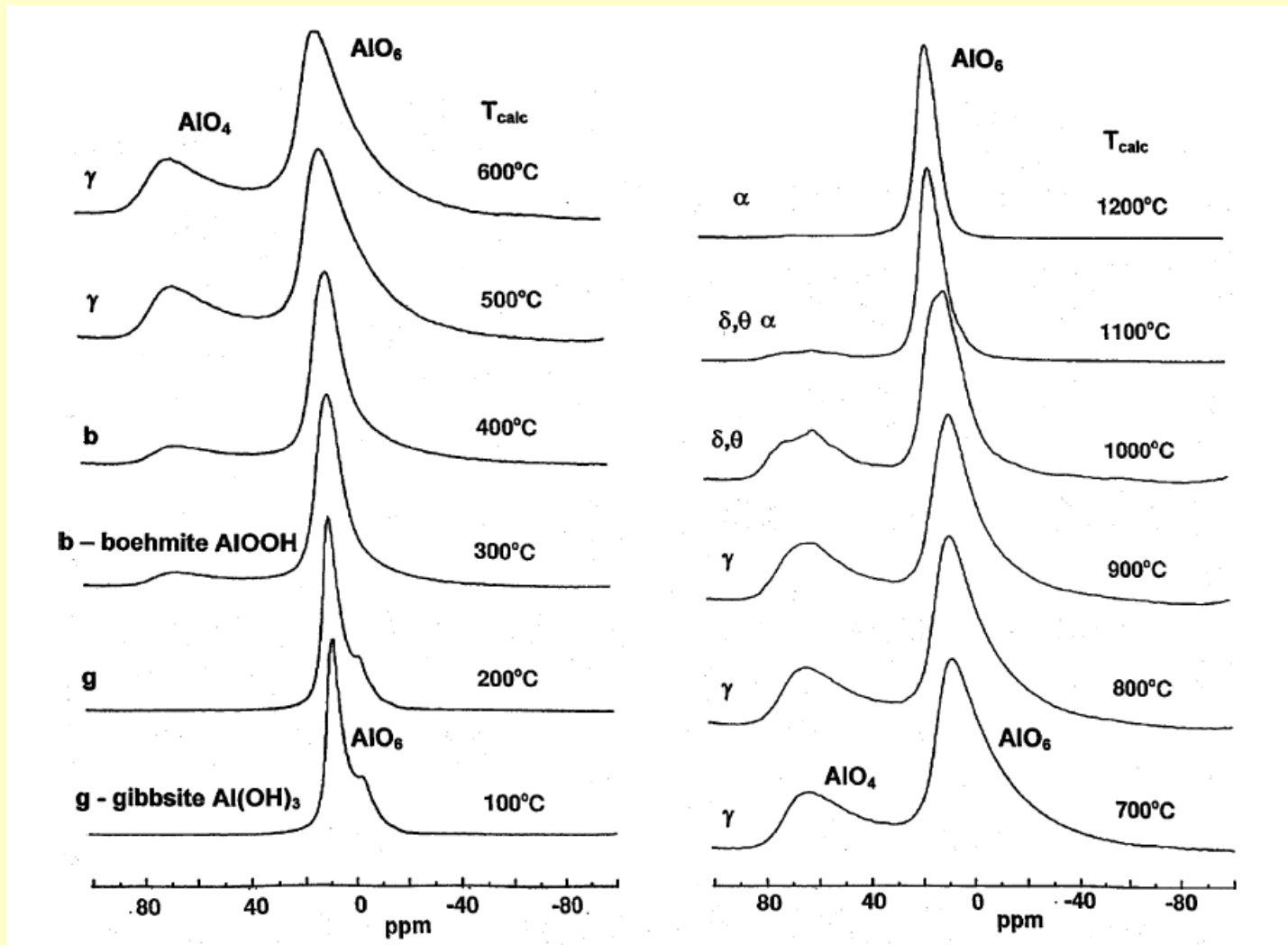


Gibbsite to Boehmite to Gamma

Gibbsite $\gamma\text{-Al(OH)}_3$ to Boehmite $\gamma\text{-Al(O)OH}$ to $\gamma\text{-Al}_2\text{O}_3$ alumina (defect spinel) CCP

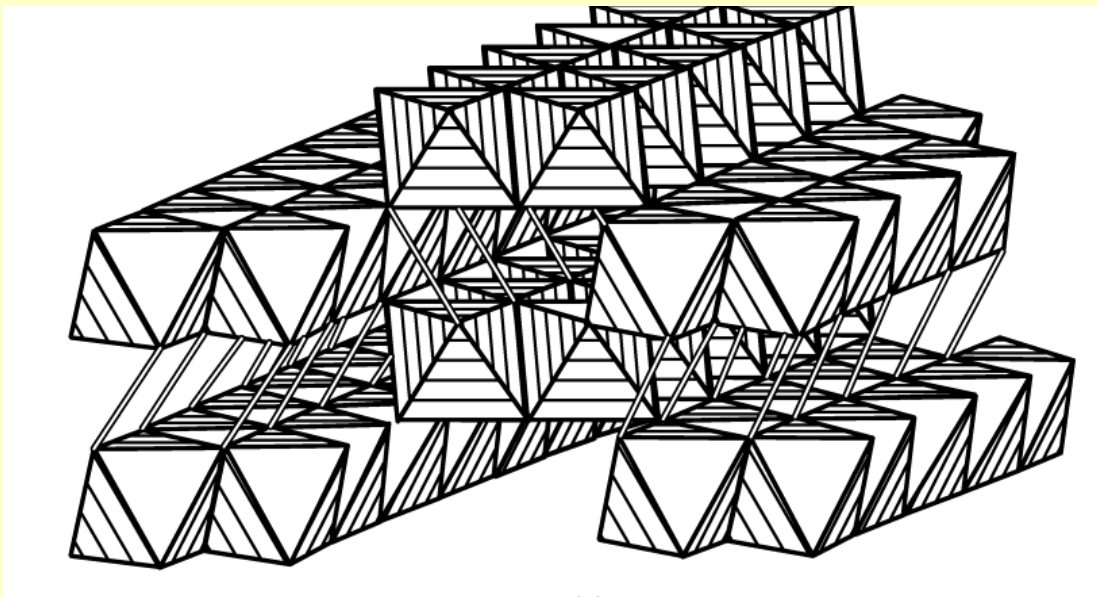


^{27}Al Solid-State NMR spectra

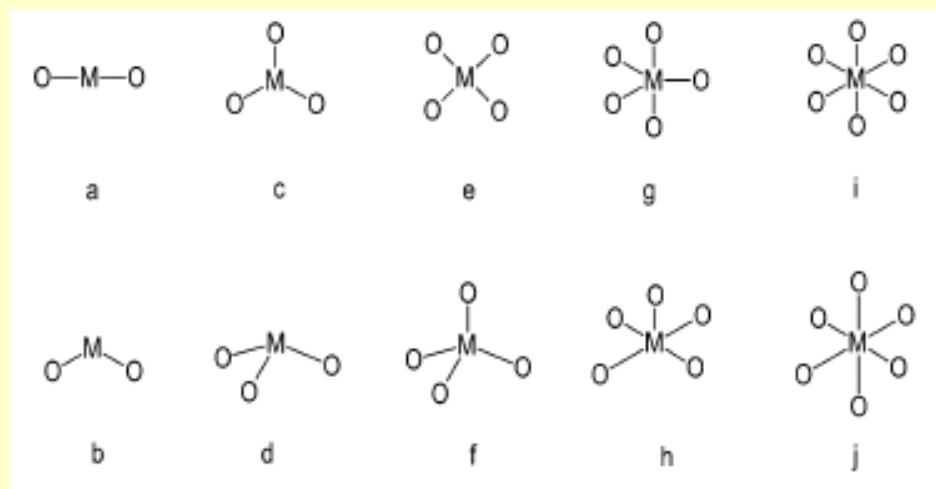


Bayerite to Diaspore to Corundum

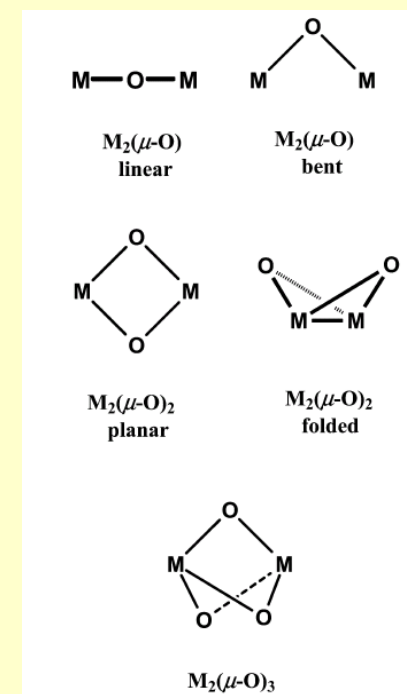
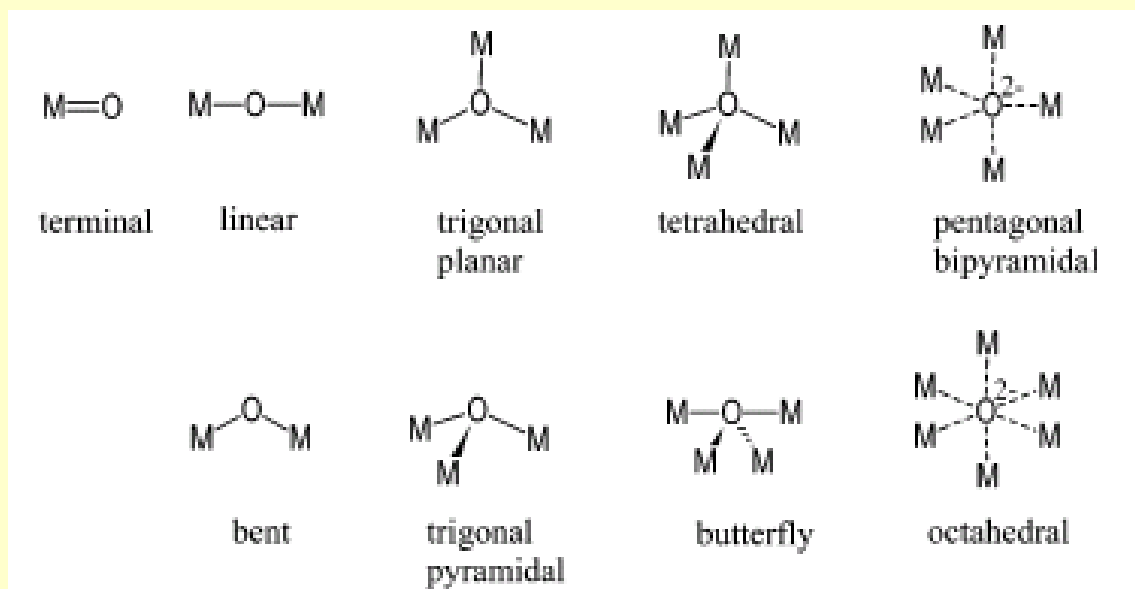
Bayerite $\alpha\text{-Al(OH)}_3$ to Diaspore $\alpha\text{-Al(O)OH}$ to $\alpha\text{-Al}_2\text{O}_3$ Corundum HCP



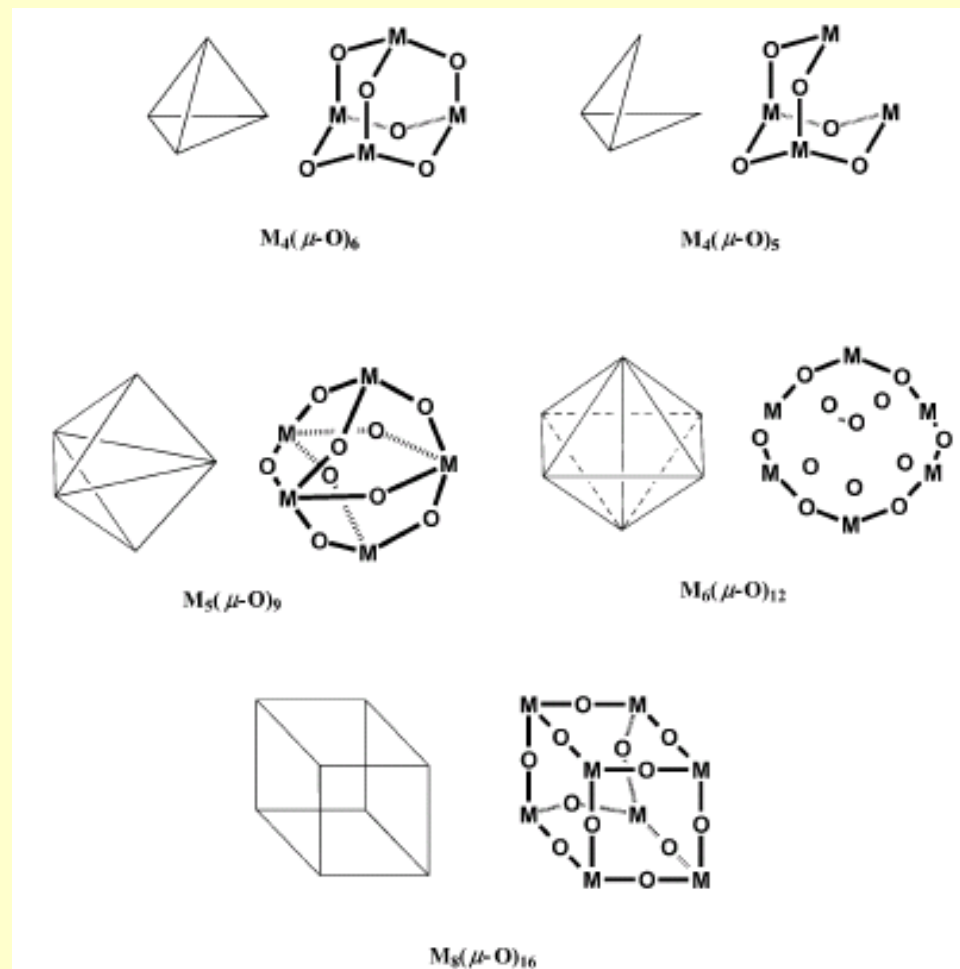
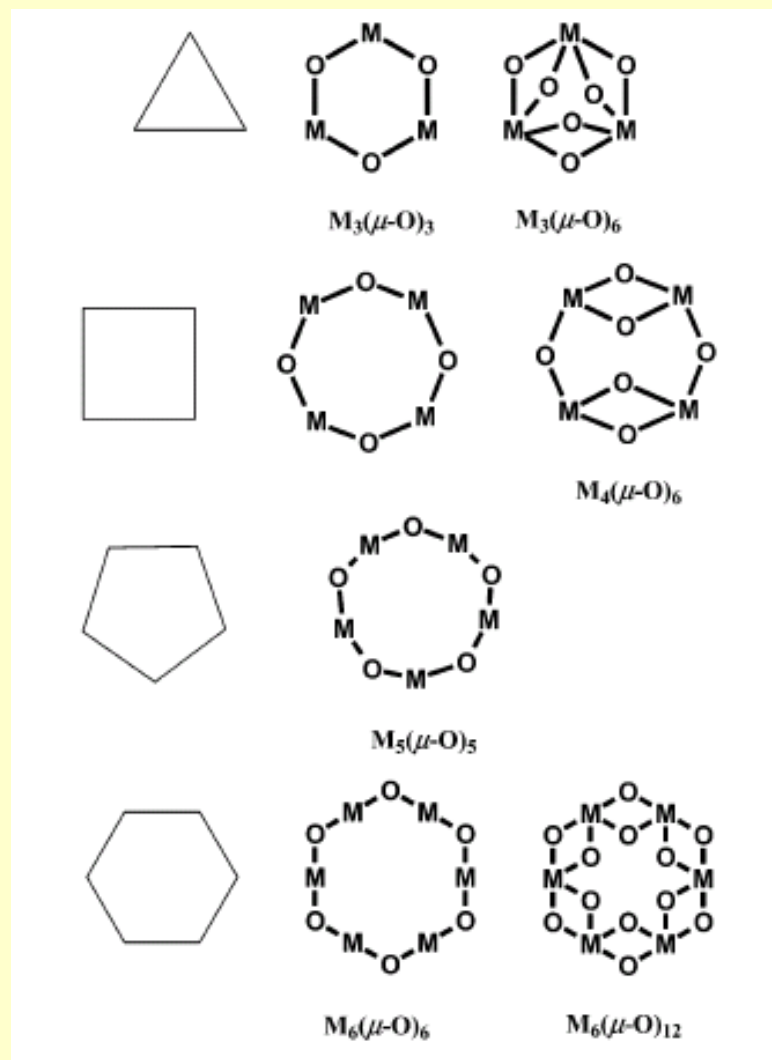
Metal Coordination



Oxygen Coordination



Metal-Oxide Clusters



Metal-Oxide Clusters

