

Optická emisní spektroskopie atomů Diagnostické metody 1

Zdeněk Navrátil

Ústav fyzikální elektroniky Přírodovědecké fakulty Masarykovy univerzity, Brno

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- typically grating spectrometer of Czerny-Turner mounting equipped with CCD/ICCD detector
- \bullet typical spectral range $190 1100$ nm
- sensitivity of detectors (silicon CCD, photocathode of PMT), grating efficiency
- resolution: number of illuminated grating grooves, slit width, pixel size

 $R = \lambda / \Delta \lambda = mN$

PMC-150: Cathode Quantum Efficiency

• grating efficacy, fibre efficacy, windows

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- collecting the light emitted by plasma (optical emission spectroscopy, OES):
	- **a** non-intrusive
	- sensing the light at the plasma boundary
	- optical probes
- \bullet sending the light through the plasma (optical absorption spectroscopy):
	- **a** based on Lambert-Beer law
	- can disturb the plasma, two ports
	- white light, hollow cathode lamps, lasers
- collecting the light emitted and reabsorbed by the plasma (self-absorption methods of OES)

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- collecting the light emitted by plasma (optical emission spectroscopy, OES):
	- **a** non-intrusive
	- sensing the light at the plasma boundary \rightarrow self-absorption can play a role
	- optical probes
- \bullet sending the light through the plasma (optical absorption spectroscopy):
	- based on Lambert-Beer law
	- can disturb the plasma, two ports
	- white light, hollow cathode lamps, lasers
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- \bullet line positions = wavelengths: electric, magnetic fields, atom velocities (Stark, Zeeman, Doppler effect)
- lineshapes and linewidths: electron density, gas pressure, density, temperatures (Stark, van der Waals, resonance, Doppler line broadening)

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o line intensities: ... all

- \bullet line positions $=$ wavelengths: electric, magnetic fields, atom velocities (Stark, Zeeman, Doppler effect)
- lineshapes and linewidths: electron density, gas pressure, density, temperatures (Stark, van der Waals, resonance, Doppler line broadening)
- **o** line intensities: . . . all
	- \bullet relative instrument spectral sensitivity is taken into account, no absolute intensity calibration is performed output: relative populations of excited states, excitation temperatures etc.

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 \bullet absolute – access to absolute densities of excited states, electron density etc.

 \bullet irradiance – flux density (per unit surface)

$$
I = \frac{d\Phi}{dS} = \frac{d^2\mathscr{E}}{dt dS}, \quad W m^{-2}
$$

- specified during calibratrion of calibrated light sources (spectral irradiance)
- optical fibre is not a detector of irradiance (acceptance angle)
- radiometric irradiance probes, cosine correction diffusers, integrating spheres, ...

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• radiance (zář) – radiant flux per unit perpendicular surface and unit solid angle

$$
L = \frac{d^2 \Phi}{dS \cos \theta d\Omega} = \frac{d^3 \mathscr{E}}{dt dS \cos \theta d\Omega}, \quad W m^{-2} s r^{-1}
$$
 (3)

 \bullet radiance \times irradiance

$$
I = \int_{\Omega} L(\theta) \cos \theta \, d\Omega \tag{4}
$$

For constant L (Lambert) radiators $I = \pi L$.

• for description of radiating solid surfaces

emission coefficient – radiant power emited by unit volume into unit solid angle

$$
j = \frac{d^3 \mathscr{E}}{dt dV d\Omega}.
$$
 (5)

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• all quantities have their spectral densities, e.g. $j(\lambda)$

irradation of detector for optical thin plasma condition

2 g_i – statistical weight, \mathscr{E}_i – excitation energy, n – atom density, Q – state sum, $T_{\rm e}$ excitation ($\frac{?}{=}$ electron) temperature

• spectral line intensity

• Boltzmann plot

$$
I \propto n_i A_{ij} \frac{hc}{\lambda} \tag{7}
$$

$$
I = C \cdot \frac{g_i A_{ij}}{\lambda} e^{-\frac{\mathscr{E}_i}{k_b T_c}}
$$
(8)

 $\frac{l\lambda}{g_iA_{ij}}=-\frac{1}{k_b}$ $\ln \frac{I\lambda}{4}$ $\frac{1}{k_b T_e} \mathcal{E}_i + \ln k_1,$ (9)

excited level balance

- local thermodynamic equilibrium (LTE) plasma
	- LTE condition

$n_e \gg 1.6 \cdot 10^{12} \sqrt{T_e} (\Delta E)^3$ (cm⁻³)

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- electron temperature from Boltzmann plot
- non-LTE plasma
	- corona equilibrium, excitation saturation phase, . . .
	- low electron density plasma
	- use of Boltzmann-plot leads to erroneous electron temperature
	- CR modelling

non-Maxwellian EDF

– inelastic collisions, beam electrons, non-local EDF

coupled DE for densities of excited states

$$
\frac{\partial n_i}{\partial t} + \bigtriangledown(n_i \vec{v}) = \left(\frac{\partial n_i}{\partial t}\right)_{c,r}
$$
\n(10)

population and depopulation processes are very fast:

$$
\frac{\partial n_i}{\partial t} = \left(\frac{\partial n_i}{\partial t}\right)_{c,r} = 0
$$
\n(11)

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not valid for ground-state atoms, ions, metastables, high pressure

Level balance

$$
\frac{\partial n_0}{\partial t} + \bigtriangledown(n_0\vec{v_0}) = -S_{cr}n_{e}n_0 + \alpha_{cr}n_{e}n_{ion}
$$

$$
\frac{\partial n_{ion}}{\partial t} + \bigtriangledown(n_{ion}\vec{v_{ion}}) = +S_{cr}n_{e}n_0 - \alpha_{cr}n_{e}n_{ion}
$$

ionizing plasma

recombining plasma

equilibrium plasmaK ロ ▶ K 레 ▶ K 코 ▶ K 코 ▶ 『코』 YO Q O

classification of models (plasma state)

- ionizing plasma $S_{cr}n_{\rm e}n_{0} \alpha_{cr}n_{\rm e}n_{\rm ion} > 0$
	- plasma conducting current, ionizing waves
- recombining plasma $S_{cr}n_{\rm e}n_{\rm 0} \alpha_{cr}n_{\rm e}n_{\rm ion} < 0$
	- afterglows, outer regions of flames
- e equilibrium plasma $S_{cr}n_{\rm e}n_{\rm 0} \alpha_{cr}n_{\rm e}n_{\rm ion} = 0$ (ioniozation-recombination equilibrium)

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- saturation of the excited state densities with increased n_e
- no Saha equilibrium, $S_i n_i \gg \alpha_i n_{\text{ion}}$

- \bullet stepwise excitation \rightarrow ladder-like excitation flow
- coefficients of upward processes are larger (closer upper levels, higher statistical weights of upper levels)

$$
k_{i-1,i}n_{e}n_{i-1}-k_{i,i-1}n_{e}n_{i}=k_{i,i+1}n_{e}n_{i}-k_{i+1,i}n_{e}n_{i+1}-S_{i}n_{e}n_{i}
$$

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- 2 equilibria: excited state \times ion state, neighbouring excited states
- ionization \sim recombination \gg excitation flow

$$
k_{i-1,i}n_{e}n_{i-1} - k_{i,i-1}n_{e}n_{i} = k_{i,i+1}n_{e}n_{i} - k_{i+1,i}n_{e}n_{i+1} - S_{i}n_{e}n_{i} + \alpha_{i}n_{e}n_{\text{ion}}
$$

Role of dominant electron collisions

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- Maxwellian EDF
- solution of Boltzmann kinetic equation
- normalization of the EDF

$$
\int_0^\infty f(\varepsilon)\varepsilon^{1/2}d\varepsilon = 1\tag{13}
$$

• mean electron energy

$$
\langle \varepsilon \rangle = \int_0^\infty f(\varepsilon) \varepsilon^{3/2} d\varepsilon, \tag{14}
$$

• rate coefficients k, k_{inv} of electron collision with cross section σ and of inverse process

$$
k = \sqrt{\frac{2e}{m_e}} \int_0^{\infty} \sigma(\varepsilon) f_0(\varepsilon) \varepsilon d\varepsilon
$$

$$
k_{\text{inv}} = \sqrt{\frac{2e}{m_e}} \frac{g_j}{g_i} \int_{\varepsilon_{ij}}^{\infty} \sigma(\varepsilon) f_0(\varepsilon - \varepsilon_{ij}) \varepsilon d\varepsilon
$$

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Approaches of OES data processing

- **e** line ratio methods
	- selection of convenient line pair (sensitivity, model simplicity, ease of measurement)
	- no control of model validity
- "many line fitting" methods

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Electron temperature and EDF measurement by OES+CR

Electric field measurement in air

$$
R(E/N) = \frac{FNS(0,0)}{SPS(0,0)}
$$

Kozlov and Wagner 2001 J. Phys. D: Appl. Phys. 34 3164 Bilek et al 2018 Plasma Sources Sci. Technol. 27 085012

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- · based on admixing of a small amount of rare gas into plasma · based on admixing of a small amount of rare gas into plasr and *and and the compassion* and the plasma, relatively interest interest interests in the plasma, relatively in
- · mapping EDF at specific electron energies \bullet mapping EDF at specific electron energies from Ar and extremely weak Ne emission. Hence Ne emission
- $\bullet\,$ low pressure, what is small amount? \cdots that is higher than the lower-

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Helium line ratio method

- ratio of helium singlet lines $R = I_{667}/I_{728}$ He I 667.8 nm $(2^{1}P - 3^{1}D)$ He I 728.1 nm $(2^{1}P - 3^{1}S)$
- \checkmark high spectral resolution is not required
- \checkmark sensitive to fields of several kV/cm
- ✓ verified at atmospheric pressure
- X dependence on the gas purity
- ✗ dependence on metastable density at low field

 $E(R) = 2.224 - 20.18R + 45.07R^2 - 19.98R^3 + 3.369R^4$ $[E] = kV/cm$, for 3-40 kV/cm, $T = 310$ K Ivković et al 2014 J. Phys. D: Appl. Phys. 47 055204

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Diffuse coplanar barrier discharge in rare gases

Helium

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50 ms $1 \mu s$ 100 ns, 10 k

 10_{mm}

anc

Experimental setup

- coplanar DBD, brass electrodes covered with 96% Al₂O₃ (0.63 mm thick),
- parallel gap footprint, electrode distance 4.75 mm,
- helium 5.0 at atmospheric pressure, gas flow 550 sccm
- AC sine-wave high voltage of 1.6 kVmax, 10.3 kHz
- ICCD camera Princeton Instruments PI-MAX3 (time window 50 ns)
- bandpass filters Thorlabs FL670-10 and FL730-10 (670, 730 [n](#page-29-0)[m,](#page-31-0) [F](#page-29-0)[W](#page-30-0)[H](#page-31-0)[M](#page-13-0) [1](#page-33-0)[0](#page-12-0) [n](#page-13-0)[m](#page-33-0)[\)](#page-0-0)

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2D resolved electric field development

Čech J et al 2D-resolved electric field development in helium coplanar DBD Plasma Sources Sci. Te[chn](#page-30-0)o[l.](#page-32-0) [2](#page-13-0)[7](#page-31-0).[10](#page-31-0)[5](#page-32-0)[00](#page-12-0)2 ÷ ∍ [OES](#page-2-0) [CR modelling](#page-13-0) [CRM neon](#page-33-0) [Examples](#page-44-0) [Self-absorption](#page-55-0)

2D resolved simultaneous line ratio measurement

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Excited levels

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- **Q** Electron impact excitation out of the g.s. $\text{Ne}(1) + e^{-} \stackrel{k_{1j}}{\rightarrow} \text{Ne}(j) + e^{-}, \quad j = 2, ... 29$
- \bullet Electron impact excitation out of 2 p^5 3s states $\text{Ne}(i) + e^- \stackrel{k_{ij}}{\rightarrow} \text{Ne}(j) + e^-$, $i = 2,...5, j = 6,...15$
- \bullet Electron impact deexcitation to the g.s. and 2p⁵3s states $\text{Ne}(i) + e^- \stackrel{k_{ij}}{\rightarrow} \text{Ne}(j) + e^-$, $i = 2,...29, j = 1,...5, i > j$
- \bullet Electron induced excitation transfer among 2p⁵3s states $\text{Ne}(i) + e^{-\frac{k_{ij}}{\gamma}} \text{Ne}(j) + e^{-}, \quad i, j = 2, \dots 5$

• Spontaneous emission and absorption of radiation

$$
\mathrm{Ne}(i) \stackrel{\Lambda_{ij}\Lambda_{ij}}{\rightarrow} \mathrm{Ne}(j) + h\nu, \quad i = 2, \ldots 29, \, i > j \mapsto \text{as } i \geq k \text{ and } j \geq k
$$

- \bullet Two-body collision induced deactivation and excitation transfer among 2p⁵3p states $\mathrm{Ne}(i) + \mathrm{Ne}(1) \stackrel{k_{ij}}{\rightarrow} \mathrm{Ne}(j) + \mathrm{Ne}(1), \quad i,j=6,\dots 15$
- **³** Chemoionization

$$
Ne(2-5) + Ne(2-5) \stackrel{k_{met}}{\rightarrow} Ne(1) + Ne^+ + e^-
$$

- **8** Two-body collision induced deactivation $\text{Ne}(2-5) + \text{Ne}(1) \stackrel{k_{2\text{b}}}{\rightarrow} 2\text{Ne}(1)$
- **•** Penning ionization of impurities

$$
Ne(2-5) + H_2 \stackrel{k_{H_2}^+}{\rightarrow} H_2^+ + Ne(1) + e^-
$$

\n
$$
Ne(2-5) + H_2 \stackrel{k_{NeH^+}}{\rightarrow} NeH^+ + H + e^-
$$

\n
$$
Ne(2-5) + N_2 \stackrel{k_{N_2}^+}{\rightarrow} N_2^+ + Ne(1) + e^-
$$

- **2** Electron impact ionization of the ground-state and metastable atoms
- **3** Three-body production of dimers

$$
Ne(2,4) + Ne(1) + Ne(1) \stackrel{k_{3b_m}}{\rightarrow} Ne_2^* + Ne(1)
$$

\n
$$
Ne(3) + Ne(1) + Ne(1) \stackrel{k_{3b_3}}{\rightarrow} Ne_2^m + Ne(1)
$$

\n
$$
Ne(5) + Ne(1) + Ne(1) \stackrel{k_{3b_5}}{\rightarrow} Ne_2^m + Ne(1)
$$

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Einstein coefficient A_{ii}

$$
A_{ij} = \frac{16\pi^3 v^3}{3\varepsilon_0 hc^3} \frac{S}{g_i}
$$

$$
A_{ij} = \frac{g_j}{g_i} \frac{2\pi e^2 v^2}{\varepsilon_0 mc^3} f
$$

effective levels

$$
A_{\{i\}j} = \frac{\sum_{i} g_i A_{ij}}{\sum_{i} g_i}
$$

 \leftarrow relative differences of two data source – NIST and Seaton 1998

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Number of absorption transitions between states i, j (j is lower) in unit volume is

 $n_i B_{ii} \rho(\omega_0)$

What is $\rho(\omega_0)$?

The spatial distribution of population of excited state due to the radiation propagation can be described by Holstein equation

$$
\frac{\partial n(\vec{r})}{\partial t} = -An(\vec{r}) + A \int n(\vec{r}') G(\vec{r}', \vec{r}) d\vec{r}',\tag{15}
$$
\n
$$
\vec{r}) = -\frac{1}{m} \frac{\partial T}{\partial t} \left(\rho - |\vec{r}' - \vec{r}| \right) \left(\frac{T(\rho)}{T} \right) = \int f(\rho) e^{-kf(\omega)\rho} d\rho
$$

$$
G(\vec{r}',\vec{r})=-\frac{1}{4\pi\rho^2}\frac{\partial I}{\partial \rho}, \rho=|\vec{r}'-\vec{r}|, \quad \mathcal{T}(\rho)=\int f(\omega)e^{-kf(\omega)\rho}d\omega.
$$

Solution of Holstein equation has a form

$$
n(\vec{r},t) = \sum_j c_j n_j(\vec{r}) e^{-A/g_j t}, \qquad (16)
$$

in which g_j g_j a[r](#page-33-0)e *trapping* [fa](#page-38-0)[ct](#page-39-0)[o](#page-32-0)rs attached to eigenfunctions n_j . E[sca](#page-37-0)[pe](#page-39-0) factor $\wedge = 1/g_0$ $\wedge = 1/g_0$ [.](#page-60-0)

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Trapping factor

Parameters of solution:

- discharge geometry
- \bullet opacity k_0R
- **·** spectral line profile

Initial conditions

$$
n_i(t=0) = \left\{ \begin{array}{ll} N \equiv \frac{P}{k_b T_n}, & i=1\\ 0, & i>1 \end{array} \right.
$$

- Runge-Kutta methods
- stationary state solution: all excited states reach stationary state $(\frac{\partial n_i}{\partial t} = 0)$
- Non-linear dependence of some rate equations

$$
\left(\frac{\partial n_2}{\partial t}\right)_{\text{met}} = -4k_{\text{met}}n_2^2 - 2k_{\text{met}}n_2n_4 - \dots - (k_{H_2^+} + k_{\text{NeH}^+})[H_2]n_2 - (k_{N_2^+} + k_{\text{NeN}_2^+})[N_2]n_2 - k_{O_2^+}[O_2]n_2 - \frac{D_2}{l_D^2}n_2 - k_{\text{ionmet}}n_{\text{e}}n_2
$$

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(17)

• measured spectral line intensities. integrated over lineshapes

> $[\lambda_k, I_k^{\text{exp}}]$ $\binom{[exp]}{k}$, $k = 1, ..., n, n = 30$

e calculated total emission coefficients of transitions

$$
I_{ij}^{\rm cr} = \frac{1}{4\pi} n_i \Lambda_{ij} A_{ij} h v_{ij}
$$

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• comparison of spectra by least squares method

$$
\mathscr{S} = \sum_{k=1}^{n} \frac{(\mathscr{F} \cdot I_k^{\text{cr}}(\mathcal{T}_e, n_e, n_{1s_3}, n_{1s_5}) - I_k^{\text{exp}})^2}{I_k^{\text{exp}}}
$$

simplified 0D scheme is not valid

$$
\frac{\partial n_i}{\partial t} + \bigtriangledown(n_i \vec{v}) = \left(\frac{\partial n_i}{\partial t}\right)_{c,r}
$$
 (18)

- longer computational times
- increased sensitivity at low electron energies

(*Tx*=1*.*² = 2*.*8eV, *n*m*/n*^g = 3 × 10−4, *n*r*/n*^g = 1 × 10−4). argon

 OQ Boffard J B, Jung R O, L[in](#page-32-0) C C and W[e](#page-43-0)ndt A E 2010 13–25 eV are relevant for exciting the ground state atoms into

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Direct and stepwise excitation

Maxwe[l](#page-42-0)lian EDF, ga[s](#page-43-0) temperature 300 K, fixed densities of all 1 s; [le](#page-42-0)[ve](#page-44-0)ls

DC glow discharge in neon

- positive column of DC glow discharge at 1.1 Torr
- OES in spectral range 300 850 nm
- CR model with stationary BKE solver
- probe measurement

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Radio-frequency discharge in neon

- capacitively coupled RF discharge in neon (13.56 MHz)
- low pressure (10 Pa)
- reactor R3 "Temelín", inner diameter 33 cm, discharge gap 40mm, electrodes 8 cm in diameter
- studied by OES/CR, OAS, PIC/MC, Langmuir probe
- absolute intensity measurement

RF (13.56 MHz) capacitive discharge in neon at 10 Pa

Navrátil Z, Dvořák P, Brzobohatý O and Trunec D 2010 J. Phys. D: Appl. Phys. 43(50) 505203.

- two-cylinder quartz tube with copper rod antenna, length 320 mm, dimensions $d_1 = 5$ mm, $d_2 = 7$ mm, $d_3 = 11$ mm, $d_4 = 20$ mm and $d_5 = 24$ mm
- microwave power 60 W
- $2Q$ **pressure 300 – 700 Pa of neon with research purity 00,000%, [flo](#page-46-0)[w](#page-48-0) [r](#page-46-0)[at](#page-47-0)[e](#page-48-0) [6](#page-46-0)[–](#page-54-0) [3](#page-55-0)[0](#page-43-0) [s](#page-44-0)[c](#page-55-0)ene rate 6**

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Electron distribution function

• using BKE solver

Wavelength (nm)

• effect of deactivation by heavy particles on spectra under stu[die](#page-48-0)[d](#page-50-0) [c](#page-48-0)[on](#page-49-0)d[it](#page-46-0)[i](#page-47-0)[o](#page-54-0)[ns](#page-55-0)[is](#page-44-0) [s](#page-55-0)[ma](#page-0-0)[ll](#page-60-0)

Wavelength (nm)

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Sensitivity to electron density and metastable density

sensitivity to metastables: 0.3 eV or 2 Td per order of density

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Axial dependencies for $T = 300$ K

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$N₂$ rotational temperature in $C³$ Π_u state

Program Specair. Laux C O 2002. In Fletcher D, Charbonnier J M, Sarma G S R and Magin T, eds., von Karman Institute Lecture Series 2002–07, Physico-Chemical Modeling of High Enthalpy and Plasma Flows Rhode-Saint-Gencse, Belgium.

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N₂ rotational temperature in $C^3\Pi_u$ state

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- heating by oscillating field is governed by E/N and ω/N , elastic collisions inhance heating
- \bullet ω/N is not constant along the column

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• isolated atom

$$
\Gamma_{ij} = \frac{A_{ij}}{\sum_{I} A_{il}}
$$

plasma

$$
\Gamma_{ij}^{\rm eff} = \frac{g(k_{ij}^0 L) A_{ij}}{\sum_l g(k_{il}^0 L) A_{il}}
$$

• absorption coefficient

$$
k_{ij}^0 = \frac{\lambda_{ij}^3}{8\pi^{3/2}}\sqrt{\frac{m_0}{2k_bT}}\frac{g_i}{g_j}A_{ij}n_j
$$

o measured

$$
\Gamma_{ij}^{\exp} = \frac{I_{ij}/h v_{ij}}{\sum_l I_{il}/h v_{il}}
$$

• Mewe approximate expression

$$
g(k_{ij}^{0}L) = \frac{2 - e^{-k_{ij}^{0}L/1000}}{1 + k_{ij}^{0}L}
$$

• assumption of homogeneous distribution of atoms

$$
\bullet\;\text{ e.g. Ar }2\mathrm{p}_6\to1\mathrm{s}_5\;(763.5\,\mathrm{nm}),\,\rho=10\,\mathrm{cm}
$$

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Example – density of Ti and Ti^+ in magnetron discharge

Figure 1. Energy levels and selected transitions for density measurement of (a) Ti neutral atom and (b) Ti ion.

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Example – density of Ti and Ti^+ in magnetron discharge

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Example – density of Ti and Ti^+ in magnetron discharge

